

Surgery

# The Influence of Pre- and Postoperative Fear Avoidance Beliefs on Postoperative Pain and Disability in Patients With Lumbar Spinal Stenosis

Analysis of the Lumbar Spinal Outcome Study (LSOS) Data

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**Study Design.** Prospective multicenter cohort study.

**Objective.** To evaluate the effect of pre- and postoperatively assessed fear avoidance beliefs (FAB) on pain and disability in patients with degenerative lumbar spinal stenosis (LSS) after decompression surgery.

**Summary of Background Data.** To the present, the influence of pre- and postoperative FAB on the prognosis after surgery for LLS is still unclear.

**Methods.** Patients of the Swiss Lumbar Stenosis Outcome Study (LSOS) with confirmed LSS undergoing first-time decompression without fusion were enrolled in this study. The main outcome of this study was minimal clinically important difference (MCID) in spinal stenosis measure symptoms (pain) and function (disability) after 12 months. To analyze the influence of pre- and post-operatively assessed FAB on pain and disability we built simple and multiple logistic regression models.

**Results.** In this analysis of 234 patients undergoing decompression surgery for symptomatic degenerative LSS we found baseline FAB measured by the FAB physical activity subscale (FABQ-P) not to be associated with pain (OR 0.95; 95% CI: 0.55–1.67) and disability (OR 1.11; 95% CI: 0.64–1.92) at 12 months'

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Acknowledgment date: April 22, 2016. First revision date: June 24, 2016. Acceptance date: July 19, 2016.

The manuscript submitted does not contain information about medical device(s)/drug(s).

Baugarten Foundation, Helmut Horten Foundation, Pfizer-Foundation for geriatrics & research in geriatrics the Symphasis Charitable Foundation, and the OPO Foundation funds were received in support of this work.

Relevant financial activities outside the submitted work: grants.

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DOI: 10.1097/BRS.000000000001845

follow-up. In the final multiple logistic regression models patients with high FABQ-P at 6 months (OR 0.46; 95% CI: 0.24–0.91) and high persistent FABQ-P at baseline and 6 months (OR 0.34, 95% CI: 0.16–0.73) were less likely to report a MCID for spinal stenosis measure symptoms at 12 months. Our analysis found a similar trend for disability; however, the results were not statistically significant.

**Conclusion.** In elderly patients undergoing decompression surgery for symptomatic degenerative LSS preoperative fear avoidance beliefs were not a prognostic indicator for the outcome. Patients with FAB at 6 months and persistent FAB were less likely to experience clinically relevant improvement in pain at 12 months. Studies should address the importance of persistent postoperative FAB.

**Key words:** decompression, fear, fear avoidance, laminotomy, lumbar spinal stenosis, lumbar spine, multicenter, outcome, spinal canal stenosis, surgery.

Level of Evidence: 3 Spine 2017;42:E425–E432

ow back pain (LBP) with its global prevalence of 9.4% constitutes a high health and economic burden on individuals. Lumbar spinal stenosis (LSS) is an important subgroup of LBP patients. In the United States, LSS is the most frequent indication for spine surgery in patients older than 65 years.<sup>2</sup> However, there is a wide variation in rates of improvement in patients after surgery.<sup>3,4</sup> Various factors may influence chronic postsurgical complaints being a major concern because it can affect patient recovery and quality of life after surgery.<sup>5,6</sup> Preoperatively assessed psychological factors including fear and pain catastrophizing were associated with more postoperative pain. On the other hand, high postoperative fear was also associated with poorer outcomes as postoperative pain intensity and disability.8 How fear and catastrophizing influence the postoperative course in patients with LSS has not yet fully understood.

