Title: Ice records provide new insights into climatic vulnerability of Central Asian forest and steppe communities

Short title: Vulnerability of forest-steppe communities

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ABSTRACT

Forest and steppe communities in the Altai region of Central Asia are threatened by changing climate and anthropogenic pressure. Specifically, increasing drought and grazing pressure may cause collapses of moisture-demanding plant communities, particularly forests. Knowledge about past vegetation and fire responses to climate and land use changes may help anticipating future ecosystem risks, given that it has the potential to disclose mechanisms and processes that govern ecosystem vulnerability. We present a unique paleoecological record from the high-alpine Tsambagarav glacier in the Mongolian Altai that provides novel large-scale information on vegetation, fire and pollution with an exceptional temporal resolution. Our palynological record identifies several late-Holocene boreal forest expansions, contractions and subsequent recoveries. Maximum forest expansions occurred at 3000–2800 BC, 2400–2100 BC, and 1900–1800 BC. After 1800 BC mixed boreal forest communities irrecoverably declined. Fires reached a maximum at 1600 BC, 200 years after the final forest collapse. Our multiproxy data suggest that burning peaked in response to dead biomass accumulation resulting from forest diebacks. Vegetation and fire regimes partly decoupled from climate after 1700 AD, when atmospheric industrial pollution began, and land use intensified. We conclude that moisture availability was more important than temperature for past vegetation dynamics, in particular for forest loss and steppe expansion. The past Mongolian Altai evidence implies that in the future forests of the Russian Altai may collapse in response to reduced moisture availability.
INTRODUCTION

Forest disruption has substantially increased globally in recent years (McDowell & Allen, 2015). The vast boreal forests and forest steppes in and around the Altai region in Central Asia provide an important terrestrial carbon storage but respond highly sensitive to recent global change (Sato et al., 2007; Liu et al., 2013; Chenlemuge et al., 2013; Tian et al., 2013; 2014; Hijioka et al., 2014; Dulamsuren et al., 2016; Khansaritoreh et al., 2017; Zaoh et al., 2018). In the past decades, the Altai region experienced rising temperatures combined with increasing extreme events such as prolonged heatwaves, drought periods and short-term heavy rainfall events (Lkhagvadorj et al., 2013). As boreal forest growth is not only limited by temperature but also by moisture availability, the forests progressively suffer from water constraints (Dulamsuren et al., 2010; 2014). The establishment, persistence and decline of these boreal forests depend on soil moisture availability which is not only constrained by precipitation, but also by the local soil development and its water-holding capacity (Henne et al., 2011) that is extremely low for the predominant soil types in the region.

The central position of the Altai Mountains between the vast Siberian Taiga forests in the north and the Gobi desert in the south results in a steep climatic and vegetation gradient with fragmented and diverse habitats including many rare and endemic species (Rudaya et al., 2008). Their natural resources such as forests, productive grasslands, and fresh water sources have attracted Central Asian nomadic groups since centuries (Rudaya et al., 2008). In recent years, these ecotonal mountain steppe ecosystems experienced rapid degradation through over-grazing, systematic logging, dead wood collecting and human-set fires (Tsogtbaatar, 2004; Dulamsuren et al., 2014). Anthropogenic pressure combined with growing moisture deficiency may cause irreversible forest vegetation loss, reduce steppe pasture productivity and thus alter species composition and diversity (Lkhagvadorj et al., 2013).

Knowledge about past vegetation dynamics in the Mongolian Altai contributes to a better understanding of future ecosystem responses to climate change and human land use, and may assist forest, grassland and fire management strategies by providing baselines of past ecosystem...
variability in response to strong environmental change. However, paleo records that provide
information about Holocene vegetation and fire history are scarce, lack temporal resolution
and/or chronological precision (Tarasov et al., 2000; Gunin et al., 2009; Rudaya et al., 2009;
Umbanhowar et al., 2009; Unkelbach et al., 2018). Such limitations impede a thorough
assessment of ecosystem resilience and vulnerability. The snow-capped Tsambagarav
Mountain provides a regional to supra-regional ice archive of ecosystem change, which is well
suited to reconstruct ecosystem dynamics with high temporal resolution and precision (Herren
et al., 2009). Here we address persisting knowledge gaps with the following aims: (1) for the
first time, we use microscopic charcoal to reconstruct the fire dynamics in the Mongolian Altai;
(2) pollen, spores and spheroidal carbonaceous particles are used to investigate the long-term
linkages between the fire regime, vegetation, land use, and pollution; (3) we use the
palynological information including charcoal to assess ecosystem response variability to
climate change, and (4) evidence from other studies is used to underscore the spatio-temporal
relevance of our outcomes and to derive implications for ecosystem responses under global-
change conditions.

STUDY SITE

The Altai Mountains stretch over ca. 1200 km, crossing the borders of Russia, Mongolia,
Kazakhstan, and China. With 4500 m a.s.l. maximum elevation (Mount Belukha in Russia, Fig.
1A) the Altai Mountains build a continental climate barrier for air masses from northwest,
resulting in a strong northwest (800 mm year⁻¹) to southeast (<200 mm year⁻¹) precipitation
gradient (Klinge et al., 2003) given that the moisture source in the region are the Westerlies.
The extreme continental climate is dominated by the Siberian High with cold dry winters and
precipitation prevailing in June to August (Klinge et al., 2003). The investigated ice archive on
Tsambagarav Mountain is located in the Mongolian Bayan-Ölgii province (Fig. 1A), a region
with very dry climatic conditions (annual precipitation ca. 200 mm at 1700 m a.s.l.).
Figure 1 Study area, chronology and modern pollen deposition at Tsambagarav glacier. Panel A: Map of the Altai region with glacier records (triangle) and selected records of fire and vegetation reconstructions (white dots), map modified (source of satellite images: U.S. Geological Survey). Panel B: Chronology of Tsambagarav record based on a glacier flow model (blue dashed line), annual layer counting (2009–1815 AD), maximum tritium peak (red diamond), volcanic layers (red triangles) and 210Pb activity (green circles). From 1815 AD modeled ages as exponential equation (black dashed line) with upper and lower limit of the equation (gray shaded) based on 14C- dating of water-insoluble organic carbon of atmospheric origin (black squares with uncertainty bars). Insert: 14C-date of an insect remain (red cross and photo, Uglietti et al., 2016). Figure adapted from Herren et al. (2013). Panel C: Modern pollen assemblage in Tsambagarav glacier ice (average over 20 years as percentages of the terrestrial pollen sum).

