Limbic Interference During Social Action Planning in Schizophrenia

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Schizophrenia is characterized by social interaction deficits contributing to poor functional outcome. Hand gesture use is particularly impaired, linked to frontal lobe dysfunction and frontal grey matter deficits. The functional neural correlates of impaired gesturing are currently unclear. We therefore investigated aberrant brain activity during impaired gesturing in schizophrenia. We included 22 patients with schizophrenia and 25 healthy control participants matched for age, gender, and education level. We obtained functional magnetic resonance imaging data using an event-related paradigm to assess brain activation during gesture planning and execution. Group differences in whole brain effects were calculated using factorial designs. Gesture ratings were performed by a single rater, blind to diagnoses and clinical presentation. During gesture planning and execution both groups activated brain areas of the praxis network. However, patients had reduced dorsolateral prefrontal cortex (DLPFC) and increased inferior parietal lobe (IPL) activity. Performance accuracy was associated with IPL activity in patients. Furthermore, patients activated temporal poles, amygdala and hippocampus during gesture planning, which was associated with delusion severity. Finally, patients demonstrated increased dorsomedial prefrontal cortex activity during planning of novel gestures. We demonstrate less prefrontal, but more IPL and limbic activity during gesturing in schizophrenia. IPL activity was associated with performance accuracy, whereas limbic activity was linked to delusion severity. These findings may reflect impaired social action planning and a limbic interference with gestures in schizophrenia contributing to poor gesture performance and consequently poor social functioning in schizophrenia.

Key words: nonverbal communication/social cognition/delusions/gesture performance/fMRI/amygdala

Introduction

Schizophrenia is characterized by impaired social interaction contributing to poor functional outcome. Particularly nonverbal communication is disturbed including gesture performance in both patients and subjects at risk for psychosis. Gestures are skilled movements critical for social interaction, conveying relevant nonverbal information. Gesture deficits have been linked to impaired frontal lobe function, working memory deficits and altered motor behavior. Gesture impairments in schizophrenia predict poor functional outcome after 6 months. Furthermore, poor nonverbal social perception and impaired gesture performance are strongly associated. Finally, alterations in the mirror neuron system may lead to poor gesture performance.

Three key aspects of gesturing may be investigated: gesture perception, interpretation and production. Recent functional magnetic resonance imaging (fMRI) studies indicated aberrant neural processing in the language network in schizophrenia during perception of abstract metaphoric gestures. Behavioral data suggests misinterpretation of incidental movements as gestures in patients with delusions. Delusions in turn are associated with altered brain activity in the limbic system. Therefore, functional alterations in the limbic system may foster misinterpretation of gestures in schizophrenia. Two recent fMRI studies investigated the imitation of meaningless finger movements in schizophrenia: One reported preserved neural activity, while the other found reduced right parietal lobe activation in patients. Imitation of finger movements may be related to imitation of gestures, yet lacking the communicative context. Even though performance of gestures on command (termed pantomimes) is defective in up to 67% of schizophrenia patients,
the underlying pathophysiology is unknown and their functional neural correlates have not been studied yet. Pantomimes represent a critical nonverbal component of real-life social encounters, for instance as co-speech gestures.9,22

Current neurocognitive models23–25 including evidence from fMRI studies in healthy subjects26–28 as well as lesion studies29–32 suggest a widespread, left lateralized, fronto-temporo-parietal cortical network for planning pantomime gestures and imitation of tool use. According to these models distinct ventral and dorsal streams of this so called praxis network are relevant for motor control. In detail, the dorso-dorsal stream provides “online” control of actions and is running from the primary visual cortex, the superior parietal lobe to the dorsal premotor area.23,24,33 In contrast, the ventro-dorsal stream is relevant for action semantics connecting medial superior temporal areas with the inferior parietal cortex and dorsal premotor cortex.34 Finally, visual object processing and object semantics is processed in the ventral stream running from the visual cortex through the temporal lobe to the inferior frontal gyrus.34

Investigating gesture performance in schizophrenia provides further information on the contribution of the praxis network. In fact, schizophrenia patients with defective pantomime performance had reduced gray matter (GM) in the ventral-dorsal pathway, most prominent in the left IFG in contrast to patients with correct gesture performance.34