The fear-avoidance model is a widely used theoretical model to explain psychological factors in the experience of pain and the development of chronic pain and disability. Negative beliefs about pain and/or negative illness information may lead to an exaggerated negative mental response where the worst possible outcome is imagined (pain catastrophizing). This catastrophizing response to a painful experience leads to pain-related fear and avoidance behaviors. Avoidance behavior results in disuse, disability, and depression, and maintains the original negative appraisal in a deleterious cycle. On the other hand, it is assumed that patients without catastrophizing thoughts and fear avoidance beliefs are more likely to confront pain and are more active in the coping process.9 While in nonspecific low back pain the importance of fear avoidance beliefs and catastrophizing thoughts on the prognosis and treatment efficacy has been shown, 10-13 their influence in patients with LSS has not been investigated. How surgery influences postoperative fear avoidance beliefs is not well understood. One study showed a postoperative reduction in fear avoidance beliefs in patients undergoing surgery for degenerative spinal conditions.8 To date, no study investigated the influence of pre- and postoperative fear avoidance beliefs on the prognosis after surgery for LLS.

The aim of this study is to evaluate the effect of high preand postoperative fear avoidance beliefs on pain and disability in patients with degenerative lumbar spinal stenosis after decompression surgery.

#### MATERIALS AND METHODS

#### **Patient Selection**

We used data from the Lumbar Stenosis Outcome Study (LSOS), <sup>14</sup> a multicenter prospective cohort study, to explore this issue. Patients with a history of neurogenic claudication were recruited from outpatient clinics at all participating centers. Eligible patients had no evidence of stenosis caused by tumor, fracture, infection, or significant deformity (>15° lumbar scoliosis), and were aged 50 years or more. Magnetic resonance imaging verified lumbar spinal canal stenosis. None of the patients had prior lumbar spine surgery. Furthermore, patients had no clinical peripheral artery occlusive disease (confirmed by a vascular specialist in patients without palpable pulses in the lower limb).

#### **Surgical Procedure**

Surgery consisted of a standard open or microscopic posterior lumbar decompression at the affected level or levels without instrumentation.

#### **Questionnaires**

## Fear Avoidance Beliefs Questionnaire (FABQ)

The FABQ<sup>15</sup> is a 16-item questionnaire. High values indicate increased levels of fear avoidance beliefs. Two subscales exist: a seven-item work subscale (FABQ-W; range 0-42) and a four-item physical activity subscale (FABQ-P;

range 0–24). The FABQ and the two subscales have been shown to be reliable and valid for the measurement of fear avoidance beliefs. The Cronbach  $\alpha$  value for the FABQ-P was 0.75 (test–retest reliability, r = 0.64) and for the FABQ-W0.82 (test–retest r = 0.80). In this study, we did not use the FABQ-W because most patients were retired.

## **Spinal Stenosis Measure (SSM)**

The SSM, an instrument specifically developed for spinal stenosis patients by Stucki *et al*, <sup>17</sup> targets to measure severity of symptoms and quantifies disability of the lumbar spinal stenosis population. This instrument is recommended by the North American Spine Society (NASS) and used in different studies on lumbar spinal stenosis. <sup>18–21</sup> It consists of three different subscales; the symptom severity subscale (score range 1–5, best-worst), the physical function subscale (1–4), and the satisfaction subscale (1–4).

#### **EQ-5D-3L**

The EQ-5D-3L is an assessment tool to quantify health-related quality of life. It quantifies general nondisease specific health-related quality of life, including physical, mental, and social dimensions.<sup>22</sup> It can be calculated as a sum score (score range 0-100, worst-best).<sup>22</sup> The second part of the questionnaire estimates patient's actual health status (score range 0-100, worst-best).

## Hospital Anxiety and Depression Scale (HADS)

The HADS was originally developed to measure anxiety (score range 0-21, best-worst) and depression (0-21) in a hospital setting, <sup>23</sup> however, it is nowadays common to use it in all settings. <sup>24</sup>

#### **Data Collection and Follow-up**

Parts of the basic data sheet were interview-administered and recorded by a study coordinator. All other question-naires were self-administered and filled in by the patients themselves. All data were collected at baseline, 6 and 12 months.

