

## Tuberculosis Treatment Outcomes among HIV/TB Co-Infected Children in the International Epidemiology Databases to Evaluate AIDS (IeDEA) Network

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**Conflicts of Interest:** None declared

**Sources of Funding:** This research was supported by the National Institutes of Health (NIH) under award numbers: K08 AI104352 (Pettit), T32 HD060554-06A1 (Carlucci), U01 AI096299 (IeDEA Central Africa), U01 AI069919 (IeDEA Western Africa), U01 AI069924 (IeDEA Southern Africa), U01 AI069911 (IeDEA Eastern Africa), U01 AI069907 (IeDEA Asia-Pacific), U01 AI096186 (IeDEA Network Coordinating Center), P30 AI110527 (Tennessee Center for AIDS Research), and UL1 TR000445 (Vanderbilt Institute for Clinical and Translational Research). It has also been supported by the President's Emergency Plan for AIDS Relief (PEPFAR) through the United States Agency for International Development (USAID) under the

terms of Cooperative Agreement No. AID-623-A-12-0001. The contents of this publication are the sole responsibility of the authors and do not necessarily reflect the views of the NIH, PEPFAR, or USAID.

**Previous Presentation:** A preliminary version of this report was presented as a poster at the Conference on Retroviruses and Opportunistic Infections in Boston, MA on February 23, 2016; Abstract #16-1151. The manuscript is not being considered for publication elsewhere.

## **ABSTRACT**

### **Introduction:**

Management of tuberculosis (TB) is challenging in HIV/TB co-infected children. The World Health Organization (WHO) recommends nucleic acid amplification tests for TB diagnosis, a four-drug regimen including ethambutol during intensive phase of treatment (IP), and initiation of antiretroviral therapy (ART) within eight weeks of TB diagnosis. We investigated TB treatment outcomes by diagnostic modality, IP regimen, and ART status.

### **Methods:**

We conducted a retrospective cohort study among HIV/TB co-infected children enrolled at International Epidemiology Databases to Evaluate AIDS treatment sites from 2012-2014. We modeled TB outcome using multivariable logistic regression including diagnostic modality, IP regimen, and ART status.

### **Results:**

Among 386 HIV-infected children diagnosed with TB, 20% had microbiological confirmation of TB, and 20% had unfavorable TB outcomes. During IP, 78% were treated with a four-drug regimen. Thirty-one percent were receiving ART at the time of TB diagnosis, and 32% were started on ART within eight weeks of TB diagnosis. Incidence of ART initiation within eight weeks of TB diagnosis was higher for those with favorable TB outcomes (64%) compared to those with unfavorable outcomes (40%) ( $p=0.04$ ). Neither diagnostic modality (OR 1.77; 95%CI 0.86-3.65) nor IP regimen (OR 0.88; 95%CI 0.43-1.80) were associated with TB outcome.

### **Discussion:**

In this multinational study of HIV/TB co-infected children, many were not managed per WHO guidelines. Children with favorable TB outcomes initiated ART sooner than children with unfavorable outcomes. These findings highlight the importance of early ART for children with HIV/TB co-infection, and reinforce the need for implementation research to improve pediatric TB management.

**Key Words:** Tuberculosis; HIV; Pediatrics; Developing Countries; Treatment Outcome

## INTRODUCTION

Tuberculosis (TB) remains a major cause of childhood morbidity and mortality in low- and middle-income countries (LMIC). Human Immunodeficiency Virus (HIV) has amplified the TB epidemic, as evidenced by the disproportionate impact of TB in areas with high HIV prevalence, especially Sub-Saharan Africa and Southeast Asia.<sup>1-3</sup> Among children in 2014, there were an estimated 1 million incident TB cases, 140,000 deaths attributable to TB, and approximately 40% of TB deaths were among those with HIV/TB co-infection.<sup>1</sup>

The World Health Organization (WHO) defines TB treatment success as documented cure or completion of anti-TB therapy (ATT); unsuccessful TB treatment outcomes include death, treatment failure, default from care/loss to follow-up (LTFU), or unknown outcome.<sup>4</sup> Compared to HIV-negative individuals, HIV/TB co-infected individuals are less likely to have successful TB treatment outcomes.<sup>1</sup>

Despite limited data on pediatric TB outcomes,<sup>3,5-11</sup> WHO has developed guidelines for the management of HIV/TB co-infected children in LMIC.<sup>6</sup> These recommendations include: nucleic acid amplification testing (NAAT) as the initial TB diagnostic test for children with HIV-associated TB,<sup>6,12</sup> using a four-drug regimen including ethambutol during the intensive phase (IP; first two months) of ATT in TB endemic areas with background isoniazid resistance,<sup>6,13,14</sup> antiretroviral therapy (ART) initiation within eight weeks of starting ATT, for ART naïve patients;<sup>6</sup> and, for those already receiving ART at the time of TB diagnosis, to optimize ART regimens to avoid drug-drug interactions.<sup>6,15</sup>

However, it is unclear to what extent these recommendations have been implemented in pediatric ART programs in LMIC. Limited availability of diagnostics, challenges with obtaining sputum, and paucibacillary disease which may be more pronounced in HIV/TB co-infected children may result in many children being diagnosed with TB on clinical criteria alone (without microbiologic confirmation with NAAT, acid-fast bacilli [AFB] culture, and/or AFB smear).<sup>12,16-19</sup> Despite studies demonstrating low risk for ethambutol associated ocular toxicity,<sup>13,14</sup> some practitioners still opt to prescribe a three-drug IP regimen lacking ethambutol for preverbal children. Similarly, some providers delay ART initiation beyond the recommended eight weeks after ATT initiation to avoid immune reconstitution inflammatory syndrome (IRIS), which is uncommon among children.<sup>20,21</sup> And, in the setting of medication stock-outs,<sup>22-24</sup> limited availability of fixed-dose combination drug options,<sup>25</sup> and adherence challenges with pediatric formulations of ART and ATT medications,<sup>26,27</sup> optimizing regimens for potential ART-ATT interactions can be challenging.<sup>15,28</sup>

