



# **Paleoceanography**

# RESEARCH ARTICLE

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#### **Kev Points:**

- · Antarctic Intermediate Water northward penetration is controlled by the Atlantic meridional overturning circulation strength
- · Atlantic Intermediate Water becomes deeper and thicker during weaker Atlantic meridional overturning circulation period
- The contradictory tropical Atlantic  $\varepsilon_{\mathrm{Nd}}$ reconstructions are due to the site location and depth and the influence of different water masses

#### **Supporting Information:**

• Supporting Information S1

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**Coherent Response of Antarctic Intermediate Water** and Atlantic Meridional Overturning Circulation **During the Last Deglaciation: Reconciling Contrasting Neodymium Isotope Reconstructions From the Tropical Atlantic** 

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**Abstract** Antarctic Intermediate Water (AAIW) plays important roles in the global climate system and the global ocean nutrient and carbon cycles. However, it is unclear how AAIW responds to global climate changes. In particular, neodymium isotopic composition ( $\varepsilon_{Nd}$ ) reconstructions from different locations from the tropical Atlantic have led to a debate on the relationship between northward penetration of AAIW into the tropical Atlantic and the Atlantic meridional overturning circulation (AMOC) variability during the last deglaciation. We resolve this controversy by studying the transient oceanic evolution during the last deglaciation using a neodymium-enabled ocean model. Our results suggest a coherent response of AAIW and AMOC: when AMOC weakens, the northward penetration and transport of AAIW decrease while its depth and thickness increase. Our study highlights that as part of the return flow of the North Atlantic Deep Water, the northward penetration of AAIW in the Atlantic is determined predominately by AMOC intensity. Moreover, the inconsistency among different tropical Atlantic  $\varepsilon_{Nd}$  reconstructions is reconciled by considering their corresponding core locations and depths, which were influenced by different water masses in the past. The very radiogenic water from the bottom of the Gulf of Mexico and the Caribbean Sea, which was previously overlooked in the interpretations of deglacial  $\varepsilon_{Nd}$  variability, can be transported to shallow layers during active AMOC and modulates  $\varepsilon_{
m Nd}$  in the tropical Atlantic. Changes in the AAIW core depth must also be considered. Thus, interpretation of  $\varepsilon_{Nd}$  reconstructions from the tropical Atlantic is more complicated than suggested in previous studies.

### 1. Introduction

Antarctic Intermediate Water (AAIW) is a key component of the global ocean circulation. Large volume northward flowing AAIW plays an important role in the northward nutrient transport to sustain primary production in the North Atlantic (Palter & Lozier, 2008; Sarmiento et al., 2004). It also contributes to the anthropogenic carbon sink (Gruber et al., 2009; Sabine, 2004) and ocean acidification (Ito et al., 2010; Resplandy et al., 2013). However, how AAIW responds to global climate changes has remained poorly understood. In particular, how AAIW interacts with the Atlantic meridional overturning circulation (AMOC) remains highly controversial. The last deglaciation presents an ideal target to test our understanding of the relation between AAIW and AMOC. Some previous observational studies of the last deglaciation suggested that the northward penetration of AAIW in the tropical Atlantic should be positively correlated with the AMOC strength (Came et al., 2008; Huang et al., 2014; Xie et al., 2012). This positive correlation seems to be consistent with the notion that AAIW, as part of the North Brazil Current (NBC), contributes to the return branch of North Atlantic Deep Water (NADW) (Lumpkin & Speer, 2003; Rintoul, 1991; Schmitz & McCartney, 1993; Zhang et al., 2011). However, other observational studies infer an enhanced AAIW penetration into the tropical

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Atlantic with a collapsed AMOC during the last deglaciation or a negative correlation between the AAIW penetration and AMOC intensity (Pahnke et al., 2008; Rickaby & Elderfield, 2005; Zahn & Stüber, 2002). This negative correlation appears to be consistent with some other modeling studies, which simulate an increased AAIW transport into the North Atlantic in a counterclockwise shallow AAIW cell after the initial collapse of AMOC (Saenko et al., 2003; Stouffer et al., 2007; Weaver et al., 2003). The different relationship between AAIW northward penetration in the Atlantic and the AMOC strength suggests different roles of AAIW in AMOC: a positive correlation implies the AAIW penetration as a subsequent response to the AMOC reorganization while a negative correlation indicates that the AAIW penetration may provide a positive feedback or a trigger for AMOC reorganization as more fresh water is transported to the North Atlantic by AAIW when AMOC is weaker (Pahnke et al., 2008). In addition, understanding the relationship between the AAIW northward penetration in the Atlantic and AMOC also helps to understand the mechanisms of nutrient supply change in low-latitude Atlantic across the deglaciation, which is also under debate (Hendry et al., 2016; Meckler et al., 2013).

In studying the AAIW evolution during the last deglaciation, we will pay particular attention to neodymium (Nd) isotopic composition ( $\varepsilon_{\rm Nd}$ ), which has emerged as a promising quasi-conservative tracer for water masses (Goldstein & Hemming, 2003).  $\varepsilon_{\rm Nd}$  is defined as [( $^{143}$ Nd/ $^{144}$ Nd) $_{\rm sample}$ /( $^{143}$ Nd/ $^{144}$ Nd) $_{\rm CHUR}$  = 1]\*10<sup>4</sup>, where ( $^{143}$ Nd/ $^{144}$ Nd) $_{\rm CHUR}$  is 0.512638, which is the bulk Earth composition defined by the Chondritic Uniform Reservoir (Jacobsen & Wasserburg, 1980). The  $\varepsilon_{\rm Nd}$  exhibits distinct values geographically, with the most radiogenic (highest) values in the North Pacific (0 to -5), intermediate values in the Southern Ocean and the Indian Ocean (-7 to -10), and the least radiogenic (lowest) values in the North Atlantic (-10 to -14). This strong  $\varepsilon_{\rm Nd}$  gradient has motivated using  $\varepsilon_{\rm Nd}$  as a tracer for northern versus southern water mass mixing. Unlike tracers such as  $\delta^{13}$ C and Cd/Ca, which are highly influenced by biological processes in addition to ocean circulation, biological or chemical fractionation of  $\varepsilon_{\rm Nd}$  is negligible (Goldstein & Hemming, 2003). Furthermore,  $\varepsilon_{\rm Nd}$  is relatively insensitive to potential Nd source changes as unrealistically extreme changes in Nd sources are required in the model to produce the magnitude of  $\varepsilon_{\rm Nd}$  changes comparable to reconstructions (Rempfer et al., 2012b). Variations of  $\varepsilon_{\rm Nd}$  are able to reflect the strength of overturning circulation in idealized fresh water hosing experiments (Rempfer et al., 2012a). Therefore,  $\varepsilon_{\rm Nd}$  appears to be an effective tracer for water masses and has been increasingly used in paleoceanographic studies.

In the tropical Atlantic, a more radiogenic  $\varepsilon_{Nd}$  at the AAIW depth would imply a stronger AAIW influence (from the Southern Ocean) with an enhanced AAIW northward penetration, and vice versa, if the end-member  $\varepsilon_{Nd}$  values are stable. Although the North Atlantic water mass  $\varepsilon_{Nd}$  end-member is complicated by NADW source waters, which are distinct in  $\varepsilon_{Nd}$  (van de Flierdt et al., 2016), end-member  $\varepsilon_{Nd}$  of northern-sourced water is suggested to be stable on glacial-interglacial to millennial timescales (Foster et al., 2007; van de Flierdt et al., 2006).  $\varepsilon_{Nd}$  from the southern Brazil margin at intermediate depth also shows no changes across the last deglaciation (Howe et al., 2016). Furthermore, a modeling study (Rempfer et al., 2012a) suggests that the effect of end-member  $\varepsilon_{Nd}$  changes is much smaller than the effect of changes in water mass distribution on the millennial timescale.

The controversy on the relationship between the AMOC intensity and the northward extent of AAIW arises in part from  $\varepsilon_{Nd}$  reconstructions at intermediate depths from the tropical Atlantic, which show two opposite evolution behaviors: from the Last Glacial Maximum (LGM, 22 kyr Before Present, B.P.) to the Heinrich Stadial 1 (HS1, 17.5–14.7 kyr B.P.),  $\varepsilon_{Nd}$  decreases (becomes less radiogenic) in some cores (Huang et al., 2014; Xie et al., 2012) but increases (becomes more radiogenic) in some others (Pahnke et al., 2008). Understanding these opposite responses is critical for understanding the response of AAIW to deglacial AMOC variability.