#### **Prognostic Indicator**

We defined FABQ-P to qualify as a prognostic indicator if the score of FABQ-P influenced the course of the disease after adjustment for potential confounders.

## **Cutoff Value for High FABQ-P**

We used a cutoff value for high FABQ-P of > 16 points based on the 75th percentile at 6 months according to Grotle et al.  $^{25}$ 

#### **Outcomes**

The main outcome of this study was clinically meaningful improvement in SSM symptoms and function, which is denoted as minimal clinically important difference (MCID), after 12 months.

The MCID is defined as "the smallest difference in a score that is considered to be worthwhile or important." Thus,

the MCID is a threshold for a relevant change in an outcome measure. Patients who reached or even exceed this threshold consider this change as meaningful and worthwhile. According to Stucki *et al*,<sup>17</sup> MCID for SSM is reached when "Symptom Severity scale" improves at least 0.48 points and "Physical Function scale" at least 0.52 points at the 6- or 12-month follow-up.

## **Statistical Analyses**

Analysis of data consisted of descriptive statistics of patient demographics and outcomes. Continuous and ordinal scaled variables were shown as median and interquartile ranges, and categorical variables were shown as numbers and percentages of total.

To analyze the influence of pre- and postoperatively assessed fear avoidance beliefs (FAB) on pain and disability we built different models for MCID in SSM symptoms and SSM function, respectively. First, continuous FABQ-P at baseline and continuous FABQ-P at 6 months, respectively, were the potential prognostic indicators in the models Ia and Ib. Dichotomous FABQ-P > 16 at baseline (high FABQ-P-0) and FABQ-P > 16 at 6 months (high FABQ-P-6), respectively, were entered in the models IIa and IIb. Persistent high FABQ-P > 16 at baseline and at 6 months (high FABQ-P-persistent) entered in model III. In the models Ia and Ib, we first fitted a nonlinear effect within the generalized additive model framework in order to verify or falsify the linearity assumption of the continuous prognostic indicator.

Potential confounders were selected *a priori* in an interdisciplinary consensus (JB, MW, JS, AK, UH, HG) and based on the current literature. Demographic variables were age and sex (entered as continuous and categorical variable in the model, respectively), socioeconomic variables were education (low education defined as compulsory education), and civil status (single, divorced, and widowed as risk factor), general health (presence of comorbidities (including osteoarthritis of the hip or knee, chronic lung disease, cardiac disease, and neurologic diseases) and body mass index (BMI, continuous variable), psychological health (anxiety (HADS anxiety score  $\geq$ 8), depression (HADS depression score  $\geq$ 8), and fear avoidance beliefs (FABQ-P >16), and current episode (duration of complaints < 3 months, 3–6 months, 6–12 months, >12 months), baseline SSM symptoms, SSM function, and EQ-5D sum score.

To reduce the number of confounders included in the multiple regression model simultaneously, we used an approach based on change in the specific estimated effect of FABQ-P on each of the dichotomous outcomes. We considered those variables to be confounders that changed this effect by more than  $\pm$  10%.<sup>29</sup>

Some of the potential confounders had a small percentage of missing values (ranging from 0.4% to 4.7%). We used multiple imputation based on chained equations (with five replications) to obtain data sets without missing values.<sup>30</sup> The multiple imputation was based on the above described set of confounders, FABQ-P, and SSM symptoms and

function. Pooled effect estimates were obtained with Rubin formula.<sup>31</sup>

All analyses were conducted with R for Windows.<sup>32</sup>

## **Ethics**

This multicenter cohort study was conducted in compliance with all international laws and regulations as well as any applicable guidelines. The study was approved by the independent Ethics Committee of the Canton Zurich (KEK-ZH-NR: 2010-0395/0).

### **RESULTS**

#### **Patient Characteristics**

Between December 2010 and August 2015, 1537 patients were potentially eligible, and 800 patients agreed to participate (Figure 1, study flow). Decompression surgery was performed in 331 patients. For this study, 234 patients (71%) completed the 12 months follow-up until the end of August 2015 and were included in the analysis.

