1 The IGF pathway is activated in insulinomas but downregulated in

- 2 metastatic disease
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Page 2 of 35

35 Abstract

36

37 Clinical and molecular studies have implicated epidermal growth factor receptor (EGFR), 38 insulin-like growth factor (IGF) and target of rapamycin (mTOR) signaling pathways in 39 the regulation of pancreatic neuroendocrine tumor (PanNET) growth. Interpretation and 40 comparison of these studies is complex due to clinical and molecular tumor 41 heterogeneity. We therefore focused in this study on insulinomas, which we examined for 42 mRNA and protein expression of EGFR, IGF and mTOR signaling pathway components by 43 quantitative real-time PCR (n=48) and immunohistochemistry (n=86). Findings were 44 compared with normal pancreatic islets and correlated with histopathological data and 45 clinical outcome. Insulinomas showed low EGFR and high IGF2 expression. IGFBP2, 46 IGFBP3 and IGFBP6 mRNA levels were 2-4 folds higher than in islets. High protein 47 expression of IGF2, IGF1R and INSR (in 51-92% of the tumors) and low to moderate 48 expression of mTORC1 pathway proteins p-S6k and p-4EBP1 (7-28% of the tumors) were 49 observed. Correlations were found between 1) ERK1 mRNA expression and that of 50 numerous IGF pathway genes, 2) p-ERK and IGF1R protein expression and 3) decrease 51 of IGF pathway components and both metastatic disease and shorter 10 years disease 52 free survival. In conclusion, our observations suggest that high expression of IGF 53 signaling pathway components is a hallmark of insulinomas, but does not necessarily 54 lead to increased mTOR signaling. Reduced expression of IGF pathway components may 55 be an adverse prognostic factor in insulinomas.

56

57 Introduction

58

59 Over the past years advances have been made in understanding the biology and clinical 60 behavior of gastroenteropancreatic neuroendocrine tumors (GEP-NETs), a heterogeneous 61 group of tumors arising from the diffuse neuroendocrine system (Oberg 2012, Muniraj *et* 62 *al.* 2013). The estimated annual incidence of NETs in the USA increased 6.4 fold between

63 1973 and 2012, with an incidence rate of 3.56/100,000 in gastroenteropancreatic sites 64 (Dasari et al. 2017). GEP-NETs can be divided, based on clinical manifestations, into 65 functioning (syndrome-related) and non-functioning tumors. Today, PanNETs are treated 66 by surgery, biotherapy, chemotherapy and/or molecular targeted therapy using 67 multidisciplinary therapeutic management. Since 2011 the FDA approved the mammalian 68 target of rapamycin (mTOR) inhibitor Everolimus for the treatment of progressive 69 PanNETs and well-differentiated, non-functional GEP-NETs and lung-NETs (RADIANT-3 70 and -4 studies) (Yao et al. 2011, Yao et al. 2016). mTOR regulates cell survival, 71 proliferation and motility, and also senses cell energy status (Gentzler *et al.* 2012). 72 Because of the heterogeneity of PanNETs, analysis of the underlying molecular biology is 73 essential for successful targeted treatment. The basis of positive treatment results for 74 Everolimus is derived from a number of studies implicating mTOR pathway alterations in 75 the proliferation of PanNETs. (Jiao et al. 2011) found mutations in the mTOR pathway 76 genes PTEN and TSC2 in 14% of (non-functioning) PanNETs. Moreover, (Missiaglia et al. 77 2010) reported downregulation of PTEN and TSC2, inhibitors of the mTOR pathway, in up 78 to 70% of non-functioning and functioning PanNETs, including insulinomas. Whole 79 genome sequencing of insulinomas revealed mutations in the gene YY1, a target of 80 mTOR, in 30% of the tumors (Cao et al. 2013). Finally, several immunohistochemical 81 studies showed positivity for mTOR pathway proteins p-mTOR (range 60-70%), p-S6K 82 (40-80%), and p-4EBP1 (30-90%) in GEP-NETs (Kasajima et al. 2011, Zhan et al. 2012, 83 Qian et al. 2013). However, interpretation and comparison of these studies is complex 84 due to the fact that GEP-NETS/PanNETs comprise heterogeneous tumor sub-types with 85 different clinical and molecular characteristics, and studies have used different diagnostic 86 tools and evaluation criteria to detect alterations in the mTOR pathway. 87 The mTOR pathway can be activated by various upstream stimuli, including epidermal 88 growth factor receptor (EGFR) and the insulin-like growth factor (IGF) signaling system, 89 which play a pivotal role in cancer development and progression. EGFR activation 90 promotes cell proliferation via MAPK and PI3K signaling routes, and indications for a role

91 of EGFR signaling in PanNETs have been reported. Immunohistochemical analysis of

92 EGFR expression showed positivity in 30-65% of mixed populations of PanNETs 93 (Srivastava et al. 2001, Papouchado et al. 2005, Bergmann et al. 2009). The IGF 94 signaling system includes the ligands IGF1 and IGF2, the receptors IGF1R, IGF2R and 95 insulin receptor (INSR), and 6 IGF binding proteins (IGFBP1 to 6) (Lodhia et al. 2015). 96 Deregulation of the IGF signaling system, for example by upregulation of IGF1R, IGF2 97 and IGFBP2, has been reported in several malignancies, including GEP-NETs/PanNETs 98 and insulinomas (Wulbrand et al. 2000, Dejeux et al. 2009, Ludovini et al. 2009, 99 Livingstone 2013). 100 In this study we evaluated mRNA and protein expression patterns of EGFR and IGF 101 signaling pathway components that may regulate the mTOR pathway, as well as the 102 mTORC1 pathway downstream effectors p70S6 Kinase (S6K) and 4E-BP1 (4EBP1) in a

- 103 large series of insulinomas. Quantitative real-time PCR (qRT-PCR) and
- 104 immunohistochemistry (IHC) data were correlated with each other, with histopathology
- 105 and with clinical patient and follow-up data.