We set out to investigate these issues using data collected from sites participating in the International Epidemiology Databases to Evaluate AIDS (IeDEA).<sup>29</sup> The objectives of this research are to: (1) provide recent patient-level data on the care and treatment of HIV/TB co-infected children; (2) assess whether TB treatment outcomes differ based on TB diagnostic modality, IP regimen, or ART status; and, (3) describe ART regimens and modifications made to ART regimens in the setting of ATT.

## METHODS

### Study Design and Population

We conducted a retrospective cohort study among HIV/TB co-infected children aged 0 through 15 years. Children were enrolled from 14 participating ART programs in 11 LMIC, representing five leDEA regions (Figure 1).<sup>29</sup> Previously treated TB cases were included (n=8), so a patient could contribute more than one TB episode.

### Data Collection

Local TB registries were utilized to identify TB cases diagnosed at participating leDEA sites from January 2012 through December 2014. Patient demographics, laboratory data, TB treatment regimens, and TB outcomes were collected retrospectively using a standardized electronic case report form (CRF) developed in Research Electronic Data Capture (REDCap).<sup>30</sup> The CRF was piloted prior to implementation, and was made available in English and French. The French version was translated by an leDEA co-investigator who is a native French speaker and engaged in HIV/TB research. Local leDEA site investigators completed CRFs for TB cases following electronic and/or hard copy medical record review. Data entry took place from January 2012 through January 2016. Information on ART initiation dates and ART regimens were obtained from leDEA regional HIV care and treatment data repositories for all patients with a completed CRF. Throughout the period of data collection, routine audits were made to ensure data quality. After database closure the data was further verified, and any suspected data quality issues were referred back to local sites for investigation, clarification, and revision when necessary.

### Definitions

Any diagnosis of new or previously treated active TB while enrolled at one of the participating ART sites was considered a unique TB episode. Utilizing WHO definitions,<sup>4</sup> we grouped TB treatment outcomes into favorable and unfavorable categories. Documented cure or completion of ATT were considered *favorable TB treatment outcomes*. Death, treatment failure, default from care/LTFU, or unknown outcome were considered *unfavorable TB treatment outcomes* – the primary outcome of interest. Children still on ATT at database closure or who transferred care to another facility were excluded from the analysis of TB treatment outcomes.

Our primary exposure variables of interest were diagnostic modality, IP regimen, and ART status. A *microbiologic diagnosis* was considered to be any diagnosis of TB that was confirmed by at least one laboratory test (AFB smear, mycobacterial culture, or NAAT). A *clinical diagnosis* was any TB diagnosis that was made without a positive laboratory test result; children with clinical diagnoses could have had negative diagnostic test result(s) or no diagnostic testing performed. All TB diagnoses included a decision to start ATT.

IP regimen was dichotomized by those who received the recommended *four-drug IP regimen* (Isoniazid [H], rifampin [R], pyrazinamide [Z], ethambutol [E]; HRZE) and those who received a *three-drug IP regimen* lacking ethambutol (HRZ).

ART status at the time of TB diagnosis was categorized as *ART naïve* or previously on *ART*. Among those who were ART naïve at the time of TB diagnosis, we also calculated time to ART initiation from TB diagnosis by subtracting the date of ATT initiation from ART initiation date. ART regimens were classified

into the following categories based on WHO recommendations:<sup>31</sup> three nucleoside reverse transcriptase inhibitors (NRTI); two NRTI plus a non-nucleoside reverse-transcriptase inhibitor (NNRTI); two NRTI plus a boosted protease inhibitor (PI), and non-standard (“other”) regimens. ART regimen modifications made within eight weeks of TB diagnosis date were included as ART modifications made in the setting of ATT initiation.

Key covariates at TB diagnosis included age, sex, weight, CD4 count (and CD4 percentage), and leDEA region (Asia-Pacific, Central Africa, Eastern Africa, Southern Africa, and Western Africa; Figure 1). The date of TB diagnosis was defined as the date of the first positive microbiologic test or clinical diagnosis of TB. CD4 count at TB diagnosis was defined as the first available value 180 days before or up to 30 days after the date of TB diagnosis. HIV viral loads were not routinely collected or reported at some treatment sites participating in this study. Weight-for-age Z-scores (WAZ) at TB diagnosis were calculated as a marker of nutritional status using United States Centers for Disease Control (CDC) standards.<sup>32</sup> WHO standards are more commonly used to calculate WAZ in LMIC since the reference population from which they are derived is more diverse than the CDC reference population; however, the WHO standard only extends through 10 years of age, while the CDC standard extends through 18 years. Therefore, to maintain a consistent metric for our population of children 0-15 years of age, we elected to use the CDC standard. Regardless of the reference population, WAZ is a relative measure and lower WAZ scores indicate a greater degree of undernutrition.

### Statistical Analyses

Descriptive statistics were used to summarize patient characteristics, TB diagnostics, TB treatment, TB outcomes, ART status, ART regimens, and modifications made to ART regimens in the setting of TB diagnosis and treatment. For continuous variables, median and interquartile ranges (IQR) are reported. Frequency and percentages are reported for categorical variables.