Geologically, the Mongolian Altai consists of siliceous bedrock, including schists and granites with Leptosols as prevailing soil type that are susceptible to erosion and desiccation (Dulamsuren et al., 2014). The modern vegetation around Tsambagarav reflects the cold semi-arid continental climate characterized by huge differences in maximum and minimum daily and yearly temperatures (July average +22.7 °C, January average -22.6 °C at Ölgii weather station; NOAA, 2013). Gradients such as altitude and exposure lead to pronounced local differences in growth season length, heat sum, precipitation, and soil formation, which together strongly affect species distribution and productivity (Rudaya et al., 2009).

Wide areas at high elevations surrounding Tsambagarav are occupied by cryo-xerophytic mountain steppe communities mainly composed of Festuca sulcata sp., Poa botryoides, Carex pediformis, but also Artemisia frigida and A. tanacetifolia (Walter, 1974). Alpine tundra communities with Betula nana ssp. rotundifolia (synonyms Betula nana subsp. rotundifolia (Spach) Malyschev, Betula glandulosa Michaux subsp. rotundifolia (Spach) Regel, and Betula rotundifolia Spach, see TPL, 2018; Gunin et al., 2009), Salix glauca, Kobresia, and Potentilla sericea become more abundant with increasing altitude and may penetrate up to 3000 m a.s.l.
(Walter, 1974). High alpine *Kobresia* meadows with *Poa altaica*, *P. sibirica*, *Festuca*, *Carex* and *Thalictrum alpinum* are increasingly fragmented above 3200 m a.s.l. *Sedum aligidum* is found up to the nival zone close to the eternal snow margin (Walter, 1974), which is at Tsambagarav between 3000 to 3800 m a.s.l. depending on the exposure (Herren et al., 2013). Below 1800–2000 m a.s.l. the mountain steppes are gradually replaced by dry *Stipa-Artemisia* steppe communities with *Stipa glareosa*, *S. gobica*, *Allium*, *Tanacetum*, *Artemisia* species, and *Caragana* (Walter, 1974; Gunin et al., 2009). *Anabasis brevifolia* (Chenopodiaceae) is the most common halophilous taxon in the region. Desert-steppe communities composed of *Stipa* sp. and *Salsola* dominate in dry isolated valleys and southeast of Tsambagarav in the large mountain depression “basin of the large lakes”, where precipitation is further reduced to <200 mm year\(^{-1}\) (Gunin et al., 2009). Wet herbaceous communities and small woody stands with *Betula pendula*, *Populus tremula*, *Salix*, and *Alnus glutinosa* grow along streams (Walter, 1974; Gunin et al., 2009; Stritch et al., 2014). The closest of these parklands with dozens of km\(^2\) sizes occur ca. 50 km northwest of Tsambagarov.

The mid-elevation forest belt in the Mongolian Altai is restricted to north facing slopes in the western (Hoton Nur area, Fig. 1A) and northwestern part of the Mongolian Altai between 1900–2100 m a.s.l., while on south facing slopes, mountain steppe communities directly pass over to alpine plant communities. The narrow and discontinuous forest belts are composed of *Pinus sibirica*, *Larix sibirica*, *Betula pendula*. *Picea obovata* co-occurs where soil moisture is sufficient (Walter, 1974; Gunin et al., 2009). In these forest stands at ca. 100 km distance from Tsambagarav, the upper limit of tree growth is controlled by summer temperature and the lower limit by moisture availability and anthropogenic pressure such as logging activities (Klinge et al., 2003; Lkhagvadorj et al., 2013; Tsogtbaatar, 2013). Floristically, the Mongolian forest relicts belong to the forests in the Russian Altai (Walter, 1974) which consist of *Pinus sibirica*, *Abies sibirica*, *Larix sibirica* and *Betula pendula* that form a dense boreal forest belt between ca. 1000 and 2000 m a.s.l. in the region north of the Belukha glacier (see Fig. 1A; Walter, 1974; Eichler et al., 2011). Below 1000 m a.s.l the Russian Altai is characterized by lowland feather-
grass steppes (Stipa, other Poaceae, Artemisia, and Chenopodiaceae; Walter, 1974). Modern
Pinus sylvestris and Abies sibirica distribution is restricted to the Russian and Kazakh Altai, ca.
150–200 km north of Tsambagarav (Gunin et al., 2009).

MATERIAL AND METHODS

Ice material and microfossil analysis
We analyzed samples from an existing ice core from Tsambagarav Mountain. The core was
drilled on the eastern summit (48° 39.338′ N, 90° 50.826′ E; Fig. 1A) in July 2009 at an altitude
of 4130 m a.s.l. (Herren et al., 2013). The drilling reached bedrock with a total ice core length
of 72 m and a diameter of 8.2 cm. Core segments of ca. 70 cm were transported frozen to the
Paul Scherrer Institute (PSI) in Switzerland.

202 continuous samples spanning the time 3500 BC to 2009 AD (55.6–0 m weq = water
equivalent, corrected for varying density) from the outer part of the ice core were taken for
palynological analysis. The sampling resolution was 40–90 years (3500 BC–1200 AD), 20–30
years (1200–1650 AD), 10 years (1650–1700 AD), five years (1700–1985 AD), and one year
(1985–2009 AD, merged to five years after analysis) using the chronology of Herren et al.
(2013). An additional 14C-date from an insect remain found during palynological sampling
confirmed the accuracy of the existing depth-age model (Fig. 1B; Uglietti et al., 2016). Each
sample contained 200–400 g ice, except one sample with 45 g at 52.2 m weq. The microfossil
extraction followed a protocol for ice sample preparation (Brugger et al., 2018). One
Lycopodium tablet was added to each sample before lab treatment to estimate microfossil
concentrations (Stockmarr, 1971). Due to strong thinning in the deeper part of the glacier caused
by lateral ice flow, annual layers could not be identified before 1825 AD, preventing influx
calculations with a reasonable time resolution.