To better understand the evolution of AAIW and the opposite  $\varepsilon_{\rm Nd}$  changes in different tropical Atlantic records, we performed a transient ocean simulation for the last deglaciation (iPOP2-TRACE) (Zhang et al., 2017) under realistic climate forcings using a Nd-enabled ocean model. We find that the AAIW northward penetration in the tropical Atlantic is dominated by AMOC strength, but interpreting  $\varepsilon_{\rm Nd}$  reconstructions is not as simple as suggested in previous studies because both the AAIW core depth and the influence of radiogenic bottom water from the Gulf of Mexico and the Caribbean Sea respond to variations in AMOC strength, influencing  $\varepsilon_{\rm Nd}$  values in the tropical Atlantic. We describe the Nd implementation and experiments in section 2. We examine the deglacial AAIW evolution in our simulation and the associated physical mechanism in section 3. Section 4 discusses how the inconsistency in  $\varepsilon_{\rm Nd}$  reconstructions can be understood in terms of

the different depth and influence of the radiogenic water from the Gulf of Mexico and the Caribbean Sea. Finally, we summarize our findings in section 5.

# 2. Methods

# 2.1. Nd Implementation

The Nd module is implemented in the ocean model (POP2) of Community Earth System Model (CESM) (Hurrell et al., 2013) following Rempfer et al. (2011). Nd has three sources: riverine input, dust deposition, and boundary source from continental margins. Dust and river sources enter the ocean at the surface ocean while the boundary source enters through the continental margins above 3,000 m. Dust flux is prescribed using a model composite from Mahowald et al. (2005). We use global mean Nd concentration of 20 ug/g in the dust (Goldstein et al., 1984; Grousset et al., 1988, 1998) and 2% of which is released into the ocean (Greaves et al., 1994). River discharge is taken from the coupler of the model instead of being prescribed as in Rempfer et al. (2011). Nd concentration in river discharge is prescribed following Goldstein and Jacobsen (1987), and 70% of the dissolved Nd in rivers is removed in estuaries (Goldstein & Jacobsen, 1987). Nd flux from the continental margins is assumed to be a globally uniform value, and we use  $5.5 \times 10^9$  g/yr for the global total Nd source from the continental margins (Rempfer et al., 2011).  $^{143}$ Nd and  $^{144}$ Nd are simulated separately as two passive tracers, and the fluxes for individual  $^{143}$ Nd and  $^{144}$ Nd are obtained by using prescribed isotopic ratio (IR =  $^{143}$ Nd/ $^{144}$ Nd): IR<sub>dust</sub> is prescribed following Tachikawa et al., (2003) and IR<sub>fiver</sub> and IR<sub>boundary</sub> are prescribed following Jeandel et al. (2007).

The sink of Nd in the ocean is the reversible scavenging process. It describes the adsorption of Nd onto particles (particulate organic carbon (POC), opal, calcium carbonate (CaCO $_3$ ), and dust), settling downward along with these particles, and the desorption from particles due to particle dissolution. In the bottom layer in the water column, if particles still exist, the Nd associated to these particles will be removed from the ocean. The balance between the dissolved Nd ([Nd] $_d$ ) and the particle related Nd ([Nd] $_p$ ) is described by equilibrium scavenging coefficient that is also prescribed following Rempfer et al. (2011). Therefore, the conservation equation for  $^{143}$ Nd and  $^{144}$ Nd is as follows:

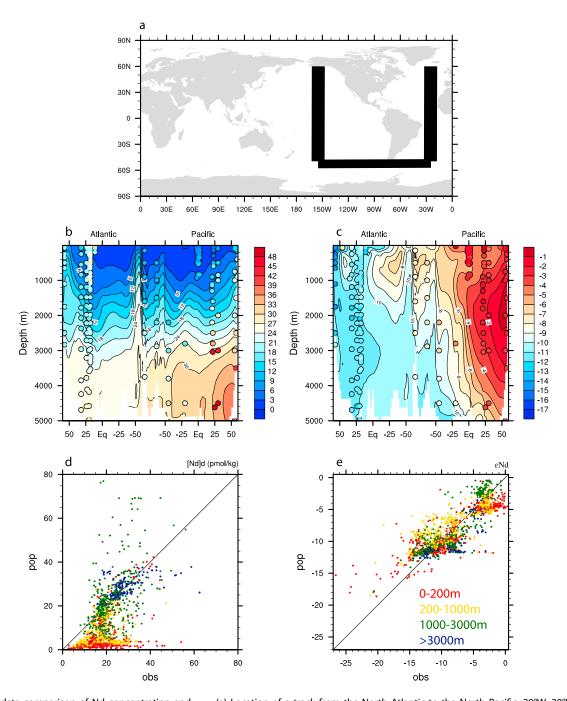
$$\frac{\partial [\mathsf{Nd}]_t^j}{\partial t} = \mathsf{S}_{\mathsf{tot}} - \frac{\partial \left( v \cdot [\mathsf{Nd}]_p^j \right)}{\partial z} + T \left( [\mathsf{Nd}]_t^j \right) (j = 143, 144)$$

The three terms on the right-hand side represent the total sources, the reversible scavenging, and the ocean transport, respectively. The settling velocity of particles, v, is chosen as 1000 m/yr as in Rempfer et al. (2011). Detailed description and parameterization are given in Rempfer et al. (2011). Our Nd module is not coupled with a marine biogeochemical model. We use export production of POC, opal, and CaCO<sub>3</sub> from the biogeochemical component from the Bern3D model and prescribe the remineralization profile following Rempfer et al. (2011). Overall, our Nd concentration and  $\varepsilon_{\rm Nd}$  capture the major features in the observations (in section 2.2).

## 2.2. Nd Module Validation

Our Nd-enabled CESM can simulate the global distribution of both Nd concentration and  $\varepsilon_{\rm Nd}$  reasonably well under present-day climate forcing. We first run a present-day control experiment (CTRL) forced by 1948–2007 atmospheric data from Coordinated Ocean-ice Reference Experiments (Large & Yeager, 2008). Nd concentrations (both <sup>143</sup>Nd and <sup>144</sup>Nd) were initialized from zero. CTRL has been integrated for more than 4,000 model years until the Nd inventory has reached equilibrium. The Nd global inventory in CTRL is  $3.64 \times 10^{12}$  g, which is comparable to the observational estimates of  $4.2 \times 10^{12}$  g (Tachikawa et al., 2003). The mean residence time is 508 years, which is in the range reported previously (Tachikawa et al., 2003). Both simulated Nd concentration and  $\varepsilon_{\rm Nd}$  in CTRL are also in reasonable agreement with a compilation of available observations (van de Flierdt et al., 2016) (Figures 1, 2, and S1 in the supporting information) as discussed below.

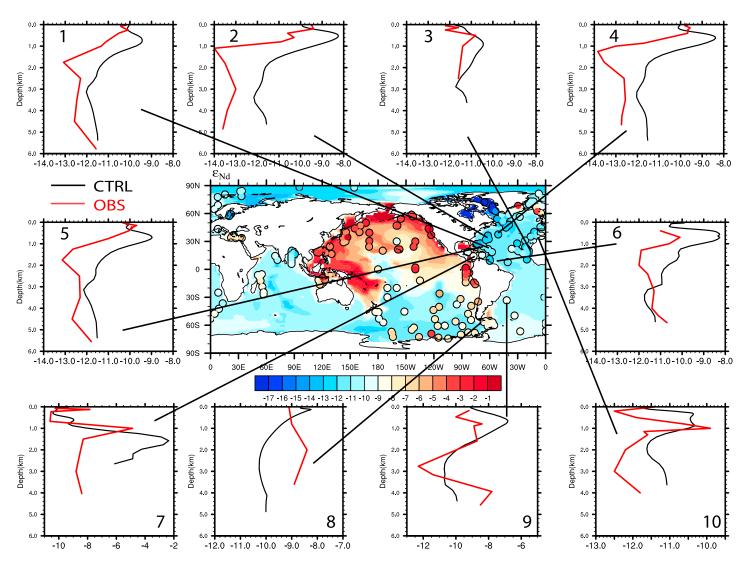
Our model can simulate 64% of the Nd concentration observational points within  $\pm 10$  pmol/kg (70% in Rempfer et al., 2011) and 83% of the  $\varepsilon_{Nd}$  observational points with  $\pm 3$   $\varepsilon_{Nd}$  unit (83% in Rempfer et al., 2011). Nd concentration in CTRL captures the general feature of increasing with depth and also increasing along with the circulation pathway, consistent with observations (Figures 1b and S1). Similar to observations (Goldstein & Hemming, 2003),  $\varepsilon_{Nd}$  values exhibit an interbasin gradient as the North Pacific has the most