106 Materials and methods

107

108 A detailed description of materials and methods can be found in Supplementary Materials109 and Methods.

110 Patient samples

111 Detailed data on insulinoma patient's age, sex, disease stage and tumor grade and size

are provided as Supplementary Table 1 (Jonkers *et al.* 2007, Marinoni *et al.* 2014). All

113 insulinoma patients had hyperinsulinism followed by a hypoglycaemia syndrome. The

114 initial treatment consisted of surgical removal of the primary tumor, and if present liver

and/or lymph node metastases. Follow-up treatment for patients with metastatic disease

116 included surgery, Transarterial Embolization or Transarterial Chemoembolization. The

117 tumors were all sporadic, not associated with MEN1 syndrome and classified according to

the World Health Organization 2010 staging and grading system.

119 From 48 insulinoma patients snap frozen tumor tissue was available for RNA analysis,

and from 26 patients also formalin-fixed, paraffin-embedded material.

121 Two paraffin-embedded tissue micro arrays (TMAs) were available for

immunohistochemical analysis, containing 49 insulinomas (TMA1) and a second TMA with

123 11 additional insulinomas (TMA2). TMA1 furthermore contained 92 additional PanNETs

124 (12 gastrinomas, 11 glucagonomas, 10 vipomas and 59 non-functioning PanNETs), of

125 which data can be found in Supplementary Table 6. Patient material was used according

126 to the Code for Proper Secondary Use of Human Tissue in The Netherlands

127 (https://www.federa.org/, update 2011) and according to the cantonal ethics committee128 of Bern (KEK-BE 105-2015).

129

130 RNA isolation

131 Total RNA was isolated from snap frozen insulinomas using the Qiagen RNeasy Mini Kit,

132 and had a RIN value \geq 6.5.

Control MPV[™] Total RNA from normal human pancreas, liver, lung and adrenal gland
(Stratagene) and total RNA from normal, single donor human pancreatic islets (a gift of
Dr E. de Koning, Leiden University Medical Center, The Netherlands) were included as

136 137

138 *Quantitative Real-Time PCR*

controls.

139 Total RNA was converted to cDNA using the iScript cDNA Synthesis Kit (Bio-Rad

140 Laboratories). qRT-PCR reactions were performed using two commercially available SYBR

141 green mixes, iQ[™] SYBR[®] Green Supermix (BioRad) and SensiMix[™] SYBR & Fluorescein

142 Kit (BioLine). All primers (Supplementary Table 2) were purchased from Biolegio .

143

144 Immunohistochemistry

145 Immunohistochemical staining on freshly cut 4 µm-thick formalin-fixed, paraffin

146 embedded tissue sections was performed using primary antibodies against EGFR, IGF2,

147 IGF1R, INSR, p-AKT, p-ERK, p-S6K and 4-EBP1. Detailed information on antibodies and

staining conditions can be found in Supplementary table 3.

149 Immunohistochemical staining was scored as: 0, absent; 1, weakly positive in ≥10% of

150 cells; 2, moderately positive in \geq 10% of cells, 3, strongly positive in \geq 10% of cells.

151

152 Statistical analysis

153 Statistical analysis was performed using SPSS version 20 (IBM). Mean relative gene

154 expression levels between groups were compared with the F-test and Student t-test.

155 Associations between relative gene expression levels and immunostaining levels were

156 determined using Pearson's correlation. All *P*-values were considered statistically

157 significant if ≤ 0.05 in two-sided tests.

158 Survival curves were created using the Kaplan–Meier method, the log-rank test was used

159 to test for differences between subgroups. Details on assessment of disease free or

160 overall survival rates can be found in the Supplementary Materials and Methods. Cox-

161 regression was used for multivariate analysis.

162 **Results**

163

164 mRNA expression in insulinomas

165 Neuroendocrine markers

166 From 48 insulinomas mRNA was analyzed. To check for the endocrine nature of the 167 tumors the relative mRNA expression levels of insulin (INS), chromogranin (CGA) and 168 synaptophysin (SYP) were analyzed by quantitative RT-PCR (qRT-PCR). In addition, 169 mRNA from normal human tissues (whole pancreas, pancreatic islets, liver, lung and 170 adrenal gland) was analyzed as controls. Expression levels were normalized to 171 glucuronidase beta (GUSB), which exhibited the most stable expression level in all 172 samples after comparing the expression levels of 4 housekeeping genes. 173 Table 1 shows high mean and median expression levels of INS, CGA and SYP mRNA 174 (8351, 89 and 2.3 normalized to GUSB, respectively), consistent with the neuroendocrine 175 character of insulinomas. In normal pancreatic islets the mRNA expression levels of INS, 176 CGA and SYP were respectively 4151, 15 and 0.5. In normal pancreatic tissue the mRNA 177 levels for these genes were significantly lower. Normal adrenal mRNA showed a high 178 expression level of CGA (57) and SYP (1.3), but very low INS expression (0.2). In normal 179 liver and lung tissue very low mRNA expression levels of CGA, SYP and INS were found 180 (data not shown; analyses of normal controls were performed three times in duplicate). 181 When compared to normal pancreatic islets the mean expression levels of INS, CGA and 182 SYP mRNA in insulinomas showed a 2.0, 6.0 and 4.8 fold increase, respectively (Table 1). 183

184 EGFR, ERK and AKT

Since EGFR signaling via MAPK and AKT pathways has been reported to be active in PanNETs, we examined the mRNA expression levels of *EGFR*, *ERK1*, *ERK2* and *AKT* in the 48 insulinomas. *EGFR* mRNA expression was low (0.10), with a relative expression of 0.15 as compared to normal pancreatic islets (Table 1). *ERK1*, *ERK2* and *AKT* mRNA

expression levels were 1.1, 1.6 and 2.6, respectively. *ERK1* expression was at the same level as in normal pancreatic islets, while the relative expression levels of *ERK2* and *AKT* showed a 0.3 and 0.2 fold decrease.