We use pollen and spores to infer vegetation history and the coprophilous fungal spore
Sporormiella as a proxy for herbivore grazing activity. A pollen sum of 500 was reached except
in the samples of section 54–53 m weq (2600–2000 BC), where due to small pollen
concentrations we reached 100 grains, which is above the minimum for reliable percentage calculations and environmental reconstructions (50 items; Heiri and Lotter, 2001). Pollen and spore identification under a light microscope at 400 x magnification followed palynological keys (Huang, 1972; Moore et al., 1991; Beug, 2004) and the reference collection in Bern, Switzerland. Shrub type (referred to as Betula nana-type) and tree type Betula (Betula alba-type) separation is based on the pore depth and the grain diameter to pore depth ratio (D/P) following Clegg et al. (2015). The palynological Betula distinction covers B. pubescens, B. pendula (both B. alba-type), B. glandulosa and B. nana (both B. nana-type) as well as other North American and Eurasian birch species (Birks, 1968; Clegg et al., 2015). Cerealia-type was classified according to Beug (2004). Although Artemisia comprises herb and shrub species, we include all Artemisia pollen in the herb pollen sum following Gunin et al. (2009) since pollen taxonomy allows no further discrimination. Pollen and spore data are presented as percentages of the terrestrial pollen sum.

Microscopic charcoal >10 µm is used as a proxy for fire activity (e.g. MacDonald et al., 1991; Tinner et al., 1998; Conedera et al., 2009; Adolf et al., 2017). We counted a minimum sum of 200 items (charcoal fragments and Lycopodium grains, Finsinger & Tinner, 2005; Tinner & Hu, 2003). If needed (low charcoal concentrations), we continued until a minimum of 20 charcoal fragments was reached. Subsequently, the > 90th percentile (= 10 % upper charcoal concentration values over the entire record) was identified to infer regional fire activity peaks. SCP (= spheroidal carbonaceous particles) with a diameter >10 µm and clear features (Rose, 2015) were counted along pollen and spores to reconstruct industrial air pollution. All microfossil concentrations were standardized to one liter.

Annual layer thickness is highest in the uppermost part of the ice core, resulting in an exponential depth-age relationship (Fig. 1B). Thus, the temporal sampling resolution in the younger part is much higher compared to the older part of the ice core where the ice had thinned substantially (i.e. one to several hundred years per m weq with increasing core depth). These archive characteristics result in varying detection limits for rare microfossil types along the
record for comparable time periods. We kept the original lab sampling resolution for the
interpretation of the palynological record (Figs 2–4) while we amalgamated samples of the
overview pollen and charcoal records to reach 40 to 50 years resolution in the younger part
(period 1100–2009 AD, Fig. 5). This resulted in comparable time steps along the sequence.

**Numerical analysis**

Optimal sum-of-squares partitioning was applied for zonation of the pollen data (Birks &
Gordon, 1985). Subsequently, statistically significant local pollen assemblage zones (LPAZ)
were inferred with the broken stick approach (Bennett, 1996). Only LPAZ with more than two
samples were accepted to account for single microfossil deposition events reaching the exposed
high-alpine glacier site. We applied ordination methods to statistically summarize the pollen
signal and to search for correlations with supplementary variables and similarities with external
data. The short gradient length of the first axis (= 1.35) of a detrended correspondence analysis
(DCA, detrended by segments) justifies using linear ordination methods (ter Braak & Prentice,
1988). Therefore, we applied principal component analysis (PCA) based on a correlation
matrix. Charcoal concentrations, fern spore and *Sporormiella* percentages of the Tsambagarav
data were included as supplementary variables (Fig. 4) and pollen percentages from Belukha
glacier (Eichler et al., 2011) were included as external samples (not influencing the ordination
dataset) to search for spatio-temporal similarities between the two sites. We amalgamated
*Betula* (includes *Betula nana*-type and *Betula alba*-type) and Chenopodiaceae (*Salsola* and
remaining Chenopodiaceae) to homogenize the taxonomic resolution between the Tsambagarav
and Belukha data.

To our knowledge palynologically-based diversity measures (e.g. palynological richness,
evenness) are not available yet from the Altai region. To fill this gap we estimated palynological
richness (PRI) with rarefaction analysis as a proxy for species richness and the probability of
interspecific encounter (PIE) as a proxy for evenness (Birks & Line, 1992; Hurlbert, 1971). The
minimum pollen sum for rarefaction analysis was 105 pollen grains. To account for evenness
RESULTS AND INTERPRETATION

Modern pollen composition reflects vegetation and pollen catchment

The modern pollen concentration in the Tsambagarav record is ca. 6000 grains l\(^{-1}\) which
corresponds to a total influx of 450 grains cm\(^{-2}\) year\(^{-1}\). This is very low compared to sedimentary
archives. The largest amount derives from the steppic taxa *Artemisia* (53 %), Poaceae (8 %)
and Chenopodiaceae (7 %), with arboreal pollen (AP) of *Betula alba*-type (12 %), *Juniperus* (4 %),
and conifers such as *Pinus sibirica* (6 %; Fig. 1C). With 25 % AP and 75 % non-arboreal
pollen (NAP) the pollen signal reflects the patchy modern regional vegetation dominated by
dry herbaceous steppes with scattered boreal trees. The presence of conifer and *Betula* pollen
indicates regional sources, as the closest parklands with *Betula pendula* (*Betula alba*-type
pollen) occur at ca. 50 km northwestwards and forested areas around 100 km westwards in the
Hoton Nur region (Fig. 1A). Single grains of warm-loving taxa (e.g. *Castanopsis*-type and
*Pistacia*; Fig. 2) along the record indicate pollen transport by southern air masses over more
than 1000 km, where *Pistacia* has its northern distribution limit today (Golan-Goldhirsh, 2009).
Westerlies are the main moisture source for the Altai region. On the basis of the modern
atmospheric pattern (Herren et al., 2013) we assume northwest as the predominant wind
direction for our site during the mid and late Holocene. The historical pollen assemblages at
Tsambagarav are clearly distinct from those from Belukha glacier in the Russian Altai ca. 320
km northwest (Fig. 1A; Eichler et al., 2011). This finding suggests little overlap of the two
glacier pollen catchments. Based on the pollen composition in the top sample of Tsambagarav
and its comparison with vegetation composition in the study area (e.g. Walter 1974; Gunin et
al., 2009) we assume that the Tsambagarav pollen signal derives from a catchment of ca. 60–
200 km around the site, most likely with a strong northwest bias and with only occasional pollen
grains deriving from longer distances.
Vegetation history

Six statistically significant local pollen assemblage zones (LPAZ) were identified along the palynological record (Figs 2–3). We additionally divided TSA-3 and TSA-5 in two non-significant subzones a and b. Results are presented as pollen percentages and pollen concentrations (around 10'000 grains l\(^{-1}\) except the period 2900–1800 BC (zone TSA-3a) with low concentrations <2000 grains l\(^{-1}\)).