Multivariable logistic regression was used to estimate the odds ratio (OR) and 95% confidence interval (CI) of unfavorable TB treatment outcome associated with mode of diagnosis, IP regimen, and ART status while adjusting for age, sex, WAZ, CD4 count/percentage, and leDEA region. Multiple imputation was used to account for missing data. Continuous variables were modeled as linear terms as there was no evidence for non-linearity. Acknowledging that there may be important differences between diagnostic and treatment strategies for younger children, an *a priori* decision was made to perform secondary regression analyses for the sub-groups of children younger than five years of age and children aged 5-15 years. The same covariates were included in main and secondary models, except CD4 percentage was used instead of CD4 count for those younger than five years. Sensitivity analyses excluding the small number of previously treated TB cases were also performed.

Among those who were ART naïve at TB diagnosis, we compared ART initiation across TB outcomes. Anticipating that LTFU during the eight weeks after TB diagnosis might make it difficult to investigate the effect of ART initiation during the first eight weeks, we plotted the cumulative incidence of ART initiation among groups with unfavorable and favorable TB treatment outcome restricted to those that were alive and in care at eight weeks after TB diagnosis, and tested the association using a log-rank test.

R version 3.2.5 ([www.r-project.org](http://www.r-project.org)) was used for all analysis and code is available online (<http://biostat.mc.vanderbilt.edu/ArchivedAnalyses>).

## Ethical Considerations

Local institutional review board or ethics committee approval was obtained from all local study sites as well as Vanderbilt University. Procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1975, as revised in 2013.

## RESULTS

### Patient Characteristics

There were 400 children under the age of 16 diagnosed with TB and with a TB CRF entered into REDCap during the study period. Fourteen (3.5%) were excluded; one was still on ATT and 13 had transferred to another facility. Among 386 children included, the median age was 5.7 years (IQR 2.0-9.5) and 47% were female. Median WAZ was -2.69 (IQR -3.82, -1.48; 17% missing data). Median CD4 count among those  $\geq 5$  years was 144 cells/mm<sup>3</sup> (IQR 28-403 cells/mm<sup>3</sup>; 14% missing data). Median CD4 percentage among those  $< 5$  years was 16% (IQR 8-23%; 34% missing data) (Table 1). The children were recruited from 14 ART programs in 11 LMIC (Burundi, Côte d'Ivoire, Ghana, Indonesia, Kenya, République Démocratique du Congo, Rwanda, South Africa, Tanzania, Uganda, and Vietnam), each contributing between one and 91 children (Figure 1).

### TB Diagnosis

Among the 386 HIV/TB co-infected children, 79 (20%) had microbiological confirmation of TB, while 307 (80%) were diagnosed clinically. Of the 79 with at least one positive diagnostic test, 36 (46%) had a positive AFB smear, 33 (42%) had a positive mycobacterial culture, and 48 (61%) had a positive NAAT (Table 2). In terms of diagnostic yield, 59% (229/386) of cases were tested with AFB smear, but only 16% (36/229) of those tests were positive; 32% (123/386) of cases were tested with AFB culture, and 27% (33/123) of those tests were positive; while only 22% (84/386) of cases were tested with NAAT, but 57% (48/84) of those tests were positive. Of the 307 cases diagnosed clinically, 155 (50%) had at least one test but no positive result, and 152 (50%) did not have a laboratory diagnostic test performed. Overall, 287 (74%) of cases were classified as PTB, 73 (19%) were classified as EPTB, and 25 (6%) were classified as having both PTB and EPTB. Among those with EPTB, the most common sites were abdominal (9%) and lymphatic (7%) (Table 2).

Only three cases (<1%) were found to have INH or rifampin resistance, one of which was in the Asia-Pacific region, and the other two were in the Southern Africa region. These regions were also the only two regions that were routinely utilizing NAAT for TB diagnosis, and were the primary regions where gastric aspirates and induced sputum were utilized to obtain diagnostic specimens.

### TB Treatment Regimens

As for IP regimens, 84 (22%) cases were treated with a non-standard 3-drug regimen lacking ethambutol, while 302 (78%) were treated with a 4-drug regimen including ethambutol (Table 2). The practice of omitting ethambutol was common in Eastern Africa, Central Africa, and Western Africa regions where such IP regimens were prescribed for 47%, 36%, and 27% of cases, respectively. IP

regimens lacking ethambutol were prescribed to children less than five years of age in 32% of cases, and to children aged 5-15 years in 15% of cases.

### **ART Status**

One hundred and nineteen (31%) of 386 HIV/TB co-infected children were receiving ART prior to their TB diagnosis. Of the 267 (69%) not on ART at the time of starting ATT, 124 (46%) initiated ART within eight weeks of starting ATT, and 53 (20%) more initiated ART more than eight weeks after starting ATT. Fifty (13%) of the HIV/TB co-infected children did not initiate ART by the end of the study period. ART status data was missing for 40 (10%) children (Table 2).

Many ART regimens were modified when starting ATT. Among the 114 patients active on ART eight weeks prior to TB diagnosis, 64% of the ART regimens were comprised of two NRTI plus a NNRTI (32% efavirenz, 32% nevirapine), 16% were comprised of two NRTI plus a boosted PI, 2% were comprised of three NRTI, and 18% were non-standard regimens. The majority of children receiving an efavirenz-based (97%), boosted PI-based (94%), or three NRTI (100%) regimen remained on the same ART regimen after TB diagnosis. Sixty percent of children on a nevirapine-based regimen remained on the same regimen after TB diagnosis, 32% switched to an efavirenz-based regimen, 3% switched to a boosted PI-based regimen, and 3% switched to three NRTI. Two percent experienced an interruption in ART after TB diagnosis. Children initiating ART within eight weeks of TB diagnosis were started on the following regimens: two NRTI plus a NNRTI (78%; 66% efavirenz, 12% nevirapine), two NRTI plus a boosted PI (8%), three NRTI (2%), and other non-standard regimens (12%) (supplemental figure accessible at: <http://biostat.mc.vanderbilt.edu/MeridithBlevins/pediatric-tb-hiv-sunburst.html>). Dose adjustments for ART and/or ATT also may have occurred, but dosing data was not captured.