Despite the growing evidence and clinical relevance of gesture abnormalities in schizophrenia, the neural correlates of impaired gesture performance are currently unclear. However, this pathophysiological knowledge may stimulate the development of treatment approaches. Therefore, we tested functional correlates of gesture performance on visual verbal command (pantomime) in schizophrenia patients and healthy controls with fMRI. We hypothesized aberrant activation of the praxis network in schizophrenia during both planning and actual performance of gestures and altered prefrontal cortex activation during gesture planning. In particular, we hypothesized planning of novel gestures to be demanding and to be associated with prominent alterations in the frontal lobe in schizophrenia. In contrast, brain activity during planning of familiar, highly overlearned gestures (such as tool related gestures) may be more preserved in schizophrenia. Finally, we tested a possible association of defective social action planning with delusional experience in patients.

Methods

Subjects

This study included 22 patients with schizophrenia spectrum disorders according to the Diagnostic and Statistical Manual of Mental Disorders (DSM5) criteria and 25 healthy control subjects matched for age, gender, and duration of education. Patients were recruited between December 2013 and November 2014 at the inpatient and outpatient departments of the University Hospital of Psychiatry, Bern. Healthy controls were recruited via advertisement and among staff and students. All participants were right-handed. General exclusion criteria were substance abuse or dependence other than nicotine, history of motor impairments such as dystonia, idiopathic parkinsonism or stroke, history of head trauma with concurrent loss of consciousness and history of electroconvulsive treatment. Exclusion criteria for controls were history of any psychiatric disorder, as well as any first-degree relatives with schizophrenia or schizoaffective disorder. All participants provided written informed consent. The study protocol adhered to the declaration of Helsinki and was approved by the local Ethics Committee.

All patients received antipsychotic medication, average daily chlorpromazine equivalents (CPZ) during the last 5 years were calculated.35 Symptom severity in patients was assessed with the Comprehensive Assessment of Symptoms and History (CASH)36 and the Positive And Negative Syndrome Scale (PANSS).37 All participants were further interviewed with the Mini International Neuropsychiatric Interview (MINI).38 In addition, frontal lobe function, verbal working memory and nonverbal intelligence were assessed using the Frontal Assessment Battery (FAB),39 the digit span backwards (DSB) task (subtest from the Wechsler Memory Scale [WMS-III]40 and the Test of nonverbal Intelligence [TONI].41 Assessment of symptoms was conducted on the day of MRI scan.

Experimental Procedures

Task: Gesture Performance on Verbal Command. We employed a modified instructed delay paradigm26,42,43 for pantomime gestures (figure 1). Participants performed 20 novel and 20 familiar gestures in random order with their right hand in 2 runs. Instructions were presented visually as written commands. Familiar gestures included 10 transitive (tool related, eg, use of scissors) and 10 intransitive
symbolic actions (eg, waving good bye). Novel gestures are meaningless actions, such as spreading the little finger outward. During the linguistic control condition trials (10 neutral sentences, eg, “The weather is cold during winter.”), participants were asked to relax and neither plan nor undertake any movements. Thus, linguistic control was matched for attention and visual processing, but lacked any specific demands in motor planning (figure 1). Within runs, gesture condition and linguistic control condition were intermixed. Each command was presented twice. Each run started with the rest instruction followed by written movement commands or linguistic control for 3 seconds (figure 1). Next, a fixation cross was presented for 3 seconds, during which participants had to plan movements. Immediately after the planning phase, a round symbol indicated the execution phase of 3 seconds, which in turn was followed by a jittered inter-stimulus interval of 3–10 seconds. The total duration of the fMRI task was 13 minutes.

Participants performed gestures with the right hand and arm. Subjects lay horizontal in the MR scanner and their arms rested beside their trunk. To reduce head motion foam pads were placed around the participants’ head and we explicitly instructed participants to avoid head motion, in particular while performing gestures. Furthermore, most of the gestures involved the hand and forelimb in proximity to the hand. In case of movements including the arm participants were explicitly asked to mainly use the forelimb.