Pollen data in zone TSA-1 (3500–3100 BC) indicates that the vegetation was dominated by herbaceous steppe communities, mainly composed of *Artemisia* (80 %) with Poaceae, Chenopodiaceae and other taxa growing in dry *Stipa-Artemisia* steppe communities (e.g. *Cyperaceae, Bupleurum*-type, *Galium*-type; Fig. 2). The pollen record indicates that *Salsola*, a key taxon of semi-desert environments occurring i.e. in sheltered valleys (Walter, 1974), was also present. AP percentages are low (0–10 %) and mainly composed of *Betula alba*-type and the dry adapted taxon *Ephedra* with single pollen grains of *Pinus sylvestris*-type and *Pinus sibirica*. The conifer pollen suggests either presence of single conifers in locally favorable spots in the herbaceous steppe or long-distance pollen transport.
Figure 2 Percentage diagram of Tsambagarav ice core spanning the past 5500 years. Selected pollen types, fern spores, and coprophilous fungal spores based on the terrestrial pollen sum. Temperate arboreal summary curve consists of *Fagus*, *Corylus*, *Quercus* and other temperate arboreal pollen taxa. Hollow curves = 10x exaggeration. Diversity estimation (Hurlbert, 1971) based on a minimum pollen sum of 105 for pollen richness (PRI), evenness-detrended pollen richness (DE-PRI), and evenness index (PIE). Concentration curves for charcoal, pollen and *Sporormiella* in particles l$^{-1}$ and total terrestrial pollen sum. LPAZ = statistically significant local pollen assemblage zones, dashed lines not statistically significant. Chronology according to Herren et al. (2013), reference horizons in Fig. 1A.

Tree pollen percentages reach highest peaks between 3000 and 1800 BC (up to 50%; LPAZ TSA-2–TSA-3a; Fig. 2) indicating afforestation pulses in the steppes possibly resulting from moister and/or warmer conditions. *Betula alba*-type percentages (30%) as well as tree pollen concentration peaks around 3000 and 1900 BC hint to periods with propitious environmental conditions that allowed expansion of the pioneer species. Pollen of the arctic-alpine shrub taxa *Betula nana*-type and *Salix*, as well as *Juniperus* reaches highest percentages of the entire record during this phase. This suggests an upward expansion of alpine tundra vegetation to altitudes higher than 3000 m a.s.l., which is today’s upper altitudinal limit of alpine tundra shrubs such as *Salix glauca* and *Betula nana* ssp. *rotundifolia* in the area (Walter, 1974; Gunin et al., 2009). The second tree pollen peak between 2400 and 2100 BC is marked by an initial rise of *Betula alba*-type (20%) followed by a second phase where pollen percentages of *Pinus sibirica*, *Picea*, *Larix*, *Abies*, and *Alnus viridis* increase, indicating a succession from primary *Betula pendula*-dominated forests to more diverse secondary forests and green alder thickets (Fig. 2). The rise of pollen from temperate trees (mainly *Quercus*, *Corylus* and *Fagus*) to 5% may indicate a stronger influence of southern airmasses since the closest occurrence of these taxa is in China (Wu & Raven, 1999). The forest expansions coincided with a spread of ferns (maximum fern spore percentages of the record). This period is further characterized by the lowest pollen concentrations of the entire record (<2000 grains l$^{-1}$) that indicate diluted microfossil concentrations possibly caused by higher ice accumulation rates due to moister environments (Fig. 2, Herren et al., 2013).
Figure 3 Percentage diagram of Tsambagarav ice core for the past millennium. Selected pollen types, fern spores, coprophilous fungal spores based on the terrestrial pollen sum. Temperate arboreal summary curve consists of Fagus, Corylus, Quercus and other temperate arboreal taxa. Hollow curves = 10x exaggeration. Diversity estimation (Hurlbert 1971) based on a minimum pollen sum of 105 for pollen richness (PRI), evenness-detrended pollen richness (DE-PRI), and evenness index (PIE). Concentrations of charcoal, SCP (spheroidal carbonaceous particles), pollen, and Sporormiella in particles^1. LPAZ = statistically significant local pollen assemblage zones, dashed line not statistically significant. Chronology, presented ^14C-dates and reference horizons (volcanic layers, drilling year, and tritium peak) according to Herren et al. (2013).

AP decreases stepwise at ca. 1800 BC, 800 BC, 1100 AD, and 1700 AD (LPAZ TSA-3b–TSA-5b), pointing to several forest or arboreal vegetation retraction phases in the areas northwest and north of Tsambagarav. Dry Stipa-Artemisia steppe (e.g. Poaceae, Artemisia) as well as desert-steppe communities (e.g. increasing Chenopodiaceae and Salsola-type percentage values, Figs 2–3) expanded. The tree diebacks are defined by LPAZ boundaries indicating significant shifts in the vegetation around the glacier. A short-term Pinus sibirica pollen increase between 900 and 1100 AD (defined by LPAZ TSA-4) hints to a temporary establishment of the species in the catchment. Maximum landscape openness was reached after 1700 AD (AP <10 %; Fig. 3). AP rises noticeably during LPAZ TSA-6 (1960–2009 AD) which is mainly due to increasing Betula alba-type and indicates rapid spreads of pioneer trees.

The presence of Cerealia-type is interpreted as a primary indicator for farming activities if associated with other pollen indicative of land use (e.g. Linum usitatisimum, Plantago lanceolata; Lang, 1994). Association with other adventive pollen (or less ideal apophytes pollen) is needed, because in entire Eurasia Cerealia-type pollen is occasionally produced by wild grass species (Beer et al., 2007; Van Zeist et al., 2016), e.g. by Trisetum spicatum, a common wild grass species of the Mongolian mountain steppes (Walter, 1974). Secondary anthropogenic pollen indicators such as Rumex crispus (R. acetosa-type), Cichorioideae, Urtica and Liliaceae prefer nutrient enriched former campsites suggesting pastoralism activities, although they may occasionally also occur naturally on humid and nutrient-rich soils in the Mongolian Altai (Gunin et al., 2009). Thus, the presence and in particular the combined increase of these indicators (Fig. 2) might point to land use activities in the Mongolian Altai after 3500 BC. Cerealia-type pollen occurs regularly after 2000 BC and reaches a maximum around 1000 AD, often in combination with Urtica, Rumex and Liliaceae. Cerealia-type pollen...
rises again around 1700 AD, and after 1700 AD *Urtica*, *Cannabis*-type and *Rumex* percentages increase indicating intensified pastoralism activities (Gunin et al., 2009).