**Figure 1.** Model-data comparison of Nd concentration and  $ε_{Nd}$ . (a) Location of a track from the North Atlantic to the North Pacific: 20°W–30°W in the Atlantic, 54°S–56°S in the Southern Ocean, and 150°W–160°W in the Pacific. (b) Nd concentration (pmol/kg)([Nd]<sub>d</sub>) along the track. (c)  $ε_{Nd}$  along the track. Color contours are model results, and observations are attached as filled cycles using the same color map in Figures 1b and 1c. (d) Scatterplot of model and observational Nd concentration. (e) Scatterplot of model  $ε_{Nd}$  and observational  $ε_{Nd}$ . Colors in Figures 1d and 1e indicated different depth range: 0–200 m (red), 200 m–1,000 m (yellow), 1,000 m–3,000 m (green), and deeper than 3,000 m (blue).

radiogenic  $\varepsilon_{\rm Nd}$  values, the North Atlantic has the least radiogenic values, and the Indian and Southern Oceans have intermediate values (Figures 1c and 2). The linear regression coefficient between model  $\varepsilon_{\rm Nd}$  and observational  $\varepsilon_{\rm Nd}$  is 0.67 ( $r^2=0.7$ , N=1699).

Since our study focuses on the Atlantic basin, especially the tropical Atlantic, we show several  $\varepsilon_{Nd}$  vertical profiles in the Atlantic (Figure 2). Overall, our model can simulate the vertical structure of  $\varepsilon_{Nd}$ , indicating the influences of water mass from different origins. For example, the zigzag pattern in observations



**Figure 2.** Comparison of  $\varepsilon_{Nd}$  fields between model and observation. (a) Global map of  $\varepsilon_{Nd}$  at the sea floor from the equilibrium state in CTRL. Observations (van de Flierdt et al., 2016) are superimposed as filled circles, using the same color scale. Selected vertical profiles, focusing on tropical Atlantic, show observed (red) and simulated (black)  $\varepsilon_{Nd}$  values.

(Goldstein & Hemming, 2003) is successfully simulated in our model (Figure 2 profiles 9 and 10), as AAIW and Antarctic Bottom Water (AABW) carry radiogenic  $\varepsilon_{Nd}$  northward and NADW carries unradiogenic  $\varepsilon_{Nd}$  southward. In particular, our model successfully captures the relative magnitude among different water masses, suggesting it can be used to study the relative changes of different water masses during the deglaciation. Another important feature is that our model is able to simulate the very radiogenic water from the Caribbean Sea (Figure 2 profile 7) (Osborne et al., 2014). This turns out to be an important water mass that is the source of some of the discrepancies in the  $\varepsilon_{Nd}$  reconstructions, as will be discussed later in section 4.

In spite of the overall agreement of the model simulation and the observations, there are also some deficiencies in the model. The Nd concentration at shallow depth is lower in the model than in observations, and the vertical gradient is larger in the model than the observations (Figures 1b, 1d, and S1), as in the case of Rempfer et al. (2011). These deficiencies in simulating surface Nd is due partly to our choice of model parameters that optimize  $\varepsilon_{Nd}$  instead of Nd, as in Rempfer et al. (2011). With extensive sensitivity experiments, Rempfer et al. (2011) show that it is impossible to optimize the simulation for both Nd concentration and  $\varepsilon_{Nd}$  simultaneously. They chose the parameters that yield the best  $\varepsilon_{Nd}$  simulation, since  $\varepsilon_{Nd}$  is the proxy used for reconstructing past circulation. These parameter values are also used in our model setting. Overall, our model can simulate the major  $\varepsilon_{Nd}$  features of the main water masses over both global scale and local

scale of the tropical Atlantic and therefore should help us interpret  $\varepsilon_{\rm Nd}$  reconstructions in the tropical Atlantic in the past.

#### 2.3. Transient Deglacial Simulation

The transient simulation (iPOP2-TRACE) is carried out using Nd-enabled ocean-alone model CESM-POP2 to simulate the global ocean evolution from the LGM (21 ka) to the late Bølling-Allerød Interstadial (13 ka) under realistic surface forcings. The model was first spun up under LGM condition and then integrated to the present under surface climate forcing taken from a transient simulation in a fully coupled climate model (TRACE21k, using CCSM3), which reproduced many features in last deglaciation (He, 2011; Liu et al., 2009). The horizontal resolution is nominally 3°, and it has 60 vertical layers with a 10 m resolution in the upper 200 m, increasing to 250 m below 3000 m. Detailed experiment descriptions are described in Zhang et al. (2017).

We keep Nd sources and  $\varepsilon_{\rm Nd}$  in Nd sources unchanged during the deglacial simulation iPOP2-TRACE. Surface dust flux and origin (Grousset et al., 1998; Lupker et al., 2010; Wolff et al., 2006) and river runoff magnitude and origin (Burton & Vance, 2000; Harris & Mix, 1999; Lézine et al., 2005; Nurnberg & Tiedemann, 2004; Rincon-Martinez et al., 2010; Stoll et al., 2007) were reported to be changing throughout time. The boundary source of Nd is not well constrained (Amakawa et al., 2000; Johannesson & Burdige, 2007; Rickli et al., 2010); therefore, it is hard to estimate the change in the past, although it is highly likely to happen due to changes in different processes such as groundwater discharge (Johannesson & Burdige, 2007; Zektser & Loaiciga, 1993) and continental erosion (Tütken et al., 2002). Results from a modeling study suggest that changes in the sources are unlikely to be important, as the magnitude of the reconstructed glacial-deglacial  $\varepsilon_{\rm Nd}$  variations is hard to obtain by only changing the Nd sources and/or  $\varepsilon_{\rm Nd}$  in Nd sources (Rempfer et al., 2012b). We also keep the particle fields as the present, with no change throughout the simulation. This choice, although is not very realistic (Kohfeld et al., 2005), is limited by our model capability that is not fully coupled with a marine ecosystem model. This limitation will be addressed in a future study when an active marine ecosystem model is enabled. Here our simplified model has the advantage that the change of the ocean circulation is the only factor that affects  $\varepsilon_{\rm Nd}$  distribution, enabling us to focus on the influence of ocean circulation.

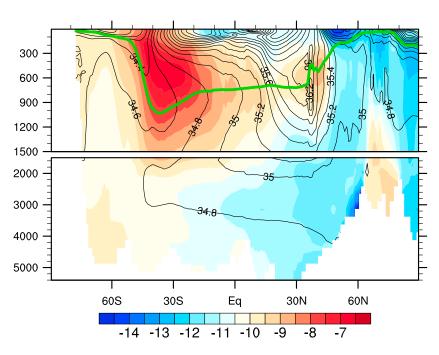
# 3. Coherent AAIW Response and AMOC Strength

# 3.1. Reduced AAIW Northward Penetration but Increased Depth and Thickness of AAIW Water Mass During Weaker AMOC

In the modern ocean, AAIW can be identified by a low salinity (or radiogenic  $\varepsilon_{\rm Nd}$ ) tongue originating from the subantarctic surface ocean extending northward at the intermediate depth (Talley, 1996) (Figure 3). Here consistent with convention, we define  $\sigma_{\rm AAIW}$  as the potential density at the salinity minimum point in the South Atlantic mean potential temperature-salinity ( $\theta$ -S) diagrams. For convenience, the AAIW depth is defined as the zonal mean depth of  $\sigma_{\rm AAIW}$  at the equatorial Atlantic. The AAIW  $\varepsilon_{\rm Nd}$  is defined as the zonal mean  $\varepsilon_{\rm Nd}$  value at  $\sigma_{\rm AAIW}$  (or AAIW depth) at the equatorial Atlantic. The  $\sigma_{\rm AAIW}$  in CTRL is 27.36 kg/m³, which is comparable to the observation value of 27.3 kg/m³ (Talley, 1996). The isopycnal line of  $\sigma_{\rm AAIW}$  is also consistent with the low salinity and the high  $\varepsilon_{\rm Nd}$  tongue in the Atlantic (Figure 3, green line), suggesting that this is a good approximation for the location of AAIW core layer. The AAIW depth in CTRL is 778 m, which is also in the range of modern observations (Talley, 1996).

iPOP2-TRACE simulates the key oceanic changes during the last deglaciation. The simulated AMOC collapses during HS1 in response to freshwater forcing in the North Atlantic and then recovers rapidly in the Bølling-Allerød warming (BA, ~14.5 kyr B.P.) (Figure 4b, black), consistent with <sup>231</sup>Pa/<sup>230</sup>Th records from Bermuda Rise (McManus et al., 2004) (Figure 4b, green) and the original coupled model simulation (Liu et al., 2009).