In Table 1 we present the patients baseline characteristics for all patients and patients with low FABQ-P (194 patients with FABQ-P  $\leq$  16 at baseline and/or at 6 months follow-up) and patients in group high FABQ-P-persistent (40 patients with FABQ-P > 16 at baseline and 6 months follow-up).

## Continuous FABQ-P at Baseline and at 6 Months as Prognostic Indicator—Models Ia and Ib

In the model Ia we found that the effect of cont. FABQ-P-0 was not linear on MCID in SSM symptoms and disability, respectively, at 12 months. Therefore, the linearity assumption was falsified and we restrained from further analysis.

In the model Ib, the effect of FABQ-P was linear on MCID in SSM symptoms and disability. The estimated OR for a one-unit change in FABQ-P-6 was 0.95 (95% CI: 0.92–0.99) and 0.96 (95% CI: 0.93–1.00) for MCID in SSM symptoms and disability, respectively, at 12 months.

## High FABQ-P-0 (at Baseline) as Prognostic Indicator—Model IIa

We found in the simple logistic regression analysis almost no effect of high FABQ-P-0 for MCID in SSM symptoms at 12 months (OR 0.95; 95% CI: 0.55–1.67) and for MCID in SSM function at 12 months (OR 1.11; 95% CI: 0.64–1.92). The minimal effect of FABQ-P-0 on all outcomes might have resulted in an overestimated effect of confounders. Therefore, we restrained from further confounder analysis.

## High FABQ-P-6 (at 6 Months) as Prognostic Indicator—Model IIb

In the model IIb we found in the simple logistic regression analysis an effect of high FABQ-P-6 for MCID in SSM symptoms at 12 months (OR 0.58; 95% CI: 0.31–1.09). In the high FABQ-P-6 group patients were less likely to report a MCID than patients in the low FABQ-P-6 group (58.9% vs. 72.5%). In the final multiple logistic regression

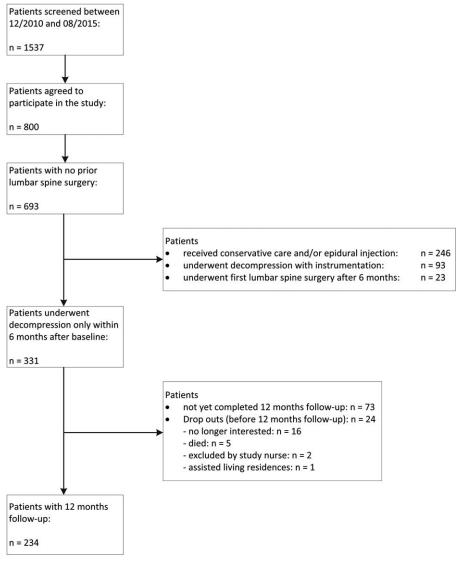


Figure 1. Study flow.

model we found that patients with high FABQ-P-6 were less likely to report MCID in SSM symptoms scale at 12 months (OR 0.46; 95% CI: 0.24–0.91) after adjustment for confounding (Table 2).

For disability, the simple logistic regression analysis showed an effect of high FABQ-P-6 for MCID in SSM function at 12 months (OR 0.82; 95% CI: 0.43–1.55). Patients in the high FAB-P-6 group reported similar MCID rates compared to the low FABQ-P-6 group (64.3% *vs.* 68.9%). In the final multiple logistic regression model patients with high FABQ-P-6 showed a trend toward less MCID in SSM function at 12 months (OR 0.64; 95% CI: 0.31–1.32) after adjustment for confounding, however, the results were not statistically significant (Table 2).