Dung fungal spores of *Sporormiella* are continuously present in large quantities along the entire record indicating continuous herbivore grazing in the steppes. The *Sporormiella* record suggests that herbivore grazing activities reached a maximum during the afforestation phase (20 % around 2200 BC). Increased grazing activity was possibly released by an enhanced productivity of the steppes related to increasing moisture, or less likely, by favorable (humid) conditions for fungi growth and spore production. As observed for pollen, *Sporormiella* concentration values remain low due to increased ice accumulation rates. The *Sporormiella* concentrations rise slightly after 1600 AD, which might be related to intensified herding activities over the past centuries.

**Diversity and ordination analysis**

In a large pollen catchment such as Tsambagarav that includes a wide range of habitats, pollen richness is rather related to ecosystem diversity and thus the number of habitats, than to floristic diversity within plant communities. Low PIE values (<0.5) throughout the sequence follow PRI suggesting that species evenness was constantly low. However, evenness reconstructions were possibly affected by the large *Artemisia* portions, a pollen taxon that is commonly overrepresented in steppic ecosystems (Liu et al., 1999) and prevails over the entire record (Figs 2–3). PRI and DE-PRI remain low until 3000 BC (PRI ca. 5–15; DE-PRI ca. 10), followed by an increase (PRI max. 20–30, DE-PRI 15) between 3000 and 2400 BC when AP percentages are peaking. Given that pollen richness is correlated with AP (*r* = 0.64, Figs 2–3) it is likely that forest expansions contributed to increasing diversity. After the forest retreat at 1800 BC, diversity remained at intermediate levels (PRI ca. 10–20, DE-PRI ca. 10–15) until 1700 AD. Higher diversity in the younger steppes (pre-3000 BC vs. post-1800 BC) was possibly related to reorganizations to grassy steppe communities (e.g. Poaceae increase; Fig. 3). Palynological diversity drops to low values after 1700 AD (PRI and DE-PRI around 10)
suggesting a further decline of diversity perhaps related to intensified herding (e.g. *Sporormiella* rise).

The sample distribution on the PCA axes 1 and 2 (Fig. 4A) shows a large LPAZ overlap with only minor vegetation changes over the past five millennia. Samples of LPAZ TSA-1 and TSA-6 vs. TSA-4 are separated along axis 1 and samples of LPAZ TSA-2 and TSA-3a are shifted along axis 2, reflecting variations in the vegetation composition between different steppe communities and boreal forests over time. A very high share (66 %) of the variance is explained by axis 1 which splits mainly moist steppe communities (*Artemisia, Persicaria vivipara*) from the rest: dry steppic (*Chenopodiaceae, Rheum, Poaceae*), cryophilous alpine *Kobresia*-meadow (e.g. *Cyperaceae*) and rather mesophilous boreal forests (e.g. *Betula, Pinus sibirica*). Axis 2 explains another 21 % of the variance and separates dry grass steppe (e.g. *Poaceae, Chenopodiaceae*, *Thalictrum*) from cryophilous, mesophilous and rather thermophilous communities: tundra shrublands (e.g. *Alnus viridis*), boreal (*Picea, Larix, Betula*) and nemoboreal or temperate (e.g. *Ulmus, Quercus, Corylus*) arboreal taxa. Thus, both axes may indicate aspects related to moisture availability and associated temperatures, such as steppic species composition (e.g. *Artemisia* vs. *Chenopodiaceae* and *Poaceae* for axis 1) and biomass or biome allocation (steppic vs. boreal or nemoboreal) for axis 2.

PCA for the Belukha samples (Fig. 4B) reveals that the Tsambagarav results are reproducible in the Russian Altai. Axis 1 explains 42 % of the variance separating *Artemisia* from dry steppic *Stipa*-communities (e.g. *Poaceae, Chenopodiaceae*) and axis 2 explains 22 % separating dry steppes from boreal forests (*Betula, Pinus sibirica*). The compositional similarities between the two PCA suggests moisture availability and less important temperature as drivers of vegetation change. If combined (Fig. 4A) Russian Altai sample scores group in one edge of Axis 1, along an axis 2 gradient. The sample score comparison suggests a high similarity of Belukha with Tsambagarav during the afforestation phase 3000–1800 BC (TSA-2–TSA-3a). The ordination clearly separates modern Tsambagarav (TSA-6) and Belukha samples probably because of moisture-related differences and different anthropogenic influence on both, Mongolian and
Russian Altai plant communities.

Figure 4 Principle component analysis (PCA) for pollen percentages of Altai glacier records. Panel A: PCA for the Mongolian Altai (Tsambagarav glacier) today surrounded by open steppes with only relict forest patches, spanning 3500 BC–2009 AD. Sample scores with different symbols for the corresponding local pollen assemblage zone (LPAZ), selected species scores (black arrows corresponding to pollen types) indicate vegetation composition changes for sample scores from boreal forest (e.g. *Pinus cembra*) to less dry (e.g. *Artemisia*) and arid steppes (e.g. Chenopodiaceae). Selected supplementary variables (grey arrows, *Sporormiella* and fern spores as percentages of the terrestrial pollen sum [%], charcoal concentrations [particles l⁻¹]). Russian Altai (Belukha glacier) today surrounded by abundant boreal forests spanning 1250–2001 AD. Sample scores are plotted as supplementary data not influencing the ordination (black cross symbols). The PCA results underline the similarity of mid-Holocene forest communities in the Mongolian Altai with historical and modern boreal forests in the Russian Altai. Panel B: Selected species scores for the Belukha dataset. Selected species scores for the Russian Altai show a close relationship with species scores from the Mongolian Altai (Panel A). Taken together this finding underscores the vulnerability of extant Central Asian forests to current and future climate change. Specifically, future vegetation dynamics in the Russian Altai may follow past climate impact trajectories in the Mongolian Altai, from forested (positive scores) to steppic communities (negative scores) along PCA axis 1.
Fire and industrial pollution history