### **TB Treatment Outcomes**

Among the 386 HIV/TB co-infected children, 78 (20%) had unfavorable TB treatment outcomes and 308 (80%) had favorable outcomes. Among the 78 with unfavorable outcomes, 30 (38%) died, 1 (1%) had treatment failure, 33 (42%) were LTFU, and 14 (18%) had unknown outcomes. The proportion of unfavorable outcomes attributable to LTFU was 1% (1/84) in the Asia-Pacific region, 7% (4/60) in the Central Africa region, 3% (3/94) in the Eastern Africa region, 9% (8/88) in the Southern Africa region, and 28% (17/60) in the Western Africa region. Among the 308 with favorable TB treatment outcomes, 68 (22%) had documented cure, and 240 (78%) completed therapy (Table 1).

Multivariable logistic regression was performed to identify factors independently associated with an unfavorable TB treatment outcome. In the model including all 386 HIV/TB co-infected children, neither mode of diagnosis (OR 1.77; 95%CI 0.86-3.65), IP regimen (OR 0.88; 95%CI 0.43-1.80), nor ART status (OR 0.71; 95%CI 0.38-1.31) were significantly associated with TB treatment outcome. Better nutritional status/higher WAZ was protective against unfavorable TB treatment outcomes (OR 0.35; 95% CI 0.67-0.94). Children in the Asia-Pacific (OR 0.35; 95% CI 0.14-0.89) and Southern Africa (0.34; 95% CI 0.14-0.84) leDEA regions had significantly lower odds of having an unfavorable outcome compared with the Eastern Africa region. In the subgroup analysis restricted to children younger than five years, those with microbiological confirmation of TB had higher odds of unfavorable TB outcome (OR 3.8; 95%CI 1.12-12.94) (Table 3). When previously treated TB cases were excluded (n=8), results were similar (data not shown).

Among HIV/TB co-infected children who were ART naïve at the time of TB diagnosis and were alive and retained in care eight weeks later, ART initiation within eight weeks of TB diagnosis was higher for those with favorable TB outcomes (64%) compared to those with unfavorable TB outcomes (40%) ( $p=0.04$ ) (Figure 2).

## DISCUSSION

In this large multinational study of HIV/TB co-infected children, many were not diagnosed or treated per WHO guidelines. Eighty percent of diagnoses were clinical (without microbiologic confirmation), and NAAT use was infrequent. Nearly one-quarter of patients did not receive a four-drug IP regimen including ethambutol. Only half of ART naïve children were started on ART within eight weeks of TB diagnosis, and 13% of patients were not started on ART during the study period. Overall, 20% of HIV/TB co-infected children in this cohort had unfavorable TB treatment outcomes. Those who initiated ART earlier were more likely to have favorable TB treatment outcomes. Among those younger than five years of age, those with microbiologic confirmation of TB had higher odds of unfavorable TB treatment outcomes.

While at first the finding that microbiologic confirmation of TB is associated with unfavorable TB outcomes among the youngest patients seems counterintuitive, this might be explained in several ways. First, there is likely over-diagnosis of TB among clinical cases (false-positives) and therefore better outcomes in this group. Second, more extensive disease, and therefore higher bacterial burden, could both facilitate microbiologic confirmation of TB and be associated with poorer outcomes. Third, awaiting culture results in the absence of NAAT confirmation may have delayed TB treatment and possibly ART initiation, and therefore resulted in a greater likelihood of unfavorable TB treatment outcomes.

This study highlights an urgent need to improve diagnosis of TB among HIV/TB co-infected children in LMIC. Only 20% of children diagnosed with TB had microbiologic confirmation of disease, and only 18% of cases were tested with NAAT. Similarly, in 2012 we conducted a site-level survey of pediatric ART programs in six leDEA regions and found that NAAT was available at about one-third of sites; and, among 146 children who developed TB, AFB smear was utilized for 52%, mycobacterial culture for 17%, and NAAT for only 8%.<sup>19</sup> Among the many impediments to TB diagnosis in children is obtaining diagnostic respiratory specimens. Performing early morning gastric aspirates can improve diagnostic yield, but requires hospitalization, which is often not practical in LMIC. Capacity to perform sputum induction in the outpatient setting is growing, and can improve diagnostic yield in children unable to spontaneously expectorate sputum. Even when a good specimen is obtained, access to diagnostics may be limited in LMIC. Furthermore, the yield of diagnostics is low due to paucibacillary disease in children, particularly those with compromised immune systems.<sup>12,18</sup> Strategies to improve pediatric TB diagnosis should be multifaceted, and might include: improving the accuracy of clinical diagnosis, enhancing the implementation and utilization of current and emerging NAAT platforms,<sup>1</sup> and developing non-sputum based diagnostics.<sup>33-35</sup>

WHO makes a “strong recommendation” that a four-drug IP regimen including ethambutol be used for children from settings where the HIV prevalence is greater than 1% or there is high prevalence (as defined by national treatment programs) of INH resistance, in order to minimize the risk of developing or transmitting drug-resistant TB.<sup>6,14</sup> IP regimen did not have an impact on TB treatment outcomes in this cohort, despite about one-quarter of HIV/TB co-infected children not receiving ethambutol.