An independent rater blinded for diagnosis and clinical status evaluated the video-recorded gesture performance according to the Test of Upper Limb Apraxia (TULIA) criteria (eg, according to spatial, temporal or content errors, higher scores indicating better performance accuracy; full criteria see supplementary material).

**Functional Magnetic Resonance Imaging** Imaging was performed on a 3T MRI scanner (Siemens Magnetom Trio; Siemens Medical Solutions) with a 12-channel radio frequency headcoil for signal reception. 3D-T1-weighted (Modified Driven Equilibrium Fourier Transform Pulse Sequence; MDEFT) images for each subject have been obtained, providing 176 sagittal slices with 256 × 256 matrix points with a non-cubic field of view (FOV) of 256 mm, yielding a nominal isotropic resolution of 1 mm³ (ie, 1 mm × 1 mm × 1 mm). Further scan parameters for the anatomical data were 7.92 ms repetition time (TR), 2.48 ms echo time (TE) and a flip angle of 16° (FA).

For functional sequences, 390 T2*-weighted echo planar single-shot images (EPI) were acquired. Further scan parameters for the functional images were 38 slices, and slice thickness = 3 mm, 64 × 64 matrix size, 3.59 mm × 3.59 mm × 3 mm voxel dimension, FOV 230 mm, TR = 2 seconds and TE = 30 ms. In addition the acquisition of a B₀ image was performed in order to quantify inhomogeneity within the echo planar imaging (EPI) images. The following parameters were used: 38 axial slices with slice thickness = 3.0 mm, interslice distance = 0 mm, FOV = 230 × 230 mm², matrix size = 64 × 64; TR = 488 ms, TShort = 4.92 ms, TLong = 7.38 ms, gradient-EPI readout, interleaved order, acquisition time 65 seconds, number of measurements N = 1, Flow compensation pulse, Bandwidth 260 Hz/Px and effective Echo spacing 0.215 ms. These images were positioned exactly as the fMRI images.

**Statistical Analysis**

Statistical tests of behavioral, clinical and demographic data were performed using SPSS 22.0 (IBM SPSS Statistics: IBM Corp). Two-sample t tests and chi-square tests (χ²) were used to test for group differences in clinical and demographic data. Gesture performance data were normally distributed. A repeated measure ANOVA tested the effects of category, group and their interaction on gesture performance applying Greenhouse-Geisser correction. Level of significance was set at P < .05, 2-tailed.

Missing trials and trials with severe gesture errors (eg, unrecognizable or movement present, but hard to decipher) were excluded from further fMRI analysis. To assess planning- and execution-related increases in blood oxygenation level dependent (BOLD) signal we used Statistical Parametric Mapping (SPM8) software (Wellcome Department of Imaging Neuroscience, University of London). Preprocessing included slice time correction, realignment, coregistration, normalization, and spatial smoothing with a Gaussian kernel of 8 mm full-width at half-maximum. In addition, preprocessing included correction of distortion of EPI images due to possible regional variations of the static magnetic field (eg, B₀).

Statistical analysis of the preprocessed data was conducted via a 2-stage mixed effects model. At the single subject level, the activity for planning and execution of familiar and novel gestures as well as the linguistic control was modeled in one General Linear Model (GLM) using the standard SPM canonical hemodynamic response function. For each participant, realignment parameters were included in the GLM as regressors of no interest to correct for residual motion. In order to identify brain areas specifically associated with planning and execution of familiar and novel gestures, gesture conditions (familiar and novel) were contrasted against the linguistic control condition at the single subject level (eg, planning familiar gestures vs linguistic control; execution novel gestures vs linguistic control).

Next, contrasts from each single subject were entered into second-level random effects analyses. Whole brain effects were calculated using 2 flexible factorial designs with the factors group, planning and execution for each of the 2 gesture categories (familiar and novel) separately. Between group effects were calculated comparing both conditions (planning and execution) between patients and controls within the factorial designs (eg, patients vs controls:...
planning familiar gestures; controls vs patients: planning familiar gestures). We report results with a uniform threshold of $P \leq .001$ and a minimum cluster size of 180 voxels.