The average charcoal concentration in the upper firn (ca. 6000 particles l⁻¹ for the period 2009–2005 AD) corresponds to a microscopic charcoal influx of ca. 200 particles cm⁻² year⁻¹ or 0.085 mm² cm⁻² year⁻¹ (Tinner & Hu, 2003), which is extremely low if compared to sediment records (Adolf et al., 2017). Charcoal concentrations reveal no major fire activity trend between 3500 BC and 1700 AD with an average of ~5000 particles l⁻¹. A single outstanding charcoal peak around 1540 BC (29’000 particles l⁻¹) suggests a short phase of major fire activity ca. 250 years after a major forest decline. Other charcoal-concentration inferred fire-activity peaks (>90-percentile = >7300 particles l¹; Figs 2–3) also occurred following forest declines (e.g. ~2650 BC ca. 150 years after the forest decline around 2800 BC), suggesting that collapses of boreal taxa provided dead biomass and thus fuel for fire activity (De Groot et al., 2000; Eichler et al., 2011; Tinner et al., 2015; Kuuluvainen et al., 2017). Charcoal concentrations remain low after 1700 AD with an average of ~2600 particles l⁻¹ and no peaks >90-percentile indicating minimal fire activity when herbaceous steppe ecosystems were dominant. However, microscopic charcoal hints to minor increase of fire activity after 1960 AD. Charcoal concentration as supplementary variable in the PCA (Fig. 4) groups with AP, again suggesting biomass availability as an important factor for burning.

First SCP occur around ca. 1720 AD at the beginning of zone TSA-5b (Fig. 3). Those scattered but frequent particles indicate initial atmospheric pollution, possibly deriving from early industrialization and mining activities (Naumov, 2006). Regionally, they coincide with minimum fire activity and maximum landscape openness, indicating a possible shift from solely timber-based to increasingly fossil fuel-based energy consumption, perhaps motivated by limited timber availability. SCP rise after 1920 AD, suggesting amplified industrial air pollution during the 20th century. A first concentration peak around 1960 AD with 80 particles l⁻¹ and a second maximum around 2000 AD (100 particles l⁻¹) coincide with highest charcoal concentration values (6000 particles l⁻¹) during the 20th century.
DISCUSSION

Fire and fuel dynamics during the past 5000 years

Tsambagarav receives ca. 200 microscopic charcoal particles cm\(^{-2}\) yr\(^{-1}\) today which is in the same order of magnitude as Belukha glacier 320 km northwest in the Russian Altai (150 particles cm\(^{-2}\) yr\(^{-1}\); Eichler et al., 2011) at a similar altitude (4062 m a.s.l.). Charcoal influx values at Belukha are ca. 40 times lower than at nearby Teletskoye Lake at 1900 m a.s.l. (8200 particles cm\(^{-2}\) yr\(^{-1}\); Andreev et al., 2007). The influx difference between glaciers and neighboring lake sediment archives is best explained by the remoteness of the glaciers and the limited vertical atmospheric transport to the high elevation ice core sites (Gilgen et al., in review). To our knowledge, no microscopic charcoal records from the Mongolian Altai are available. Local fire reconstructions are based on macroscopic charcoal and cover the past millennia (Umbanhowar et al., 2009; Unkelbach et al., 2018). Despite the spatio-temporal variability their reconstructed fire signal corresponds to our regional fire activity peaks from Tsambagarav (microscopic charcoal peaks >90-percentile, Fig. A1), if dating uncertainties are considered. Recent calibration studies at the continental scale showed that micro- and macroscopic charcoal has very similar spatial proveniences spanning a radius of about 40 km around sedimentary sites (Adolf et al., 2017). Glaciers on the other hand act as a regional to subcontinental archive of biomass burning, integrating fire activity over larger spatial scales (Legrand et al., 2016). Very high concentrations >20.000 particles l\(^{-1}\) suggest that the fire activity peak in the Tsambagarav record around 1500 BC was comparable to the maximum burning of the past 800 years that occurred around 1600 AD at Belukha glacier in the Russian Altai (Fig. A2). The 1500 BC maximum fire phase in the Tsambagarav record may chronologically correspond to the late-Holocene fire activity peak at Zagas Nur around 20 km southwest of Tsambagarav (Umbanhowar et al., 2009) where it is dated to 1400 BC, while at Doroo Nur (50 km south) fire activity was only moderate around 1500 BC. As the fire peak does not occur in more distant records from western Mongolia (Fig. A1; Umbanhowar et al.,
we assume that the fire might have been localized close to the glacier (20–40 km) or located north or northwest.

Figure 5 Comparison of the palynological record from Tsambagarav with regional and climate records. From left:

- Tsambagarav ice accumulation rate (anomaly from the mean of the past 6000 years, Herren et al., 2013)
- Tsambagarav vegetation reconstruction (summary curve for pollen, DCA-axis 1, correlation arboreal pollen percentages: DCA scores axis 1: \( r = 0.95 \); this study), regionally-averaged moisture index for the Altai Mountains based on pollen records (Wang & Feng, 2013), biome scores from Hoton Nur with original chronology adjusted (Tarasov et al., 2000; Rudaya et al., 2009), Asian monsoon reconstruction from Dongge cave (Wang et al., 2005), solar activity fluctuation reconstruction based on \(^{10}\)Be measurements in polar ice (Steinhilber et al., 2009), Tsambagarav fire reconstruction (charcoal concentrations, this study) and selected nomadic empires (Rogers, 2012). Green numbers indicate climatically induced forest minima phases at Tsambagarav.

Increased fire activity at Tsambagarav was related to declines of boreal tree stands or forests that likely provided fuel for burning (Fig. 5), similarly to what was found at Belukha (Eichler et al., 2011). There, a dry period inducing forest diebacks was succeeded by maximum fire activity around 1600 AD (Fig. A2), a period with increased fire activity also in the Tsambagarav area (three consecutive charcoal peaks >90-percentile; Fig. 5) and in the Eurasian Arctic (Akademii Nauk ice record; Griemann et al., 2017). Lacking biomass availability combined with low temperatures during the Little Ice Age period may explain the fire minimum at 1700–
1960 AD when maximum vegetation openness is documented in the pollen record of Tsambagarav and at adjacent sites (Fig. 5; Umbanhowar et al., 2009, Unkelbach et al., 2018). Finally, the past four decades of the Tsambagarav record suggest again a slight increase of regional and local fire activity possibly caused by increased biomass availability due to pioneer birch forest expansions.