This study adds to the literature that supports early ART as an important part of TB treatment for HIV/TB co-infected persons,<sup>36-39</sup> and as such highlights the concerning observation that only half of ART naïve patients in this cohort were started on ART within eight weeks of TB diagnosis and that 13% of patients were not started on ART during the study period. Other studies have also reported delays in ART initiation,<sup>40</sup> and there is some evidence that failing to implement WHO guidelines is associated with substantial mortality.<sup>41</sup> Further implementation research aimed at improving access to ART for HIV/TB co-infected children is essential.

Regional differences in outcomes are also important to acknowledge. Patients in the Asia-Pacific and Southern Africa regions were less likely to have unfavorable TB treatment outcomes. These two regions also had the highest utilization of sputum induction, gastric aspirates, and NAAT. There were especially high LTFU rates in the Western Africa region, resulting in this region having the highest proportion of unfavorable TB treatment outcomes. It is possible that those who were LTFU may have had different characteristics than those who were classified as having unfavorable outcomes for some other reason. However, due to the relatively small number of unfavorable outcomes, it would be difficult to draw conclusions from sensitivity analyses disaggregating these unfavorable outcomes. Furthermore, other reports have demonstrated significant mortality among those who are LTFU from HIV care and treatment programs.<sup>42-44</sup> Regardless, improving access to diagnostics and interventions aimed at reducing attrition from care could lead to improved outcomes. Additionally, better understanding of the factors that contribute to heterogeneity between sites and regions could result in improved implementation of diagnostic and treatment services.

We were unable to fully assess the appropriateness of ART regimens and modifications to ART regimens in the context of TB treatment, due to the absence of data on weight-based dosing of ART and ATT. The antiretrovirals nevirapine (a NNRTI) and lopinavir/ritonavir (a boosted PI) significantly interact with the anti-TB medication rifampin and require dose adjustment or changing to an alternative antiretroviral regimen. For those who were treated with nevirapine or lopinavir/ritonavir, it would be important to know whether ART dose adjustments were made. A second limitation was incomplete data for CD4 count/percentage, HIV viral load (75% missing), WAZ, and ART status. We accounted for incomplete data in our logistic regression models using multiple imputation.

In conclusion, in this large multinational population of HIV/TB co-infected children, many were not managed per WHO guidelines. Children with favorable TB outcomes initiated ART sooner than children with unfavorable outcomes. These findings highlight the importance of early ART for children with HIV/TB co-infection, and reinforce the need for implementation research to improve pediatric TB management.

## **ACKNOWLEDGMENTS**

The authors would like to thank the local and regional leDEA site staff for their assistance in data collection and quality assurance. We would also like to thank Charlotte Lewden for French translations of case report forms and responses. Sites participating in this project included: Sanglah Hospital, Udayana University, Bali, Indonesia; Children's Hospital 1, Ho Chi Minh City, Vietnam; Central University Hospital of Kamenge, Burundi; Kalembelembe Pediatric Hospital, République Démocratique du Congo; Rwanda Military Hospital, Rwanda; Moi University, Moi Teaching and Referral Hospital, Academic Model Providing Access to Healthcare (AMPATH), Eldoret, Kenya; National AIDS Control Programme, Tumbi Regional Hospital, Tanzania; National Institute for Medical Research, Mwanza Research Centre-Kisesa

Clinic, Mwanza, Tanzania; Masaka Regional Hospital, Masaka, Uganda; Rahima Moosa Mother and Child Hospital, South Africa; Centre Intégré de Recherches Biocliniques d'Abidjan, Côte d'Ivoire; Centre Hospitalier Universitaire de Cocody, Côte d'Ivoire; and Korle-Bu Teaching Hospital, Accra, Ghana. The authors would also like to acknowledge the leDEA TB working group members.

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Figure 1: HIV/TB co-infected children included in the study, by leDEA Network region and country. Numbers in parentheses indicate the number of children contributed by each country.

Figure 2: Cumulative incidence of antiretroviral therapy initiation within eight weeks after TB diagnosis, among HIV/TB co-infected children who were previously ART naïve and who were alive and retained in care at eight weeks after TB diagnosis.

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Table 1: Characteristics of HIV/TB co-infected children at time of TB diagnosis, stratified by TB treatment outcome, 2012-2014.