# High FABQ-P-Persistent (at Baseline and 6 Months) as Prognostic Indicator—Model III

In the model III we found in the simple logistic regression analysis an effect of high FABQ-P-persistent for MCID in SSM

symptoms at 12 months (OR 0.49; 95% CI: 0.24–0.98). The percentage of patients who reached MCID was smaller than in the low FABQ-P-persistent group (55% and 72.3%, respectively). In the multiple logistic regression model patients with high FABQ-P-persistent were less likely to report MCID in SSM symptoms at 12 months (OR 0.34, 95% CI: 0.16–0.73) after adjustment for confounding (Table 3).

For disability, the effect of high FABQ-P-persistent showed in the simple logistic regression analysis an effect for MCID in SSM function at 12 months (OR 0.69; 95% CI: 0.34–1.4). The percentage of patients who reached MCID was similar between the high and low FABQ-P-persistent groups (60% and 68.6%, respectively). In the multiple logistic regression model patients with high FABQ-P-persistent were again less likely to experience MCID at 12 months (OR 0.53, 95% CI: 0.25–1.15) after adjustment for confounding. While the effect was relevant, the wide 95% CI crossed one and therefore, the effect was not statistically significant (Table 3).



TABLE 1. Descriptive 3	Statistics of Patients With Low FABQ-P and High FABQ-P-Persistent				
	All	Low FABQ-P*	High FABQ-P- Persistent <sup>†</sup>	P Value	
Ν	234	194	40		
Age, years, median [IQR]	75.0 [68, 80]	75.0 [68, 80]	74.5 [68, 81]	0.9	
Female, n (%)	120 (51.3)	99 (51)	21 (52.5)	0.99	
BMI, kg/m <sup>2</sup> , median [IQR]	26.9 [24.3, 30.4]	26.8 [24.4, 30.3]	27.4 [23.8, 30.5]	0.97	
Diabetes, n (%)	34 (14.5)	26 (13.4)	8 (20)	0.41	
Education, n (%)				0.08	
Compulsory school	58 (24.8)	53 (27.3)	5 (12.5)		
High school, college, university	176 (75.2)	141 (72.7)	35 (87.5)		
Civil status, n (%)				0.88	
Single, divorced, widowed	94 (40.2)	77 (39.7)	17 (42.5)		
Married, registered partnership	140 (59.8)	117 (60.3)	23 (57.5)		
Duration of complaints, n (%)				0.58	
<3 months	25 (10.8)	19 (9.9)	6 (15.4)		
3–6 months	42 (18.2)	36 (18.8)	6 (15.4)		
6–12 months	32 (13.9)	25 (13.0)	7 (17.9)		
>12 months	132 (57.1)	112 (58.3)	20 (51.3)		
Comorbidities, n (%)					
Coxarthrosis	32 (14.0)	25 (13.2)	7 (17.9)	0.6	
Gonarthrosis	37 (16.2)	30 (15.9)	7 (17.9)	0.93	
Chronic lung disease (COPD, asthma, fibrosis, others)	13 (5.7)	7 (3.7)	6 (15)	0.02	
Heart failure	14 (6.1)	10 (5.3)	4 (10)	0.44	
Coronary disease	17 (7.4)	11 (5.8)	6 (15)	0.09	
Neuropathy	21 (9.2)	15 (7.9)	6 (15.4)	0.25	
Parkinson disease	5 (2.2)	5 (2.6)	0 (0)	0.66	
SSM symptoms, median [IQR]	3.1 [2.7, 3.6]	3.1 [2.7, 3.4]	3.4 [3.0, 3.7]	0.01	
SSM function, median [IQR]	2.4 [1.8, 2.8]	2.3 [1.8, 2.8]	2.7 [2, 3]	0.05	
EQ5D sum score, median [IQR]	70 [60, 80]	70 [60, 80]	60 [50, 80]	0.08	
HADS anxiety, n (%)				0.44	
0-7	194 (82.9)	163 (84)	31 (77.5)		
8–21	40 (17.1)	31 (16)	9 (22.5)		
HADS depression, n (%)				0.3	
0-7	192 (82)	162 (83.4)	30 (75)		
8–21	42 (18)	32 (16.6)	10 (25)		
FABQ-P-0 (at baseline), median [IQR]	16 [12, 20]	15 [10.8, 18]	22 [19.8, 24]	<0.01	
FABQ-P-6 (at 6 months), median [IQR]	12 [4, 16.5]	8 [1.5, 14]	21 [19, 23]	< 0.01	

<sup>\*</sup>FABQ-P  $\leq$ 16 at baseline and/or  $\leq$ 16 at 6 months.