**Composition, successional dynamics and diebacks of the mid-Holocene forests**

Our high-resolution record from Tsambagarav provides a unique chronological control in combination with high-temporal and continuous sampling resolution and is therefore suited to assess rapid ecosystem responses to climate change. The Tsambagarav record suggests that the Mongolian Altai experienced several prominent forest contraction and expansion phases before 1800 BC. The magnitude and fluctuation pattern of this early phase are comparable to the pattern observed for the past 800 years in the Russian Altai (Eichler et al., 2011). There, mixed *Pinus sibirica*-*Larix sibirica* stands form a dense forest belt between 1000 m a.s.l. and the timberline around 2000 m a.s.l. in which *Abies sibirica* and *Picea obovata* co-occur in areas where soil moisture is sufficient (Eichler et al., 2011). Below this belt *Betula pendula* and *Pinus sylvestris* form boreal forests (Walter, 1974). The forests in the Russian Altai produce a pollen signal which is comparable to that of the Tsambagarav record during the period 3000–1800 BC (Figs 4 and A2). The Belukha pollen assemblage is mainly composed of *Pinus sibirica* and *Betula* with only single *Larix* grains despite its importance in the vegetation (Eichler et al., 2011). Scattered *Larix* pollen in the Tsambagarav record may thus suggest that *Larix sibirica* was an important forest element during the afforestation phases in the Mongolian Altai. This similarity is striking, given that nowadays *Larix sibirica* and *Pinus sibirica* form only relict and discontinuous forest belts in the northern part of the Mongolian Altai and *Abies sibirica* has completely vanished (Walter, 1974; Gunin et al., 2009).

The multiproxy Belukha record suggests that forest diebacks in the Russian Altai were induced by severe drought decades resulting in enhanced fire risk and that forests recovered...
rapidly after moisture re-increased (Eichler et al., 2011). The repeated forest contractions at Tsambagarav followed by *Artemisia* steppe expansions indicate similar vegetation responses to moisture variability. Forest recoveries similar to the Russian Altai ended 1800 BC. This is in line with regional sedimentary pollen records showing consistent deforestation in the Mongolian Altai during the mid- to late-Holocene. For instance, pollen-inferred vegetation reconstructions from Hoton Nur point to taiga forest contractions between 3000–2000 BC (Fig. 5; Rudaya et al., 2009) to never recover again. At Bayan Nur forests contracted around 1500 BC, in the Dayan Nur region around 650 BC and in the Achit Nur area between 4000 BC and 200 AD (Gunin et al., 2009; Sun et al., 2013; Unkelbach et al., 2018). Diachronic forest diebacks suggest that moisture thresholds for forest growth were underrun in different periods in the Mongolian Altai. Specifically, local forest persistence until about 800 BC, 1200 AD and 1700 AD indicates that decreasing moisture effects on forests endured until modern times, resulting in stepwise forest and tree stand disruptions. These late-Holocene dynamics occurred also at larger distances, e.g. at Akkol Lake (ca. 190 km) in the northern Tuva region after 1000 BC (Blyakharchuk et al., 2004; Fig. 1A) suggesting that forests contracted also far north of the Mongolian Altai in response to moisture reductions. However, chronological uncertainties as resulting from few $^{14}$C-dates from bulk sediments (see Rey et al., 2018) and a general lack of $^{14}$C-dates in the mid- to late-Holocene (Gunin et al., 2009; Sun et al., 2013) impede precise assessments of the deforestation timing at individual sites.

**Climate-driven pulses of steppe expansions and human impact after 1800 BC**

Hunter and gatherer communities inhabited the Altai region since the early-Holocene (Volkov, 1995; Hauck et al., 2012), and nomadic herders were present since at least 1000 BC (Fig. 5; Fernández-Giménez, 1999; Rogers, 2012; Rudaya et al., 2008), but their impact on the natural vegetation is supposed to be minor (Bourgeois et al., 2007; Rudaya et al., 2009). We thus assume that natural climate change, such as aridity and/or cooling, was the main forcing of repeated forest contractions and subsequent herbaceous steppes expansions during the late-
Holocene (Schlütz et al., 2008). A pollen-based moisture index derived from other sites in the Mongolian Altai (Wang & Feng, 2013) was previously interpreted as a proxy for the Asian summer monsoon strength (Fig. 5). This index is driven by the same factors as our pollen data and is therefore not an independent climatic proxy and indeed its course is in line with our ecological interpretation, thus indicating similar moisture trends across sites. The vegetation-based reconstructions are in good agreement with mid-Holocene climate model simulations for Asian monsoon strength (Harrison et al., 2016) and with pollen-independent oxygen isotope records (e.g. Dongge cave record; Fig. 5; Wang et al., 2005; Wang & Feng, 2013) that suggest declining moisture availability in the Mongolian Altai in response to a weakening of monsoon activity resulting from changes of orbital forcing during the late-Holocene. Reduced monsoon sources of moisture as a possible cause for deforestation at Hoton Nur was proposed by Rudaya et al. (2009). Although our Tsambagarav vegetation and fire record begins at 3500 BC when monsoon had already started to weaken (Wang et al., 2005), we assume that the progressive late-Holocene reduction of subtropical air-masses resulted in strong moisture oscillations that may have resulted in flickering of forest ecosystems before their final collapse at ca. 1800 BC (Dakos et al., 2013).

The Tsambagarav record suggests that the long-term tree contraction in the Mongolian Altai continued stepwise after 1800 BC to reach its apex only 300–200 years ago. Contractions of forest ecosystems were possibly induced by climate variability related to e.g. solar activity changes (Eichler et al., 2009; Steinhilber et al., 2009; Roth & Joos, 2013). For instance, the forest minima around 3400 BC, 2800 BC, 2500 BC, 800–400 BC, 500 AD, and 1200 AD might have been related to dry cooling events (Fig. 5) as partly recorded regionally (e.g. the 4.2 kyr cool and dry period, Staubwasser & Weiss, 2006; Dixit et al., 2014), in other Northern Hemisphere records from the Alpine region and Alaska (Haas et al., 1998; Tinner et al., 2015) or in the reconstructed global surface air temperature (Roth & Joos, 2013).