|                          | Favorable Outcome        |                          |                          | Unfavorable Outcome      |                            |                          |                          |                              | Combined<br>(N=386)      |
|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|----------------------------|--------------------------|--------------------------|------------------------------|--------------------------|
|                          | Completed<br>ATT (n=240) | Cure<br>(n=68)           | All Favorable<br>(n=308) | Death<br>(n=30)          | Treatment<br>Failure (n=1) | LTFU<br>(n=33)           | Unknown<br>(n=14)        | All<br>Unfavorable<br>(n=78) |                          |
| Age, median (IQR)        | 6 (2.1 - 9.8)            | 5.5 (2.7 - 9.4)          | 5.9 (2.4 - 9.6)          | 5.4 (2 - 9.8)            | 10.2 (10.2 - 10.2)         | 3.6 (1 - 6.7)            | 8.1 (6.6 - 9.6)          | 5.5 (1.1 - 8.8)              | 5.7 (2.0 - 9.5)          |
| Age group, n (%)         |                          |                          |                          |                          |                            |                          |                          |                              |                          |
| 0 – 4.9 years            | 100 (42%)                | 29 (43%)                 | 129 (42%)                | 13 (43%)                 | 0 (0%)                     | 19 (58%)                 | 3 (21%)                  | 35 (45%)                     | 164 (43%)                |
| 5 – 9.9 years            | 80 (33%)                 | 25 (37%)                 | 105 (34%)                | 9 (30%)                  | 0 (0%)                     | 10 (30%)                 | 8 (57%)                  | 27 (35%)                     | 132 (34%)                |
| 10 – 15 years            | 60 (25%)                 | 14 (21%)                 | 74 (24%)                 | 8 (27%)                  | 1 (100%)                   | 4 (12%)                  | 3 (21%)                  | 16 (21%)                     | 90 (23%)                 |
| Female, n (%)            | 121 (50%)                | 28 (41%)                 | 149 (48%)                | 13 (43%)                 | 1 (100%)                   | 11 (33%)                 | 7 (50%)                  | 32 (41%)                     | 181 (47%)                |
| WAZ, median (IQR)        | -2.33<br>(-3.57 - -1.11) | -2.91<br>(-3.76 - -1.98) | -2.45<br>(-3.66 - -1.36) | -4.36<br>(-5.13 - -2.45) | -4.25<br>(-4.25 - -4.25)   | -2.64<br>(-3.54 - -1.81) | -3.75<br>(-4.89 - -2.94) | -3.26<br>(-4.84 - -2.18)     | -2.69<br>(-3.82 - -1.48) |
| Missing, n (%)           | 45 (19%)                 | 6 (9%)                   | 51 (17%)                 | 5 (17%)                  | 0 (0%)                     | 7 (21%)                  | 4 (29%)                  | 16 (21%)                     | 67 (17%)                 |
| CD4 count*, median (IQR) | 262 (70 - 665)           | 117 (16 - 531)           | 229 (54-638)             | 145 (63 - 324)           | 92 (92 - 92)               | 670 (367 - 890)          | 85 (20 - 268)            | 267 (81-670)                 | 247 (60-668)             |
| Missing, n (%)           | 55 (23%)                 | 2 (3%)                   | 57 (19%)                 | 8 (27%)                  | 0 (0%)                     | 10 (30%)                 | 3 (21%)                  | 21 (27%)                     | 78 (20%)                 |
| CD4 %†, median (IQR)     | 12 (4 - 22)              | 5 (1 - 18)               | 10 (3 - 22)              | 7 (2 - 13)               | 11 (11 - 11)               | 17 (10 - 22)             | 7 (4 - 10)               | 10 (5 - 17)                  | 10 (3 - 20)              |
| Missing, n (%)           | 75 (31%)                 | 7 (10%)                  | 82 (27%)                 | 8 (27%)                  | 0 (0%)                     | 11 (33%)                 | 3 (21%)                  | 22 (28%)                     | 104 (27%)                |
| leDEA region, n (%)      |                          |                          |                          |                          |                            |                          |                          |                              |                          |
| Asia-Pacific             | 17 (7%)                  | 53 (78%)                 | 70 (23%)                 | 13 (43%)                 | 0 (0%)                     | 1 (3%)                   | 0 (0%)                   | 14 (18%)                     | 84 (21%)                 |
| Central Africa           | 52 (22%)                 | 1 (1%)                   | 53 (17%)                 | 3 (10%)                  | 0 (0%)                     | 4 (12%)                  | 0 (0%)                   | 7 (9%)                       | 60 (15%)                 |
| Eastern Africa           | 60 (25%)                 | 9 (13%)                  | 69 (22%)                 | 10 (33%)                 | 0 (0%)                     | 3 (9%)                   | 12 (86%)                 | 25 (32%)                     | 94 (26%)                 |
| Southern Africa          | 76 (32%)                 | 1 (1%)                   | 77 (25%)                 | 1 (3%)                   | 0 (0%)                     | 8 (24%)                  | 2 (14%)                  | 11 (14%)                     | 88 (23%)                 |
| Western Africa           | 35 (15%)                 | 4 (6%)                   | 39 (13%)                 | 3 (10%)                  | 1 (100%)                   | 17 (52%)                 | 0 (0%)                   | 21 (27%)                     | 60 (16%)                 |

ATT = anti-TB therapy; IQR = interquartile range; LTFU = loss to follow-up; WAZ = weight-for-age Z-score

\* Median CD4 count among those ≥ 5 years was 144 cells/mm<sup>3</sup> (IQR 28-403 cells/mm<sup>3</sup>; 14% missing data).

† Median CD4 percentage among those < 5 years was 16% (IQR 8-23%; 34% missing data).

Table 2: Summary of TB diagnostics, sites of disease, treatment, and ART status for HIV/TB co-infected children, stratified by TB treatment outcome category.