We explored potential influences of motion on the BOLD signal. Neither group nor phase of the experiment had an influence on head motion during the scan (see supplementary material: Analysis S1, table S1). Finally, we calculated post hoc Spearman’s rank correlations (2-tailed) to assess the relationship between performance ratings (TULIA scores), psychopathological characteristics of delusional experience from the CASH present state and neural activity during gesture planning. Therefore, we extracted mean beta estimate values of full brain clusters differentially activated during the planning condition as regions of interest (ROIs) for each subject using a toolbox for SPM (MarsBaR).

Results

Behavioral and Clinical Data

Demographic and clinical data are given in table 1. Patients performed poorer than controls in both gesture categories (familiar and novel, see table 1 and supplementary material: figure S1). The gesture deficit comprised temporal, spatial, semantic and content errors. We found significant effects of gesture category ($F_{(1/45)} = 67.1, P < .001$), and group ($F_{(1/45)} = 20.0, P < .001$), but no category × group interaction ($F_{(1/45)} = .1, P = .70$). However, the proportion of excluded trials (missing trials and trials with severe errors) did not differ between patients and controls (table 1).

fMRI Results

Planning Novel and Familiar Gestures. Within-group results are given in the supplementary material (supplementary material: Analyses S2 and S3, figure S2 and table S2). During planning of novel gestures between-group contrasts indicated reduced activation in patients in brain areas commonly related to gesture planning, ie, in the ventral and dorsal stream, the motor cortex and the right dorsolateral prefrontal cortex (DLPFC) (controls > patients) (figure 2A and table 2A). Furthermore, we detected abnormal bilateral activation in temporal pole, amygdala and hippocampus in schizophrenia.

Table 1. Demographic and Clinical Data

<table>
<thead>
<tr>
<th></th>
<th>Controls</th>
<th>Patients</th>
<th>Tests</th>
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<tr>
<td></td>
<td>Men/Women</td>
<td>Men/Women</td>
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</tr>
<tr>
<td>Gender (No [%])</td>
<td></td>
<td></td>
<td>.421</td>
</tr>
<tr>
<td>Gender (No [%])</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age (y)</td>
<td>39.2</td>
<td>37.5</td>
<td>.63</td>
</tr>
<tr>
<td>Education (y)</td>
<td>14.1</td>
<td>13.5</td>
<td>.68</td>
</tr>
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<td>TONI index score</td>
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<td>99.7</td>
<td>.002</td>
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<tr>
<td>DSB</td>
<td>5.5</td>
<td>4.6</td>
<td>.003</td>
</tr>
<tr>
<td>FAB</td>
<td>17.5</td>
<td>16.7</td>
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<td>Gesture performance total</td>
<td>163.4</td>
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<tr>
<td>Familiar gestures</td>
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<tr>
<td>Novel gestures</td>
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<td>.45</td>
</tr>
<tr>
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<td>—</td>
<td>397.5</td>
<td>—</td>
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<tr>
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<td>—</td>
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<tr>
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<td>4</td>
<td>—</td>
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<tr>
<td>Schizoaffective disorder (n)</td>
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<td>—</td>
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<td>PANSS total (range)</td>
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<td>6.7</td>
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<tr>
<td>PANSS neg (range)</td>
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<td>18.8 (11–27)</td>
<td>4.5</td>
</tr>
<tr>
<td>CAINS Expression (range)</td>
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<td>4.2 (0–10)</td>
<td>3.6</td>
</tr>
<tr>
<td>CAINS Motivation/Pleasure (range)</td>
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<td>16.5 (4–29)</td>
<td>7.3</td>
</tr>
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<td>CASH delusions (range)</td>
<td>—</td>
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<tr>
<td>Number of episodes</td>
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<td>5.7</td>
<td>6.3</td>
</tr>
<tr>
<td>DOI (y)</td>
<td>—</td>
<td>11.2</td>
<td>9.3</td>
</tr>
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</table>