#### **DISCUSSION**

In this analysis of 234 patients undergoing decompression surgery for symptomatic degenerative LSS we found baseline high FAB measured by FABQ-P not to be associated with pain of symptoms and disability at 12 months' follow-up. For continuous FABQ-P-0 we found no linear association and for FABQ-P-6 there was an effect of about 5% reduced chance for MCID on SSM symptoms and function per one unit change at 12 months. Patients with high FABQ-P-6 and high FABQ-P-persistent were less likely to report a MCID for SSM symptoms at 12 months. Our analysis found a similar trend for disability. However, the confidence intervals were wide

<sup>&</sup>lt;sup>†</sup>FABQ-P > 16 at baseline and at 6 months.

BMI indicates body mass index; FABQ-P, Fear Avoidance Beliefs Physical Activity Subscale; HADS, Hospital Anxiety and Depression Scale; SSM, spinal stenosis measure.

TABLE 2. Multiple Logistic Regression Model for SSM Symptoms and Function for MCID Reached at 12 Months in Patients With High FABQ-P-6 (at 6 Months) (Models IIa and IIb)

		Patients MCID Reached, n	MCID at 12 Months: OR (95% CI)
Pain* (SSM symptoms)	FABQ-P-6 >16	33 (58.9)	0.46 (0.24-0.91)
	FABQ-P-6 ≤16	121 (72.5)	
	SSM symptoms		2.8 (1.57-4.99)
Disability* (SSM function)	FABQ-P-6 >16	36 (64.3)	0.64 (0.31-1.32)
	FABQ-P-6 ≤16	115 (68.9)	
	BMI		0.9 (0.84-0.96)
	Duration 3-6 mo		1.6 (0.43-6)
	Duration 6-12 mo		1.84 (0.45-7.48)
	Duration >12 mo		0.97 (0.31-3.05)
	Neuropathy Yes		0.5 (0.18-1.4)
	HADS depression ≥8		0.53 (0.23-1.25)
	EQ5D sum score		1.01 (0.99-1.04)
	SSM symptoms		0.8 (0.44-1.46)
	SSM function		7.04 (2.36-8.63)

<sup>\*</sup>Confounders included when a variable changed the effect between FAB and each outcome by  $> \pm 10\%$  from the preselected potential confounders: age, sex, low education, civil status, presence of comorbidities, BMI, HADS anxiety score  $\geq 8$ , HADS depression score  $\geq 8$ , duration of complaints < 3 months, 6-12 months, or > 12 months, baseline SSM symptoms, baseline SSM function, and baseline EQ-5D sum score.

BMI indicates body mass index; CI, confidence interval; Duration, duration of symptoms; FABQ-P, Fear Avoidance Beliefs Physical Activity Subscale; HADS, Hospital Anxiety and Depression Scale; SSM, spinal stenosis measure.

indicating heterogeneity in the patient population and the effect statistically not significant.