192

193 IGF pathway

194 Table 1 shows the mRNA expression levels of IGF pathway-related genes in insulinomas. 195 In contrast to very low IGF1 levels, the mean mRNA expression level of IGF2 is 1.5, which is a 12.4 fold increase compared to normal pancreatic islets (median: 3.5 fold 196 197 increase). IGFBP2 has an expression level of 4.8, which is 4.0 fold higher than in normal 198 pancreatic islets. Despite the fact that IGFBP3 and IGFBP6 showed expression levels of 199 0.21 and 0.23 (normalized to GUSB), their relative expression was 2-3 folds higher than 200 in normal pancreatic islets. The other IGF pathway genes showed low expression levels 201 (0.01 - 0.2).

202

203 mTOR pathway

204 The mean mRNA expression levels of *MTOR* and *RPS6KB1* (coding for S6K protein)

205 (were low (0.01-0.02) in insulinomas, whereas *EIF4EBP1* (coding for 4EBP1 protein) is

206 expressed at the level of GUSB (Table 1). In normal pancreatic islets all 3 genes showed

a 0.4-0.6 fold decreased mRNA expression level.

208

209 In conclusion, insulinomas show low expression levels of EGFR mRNA, high expression of

210 IGF2, a 2-4 fold increased expression of IGFBP2, IGFBP3 and IGFBP6, and a twofold

reduced expression of *MTOR*, *RPS6KB1* and *EIF4EBP1*, as compared to pancreatic islets.

212

213 Correlations between mRNA expression patterns

214 Pearson correlation analysis between mRNA expression levels of different signaling

215 pathway genes is shown in Supplementary Table 4. Correlations between substantially

expressed genes include those 1) between ERK 1, ERK2 and AKT and 2) between ERK1

and IGF pathway genes IGFBP2 (inverse correlation), IGFBP6, and all receptors (detailed

- 218 information in supplementary Table 4). *IGF2, IGFBP3* (except for *SYP*) and *EIF4EBP1*
- 219 expression levels did not correlate with the other analyzed genes.
- 220

221 Protein expression in insulinomas

222 EGFR, ERK and AKT

223 Immunostaining was performed on 86 insulinomas, including 26 that were also analyzed 224 for mRNA expression levels (see above), and 60 cases present as single or duplicate 225 cores on the TMAs. All tumors were negative for EGFR, which is in agreement with the 226 low assessed EGFR mRNA expression levels. As a positive control a human premalignant 227 laryngeal lesion was used, showing strongly positive membranous staining. In normal 228 human pancreas a low number of acinar cells showed a weakly positive membranous 229 staining, whereas the islet cells were negative for EGFR (Table 2; Fig. 1, A-C). 230 Table 2 shows the IHC data for p-AKT and p-ERK. A lung carcinoma harboring a K-ras 231 exon 2 mutation served as positive controls (Supplementary Figure 1, A and B 232 respectively). A moderate to strong nuclear p-AKT expression was seen in normal 233 pancreatic islet cells, whereas the acinar cells were negative. In normal pancreas no p-234 ERK could be detected. Moderately to strongly positive nuclear p-AKT staining was 235 observed in 22% and nuclear p-ERK in 32% of insulinomas (Fig. 1, D-F). Twenty-four % 236 of the insulinomas showed neither nuclear nor cytoplasmic p-ERK staining; 36% were 237 negative for p-AKT. Moderate to strong simultaneous expression of nuclear p-AKT and p-238 ERK was found in 10% of the tumors, while in 22% no co-expression of nuclear p-AKT 239 and p-ERK could be detected (double-negative).

240

241 *IGF pathway proteins*

IHC results for IGF pathway proteins IGF2 and receptors IGF1R and INSR are shown in
Table 2 and Fig. 1, G-O. In 92% of the insulinomas a strong cytoplasmic, diffusely
granular pattern of IGF2 was observed. A similar pattern of lower intensity was seen in
normal pancreatic islet cells, whereas acinar cells showed a strong, aggregated

246 extracellular IGF2 localization, which could be a sign of internalization of IGF1R and/or

247 INSR after ligand binding (Rajapaksha & Forbes 2015).

- 248 Tumors indeed exhibited high, diffuse cytoplasmic expression levels of IGF1R and INSR
- 249 (78% and 83%, respectively), while in 51% of the cases also a membranous IGF1R

250 staining and in 38% a perinuclear localization of INSR was observed.

- 251 These patterns could also be recognized in the normal pancreas, i.e. the islet cells
- 252 showed a granular cytoplasmic IGF1R and INSR expression pattern, whereas the acinar
- 253 compartment showed a membranous IGF1R localization, and a cytoplasmic INSR
- 254 expression with perinuclear localization.

255 These data indicate that the IGF pathway is active in insulinomas.

256

- 257 mTORC1 pathway proteins p-S6K and p-4EBP1
- Table 2 and Figure 2 (A-F) show the IHC results for p-S6K and p-4EBP1. Normal human

colon tissue served as positive control (Supplementary Figure 1, C and D).

260 In 28% of insulinomas a weakly to moderately positive cytoplasmic p-S6K staining

261 pattern was seen. Also 28% of tumors showed nuclear staining. Normal pancreatic islets

showed the same staining pattern but with lower intensity. In contrast, in approximately

263 75% of normal pancreatic acinar cells a moderately to strongly positive perinuclear

- and/or diffuse nuclear p-S6K staining was detected. Interestingly, in tumor-adjacent
- 265 pancreatic tissue we observed a stronger p-S6K staining in acinar and islet cells than in
- 266 normal control pancreatic tissue (data not shown).

267 Only 37% of insulinomas exhibited a weakly to moderately positive nuclear p-4EBP1

staining, and in 22% also cytoplasmic staining was detected (Table 2). Normal pancreatic

269 islets did not show p-4EBP1 expression, and areas, predominantly at the periphery of

- lobules, showed a moderately to strongly positive diffuse cytoplasmic, and in 90% also
- 271 strong nuclear, immunostaining in acinar cells (Fig. 2, D-E). Strikingly, exocrine

272 pancreatic tissue adjacent to the tumor often displayed a stronger nuclear and

273 cytoplasmic immunostaining.