Note: TONI index score, Test of nonverbal Intelligence index score; DSB, digit span backwards; FAB, Frontal Assessment Battery; Gesture performance total, total scores of gesture performance; Familiar gestures, performance scores of performance of familiar gestures; Novel gestures, performance scores of performance of novel gestures (performance ratings refer to gesture performance inside the scanner); CAINS, Clinical Assessment Interview for Negative Symptoms (Factor 1 Expression; Factor 2 Motivation/Pleasure); CPZ, chlorpromazine equivalents; PANSS, Positive And Negative Syndrome Scale; pos, positive; neg, negative; CASH, comprehensive assessment of schizophrenia history (delusions, global rating of severity of delusions); DOI, duration of illness. $P$ values correspond to 2-sample $t$-tests for continuous variables and $\chi^2$ tests for categorical variables.
patients > controls). In addition, patients demonstrated increased activation in the middle frontal gyrus (dorsomedial frontal cortex: DMPFC; patients > controls) (figure 2B and table 2A). Likewise, during planning of familiar gestures patients showed hypoactivation in the praxis network, the motor cortex and the DLPFC, while again patients presented abnormal bilateral activation in the temporal pole and amygdala (figures 2C and 2D). The full list of between group results (controls > patients and patients > controls) is given in table 2A. To rule out the putative effects of frontal lobe function on our whole brain findings we provide additional analyses with frontal lobe function (FAB) as covariate. The analyses yielded substantially the same results independent of frontal lobe function (see supplementary material, table S3).

**Execution Novel and Familiar Gestures.** We analyzed between group effects during gesture execution to determine the relationship of actual gesturing and brain activity. Groups did not differ in neural activation during performance of novel gestures (controls > patients and patients > controls). However, patients displayed hypoactivation during execution of familiar gestures within the premotor cortices (bilateral SMA, pre-SMA and cingulate motor areas; see table 2C).

**Association of Gesture Behavior With Neural Activation During Gesture Planning.** Accuracy of gesture performance was associated with the right DLPFC (middle frontal gyrus) activation during gesture planning in controls but with left inferior parietal lobe (IPL) activation in patients (figure 3 and supplementary material: table S4). Moreover, the abnormal BOLD activity in limbic regions (right temporal pole, amygdala and hippocampus) during planning was significantly associated with the level of delusions in patients (figure 3 and supplementary material: table S4).

**Discussion**

Defective gesture performance in schizophrenia substantially hampers social interaction, predicting poor functional outcome. Thus, investigating gesture behavior provides a window to social communicative impairments in schizophrenia. During social interaction gestures substitute or support verbal information. When encountering subjects with schizophrenia, both faulty or reduced nonverbal expression and biased nonverbal perception may contribute to poor understanding. While gesture impairments are currently being explored in schizophrenia spectrum disorders, very little is known on the neural underpinnings of this deficit. Here we investigated neural correlates of gestural deficits in schizophrenia patients and well-matched healthy controls using fMRI. Results indicate aberrant neural activity most prominent during planning of gestures, which may contribute to poor gesture performance.

In line with previous studies, participants activated the praxis network when planning and executing hand gestures. However, neural activation was generally less prominent and more left-lateralized in patients, which may explain behavioral gestural deficits. Furthermore, patients demonstrated aberrant activation of the bilateral...
### Altered Activation of the Action Network and Mirror System in Patients

Several factors may contribute to poor gesture processing in schizophrenia, e.g., impaired action planning, working memory deficits, and motor abnormalities. Therefore, one would expect aberrant brain activity in patients.
particularly in the IPL and frontal lobe including premotor cortex and areas of cognitive control. Here, patients demonstrated a relative hyperactivation of the IPL and the DMPFC as well as a relative hypoactivation of the DLPFC when planning novel gestures. In fact, this pattern contributed to the actual gesture accuracy: in patients performance was associated with left IPL activation, but in controls performance relied on right DLPFC activation. In line with this, impaired gesturing in schizophrenia was linked to impaired frontal lobe function. The DMPFC has been suggested to elaborate the meaning of communicative and social ambiguous stimuli. Thus, the DMPFC hyperactivity in patients planning novel gestures may indicate the unsuccessful search for meaning in meaningless gestures.