## **Results Compared to the Literature**

To date, the influence of FAB in patients with symptomatic lumbar spinal stenosis on pain and disability is hardly investigated. The influence of psychological factors on the individual experience of pain and disability is increasingly recognized. Studies in patients with LBP support the conceptual framework of the fear-avoidance model which implies that fear of pain may lead to avoidance behavior. Avoidance behavior results in disuse, disability, and

TABLE 3. Multiple Logistic Regression Model for SSM Symptoms and Function for MCID Reached at 12 Months in Patients With High FABQ-P-Persistent (at Baseline and at 6 Months) (Model III)

		Patients MCID Reached, n	MCID at 12 Months: OR (95% CI)
Pain (SSM symptoms)	FABQ-P-persistent >16	22 (55)	0.34 (0.16-0.73)
	FABQ-P-persistent ≤16	136 (72.3)	
	SSM symptoms		2.75 (1.63-4.64)
Disability* (SSM function)	FABQ-P-persistent >16	24 (60)	0.53 (0.25-1.15)
	FABQ-P-persistent ≤16	129 (68.6)	
	Duration 3-6 mo		1.45 (0.41-5.12)
	Duration 6-12 mo		1.94 (0.5-7.44)
	Duration >12 mo		0.92 (0.31-2.76)
	EQ5D sum score		1.02 (0.99-1.04)
	SSM symptoms		0.81 (0.45-1.46)
	SSM function		4.05 (2.19-7.48)

<sup>\*</sup>Confounders included when a variable changed the effect between FAB and each outcome by  $> \pm 10\%$  from the preselected potential confounders: age, sex, low education, civil status, presence of comorbidities, BMI, HADS anxiety score  $\geq 8$ , HADS depression score  $\geq 8$ , duration of complaints < 3 months, 3 to 6 months, or > 12 months, baseline SSM symptoms, baseline SSM function, and baseline EQ-5D sum score.

CI indicates confidence interval; Duration, duration of symptoms; FABQ-P, Fear Avoidance Beliefs Physical Activity Subscale; SSM, spinal stenosis measure.

<sup>&</sup>lt;sup>™</sup>Raw data.

<sup>†</sup>Raw data

depression, and maintains the original negative appraisal in a deleterious cycle. In low back pain high FAB were indicators for poor outcome in the subacute phase and influenced the treatment response in conservative treatments. <sup>12,13</sup> In patients undergoing surgery for spinal disorders one study showed a postoperative reduction in fear avoidance beliefs. Similar to our study, they found that preoperative fear of movement (measured by the Tampa Scale of kinesiophobia) was not a prognostic factor for poor outcome. Archer *et al* found in their study that postoperative high scores in the Tampa Scale at 6 weeks and 3

Wood *et al*<sup>33</sup> compared the influence of fear of movement (measured by the Tampa Scale of kinesiophobia) in patients with lumbar spinal stenosis with neurogenic claudication to patients with claudication due to peripheral arterial disease and asymptomatic patients. In this cross-sectional study they found that persons with neurogenic claudication reported higher fear avoidance beliefs than patients with claudication due to other illnesses.

months were associated with more pain and disability.

A study including 159 patients with lumbar spinal stenosis or disc herniation that underwent decompression surgery showed in a prediction model that preoperative FAB of physical activity was the only predictor for postoperative outcome (measured by using a global outcome measure) after 1 year.<sup>34</sup> In the study by Havakeshian and Mannion,<sup>34</sup> FABQ-P were used on a continuous scale. Our study aimed to investigate the impact of high FABQ-P beliefs using a cutoff and therefore, increasing the clinical applicability. In our study in elderly patients with symptomatic degenerative lumbar spinal stenosis undergoing decompressive surgery high preoperative FABQ-P were not a prognostic indicator for MCID at 6 or 12 months for patient reported outcomes pain and disability. High FABQ-P-6 and high FABQ-Ppersistent were associated with less MCID for pain at 12 months but not for disability. One explanation could be that the FABQ-P was originally validated for low back pain patients. The wording focuses on pain and the back. In LSS patients the complaints are frequently not primarily back related or the pain itself but rather the neurogenic complaints or gait impairment. Hence, we found an association between postoperative FABQ-P and pain outcomes but not on disability. Therefore, the wording of the FABQ-P may need to be adjusted and the scale validated for this specific population. One other explanation could be that preoperative fear (fear of the pending surgery) might influence the reporting in FABQ-P. The FABQ-P does not specifically assess surgical fear and therefore, we were not able to distinguish between surgical fear and FABQ-P as negative coping strategy.6