|                                 | Favorable outcome<br>(n=308) | Unfavorable outcome<br>(n=78) | Combined<br>(N=386) |
|---------------------------------|------------------------------|-------------------------------|---------------------|
| Test Result, n (%)              |                              |                               |                     |
| Microbiologic diagnosis*        | 61 (20%)                     | 18 (23%)                      | 79 (20%)            |
| AFB smear positive              | 25 (8%)                      | 11 (14%)                      | 36 (9%)             |
| AFB culture positive            | 28 (9%)                      | 5 (6%)                        | 33 (9%)             |
| NAAT positive                   | 37 (12%)                     | 11 (15%)                      | 48 (12%)            |
| Clinical diagnosis              | 247 (80%)                    | 60 (77%)                      | 307 (80%)           |
| Negative test result(s)         | 127 (41%)                    | 28 (36%)                      | 155 (40%)           |
| No test performed               | 120 (39%)                    | 32 (41%)                      | 152 (39%)           |
| Site of disease, n (%)          |                              |                               |                     |
| Pulmonary                       | 229 (74%)                    | 58 (74%)                      | 287 (74%)           |
| Extra-pulmonary*                | 60 (19%)                     | 13 (17%)                      | 73 (19%)            |
| Abdominal                       | 30 (10%)                     | 5 (6%)                        | 35 (9%)             |
| CNS/Meningeal                   | 3 (1%)                       | 1 (1%)                        | 4 (1%)              |
| Lymphatic                       | 16 (5%)                      | 9 (12%)                       | 25 (7%)             |
| Miliary                         | 4 (1%)                       | 2 (3%)                        | 6 (2%)              |
| Osteoarticular                  | 2 (1%)                       | 0 (0%)                        | 2 (<1%)             |
| Pericardial                     | 1 (<1%)                      | 1 (1%)                        | 2 (<1%)             |
| Pleural                         | 4 (1%)                       | 3 (4%)                        | 7 (2%)              |
| Unknown                         | 11 (4%)                      | 1 (1%)                        | 12 (3%)             |
| Both                            | 18 (6%)                      | 7 (9%)                        | 25 (6%)             |
| Intensive phase regimen, n (%)† |                              |                               |                     |
| 3-drug HRZ                      | 62 (20%)                     | 22 (28%)                      | 84 (22%)            |

|                                 |           |          |           |
|---------------------------------|-----------|----------|-----------|
| 4-drug HRZE                     | 246 (80%) | 56 (72%) | 302 (78%) |
| ART status, n (%)               |           |          |           |
| ART prior to TB diagnosis       | 101 (33%) | 18 (23%) | 119 (31%) |
| ART ≤8 weeks after TB diagnosis | 109 (34%) | 15 (19%) | 124 (32%) |
| ART >8 weeks after TB diagnosis | 45 (15%)  | 8 (10%)  | 53 (14%)  |
| No ART                          | 25 (8%)   | 25 (32%) | 50 (13%)  |
| Missing                         | 28 (9%)   | 12 (15%) | 40 (10%)  |

\* More than one can apply, thus numbers do not add up to 100%

† A 3-drug regimen includes isoniazid (H), rifampin (R), and pyrazinamide (Z). A 4-drug regimen includes HRZ and ethambutol (E).

AFB = acid fast bacilli; NAAT = nucleic acid amplification test; ART = antiretroviral therapy

Table 3: Logistic regression models to identify factors associated with an unfavorable TB treatment outcome.

|   | All Pediatric Patients<br>Odds Ratio (95% CI) <sup>1</sup> | Children under 5<br>Odds Ratio (95% CI) <sup>2</sup> | Children aged 5-15<br>Odds Ratio (95% CI) <sup>3</sup> |
|---|--|--|--|
| Microbiologic diagnosis (vs. Clinical diagnosis ) | 1.77 (0.86, 3.65)  | 3.80 (1.12, 12.90)                                   | 1.45 (0.53, 4.00)                                      |
| 3-drug HRZ regimen (vs. 4-drug HRZE)*             | 0.88 (0.43, 1.80)  | 0.84 (0.23, 2.99)                                    | 0.91 (0.33, 2.50)                                      |
| On ART at TB diagnosis (vs. not on ART)           | 0.71 (0.38, 1.31)  | 1.04 (0.40, 2.67)                                    | 0.48 (0.21, 1.14)                                      |
| Age (per 1 year)                                  | 0.96 (0.89, 1.03)  | 0.83 (0.62, 1.13)                                    | 1.01 (0.88, 1.15)                                      |
| Weight-for-age Z-score (per 1 standard deviation) | 0.80 (0.67, 0.94)  | 0.80 (0.63, 1.02)                                    | 0.78 (0.61, 1.01)                                      |
| CD4 count (per 10 cells)                          | 1.00 (1.00, 1.01)  | omitted†   | 1.00 (0.99, 1.02)                                      |
| CD4 percentage (per 1 unit)                       | omitted†   | 1.00 (0.96, 1.05)                                    | omitted†   |
| Female (vs. Male)                                 | 0.77 (0.45, 1.32)  | 0.93 (0.41, 2.11)                                    | 0.64 (0.30, 1.40)                                      |
| Region  |  |  |  |
| Eastern Africa (reference)                        | 1  | 1  | 1  |
| Asia-Pacific                                      | 0.35 (0.14, 0.89)  | 0.28 (0.05, 1.43)                                    | 0.41 (0.12, 1.40)                                      |
| Central Africa                                    | 0.47 (0.18, 1.23)  | 0.88 (0.22, 3.52)                                    | 0.23 (0.04, 1.21)                                      |
| Southern Africa                                   | 0.34 (0.14, 0.84)  | 0.91 (0.23, 3.59)                                    | 0.08 (0.02, 0.45)                                      |
| Western Africa                                    | 1.22 (0.57, 2.62)  | 2.81 (0.69, 11.53)                                   | 0.78 (0.31, 1.99)                                      |

<sup>1</sup>There are 386 patients included in this model; 78 had an unfavorable outcome.