In iPOP2-TRACE, the northward penetration of AAIW in the Atlantic is closely linked to the change of AMOC. During LGM and HS1,  $\sigma_{AAIW}$  surface also tends to follow the low salinity, or the radiogenic  $\varepsilon_{Nd}$ , tongue of AAIW (green lines in Figures 5c and 5d), as in CTRL. To better quantify the northward penetration of AAIW in the Atlantic, we estimate the AAIW northward penetration latitude using Atlantic zonal mean  $\varepsilon_{Nd}$ : we first calculate the maximum  $\varepsilon_{Nd}$  value in the South Atlantic above 1,200 m, then we find the latitude that  $\varepsilon_{Nd}$  value of 1.3  $\varepsilon_{Nd}$  unit less than the maximum can reach above 1,200 m. The AAIW northward extent varies over an approximately 15° latitude range during the deglaciation (Figure 4c, blue dots), with a high positive correlation with the AMOC intensity (Figure 4b, black). AAIW in the Atlantic reaches 2°N during the LGM and



**Figure 3.** Atlantic zonal mean  $\varepsilon_{Nd}$  (color shading) and salinity (black contour) from CTRL. The green line is the isopycnal line of  $\sigma_{AAIW}$ .

withdraws southward after 19 ka, when the AMOC starts to decrease in response to the meltwater input in the North Atlantic. By late HS1, the AAIW retreats to its southernmost latitude of 17°S, followed by a rapid intrusion during the BA to 1°N, in response to the AMOC recovery. This HS1 southward retreat of the AAIW tongue is also obvious in the Atlantic zonal mean salinity or  $\varepsilon_{Nd}$  (Figures 5c and 5d) and the horizontal distribution of  $\varepsilon_{Nd}$  at  $\sigma_{AAIW}$  surface (Figures 5e and 5f).

Physically, the change of latitudinal extent is also consistent with that of the cross-equator transport of the AAIW (Figure 4b, red), which is defined as the northward transport between the isopycnal surfaces of  $\sigma_{\text{AAIW}} \pm 0.5$ , and more generally, the subsurface component of the NBC, in the model. The AAIW transport is reduced during the HS1 and increased again during the BA, also following AMOC (Nace et al., 2014). This result is insensitive to the choice of density interval (d), between  $\sigma_{\text{AAIW}} - d$  and  $\sigma_{\text{AAIW}} + d$ , because similar results are produced with density intervals (d) ranging from 0.1 to 0.4 (Figure S2).

The equatorial Atlantic  $\varepsilon_{\mathrm{Nd}}$  at AAIW depth (AAIW  $\varepsilon_{\mathrm{Nd}}$ ) also varies closely with AAIW northward penetration, as hypothesized in previous  $\varepsilon_{\mathrm{Nd}}$  reconstructions (Huang et al., 2014; Pahnke et al., 2008; Xie et al., 2012). Our model shows an almost linear relationship between the equatorial AAIW  $\varepsilon_{\mathrm{Nd}}$  (Figure 4d, solid black, which follows  $\sigma_{\mathrm{AAIW}}$  and varies with depth) and the northward penetration latitude of AAIW (Figure 4c, navy dot), with decreased  $\varepsilon_{\mathrm{Nd}}$  during HS1 and its subsequent increase during BA corresponding to the southward withdraw and the subsequent northward readvance in the penetration latitude, respectively. In the model, we calculate the  $\varepsilon_{\mathrm{Nd}}$  of the AAIW southern end-member, which is the average  $\varepsilon_{\mathrm{Nd}}$  in the AAIW production region. It remains unchanged at -8.3 during the deglaciation prior to BA and shifts abruptly to -9.1 during BA due to the quick AMOC recovery during BA, which brings unradiogenic  $\varepsilon_{\mathrm{Nd}}$  water from the North Atlantic to the Southern Ocean. The evolution of the  $\varepsilon_{\mathrm{Nd}}$  difference between the equatorial Atlantic and its southern endmember (Figure 4d, red) is similar to the evolution of the  $\varepsilon_{\mathrm{Nd}}$  in the equatorial Atlantic (Figure 4d, solid black). Therefore,  $\varepsilon_{\mathrm{Nd}}$  in the equatorial Atlantic at AAIW depth can indeed be used as an indicator for AAIW northward penetration in the Atlantic.

Another important feature of AAIW is that its depth changes significantly during the last deglaciation in iPOP2-TRACE. The AAIW depth is also closely linked to the AMOC evolution, deepening from around 230 m during the LGM to around 670 m during HS1, shoaling back to 240 m during BA (Figure 4c, red), and deepening again slowly to ~530 m in the Holocene (Figure 4c, triangle on right *Y* axis), which is consistent with present-day observations (Talley, 1996). This deepening of AAIW from LGM to HS1

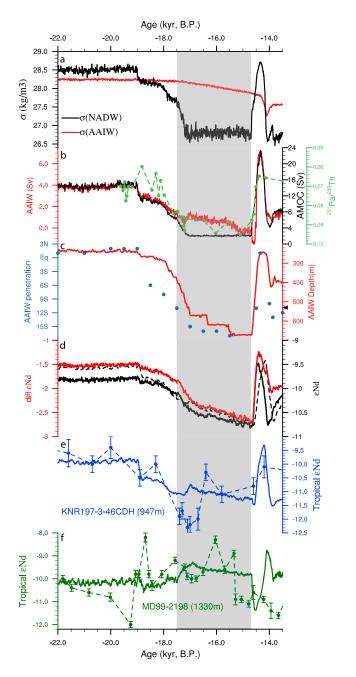


Figure 4. Evolution during the last deglaciation in reconstructions and iPOP2-TRACE. (a) Winter surface density in NADW (black) and AAIW (red) production region. (b) Model maximum AMOC transport (under 500 m) in iPOP2-TRACE (black), sedimentary <sup>231</sup>Pa/<sup>230</sup>Th record of OCE326-5GGC (McManus et al., 2004) (dashed green), and AAIW transport that is defined as the meridional transport at equatorial Atlantic of layers between  $(\sigma_{AAIW} - 0.5)$  and  $(\sigma_{AAIW} + 0.5)$  (red). (c) Estimation of AAIW northward penetration latitude (navy dots). AAIW depth at equatorial Atlantic (red). Black triangle on the right of Y axis indicates the late Holocene AAIW depth. (d) Zonal mean AAIW  $\varepsilon_{Nd}$  value at equatorial Atlantic (solid black), the difference between AAIW  $\varepsilon_{\rm Nd}$  value at equatorial Atlantic and AAIW endmember  $\varepsilon_{\mathrm{Nd}}$  value (red) and  $\varepsilon_{\mathrm{Nd}}$  value at 1,000 m at western boundary equatorial Atlantic (dashed black). (e)  $\varepsilon_{
m Nd}$  reconstruction in Demerara Rise (dashed navy) and  $\varepsilon_{Nd}$  evolution at this location in iPOP2-TRACE (solid navy). (f)  $\epsilon_{Nd}$  records from Tobago Basin (dashed green) and  $\epsilon_{Nd}$  evolution at this location in iPOP2-TRACE (solid green). HS1 is indicated by grey shading.

has been illustrated in previous modeling studies (e.g., Vallis, 2000; Wolfe & Cessi, 2010). When the surface density in the source region of NADW is between the surface density in the source region of AAIW and AABW ( $\sigma_{AAIW} < \sigma_{NADW} < \sigma_{AABW}$ ), which is the case during LGM in our simulation (Figure 4a), NADW fills the middepth and AAIW is shallow and partially entrained in the main thermocline. However, when the surface density in the source region of NADW is less than AAIW, which is the case during HS1 in our simulation, as no NADW is produced due to the melt water input to the North Atlantic (Figure 4a), AAIW fills the middepth between abyssal and main thermocline. Therefore, AAIW becomes deeper and thicker during HS1. In addition, this magnitude of deepening of middepth water during HS1 has also been suggested by the deglacial atmospheric radiocarbon decline (Hain et al., 2014). Finally, the Holocene deepening compared with the glacial period may be caused partly by the sea ice retreat in the Southern Ocean (Ferrari et al., 2014).