274

- 275 In conclusion, insulinomas show high expression levels of IGF2, IGF1R and INSR, no
- 276 EGFR expression and low levels of phosphorylated mTORC1 pathway proteins.
- 277

278 Positive correlations between protein expression patterns

- 279 Pearson correlation analysis of protein expression levels shows a correlation of
- 280 IGF2 with cytoplasmic IGF1R (p=0.019). The latter also correlates with nuclear p-ERK
- 281 (p=0.003), which in turn correlates with nuclear p-AKT (p=0.011) and nuclear p-S6K
- 282 (p=0.002). In addition cytoplasmic INSR correlates with nuclear p-S6K (p=0.024)
- 283 (Supplementary table 5).
- 284

285 Correlation of mRNA and protein expression with clinicopathologic

286 parameters in insulinomas

287

288 Mean relative gene expression levels of insulinoma subgroups were compared using
289 Student's t-test (Table 3A). Of the 20 genes analyzed, only the relative expression level

290 of *IGF2R* compared to normal pancreatic islets was significantly lower in grade 2/3 than

in grade 1 insulinomas (p=0.039). A decrease in expression in grade 2/3 tumors was

also seen for *IGF1R*, *INS* and *IGF2*, although not statistically significant. At the protein

level (Table 3B) a significantly lower membranous INSR and cytoplasmic p-4EBP1

staining intensity was found in grade 2/3 as compared to grade 1 insulinomas (p=0.004

and p=0.001, respectively).

296 The mean mRNA expression level of *INS* relative to normal pancreatic islets was

significantly lower in metastatic insulinomas than in non-metastatic (p<0.0001). In

298 contrast, the relative expression of IGFBP3 was higher in metastatic tumors, although the

299 difference was not statistically significant. The protein expression levels of IGF2,

300 cytoplasmic IGF1R and INSR, membranous INSR and cytoplasmic p-S6K were

301 significantly lower in metastatic tumors than in non-metastatic tumors (p=0.001,

302 p=0.026, p=0.035, p=0.004 and p=0.030, respectively).

Page 12 of 35

- 303 Comparison of the mean mRNA expression levels between tumors < and \geq 2 cm revealed
- 304 INS and 4EBP1 mRNA levels to be significantly lower in tumors ≥ 2 cm (p=0.009 and
- 305 p=0.003, respectively). This was also observed for IGF2 protein expression (p=0.001).
- 306 A higher disease stage (stage IV versus stage I+II) correlated with a lower expression of
- 307 INS, IGF2 and IGF1R mRNA, as well as IGF2, p-ERK (nuclear and cytoplasmic),
- 308 cytoplasmic IGF1R and in membranous INSR protein.
- 309 In conclusion, insulinomas appear to reduce IGF pathway gene expression both at the
- 310 mRNA and protein level in relation to tumor grade, metastatic potential, size and disease311 stage.
- 312

313 Correlation of mRNA and protein expression and clinicopathologic

314 parameters with survival in insulinomas

315

316 Lower mRNA expression levels of *INS*, *IGF1R* and *INSR-A* ($p \le 0.019$ for disease free and

 $p \le 0.032$ for overall survival) and higher levels of *IGFBP3* (p<0.0001 for disease free and

- 318 p=0.001 for overall survival) correlated with shorter 10 years survival (Figure 3 and
- 319 suppl. Fig 2).

320 Lower protein levels of cytoplasmic IGF2, IGF1R and INSR ($p \le 0.035$ for disease free

- 321 survival; P≤0.033 for overall survival) correlated with shorter 10 years survival rates (Fig
- 322 4 and suppl. Fig 3).
- 323 Univariate analysis of clinicopathological parameters of tumors showed very strong
- 324 associations of grade, metastatic disease, tumor size and disease stage with both 10
- 325 years disease free and overall survival (p<0.0001) (Fig 3 and Supplementary Fig 2 E-H,
- 326 and Fig 4 and Suppl Fig 3 D-G).
- In Table 4 a summary of parameters that correlate with disease outcome in univariateanalysis results is shown.
- 329 When comparing high versus low gene expression of either INS, IGF1R, INSRA or IGFBP3
- 330 with grade, metastatic disease and tumor size in multivariate analysis no significant
- associations were found. In multivariate analysis of moderate versus high IGF2 protein

expression, grade and tumor size, grade (p=0.024, HR 6.81) and tumor size (p=0.022, HR 17.77) were significantly correlated with 10 year survival. When comparing IGF1R (high versus low expression) with grade and tumor size in multivariate analysis only tumor size (p=0.032, HR 7.03) correlated with 10 year survival. This was also found when comparing INSR (high versus low expression) in multivariate analysis with grade and tumor size (tumor size: p=0.007, HR 9.31).

339 Protein expression analysis in PanNETs other than insulinomas

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We also analyzed protein expression in 92 PanNETs other than insulinomas, which were
available on the TMA1. Data evaluation and analysis are available as supplementary data
(Suppl Results, Suppl Tables 6, 7 and 8).

344

345 **Discussion**

346

347 Since PanNETs are a heterogeneous group of neoplasms, understanding the underlying 348 molecular biology of the different subgroups is essential to offer adequate treatment 349 (Cives et al. 2016). Our study focused on mRNA and protein expression of EGFR, IGF and 350 mTOR signaling pathway components in insulinomas. We found that, compared to 351 pancreatic islets, insulinomas show low expression levels of EGFR mRNA, high expression 352 of IGF2, a 2-4 fold increased expression of IGFBP2, IGFBP3 and IGFBP6, and a twofold 353 reduced expression of MTOR, RPS6KB1 and EIF4EBP1. At the protein level, high 354 expression levels of IGF2, IGF1R and INSR were detected, whereas no EGFR and 355 relatively low levels of mTOR pathway proteins were observed. Correlation of expression 356 data with clinicopathological data revealed a decrease of several IGF pathway 357 components in relation to tumor grade, metastatic disease, tumor size and disease stage. 358 Low mRNA expression levels of IGF2, IGF1R and INSR-A but high levels of IGFBP3 359 correlated with shorter 10 years overall and disease-free survival. Decreased protein

Page 14 of 35

360 expression of IGF2, cytoplasmic IGF1R and INSR also correlated with shorter survival361 rates.