During the past decades, climate proxies suggest reversing climate trends with warming (e.g. Eichler et al., 2009; Roth & Joos, 2013) and re-strengthening of the Asian monsoon (e.g.
reconstructed from Dongge cave isotope record; Wang et al., 2005). In contrast, after the end of the Little Ice Age at ca. 1850 AD (Eichler et al., 2011) tree stands in the Mongolian Altai did not recover suggesting a decoupling of vegetation dynamics from climate, e.g. due to increasing human activities. The historical onset of larger-scale smelting in the Altai dates to 1729 AD (Naumov, 2006) coinciding with the beginning of the industrial pollution signal in our ice record as documented in SCPs (Fig. 3). The related energy requirements induced increasing human pressure not only on the Russian Altai forests but also on the remaining tree stands in the Mongolian Altai until 1960 AD (Lkhagvadorj et al., 2013), likely shifting the lower tree line upwards (Dulamsuren et al., 2014). Thus, human activities altered vegetation responses to climate. The Tsambagarav record suggests that industrial pollution remained high after 1960 AD and only pioneer *Betula pendula* may have very recently recovered, when fossil fuel-based energy consumption (e.g. coal or diesel-consuming engines for heating, transportation or water-supply) increased, relieving pressure on woody stands (Fernández-Giménez, 1999).

**Altai ecosystems under future climate change**

Past vegetation dynamics suggest that warmer and moister conditions during the mid-Holocene allowed boreal forest establishments in the Tsambagarav area in the Mongolian Altai. These forests collapsed around 1800 BC. Subsequently, further stepwise tree reductions and a gradual shift to more dry adapted steppe communities occurred likely in response to drying and cooling during the late-Holocene. Future climate projections for continental areas propose further warming and drying in the coming decades for the Altai Region (Sato, Kimura, & Kitoh, 2007; Tchebakova, Blyakharchuk, & Parfenova, 2009; Dai, 2011; Collins et al., 2013; Dulamsuren, Khishigjargal, Leuschner, & Hauck, 2014; IPCC, 2014; Lehner et al., 2017). In agreement, during the past decades, the Mongolian Altai experienced significant warming and increasing numbers of drought periods. Precipitation more often included heavy rainfall events that are only partly beneficial for vegetation (D’Arrigo et al., 2001; Dulamsuren, Hauck, & Leuschner 2010; Lkhagvadorj, Hauck, Dulamsuren, & Tsogtbaatar, 2013). Other areas in
Mongolia and southern Siberia also experienced climate warming and moisture decrease, probably affecting tree growth and hindering forest regeneration (Allen et al., 2010; Tsogtbaatar, 2013; Dulamsuren, Khishigjargal, Leuschner, & Hauck, 2014; Xu et al., 2017). If future climate projections are correct about declining moisture availability, the persisting forest patches and belts in the Mongolian, Russian Altai and other dry areas of Central Asia will be strongly affected. For instance, forest boundaries might shift north of the Russian Altai releasing unprecedented forest collapses in response to increasing drought. The available fire histories from ice core records from the Russian and Mongolian Altai also suggest that fire incidence may increase where biomass is not limiting burning (Eichler et al., 2011; Hessl et al., 2016). This interpretation of the paleo record agrees with modern observations indicating a significant link between dry conditions and fire activity (Tsogtbaatar, 2013; Ponomarev & Kharuk, 2016). Thus, fire may exacerbate the effects of future climate change on vegetation, especially if associated to high grazing pressure (Tsogtbaatar, 2004; Hauck et al., 2014; Ponomarev & Kharuk, 2016).

In the past, when climate forcing was natural, warm conditions were in this region usually accompanied by increases in moisture availability, likely deriving from increased monsoonal and/or westerly wind activity that promoted forest growth. Despite many projection efforts and progresses, the magnitude of global warming and in particular of precipitation changes remains ambiguous (Braconnot et al., 2012). Future projections may underestimate moisture availability in continental areas (Berg, Sheffield, & Milly, 2017), as for example, northern hemisphere monsoon simulations for the mid-Holocene underestimate its magnitude (Braconnot et al., 2012; Harrison et al., 2015). If moisture should unexpectedly increase with future warming as it did during the early and mid late-Holocene, forests may thus persist and perhaps even expand in the Mongolian Altai, as they did during the period 3000–1800 BC, at least if human pressure will not become excessive.
CONCLUSIONS

The Tsambagarav record demonstrates for the first time the ecological potential of ice palynology, specifically, based on its high chronological resolution and precision, it provides novel insights into past fire, vegetation, and land use dynamics in the Mongolian Altai region. Late-Holocene vegetation reorganizations in response to climate and moisture availability changes underscore the vulnerability of forest ecosystems that are still thriving in the Mongolian or Russian Altai. We conclude that precipitation regime changes were the main driver for forest diebacks ca. 4700–4000 years ago and their final collapse ca. 3800 years ago. The lacking resilience of forest communities (e.g. Pinus sibirica-Larix sibirica stands) to moisture changes emphasizes the vulnerability of forests in other dry areas of Central Asia, if global warming will be associated to moisture declines as anticipated by future scenarios (IPCC, 2014). To better assess past vegetation and forest fire dynamics, new high-resolution and -precision multiproxy studies from natural archives are urgently needed. Such studies may help to disclose the mechanisms and processes behind the vulnerability of plant species and communities. Ultimately, they are thus essential to improve our knowledge of future ecosystem responses to global change.

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DATA AVAILABILITY

All data will be deposited in the Alpine Palynological Database (ALPADABA) and the Neotoma database (www.neotomadb.org).

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Figure A1 Comparison of Tsambagarav vegetation and fire reconstruction (charcoal concentrations and charcoal concentrations exceeding 90-percentile of all samples) with local fire reconstructions (macroscopic charcoal influx of particles >180µm) from lakes in western Mongolia (Umbanhowar et al., 2009) over the past 5500 years.
Figure A2 Comparison of forest phases recorded in glacier archives in the Mongolian and Russian Altai. Left: Tsambagarav main pollen diagram (percentages) and charcoal concentrations (particles l⁻¹) during maximum afforestation (3000–1800 BC), right: Belukha main pollen diagram and charcoal concentrations 1250–1990 AD (Eichler et al., 2011). Hollow curves = 10x exaggeration.