The depth change of AAIW core layer may also contribute to  $\varepsilon_{\rm Nd}$  change at a fixed depth. As the AAIW deepens, any site above (below) AAIW core layer would experience a less (more) radiogenic  $\varepsilon_{\rm Nd}$  shift, which may complicate the interpretation of  $\varepsilon_{\rm Nd}$  evolution as AAIW northward penetration. However, the  $\varepsilon_{\rm Nd}$  in the western boundary of equatorial Atlantic shows a change of about 1 unit  $\varepsilon_{\rm Nd}$  change from the LGM to the HS1 at a fixed intermediate depth of 1,000 m (Figure 4d, black dash) (similar to 500 m and 800 m, not shown), and this change at a fixed depth is comparable with the  $\varepsilon_{\rm Nd}$  change at the AAIW core depth that changes with time (Figure 4d, black solid). Therefore, the  $\varepsilon_{\rm Nd}$  change from the tropical Atlantic is dominated by the change in the AAIW northward penetration change rather than AAIW depth change.

Overall, our model shows a coherent response between the AMOC intensity and the AAIW northward penetration latitude, northward transport, AAIW  $\varepsilon_{\rm Nd}$  value, and AAIW depth in iPOP2-TRACE. These relationships are robust in the model and have been reproduced in several idealized hosing experiments (Figures S4 and S5). Our simulation is also consistent with a climate model of intermediate complexity (Rempfer et al., 2012a, their Figure 12a), where the zonal mean  $\varepsilon_{\rm Nd}$  becomes more radiogenic with a maximum increases of 4  $\varepsilon_{\rm Nd}$  units in the upper 1,200 m of the equatorial Atlantic and decreases at greater depths for a transitions from an NADW-on state to an NADW-off state.

### 3.2. Mechanism

How does a weaker AMOC reduce the AAIW northward penetration in the Atlantic? Intuitively, one might think the northward penetration of AAIW is determined mainly by its production rate: a larger AAIW production rate would favor a stronger northward penetration toward the North Atlantic. This is not the case in iPOP2-TRACE: AAIW northward penetration is not controlled by upstream AAIW production. We compare the AAIW subduction rate, which is the subduction across the base of the ocean mixed layer in the South Atlantic AAIW formation region (Goes et al., 2008). The AAIW subduction rate is 4.6 sverdrup (Sv,  $10^6 \, \mathrm{m}^3/\mathrm{s}$ ) during LGM and 6.0 Sv during HS1 in iPOP2-TRACE, indicating the upstream AAIW production during HS1 is not lower but even higher. This stronger HS1 AAIW production rate during HS1 also occurs in the fully coupled experiment TRACE21k, which shows a subduction rate of

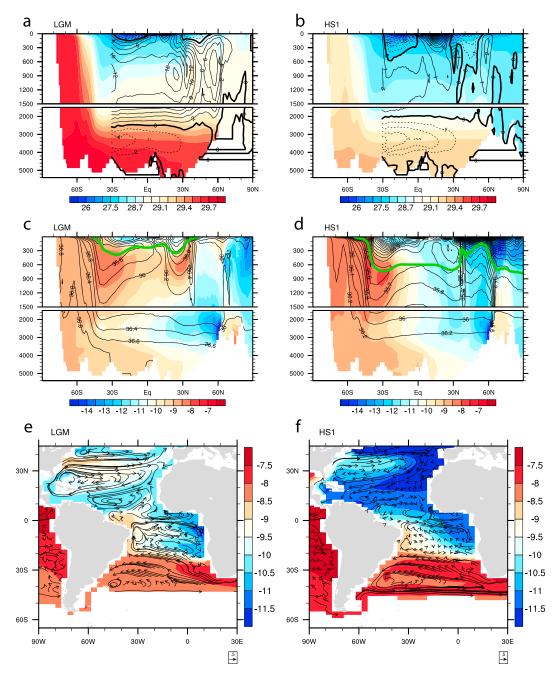
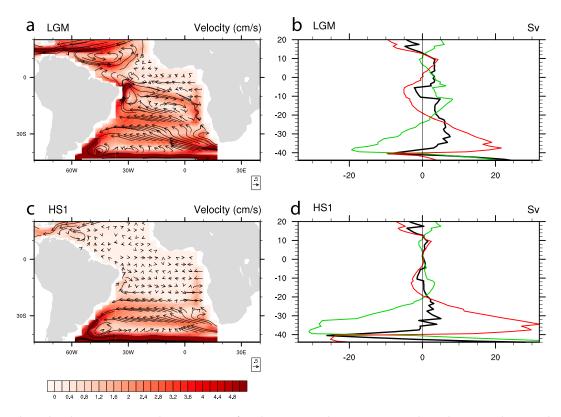


Figure 5. Comparison between LGM (20 kyr B.P.) and HS1 (16 kyr B.P.) in iPOP2-TRACE experiment. Atlantic overturning stream function (black contour) and Atlantic zonal mean potential density (color shading) during (a) LGM and (b) HS1. Atlantic zonal mean  $ε_{Nd}$  (color shading), salinity (black contour), and isopycnal line for  $σ_{AAIW}$  (green line) at (c) LGM and (d) HS1. Circulation (vectors) and  $ε_{Nd}$  (color) at  $σ_{AAIW}$  surface: (e) LGM and (f) HS1.

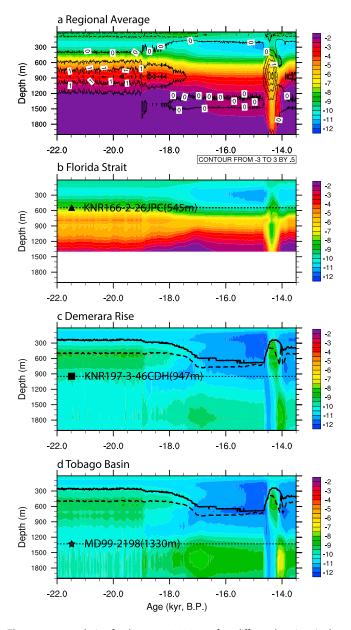
16 Sv during the LGM (consistent with Wainer et al., 2012) and 19 Sv during HS1, although the overall magnitudes of the subduction rates are different. The relatively smaller magnitude of AAIW subduction in the ocean-alone simulation (iPOP2-TRACE) compared to in the fully coupled simulation (TRACE21k) is because the AAIW subduction rate depends on the mixed layer depth, which is much smaller in iPOP2-TRACE than in TRACE21k, probably because that iPOP2-TRACE is forced by monthly atmospheric forcings, in which the high-frequency signals are filtered out. Regardless of these differences, the results from both simulations indicate that the retreat of AAIW northward penetration during HS1 cannot be caused by AAIW formation in the Southern Ocean.



**Figure 6.** Velocity and meridional transport at annual mean  $\sigma_{AAIW}$  surface during LGM and HS1. (a) Vectors indicate direction and magnitude of (u,v) (cm/s), and color indicates magnitude (cm/s) during LGM. (b) Meridional transport (Sv) at different latitudes during LGM, green for western boundary transport, red for interior, and black for total transport. (c and d) Same during HS1.