362 Activated EGFR enhances tumor growth, invasion and metastatic spread and promotes 363 cell survival. Abnormal expression of (mutated) EGFR is often found in neoplasms, 364 particularly in breast, non-small-cell lung, head and neck and colorectal cancer, which is 365 utilized in targeted therapy with TKIs or antibodies directed against EGFR (Ciardiello & 366 Tortora 2008, Garraway & Janne 2012). An initial phase II trial using gefitinib treatment 367 of PanNETs, however, did not show much efficacy, i.e. no objective responses and a 6-368 months progression-free survival of 10% for islet cell carcinomas (Hobday et al. 2006). 369 In our study we found low levels of EGFR mRNA and neither detectable protein 370 expression in insulinomas and normal pancreatic islets. These data thus may explain the 371 low efficacy of EGFR inhibitors in the treatment of PanNETs. Nevertheless, other studies 372 have reported detectable expression of EGFR in 18-66% of the tumors (Wulbrand et al. 373 1998, Srivastava et al. 2001, Fjallskog et al. 2003, Papouchado et al. 2005, Gilbert et al. 374 2013), with low expression in benign PanNETs and high expression rates in both well-375 differentiated PanNETs and poorly differentiated pancreatic neuroendocrine carcinomas 376 (Bergmann et al. 2009). In these studies, however, mixed groups of PanNETs were 377 subjected to immunohistochemical staining protocols differing in, amongst others 378 pretreatment steps, primary antibodies, and evaluation criteria. We have utilized a 379 commonly used EGFR immunostaining protocol and a primary EGFR-specific antibody, 380 resulting in intense EGFR membrane staining in head and neck premalignancy control 381 specimens as well as in pancreatic ducts adjacent to negative insulinomas. Based on our 382 results we can conclude that EGFR signaling does not play a pivotal role in insulinoma 383 carcinogenesis and progression.

Our most striking finding was that 92% of insulinomas stained moderately to strongly positive for the IGF2 protein, which implicates autocrine activation of the IGF pathway in tumorigenesis, also reported by others (Samani *et al.* 2007, Weroha & Haluska 2012, Denduluri *et al.* 2015). This corresponded well with the 12.4 fold higher *IGF2* mRNA level in insulinomas compared to normal pancreatic islets. *IGF2* is an imprinted gene,

389 expressed primarily from the paternal allele. Methylation of the IGF2 regulatory regions 390 has been reported in many cancers, resulting in loss of imprinting and protein 391 overexpression (Murphy et al. 2006, Cerrato et al. 2008, Dejeux et al. 2009, Livingstone 392 2013, Creemers et al. 2016). (Dejeux et al. 2009) reported hypermethylation of the 393 differentially methylated region 2 (DMR2) as a specific event in insulinomas, leading, in a 394 subset of the samples, to IGF2 mRNA overexpression compared to normal pancreatic 395 tissue, other PanNETs (gastrinomas and non-functioning) and small intestinal endocrine 396 tumors. At the protein level they found moderate to high expression levels in 14/28 397 insulinomas. The use of a different primary antibody and unspecified immunostaining 398 procedure might explain the lower frequency of IGF2 positive insulinomas. (Hoog et al. 399 2001) detected higher levels of IGF2 protein in 16 out of 18 insulinomas, which is in 400 accordance with our findings. In contrast to IGF2, the mRNA expression of IGF1 was very 401 low in insulinomas and not detectable in normal pancreatic islets.

402 The IGF signaling pathway is activated by binding of the ligands IGF1, IGF2 or insulin to 403 their respective receptors, IGF1R and INSR. Posttranscriptional alternative splicing of 404 INSR results in two isoforms, INSR-A (which lacks exon 11) and INSR-B. IGF2 binds with 405 similar affinity both to IGF1R and INSR-A, which promotes cell growth, proliferation and 406 survival (Chao & D'Amore 2008). IGF1R and INSR are overexpressed in a variety of 407 cancers, including breast, prostate, osteosarcoma and thyroid carcinomas (Lodhia et al. 408 2015). In our study we found moderate to strong cytoplasmic and membranous IGF1R 409 and cytoplasmic INSR protein expression in 51%, 78% and 83% of the insulinomas 410 respectively, which was at least similar, or stronger in staining intensity than observed in 411 pancreatic islets. Since we found a correlation between IGF1R and high IGF2 protein 412 expression levels, these data suggest the presence of an autocrine proliferative loop in 413 insulinomas, as described for other cancer types (Bergman et al. 2013, Livingstone 414 2013).

Binding of IGF1 or IGF2 to IGF1R or INSR leads to autophosphorylation of the β subunit
tyrosine kinase and recruitment of INSR substrates (IRS), inducing activation of the
MAPK/ERK and PI3K/AKT signaling pathways (Alvino *et al.* 2011). Phosphorylation of AKT