Our results substantiate earlier findings demonstrating aberrant mirror neuron activation within the IPL during both action observation and action execution in schizophrenia. The IPL contains so-called mirror-neurons. Gesture performance and gesture perception are tightly coupled in schizophrenia. In order to perform a gesture correctly, we need to integrate action planning and the semantic meaning. The mirror neuron system provides topographical overlap of motor and semantic representations. Therefore, our results suggest that defective mirror system contributes to gestural deficits in schizophrenia.

Furthermore, our results complement reports investigating gesture perception in schizophrenia. In particular, gesture perception and planning of gesture performance engage overlapping brain areas (ie, the inferior frontal gyrus). However, gesture execution demonstrated hypoactivation within the cingulate motor areas in patients, which is in contrast to previous reports on gesture perception. Finally, previous work suggested hand gesture performance to be linked to general severity of positive or negative symptoms with some inconsistency. However, we detected no such association in our study. In conclusion, the combined investigation of neural correlates during gesture perception and performance would be the next endeavor. Furthermore, we need to test whether aberrant neural activity in schizophrenia during gesture processing would indicate subjects with particularly poor social outcome.

Aberrant Limbic Activation in Patients

Our main results extend previous findings by showing that patients activate amygdala and temporal pole not only in response to affective stimulation but also during gesture planning. We may speculate that the pathological activation of key emotion processing areas may distract gesture performance. Likewise, amygdala activity may drive emotional interference on cognitive processing. Furthermore, the limbic cluster of activity including amygdala was associated with delusion severity. Limbic brain areas are critical for incentive salience and the evolution of delusions in schizophrenia. Thus, our findings suggest incentive salience even during planning of socially relevant action. Indeed, perception and interpretation of gestures may be biased by delusions of reference or hallucinatory experience, particularly in socially ambiguous situations.

The aberrant activation of limbic brain areas in patients was exclusively correlated with delusion severity but unrelated to gesture performance.

Limitations

In addition to patient status, other factors may have influenced brain activation in the current fMRI study including differences in task performance and medication effects. In order to account for performance differences, we excluded trials with major errors in both groups. Major errors comprised movements without temporal or spatial association with the requested gesture. Medication effects on the fMRI signal are equivocal, eg, antipsychotics may normalize limbic neural activity or have no effect at all. In addition, medication may affect gesture performance. However, in our study gesture performance was not associated with dosage of antipsychotic medication (data not shown).
The group of schizophrenia patients presented with typical neurocognitive impairments which may affect gesture performance.\textsuperscript{4,64} However, introducing frontal lobe function as a covariate to our imaging analyses, yielded substantially the same results. Our task was designed to investigate action planning and the execution of hand gestures, but does not allow contrasting the 2 conditions, as the execution phase directly followed the planning phase without jittering interval. Therefore, we do not directly compare brain activation during planning and execution. In fact, hemodynamic response functions in the bilateral SMA as shown in the supplementary material indicate that both conditions may elicit a neural response at single-subject level regardless of the absent jittering interval between the 2 experimental conditions (supplementary material: Analysis S4, figure S3).

Finally, our paradigm included a linguistic control task. While this control was useful to correct for unspecific semantic associations, it may at the same time hamper the detection of relevant neural signal in brain areas of the language network. In fact, some brain areas are active during both language and gesture processing, eg, the IFG.\textsuperscript{10,34,65} Despite the linguistic control task, we detected brain activity during gesture planning in the IFG in both groups.

**Conclusion**

In summary we demonstrated an aberrant pattern of brain activation during social action planning in schizophrenia, ie, gesture planning and execution. Patients’ gesture performance relied on IPL instead of DLPFC activity, which is in line with the association of poor gesture performance and frontal lobe dysfunction. Finally, we observed aberrant limbic activity in patients during gesture planning, which was linked to delusion severity. Thus, the pathophysiology of gesture performance in schizophrenia involves reduced DLPFC impact and limbic interference. These functional alterations may contribute to poor gesture performance, poor social interaction and poor functional outcome in schizophrenia.

**Supplementary Material**

Supplementary data are available at *Schizophrenia Bulletin* online.

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