Since the meltwater flux to the North Atlantic can reverse the density contrast between AAIW and NADW such that AAIW becomes heavier than NADW, it could encourage the northward penetration of AAIW and the southward compensating flow from the North Atlantic above AAIW, forming a reversed counterclockwise shallow overturning cell that circulates in the opposite direction to the modern AMOC (Keeling & Stephens, 2001; Saenko et al., 2003; Weaver et al., 2003). In our model, the higher surface density in the NADW formation region during LGM ( $\sigma_{\rm NADW} = 28.5 \ {\rm kg/m^3} > \sigma_{\rm AAIW} = 28.2 \ {\rm kg/m^3}$ ) is indeed reduced to lower than that of AAIW during HS1 ( $\sigma_{\rm AAIW} = 28.0 \ {\rm kg/m^3} > \sigma_{\rm NADW} = 26.8 \ {\rm kg/m^3}$ ) (Figure 4a). However, no reversed AAIW cell is generated (Figure 5b). The detailed mechanism of the reversed AAIW cell remains to be fully understood in future studies. Here we note that, during LGM, the AAIW lies above NADW, contributing to the return flow of NADW as in modern observations (Lumpkin & Speer, 2003); in response to the freshwater input during HS1, the southward export of NADW at depth collapses, which then reduces the compensating flow in the upper ocean, including AAIW. As such, the AAIW retreats to south of the equator during HS1 (Figures 5b, 5d, and 5f). This response is consistent with the present-day observational (Zhang et al., 2011) and modeling studies of the multidecadal variability of the NBC, which is found to be determined predominantly by the changes of the AMOC and NADW formation (Rühs & Getzlaff, 2015).

Our study suggests a remote dynamical control on the AAIW northward penetration from the North Atlantic, as opposed to a local control of AAIW production and transport from the Southern Ocean. Typically, the AAIW is transported northward first through the southern subtropical gyre circulation and then across the equator by the western boundary current, as in modern observations (Schmid et al., 2000). During the LGM, the AAIW flows northwestward to  $\sim$ 20°S in a broad interior pathway, following the counterclockwise subtropical gyre in the South Atlantic at intermediate depth (Figure 6a); most of the AAIW water, however, recirculates back through the southward Brazil Current along the western boundary (Figure 6b). A small residual of AAIW advances beyond 20°S northward along the western boundary into the tropical Atlantic; this part of AAIW then crosses the equator as a part of the subsurface component of the NBC along the western boundary, generating a low salinity/high  $\varepsilon_{\rm Nd}$  tongue there. The AAIW penetrates across the equator only in the



**Figure 7.**  $ε_{\rm Nd}$  evolution for the upper 2,000 m at four different locations in thetropical North Atlantic sites. (a) Area average from Gulf of Mexico and Caribbean Sea (15°N–30°N, 85°W–100°W). Black contours are vertical velocity in 10 $^{-4}$  cm/s. (b) (24.33°N, 83.25°W), which is the horizontal location for site KNR166-2-26JPC in Florida Strait. (c) (7.84°N, 53.66°W), which is the horizontal location for site KNR197-3-46CDH in Demerara Rise and (d) (12.09°N, 61.23°W), which is the horizontal location for site MD99-2198 in Tobago Basin. The depth of each core is indicated by a thin black dash line with filled symbol: KNR166-2-26JPC (triangle), KNR197-3-46CDH (square), and MD99-2198 (star). The depth of  $σ_{\rm AAIW}$  is indicated by thick black lines in Figures 7c and 7d:  $σ_{\rm AAIW}$  by salinity (solid line, defined in text) and  $σ_{\rm AAIW}$  by  $ε_{\rm Nd}$  (dash line, defined as average of potential density where  $ε_{\rm Nd}$  reaches maximum vertically Atlantic average from 40°S to equator). The maximum  $ε_{\rm Nd}$  tongue is shifted slightly deeper in the minimum salinity tongue, because of the reversible scavenging by settling particles (Rempfer et al., 2011).

western boundary current because cross-equator penetration is largely prohibited in the interior ocean due to the conservation of potential vorticity (McCreary & Lu, 2001). During HS1, there is little AAIW transported across the equator (Figure 6d), confining the low salinity/high  $\varepsilon_{Nd}$  tongue south of the equator (Figure 5d). Upstream in the subantarctic South Atlantic, however, the northward transport of AAIW is actually increased relative to the LGM (Figures 6b and 6d); this increased AAIW transport is returned southward almost entirely in the Brazil Current, leaving little AAIW penetrating into the equatorial Atlantic (Figure 6d). Thus, the deglacial evolution of the AAIW penetration to the tropical Atlantic appears to be determined predominantly by the remote processes in the North Atlantic, rather than by the local forcing in the South Atlantic subantarctic region. This remote control of AAIW in the Atlantic is similar to that in the Pacific, where the cross-equator penetration of AAIW is caused predominantly by the opening of the Indonesia Throughflow, rather than the climate forcing in the South Pacific subantarctic region (McCreary & Lu, 2001). We also did an idealized hosing experiment (not shown), in which constant fresh water forcing of 1 Sv is added to North Atlantic for the first 100 years and then removed. It shows similar equatorial  $\varepsilon_{Nd}$  response as in iPOP2-TRACE, and  $\varepsilon_{Nd}$  lags AMOC change for 30–40 years.

# 4. Reconciling $\varepsilon_{\mathrm{Nd}}$ Reconstructions Controversy With Core Depth

As noted above, available tropical  $\varepsilon_{Nd}$  reconstructions show contradictory  $arepsilon_{
m Nd}$  evolutions across the last deglaciation. The  $arepsilon_{
m Nd}$  reconstruction from the Tobago Basin (MD99-2198, 12.09°N, 61.23°W, 1330 m) (Pahnke et al., 2008) shows an increase (becomes more radiogenic) during the HS1 (Figure 4f), which was interpreted as enhanced northward advection of AAIW. However,  $\varepsilon_{Nd}$  records from the Florida Strait (KNR166-2-26JPC, 24°19.62'N, 83°15.14'W, 546 m) (Xie et al., 2012) (Figure S3c) and the Demerara Rise (KNR197-3-46CDH, 7.836°N, 53.663°W, 947 m) (Huang et al., 2014) (Figure 4e) show decreases (become less radiogenic) during the HS1 and were interpreted to indicate decreased penetration of AAIW into tropical North Atlantic. The controversy may be due to deficiencies of each data site. On the one hand, it was argued that MD99-2198 lies beneath the modern AAIW depth range and fails to record the AAIW northward penetration signals (Xie et al., 2012). On the other hand, present-day hydrographic data from the Gulf of Mexico show a much warmer and saltier water mass than AAIW, suggesting that if any AAIW has arrived at this site, it has already been modified by other water masses. Therefore, site KNR166-2-26JPC from the Florida Strait has been suggested not ideally situated to record the deglacial AAIW changes (Osborne et al., 2014; Pena et al., 2013).

Our model reproduces the  $\varepsilon_{\rm Nd}$  evolutions at different sites from intermediate depth. The  $\varepsilon_{\rm Nd}$  from the Demerara Rise (~950 m) (Figures 4e and S3a and S3b) and from the Florida Strait (~540 m) (Figure S3c) exhibits less radiogenic excursion during HS1, while  $\varepsilon_{\rm Nd}$  from the Tobago Basin (~1,330 m) shows a more radiogenic shift during HS1 (Figure 4f). Our model is able to simulate the diverse  $\varepsilon_{\rm Nd}$  evolutions consistent with the reconstructions at these three tropical North Atlantic sites and suggests

that the opposite  $\varepsilon_{Nd}$  evolutions at these locations are physically consistent with a common deglacial ocean circulation change. The interpretation, however, is more complex than suggested in previous

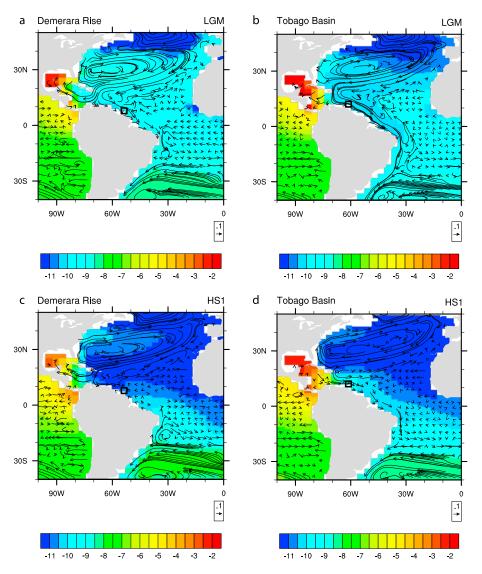
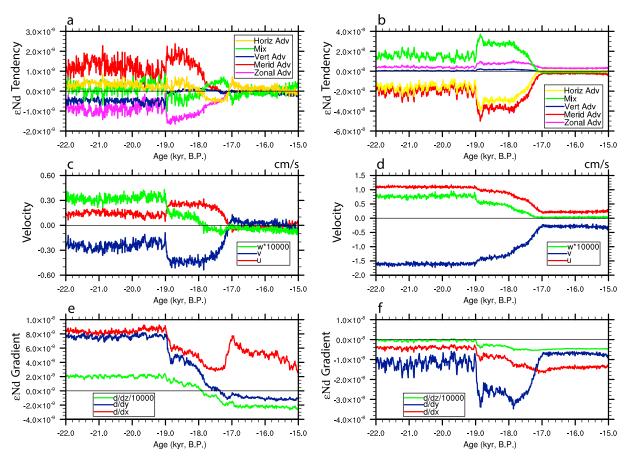


Figure 8. Ocean current (vector) and  $\varepsilon_{Nd}$  (color) at the depth of (a and c) KNR197-3-46CDH (947 m) and (b and d) MD99-2198 (1330 m) during LGM and HS1. The location of each site is indicated by a black box.

studies because it involves both the change of the AAIW depth and the radiogenic water from the Gulf of Mexico and the Caribbean Sea, as discussed below.