Page 16 of 35

418 leads to activation of mTOR and downstream effectors of mTORC1 S6K and 4EBP1, both 419 regulators of mRNA translation and involved in cell proliferation and survival (Robbins & 420 Hague 2015). Although 51 and 83% respectively, of the insulinomas in our study express 421 IGF1R and INSR protein, only 22% and 32% respectively, strongly express nuclear p-422 AKT and p-ERK, and 4 and 19% respectively, express p-S6K and p-4EBP1. Interestingly, 423 a positive correlation was found between cytoplasmic IGF1R expression on the one hand 424 and IGF2 and nuclear p-ERK on the other. Also at the mRNA level a correlation was 425 observed between AKT, ERK1, RPS6KB1 and MTOR. The fact that IGF1R signaling does 426 not necessarily results in AKT/mTOR signaling might be the result of an intact PTEN 427 expression in about two third of the tumors, inhibiting PI3K and subsequent downstream 428 signaling. Reduced PTEN expression or altered subcellular localization has been reported 429 to activate PI3K/AKT/mTOR signaling in PanNETs (Perren et al. 2000, Missiaglia et al. 430 2010), which might have occurred in the remaining one third of the insulinomas in our 431 study. In a pilot study of 10 cases we indeed observed loss of nuclear PTEN expression, 432 as compared to normal islets, in 4cases with p-AKT and p-S6K expression (Data not 433 shown). (Komori et al. 2014) also found active mTOR signaling in 22-35% of a group of 434 14 insulinomas tested for amongst others p-mTOR, p-S6K and p-4EBP1. The percentage 435 of tumors exhibiting active AKT/mTOR signaling varies significantly in different studies 436 due to different PanNET subgroups tested, as well as different antibodies and cut-off 437 criteria for positivity used (Ghayouri et al. 2010, Kasajima et al. 2011, Qian et al. 2013). 438 It is tempting to speculate that p-AKT and/or p-S6K are putative predictive markers of 439 response to Everolimus. A recent study on PanNETs indeed showed anecdotal evidence of 440 p-AKT for this role in a primary cell culture model for response to Everolimus (Falletta et 441 al. 2016).

We observed a decreased level of several IGF pathway components in relation to tumor
grade, metastatic disease, tumor size and and disease stage. The protein expression
levels of IGF2, cytoplasmic IGF1R, cytoplasmic and membranous INSR, and cytoplasmic
p-S6K were significantly lower in tumors from patients with metastatic disease than from
those with non-metastatic disease. Also the mean mRNA expression levels of *INS* was

447 significantly lower in patients with metastatic insulinomas as compared to non-metastatic 448 insulinomas. This finding is furthermore reflected in the lower levels of these IGF 449 pathway proteins and their mRNAs in association with shorter 10 years disease-free 450 survival and overall rates. A decrease in both IGF1R protein and gene expression levels 451 has been described before in esophageal adenocarcinoma, colorectal and breast cancer 452 (Schnarr et al. 2000, Allison et al. 2007, Kuklinski et al. 2011, De Bruijn et al. 2015) and 453 might reflect a dedifferentiation process. Indeed, two recent genomic studies have 454 identified distinctive m(i)RNA expression profiles, separating PanNET with liver 455 metastases (metastasis-like primary tumor subtype) from well-differentiated PanNETs 456 (well-differentiated islet/insulinoma tumor subtype), further underscoring 457 dedifferentiation to be reflected in gene expression signatures (Sadanandam et al. 2015, 458 Scarpa et al. 2017). Decreased insulin signaling was one described hallmark of mouse 459 metastasis like primary PanNET (Sadanandam et al. 2015)). It remains to be studied 460 whether the changes in gene expression seen in insulinomas reflect a different entity or 461 are the result of tumor progression. 462 An interesting finding was that the shorter 10 years overall and disease-free survival

463 rates also correlated with higher levels of IGFBP3 mRNA in insulinomas. This gene 464 belongs to a family of 6 IGF binding proteins, which function as transport proteins for 465 IGF1 and IGF2 in the peripheral circulation, and in this way limit the bioavailability of 466 IGFs, as well as modulators of cell function via amongst others IGF1R-dependent 467 mechanisms (Baxter 2014). IGFBP3 has been proposed to function as either tumor 468 promotor or suppressor (Baxter 2014). On the one hand overexpression is detected in 469 association with tumor progression in many tumor types, such as head and neck 470 carcinoma (Marimuthu et al. 2013), melanoma (Xi et al. 2006) or renal clear cell 471 carcinoma (Takahash et al. 2005), and on the other hand (Ren et al. 2007) found a 472 higher IGFBP3 mRNA expression in benign as compared to malignant breast tumors. In 473 agreement with our study, (Hansel et al. 2004) found higher expression levels of IGFBP3 474 in well differentiated PanNETs of patients with metastatic disease, although this 475 particularly comprised non-functioning tumors and no metastatic insulinomas were

476 included. A high IGFBP3 mRNA expression was also identified in PanNETs of the

477 metastasis-like primary PanNET subtype in a recent genomic analysis (Scarpa et al.

478 2017).

479 In conclusion, our observations suggest that insulinomas are characterized by high

480 expression levels of IGF signaling pathway components, with a possibility of a strong

481 autocrine loop especially in benign well-differentiated insulinomas. This IGF-signaling

482 pathway appears to be downregulated during tumor progression, coinciding with a

483 shorter 10 years disease free survival.

484

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495

496 **Declaration of interest**

497 The authors declare that there is no conflict of interest that could be perceived as

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Page 24 of 35

1 Legends to the figures

2 Figure 1. Representative examples of immunohistochemical EGFR, pAKT, pERK, IGF2, 3 IGF1R and INSR protein expression in human tissue: normal pancreas (B, D, G, J, M), a 4 premalignant laryngeal lesion (A) and insulinomas (C, E, F, H, I, K, L, N, O). Strong 5 membranous EGFR expression in a premalignant laryngeal lesion (A), weakly positive 6 expression in normal acinar cells, and no detectable expression in the islets (B) and in 7 insulinomas (C). Moderate to strong nuclear p-AKT expression in normal pancreatic islets 8 (D), and moderate to strong nuclear and moderate cytoplasmic expression in an 9 insulinoma (E). Strong nuclear p-ERK immunostaining in an insulinoma (F). Strong 10 aggregate like, extracellular IGF2 localization in normal acinar cells, and a moderate, 11 diffuse granular cytoplasmic staining pattern in pancreatic islets (G). Strong, granular 12 cytoplasmic IGF2 expression in a non-metastatic insulinoma and weakly positive, diffuse 13 cytoplasmic expression in a metastatic insulinoma (H and I respectively). Strongly 14 positive, membranous IGF1R expression in normal acinar cells and moderately positive, 15 granular cytoplasmic expression pattern in islet cells (J). In insulinomas a strong, 16 cytoplasmic expression (K) or combined cytoplasmic and membranous IGF1R expression 17 pattern (L) is seen. Moderate to strong cytoplasmic, perinuclear INSR expression in 18 normal acinar cells with a granular, cytoplasmic pattern in islet cells (M). Moderate, 19 diffuse granular and strong perinuclear INSR expression (N) or weak to moderate, diffuse 20 expression (O) in insulinomas. Original magnifications 200X.