Preoperative fear has been shown in patients undergoing elective surgery to be associated with acute postoperative as well as long-term pain.<sup>35</sup> Our findings indicated that high FABQ-P-6 or high FABQ-P-persistent were a relevant factor for pain at 12 months but not disability. It can be hypothesized that in patients with high FABQ-P-persistent fear persists over a longer time period and so the impact will be more relevant on

their ability to cope with the pain. One may also hypothesize that high fear avoidance beliefs are the result and not the cause of a worse treatment outcome. The correlations between FABQ-P-0 with symptoms and disability at baseline and the FABQ-P-6 with symptoms and disability at 6 months, respectively, were weak (data not shown). This indicates that high FABQ-P-persistent after surgery is independent of symptoms and disability a relevant prognostic indicator that may be modified by specific treatment approaches. This has to be addressed specifically in future studies.

## **Strength and Limitations**

A limitation of this study was that it was not possible to distinguish how strongly FABQ-P-0 was influenced by specifically assessed surgical fear. Furthermore, our cutoff value for FABQ-P was derived based on the 75th percentile according to a previously published study; however, a variety of other cutoff values have been proposed but none of them has been established yet. Additionally, we did not evaluate catastrophizing, and thus we were unable to assess the influence of catastrophizing on the interaction between FABQ-P and catastrophizing.

Our study has several strengths. These include the multicenter setting and prospective collection of data, as well as the use of established questionnaires on degenerative lumbar spinal stenosis. Furthermore, we had a homogenous patient sample regarding the treatment since only first-time decompression surgeries without fusions were included. Additionally, we used multiple imputation techniques to avoid potentially biased results as compared with a complete case analysis.

#### **Implications for Research**

Our finding was unexpected considering that high preoperative FAB has been shown in a previous study to be a predictor of poor outcome.<sup>34</sup> Future studies should further investigate the importance of fear of surgery and its difference to FAB as a negative coping. In future studies on patients undergoing surgical treatment it is advisable to use additionally a specific questionnaire assessing surgical fear, such as the Surgical Fear Questionnaire (SFQ).<sup>35</sup> Furthermore, it is unclear whether patients with persistent fear avoidance beliefs after surgery may benefit from specific cognitive and behavioral intervention that have been shown in other setting to modify the impact of FAB.

## **Implications for Clinical Practice**

In patients undergoing surgery for degenerative lumbar spinal stenosis high FABQ-P-0 were not associated with poor prognosis. Similar to previous studies we showed that FABQ-P-6 and FABQ-P-persistent may be important factors to consider in patients with persistent complaints.

### **CONCLUSIONS**

In elderly patients undergoing decompression surgery for symptomatic degenerative lumbar spinal stenosis preoperative fear avoidance beliefs were not a prognostic indicator for the outcome. Patients with fear avoidance beliefs at 6 months and persistent fear avoidance beliefs were less likely to experience clinically relevant improvement in pain at 12 months. Studies should address the importance of persistent postoperative fear avoidance beliefs.

## > Key Points

- ☐ To the present, the influence of pre- and postoperative FAB on the prognosis after surgery for LSS is still unclear.
- ☐ In the data of the LSOS preoperative FAB were not a prognostic indicator for the outcome pain or disability in patients undergoing decompression surgery for symptomatic degenerative LSS.
- ☐ Patients with postoperative FAB were less likely to experience clinically relevant improvement in pain at 12 months.
- ☐ Studies should address the importance of persistent postoperative FAB.

## Acknowledgment

The authors thank Dr. Sherri Weiser, psychologist at the Occupational and Industrial Orthopaedic Center (OIOC), New York University (NYU) Langone Medical Center, New York, for the very valuable discussions and her input.

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