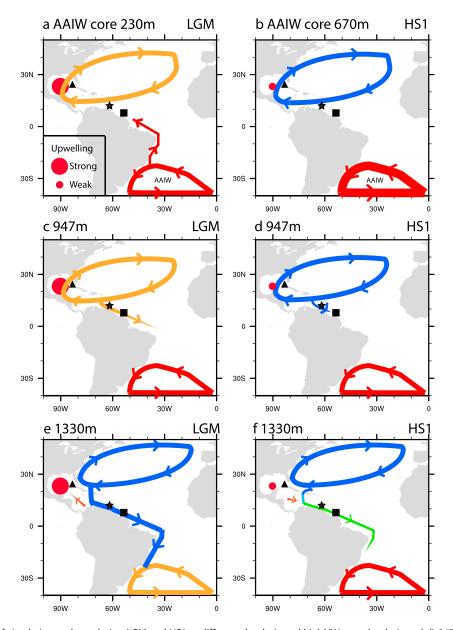
Our model simulation shows that the less radiogenic shift of  $\varepsilon_{\rm Nd}$  from the Florida Strait site (KNR166-2-26JPC) during HS1 (Xie et al., 2012) is due to the reduced influence of the radiogenic water from the bottom in the Gulf of Mexico and the Caribbean Sea. Deep water from the Gulf of Mexico and the Caribbean Sea features very radiogenic  $\varepsilon_{\rm Nd}$  sources from boundary exchange as discussed in section 2.2 (Jeandel et al., 2007; Osborne et al., 2014). During the LGM, active AMOC drives strong upwelling in this region (Figure 7a, black contour), which, in turn, influences the shallow layers with very radiogenic  $\varepsilon_{\rm Nd}$  water in this region and the nearby open ocean in the subtropical North Atlantic. The influence of this regional radiogenic  $\varepsilon_{\rm Nd}$  source can also be seen in the Atlantic zonal mean  $\varepsilon_{\rm Nd}$  as a high  $\varepsilon_{\rm Nd}$  center located at 600 m–900 m from 20°N to 40°N (Figure 5c) (also in Figure 3 in modern CTRL). During HS1, however, this radiogenic  $\varepsilon_{\rm Nd}$  bottom water is trapped in the bottom locally because of reduced upwelling (Figure 7a, black contour). This leads to a great reduction in the transport of radiogenic  $\varepsilon_{\rm Nd}$  water from bottom to shallow layers and, therefore, an unradiogenic  $\varepsilon_{\rm Nd}$  shift in the upper 1,500 m in the Gulf of Mexico and the Caribbean Sea (Figure 7a, color contour) and, eventually, in the upper 1,000 m in subtropical North Atlantic as there is no more a radiogenic  $\varepsilon_{\rm Nd}$  center in subtropical North Atlantic in the zonal mean  $\varepsilon_{\rm Nd}$ 



**Figure 9.**  $ε_{Nd}$  tracer budget analysis for site (a, c, and e) KNR197-3-46CDH and (b, d, and f) MD99-2198. Time series of  $ε_{Nd}$  tendency terms: zonal advection (magenta), meridional advection (red), horizontal advection (zonal advection + meridional advection) (yellow), vertical advection (navy), and mixing (green) (Figures 9a and 9b). Evolution of velocity: zonal velocity (u) (red), meridional velocity (v) (navy), and vertical velocity multiplied by 10<sup>4</sup> (w) (green) (Figures 9c and 9d).  $ε_{Nd}$  gradient: zonal gradient (red), meridional gradient (navy), and vertical gradient (green) (Figures 9e and 9f).

(Figure 5d). Furthermore, the  $\varepsilon_{Nd}$  from the Florida Strait site is dominated by radiogenic horizontal advection (Figure S7a) by an eastward flow from the Gulf of Mexico (Figure S7b).  $\varepsilon_{Nd}$  at this site experiences an unradiogenic shift during HS1 because with reduced input of deep radiogenic waters, the upper ocean in the Gulf of Mexico becomes less radiogenic and, at the same time, the eastward flow also becomes weaker (Figure S7b). Thus,  $\varepsilon_{Nd}$  variations in the Florida Strait are not due to variations in AAIW as previously suggested (Xie et al., 2012). Overall, the relationship between the weakened AMOC and the weakened influence from the regional radiogenic  $\varepsilon_{Nd}$  from the Gulf of Mexico and the Caribbean Sea is also robust in our idealized hosing experiment (Figures S5c and S5d), although detailed dynamics that relates the weakened AMOC and the reduced upwelling in the Gulf of Mexico and Caribbean Sea remains to be further studied.

Our model simulation further suggests that the opposite  $\varepsilon_{Nd}$  behaviors at two nearby sites from the Demerara Rise and the Tobago Basin discussed above are caused by the different depths of the sediment cores as well as the influence of radiogenic  $\varepsilon_{Nd}$  water from the Caribbean Sea. Both locations experience similar  $\varepsilon_{Nd}$  change in the upper 2,000 m (Figures 7c and 7d). During the LGM, the Demerara Rise site is located in the lower limb of AMOC (as shown in southward meridional velocity in Figures 8a and 9c), with water transported from the subtropical North Atlantic and the Caribbean Sea. Starting from 19 ka, AMOC begins to decrease in response to the fresh water forcing applied to the North Atlantic,  $\varepsilon_{Nd}$  in the subtropical North Atlantic becomes less radiogenic due to the reduced influence of the radiogenic source water from the bottom of the Gulf of Mexico and the Caribbean Sea as discussed above. In the meantime, the meridional velocity also begins to decrease (Figure 9c), leading to a decrease in the radiogenic  $\varepsilon_{Nd}$  advection term (Figure 9a). During HS1, the flow is almost stagnant (Figure 9c) and all the  $\varepsilon_{Nd}$  tendency terms are greatly



**Figure 10.** Schematic figure of circulation and  $\varepsilon_{\text{Nd}}$  during LGM and HS1 at different depth: (a and b) AAIW core depth, (c and d) 947 m, and (e and f) 1,330 m. Red filled circle represents upwelling in the Gulf of Mexico and Caribbean Sea, with larger size for stronger upwelling. Curves with arrows represent flow, with thickness for flow magnitude and color from blue to green to yellow to red for the increasing of  $\varepsilon_{\text{Nd}}$ . Locations of each observational site are indicated by filled symbols: KNR166-2-26JPC: (24°19.62′N, 83°15.14′W, 546 m), triangle; MD99-2198: (12.09°N, 61.23°W, 1330 m), star; KNR197-3-46CDH: (7.836°N, 53.663°W, 947 m), square.

reduced compared with LGM (Figure 9a). Therefore, the less radiogenic shift in  $\varepsilon_{Nd}$  during HS1 from the Demerara Rise is due to the reduced influence of radiogenic water from bottom of the Gulf of Mexico and the Caribbean Sea as well as the reduced southward flow, instead of the retreat of northward advection of AAIW suggested in Huang et al. (2014).