21 Figure 2. Representative examples of immunohistochemical p-S6K and p-4EBP1 protein 22 expression in normal human pancreatic tissue and insulinomas. Strong, perinuclear 23 expression pattern of p-S6K in normal acinar cells, while the islets cells show a very 24 weak, diffuse cytoplasmic expression (A). Weak cytoplasmic and moderate to strong 25 nuclear expression of p-S6K in insulinoma (B). Moderately to strongly positive diffuse 26 cytoplasmic and nuclear p-4EBP1 immunostaining in normal exocrine pancreas cells at 27 the periphery of a lobule (C). No detectable p-4EBP1 expression in normal pancreatic 28 islet (D). Strong nuclear and weak to moderate cytoplasmic p-4EBP1 expression in tumor

29	adjacent exocrine tissue (E). Moderate to strong nuclear and weak cytoplasmic p-4EBP1
30	expression in insulinoma (F).
31	
32	Figure 3. Kaplan-Meier analysis showing 10 year disease-free survival rates of
33	insulinoma patients with regard to mRNA expression (A-D) and clinicopathological
34	parameters (E-H) Correlation between survival and mRNA expression, A: INS (cut off
35	25 th percentile), B: <i>IGF1R</i> (cut off 25 th percentile), C: <i>INSR-A</i> (cut off 25 th percentile), D:
36	IGFBP3 (cut off 25 th percentile), E: Grade (Grade1 versus Grade 2+3), F: Disease (non-
37	metastatic versus metastatic), G: Tumor size (<2 cm versus \geq 2 cm) and H: Disease
38	stage (Stage I+IIa versus IV).
39	Dotted lines in plot A-D refer to low expression.
40	
41	Figure 4. Kaplan-Meier analysis showing 10 year disease-free survival rates of
42	insulinoma patients with regard to protein expression (A-C) and clinicopathological
43	parameters (D-G). Correlation between survival and protein expression, A: IGF2
44	(moderate (2) vs high (3) expression), B: cytoplasmic IGF1R (low vs high expression), C:
45	cytoplasmic INSR (low vs high expression), D: Grade (Grade1 versus Grade 2+3), E:
46	Disease (non-metastatic versus metastatic), F: Tumor size (<2 cm versus \geq 2 cm) and G:
47	Disease stage.
48	
49	Suppl. Figure 1. Control immunoperoxidase stainings for p-AKT, p-ERK, p-S6K
50	and $p4$ -EBP1.Moderate to strong nuclear and weak cytoplasmic expression of p -AKT (A)
51	and
52	moderate nuclear expression of p-ERK (B) in a lung tumor harboring a
53	K-ras exon 2 mutation. Moderate nuclear p-S6K expression (C) and moderate
54	cytoplasmic p-4EBP1 expression (D) in glandular normal colon cells .
55	A low percentage of cells also shows a moderate to strong nuclear p-4EBP1
56	staining pattern (D). Original magnifications 200X.

57	Suppl. Figure 2. Kaplan-Meier analysis showing 10 year overall survival rates of
58	insulinoma patients with regard to mRNA expression (A-D) and clinicopathological
59	parameters (E-H). Correlation between survival and mRNA expression, A: INS (cut off
60	25th percentile), B: IGF1R (cut off 25th percentile), C: INSR-A (cut off 25th percentile),
61	D: IGFBP3 (cut off 25th percentile), E: Grade (Grade1 versus Grade 2+3), F: Disease
62	(non-metastatic versus metastatic) G: Tumor size (<2 cm versus \geq 2 cm) and H: Disease
63	stage (Stage I+IIa versus IV). Dotted lines in plots A-D refer to low expression.
64	
65	Suppl. Figure 3. Kaplan-Meier analysis showing 10 year overall survival rates of
66	insulinoma patients with regard to protein expression (A-C) and clinicopathological
67	parameters (D-G). Correlation between survival and protein expression, A: IGF2
68	(moderate (2) vs high (3) expression), B: cytoplasmic IGF1R (low vs high expression), C:
69	cytoplasmic INSR (low vs high expression), D: Grade (Grade1 versus Grade 2+3), E:
70	Disease (non-metastatic versus metastatic), F: Tumor size (<2 cm versus \geq 2 cm) and G:
71	Disease stage. Dotted lines in plots A-C refer to low expression.

	normalised to GUSB				Relative to pancreatic islets		
	Mean	Std Dev	Median	Mean	Std Dev	Median	
CGA	88.91	± 95.94	59,94	6.04	± 6.61	3,92	
SYNAPT	2.28	± 1.92	1,83	4.83	± 4.31	3,61	
INS	8351.20	± 8507.11	6269,65	2.01	± 2.03	1,48	
EGFR	0.10	± 0.10	0,07	0.15	± 0.17	0,11	
AKT	2.58	± 1.45	2,28	0.75	± 0.45	0,63	
ERK1	1.10	± 0.73	0,93	1.03	± 0.64	0,95	
ERK2	1.56	± 0.89	1,45	0.68	± 0.49	0,55	
IGF1	0.04	± 0.13	0,01	NA			
IGF1R	0.11	± 0.12	0,06	0.82	± 0.92	0,49	
IGF2	1.46	± 3.12	0,43	12.44	± 22.64	3,54	
IGF2R	0.13	± 0.09	0,11	0.67	± 0.42	0,58	
IGF bp1	0.03	± 0.07	0,00	1.63	± 4.13	0,16	
IGF bp2	4.81	± 5.69	3,44	4.04	± 4.41	3,18	
IGF bp3	0.21	± 0.32	0,09	2.86	± 4.74	1,24	
IGF bp6	0.23	± 0.32	0,12	2.03	± 1.99	1,37	
INSR-A	0.22	± 0.20	0,19	1.30	± 0.70	1,27	
INSR-B	0.10	± 0.09	0,08	0.75	± 0.54	0,70	
mTOR	0.01	± 0.01	0,01	0.35	± 0.44	0,22	
RPS6KB1	0.02	± 0.02	0,01	0.58	± 0.61	0,39	
EIF4EBP1	0.84	± 0.87	0,58	0.45	± 0.46	0,30	