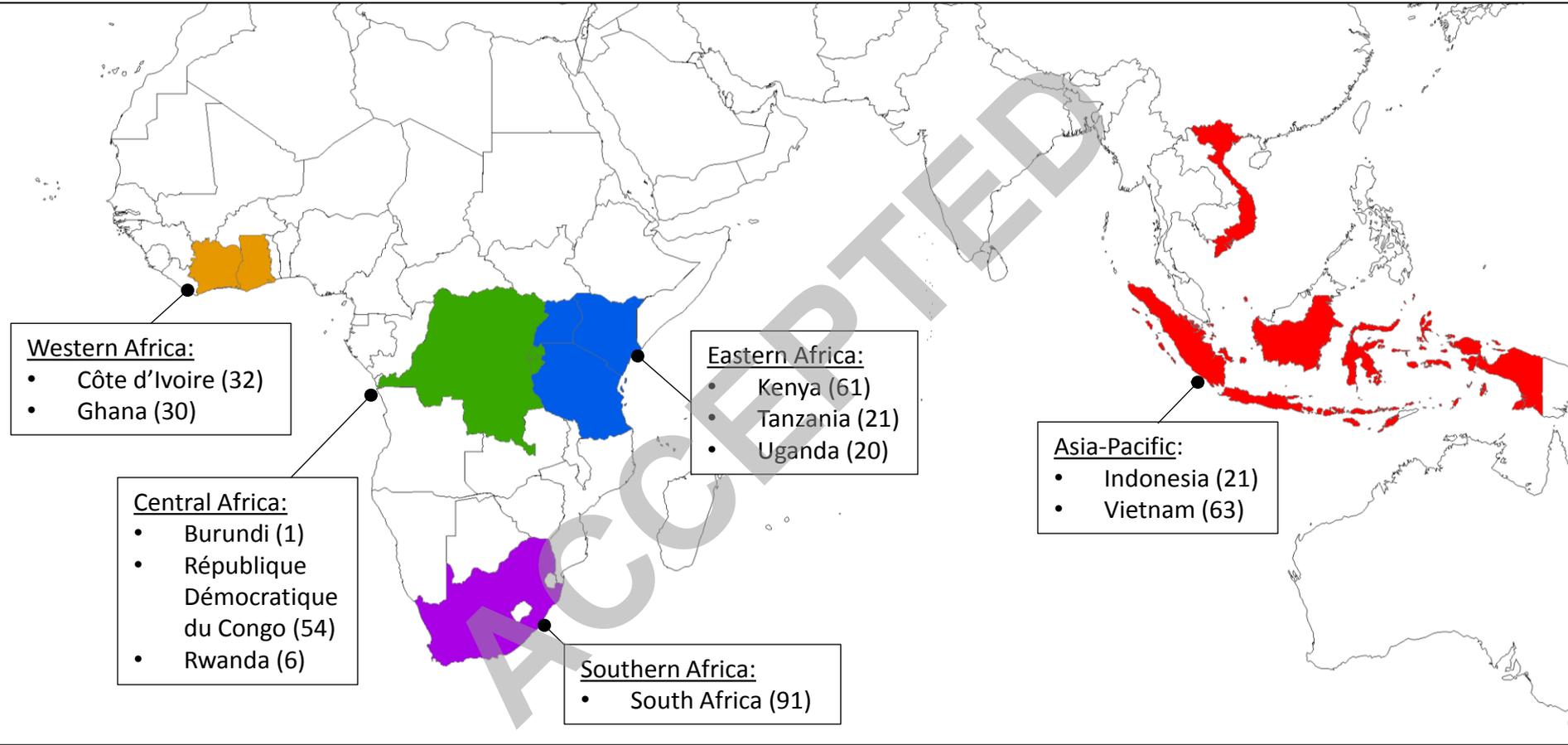
<sup>2</sup>There are 164 patients <5 years old included in this model; 35 had an unfavorable outcome.

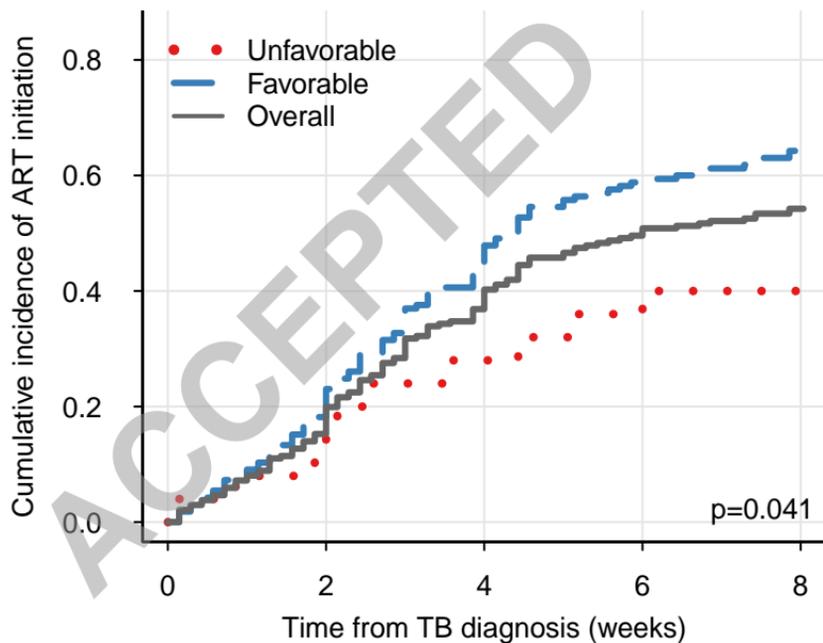
<sup>3</sup>There are 222 patients 5-15 years old included in this model; 43 had an unfavorable outcome.

\* A 3-drug regimen includes isoniazid (H), rifampin (R), and pyrazinamide (Z). A 4-drug regimen includes HRZ and ethambutol (E).

† CD4 count and CD4 percentage are correlated, so to save degrees of freedom only one or the other was used in each regression model.

Consistent with their clinical application, CD4 percentage was used in the model for those <5 years old, while CD4 count was used for the models including children 5-15 years old.





|                 |     |     |    |    |    |
|-----------------|-----|-----|----|----|----|
| Unfavorable ● ● | 25  | 22  | 18 | 16 | 15 |
| Favorable —     | 165 | 135 | 93 | 68 | 59 |