The Tobago Basin site is about 400 m deeper than the Demerara Rise site and is mainly influenced by NADW from the north, which features unradiogenic  $\varepsilon_{\rm Nd}$  values. Although NADW  $\varepsilon_{\rm Nd}$  is complicated by distinct west and east NADW source waters (van de Flierdt et al., 2016), in our simulation, changes in the relative contribution from west versus east NADW formation do not have much influence on the NADW  $\varepsilon_{\rm Nd}$  value (supporting information (SI) Text 2), which are consistent with the finding that the influence of the end-member  $\varepsilon_{\rm Nd}$  change is rather small compared with  $\varepsilon_{\rm Nd}$  changes due to changes in water mass distribution (Rempfer et al., 2012a). During the LGM, strong southward western boundary current contributes to the

unradiogenic  $\varepsilon_{\mathrm{Nd}}$  advections at the Tobago Basin site (Figures 8b and 9b). When AMOC collapsed during HS1, this unradiogenic  $\varepsilon_{\mathrm{Nd}}$  advection of NADW is also reduced (Figures 9b and 9d), which then contributes to the more radiogenic shift of  $\varepsilon_{\mathrm{Nd}}$  during HS1 as in the  $\varepsilon_{\mathrm{Nd}}$  reconstruction. In addition, circulation change in the Caribbean Sea also contributes to the more radiogenic  $\varepsilon_{\mathrm{Nd}}$  shift in the Tobago Basin during HS1. During the LGM, flow at the location where the Caribbean Sea connects with the Atlantic (12°N, 75°W, 1330 m) is westward and therefore leads to a less radiogenic  $\varepsilon_{\mathrm{Nd}}$  advection into the Caribbean Sea (Figures 8b and S6a). During HS1, however, the westward flow is changed to eastward flow out of the Caribbean Sea, because of the reduced deep west boundary current (Figures 8d and S6b). This eastward flow out of the Caribbean Sea transports radiogenic  $\varepsilon_{\mathrm{Nd}}$  water from the Caribbean Sea out to influence the Tobago Basin site. Therefore, the more radiogenic  $\varepsilon_{\mathrm{Nd}}$  water from the Tobago Basin site is caused by both the retreat of the unradiogenic  $\varepsilon_{\mathrm{Nd}}$  NADW and the leak of radiogenic  $\varepsilon_{\mathrm{Nd}}$  water from the Caribbean Sea. Again, variations in the northward extent of AAIW did not control the  $\varepsilon_{\mathrm{Nd}}$  evolution in this Tobago Basin site, contrary to what was suggested previously (Pahnke et al., 2008).

The discussion above suggests that deglacial  $\varepsilon_{\mathrm{Nd}}$  in the low-latitude North Atlantic at the depth of modern AAIW can be complicated by the radiogenic  $\varepsilon_{\mathrm{Nd}}$  end-member from the Gulf of Mexico and the Caribbean Sea. From LGM to HS1, our model  $\varepsilon_{\mathrm{Nd}}$  exhibits an unradiogenic shift above around 1,100 m and a more radiogenic shift from 1,100 m to 2,000 m at both the Demerara Rise and the Tobago Basin (Figures 7c and 7d), consistent with the respective proxy records. Above 1,100 m, low-latitude North Atlantic  $\varepsilon_{\mathrm{Nd}}$  can be influenced by both southern sourced AAIW water in the upper layers and northern sourced water from the Caribbean Sea, both of which become weaker and lead to an unradiogenic shift of  $\varepsilon_{\mathrm{Nd}}$  when AMOC strength is reduced. Below 1,100 m, water is influenced mainly by the NADW as well as water from the Caribbean Sea. The retreat of NADW and the advance of the Caribbean Sea water both lead to a radiogenic shift of  $\varepsilon_{\mathrm{Nd}}$  during reduced AMOC. Therefore, radiogenic  $\varepsilon_{\mathrm{Nd}}$  water from the Gulf of Mexico and the Caribbean Sea effectively provides the third  $\varepsilon_{\mathrm{Nd}}$  end-member in addition to the radiogenic  $\varepsilon_{\mathrm{Nd}}$  south sourced AAIW and unradiogenic  $\varepsilon_{\mathrm{Nd}}$  north sourced water. This third source should be taken into consideration when interpreting  $\varepsilon_{\mathrm{Nd}}$  reconstructions from low-latitude North Atlantic at modern intermediate depths.

It should also be pointed out that the interpretation of the deglacial  $\varepsilon_{\rm Nd}$  records from the tropical Atlantic can also be complicated by the changing depth of AAIW during the deglaciation. Our model shows a much shallower AAIW during the LGM than the present day (Figure 4c). Sites located at modern AAIW depth may not be influenced by AAIW in the past. In iPOP2-TRACE, in the western boundary of equatorial Atlantic, for the upper 900 m, flow is northward that contributes to a radiogenic  $\varepsilon_{\rm Nd}$  advection, indicating an AAIW influence. Therefore, we suggest that  $\varepsilon_{\rm Nd}$  reconstructions shallower than 900 m from equatorial and tropical Atlantic are more suitable to reconstruct past AAIW northward penetration changes. The complicated mechanisms controlling  $\varepsilon_{\rm Nd}$  reconstruction at different sites from the tropical North Atlantic, however, also indicates that more reconstructions from different locations and depths are needed to infer past circulation changes as suggested by van de Flierdt et al. (2016).

### 5. Conclusions

Overall, our transient Nd-enabled ocean model simulation suggests a coherent AAIW response to the change of AMOC strength. The northward AAIW penetration in the tropical Atlantic is determined predominantly by the AMOC intensity or climate in the high latitude of the North Atlantic remotely, with a stronger AMOC enhancing AAIW northward penetration (Figures 10a and 10b). In addition, AAIW water mass sinks to a greater depth and dominates a wider water depth range in response to the freshening of NADW. Our results suggest that AAIW is a critical part of the return flow of the southward flowing NADW and, in turn, the global thermohaline circulation and therefore can contribute significantly to the global climate change. Also, monitoring changes of AAIW can contribute to our understanding of climate changes in the past and help future projections.

During HS1, the reduced AMOC strength is caused by fresh water forcing in the North Atlantic. Under this North Atlantic buoyancy forcing scenario, we find that AAIW becomes deeper when AMOC is weaker. Toggweiler and Samuels (1995) suggest that NADW formation in the North Atlantic is also controlled by wind forcing in the Southern Ocean: weaker winds over Drake Passage will lead to weaker NADW formation.

Interestingly, the pycnocline depth becomes shallower under weaker Southern Ocean wind forcing (Gnanadesikan, 1999). This relationship between pycnocline and AMOC strength under Southern Ocean wind forcing is opposite to our finding under North Atlantic buoyancy forcing. Therefore, the response of the circulation at middepth to the forcings from the North Atlantic and the Southern Ocean needs to be further studied.

In addition,  $\varepsilon_{Nd}$  reconstructions from the tropical and subtropical North Atlantic from within and near modern AAIW depths do not inform us about northward AAIW extent as previously assumed. Our simulation reproduces the contrasting deglacial  $\varepsilon_{Nd}$  evolutions at three intermediate-depth sites in the tropical North Atlantic. The inconsistency among reconstructions relates to the individual site locations and depths. With the AAIW depth changing in the past, core sites bathed by AAIW in the present day, such as the Demerara Rise site, may not be influenced by AAIW in the past. In addition, our results point out the importance of the radiogenic  $\varepsilon_{Nd}$  water from the Gulf of Mexico and the Caribbean Sea as the third end-member for regulating  $\varepsilon_{Nd}$  values at intermediate depths in the tropical North Atlantic, which complicates the interpretation of  $\varepsilon_{
m Nd}$  reconstruction in the tropical North Atlantic. During the AMOC-on state (LGM), upwelling in the Gulf of Mexico and the Caribbean Sea brings very radiogenic water from the bottom to shallow depths, influencing the upper 1,000 m of the tropical and subtropical Atlantic (Figure 10c). During the AMOC-off state (HS1), this upwelling is greatly reduced and the upper 1,000 m subtropical and tropical Atlantic  $\varepsilon_{\rm Nd}$  experience an unradiogenic shift (Figure 10d), which, combined with a weak deep western boundary current, lead to the unradiogenic shift in reconstruction of the Demerara Rise site (Figures 10c and 10d). The radiogenic shift in the reconstruction of the Tobago Basin site during HS1 is due to the reduced deep western boundary current as well as leakage of radiogenic water from the Caribbean Sea (Figures 10e and 10f). Therefore, we cannot interpret  $\varepsilon_{Nd}$  reconstructions from the tropical Atlantic within and near modern AAIW depths without taking the influence of radiogenic water from the Gulf of Mexico and the Caribbean Sea into consideration. Eventually, more reconstructions from different depths and latitudes, and comparison of these records to simulations using Nd-enabled models, will help to improve our understanding of past circulation.

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