Table 1Mean mRNA expression levels of neuroendocrine related genes
and genes in the MAPK/ AKT and IGF pathway in 48
insulinomas

IGF1 relative to pancreatic islets cannot be calculated since *IGF1* was not detectable in normal pancreatic islets

Table 2 Immunohistochemical expression of proteins in theEGFR/MAPK/AKT/IGF/mTOR pathways in insulinomas,presented as percentage of the samples with a specifiedstaining intensity.

Insulinomas	negative	weakly positive	positive
EGFR	100	0	0
p-AKT nuclear	60	18	22
p-AKT cytoplasmic	51	41	8
p-ERK nuclear	30	38	32
p-ERK cytoplasmic	38	38	24
IGF2	1	7	92
IGF1R cytoplasmic	4	18	78
IGF1R membranous	38	11	51
INSR cytoplasmic	4	13	83
p-S6K nuclear	72	9	19
p-S6K cytoplasmic	72	16	12
p-4EBP1 nuclear	93	3	4
p-4EBP1 cytoplasmic	78	13	9

Staining intensity was defined as negative, weakly positive in >10 % of cells and moderately or strongly positive in >10 % of cells. Abbreviation used: p=phosphorylated

Table 3A Correlation of mRNA expression levels in insulinomas, relative to normal single donor pancreatic islet mRNA, with grade, tumor size, metastatic disease and disease stage

p-values <0.05 correspond to lower specific gene expression in tumors with higher grade, metastatic progression, larger size and more advanced disease stage

mRNA	Grade 1 vs Grade 2+3 p-Value	Non-metastatic vs metastatic p-Value	Tumor size <2cm vs ≤2 cm p-Value	Disease stage I+II vs IV p-Value
INS	NS	<0.0001	0.009	<0.0001
AKT	NS	NS	NS	NS
ERK1	NS	NS	NS	NS
ERK2	NS	NS	NS	NS
IGF2	NS	NS	NS	0.004
IGF1R	NS	NS	NS	0.024
IGF2R	0.039	NS	NS	NS
IGFBP2	NS	NS	NS	NS
IGFBP3	NS	NS	NS	NS
IGFBP6	NS	NS	NS	NS
INSR-A	NS	NS	NS	NS
INSR-B	NS	NS	NS	NS
EIF4EBP1	NS	NS	0.003	NS
RPS6KB1	NS	NS	NS	NS

NS, not significant

Table 3BCorrelation of protein expression levels with grade, tumor size, metastatic diseaseand disease stage

p-values <0.05 correspond to lower specific protein expression in tumors with higher grade, metastatic progression, larger size and more advanced disease stage

Protein	Grade 1 vs Grade 2+3 p-Value	Non-metastatic vs metastatic p-Value	Tumor size <2cm vs >2 cm p-Value	Disease stage I+II vs IV p-Value
IGF2	NS	0.001	0.001	0.009
p-AKT nuclear	NS	NS	NS	NS
p-AKT cytoplasmic	NS	NS	NS	NS
p-ERK nuclear	NS	NS	NS	0.004
p-ERK cytoplasmic	NS	NS	NS	0.006
IGF1R cytoplasmic	NS	0.026	NS	0.021
IGF1R membranous	NS	NS	NS	NS
INSR cytoplasmic	NS	0.035	NS	NS
INSR membranous	0.004	0.004	NS	0.004
p-4EBP1 nuclear	NS	NS	NS	NS
p-4EBP1 cytoplasmic	0.001	NS	NS	NS
p-PS6K cytoplasmic	NS	0.030	NS	NS

NS, not significant

Abbreviation used: p = phosphorylated

Table 4Significance levels (p-values) for univariate analysis of insulinomas, indicating therelation of clinical and molecular parameters with disease outcome for tumors subjected to AmRNA expression analysis and B protein expression analysis

	OS	DFS		OS	DFS
Α	p-value	p-value	В	p-value	p-value
Grade ¹	0.007	0.002	Grade ¹	<0.0001	<0.0001
Disease ²	<0.0001	<0.0001	Disease ²	<0.0001	<0.0001
Tumor size ³	0.003	<0.0001	Tumor size ³	<0.0001	<0.0001
Disease stage ⁴	<0.0001	<0.0001	Disease stage ⁴	<0.0001	<0.0001
INS^5	$< 0.0001^{a}$	$< 0.0001^{a}$	IGF2 ⁶	0.027 ^a	0.035ª
IGF1R ⁵	$< 0.0001^{a}$	$< 0.0001^{a}$	IGF1R ⁶	0.033ª	0.012 ^a
INSR-A ⁵	0.032ª	0.0019^{a}	INSR ⁶	0.016 ^a	0.010 ^a
IGFBP3 ⁵	0.001 ^b	<0.0001 ^b			

Abbreviations used: OS, overall survival; DFS, disease free survival

¹ Grade 1 vs Grade 2+3; ² non-metastatic vs metastatic disease; ³ tumor size <2 cm vs \geq 2 cm; ⁴ disease stage I+II vs IV; ⁵ high vs low mRNA expression (see Figure 2 A-D legend); ⁶ high vs low protein expression (see Figure 3 A-C legend).

^a p-values refer to shorter 10 years survival for lower expression; ^b p-values refer to shorter 10 years survival for higher expression

Figure 1



Figure 1

199x280mm (300 x 300 DPI)

Figure 2



Figure 2

200x119mm (300 x 300 DPI)



Figure 3 281x461mm (300 x 300 DPI)



Figure 4

279x459mm (300 x 300 DPI)