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## Potential role of *PIN1* genotypes in predicting benefit from oxaliplatin- and irinotecan-based treatment in patients with metastatic colorectal cancer

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### Abstract

*PIN1*-mediated substrate isomerization plays a role in the repair of DNA double-strand breaks. We hypothesized that genetic polymorphisms in *PIN1*-related pathways may affect tumor sensitivity to oxaliplatin or irinotecan in metastatic colorectal cancer (mCRC) patients. We analyzed genomic DNA from five cohorts of mCRC patients (total 950) treated with different first-line treatments: oxaliplatin cohorts 1 (n=146) and 2 (n=70); irinotecan cohorts 1 (n=228) and 2 (n=276); and combination cohort (n=230). Single nucleotide polymorphisms of candidate genes were analyzed by PCR-based direct sequencing. In the oxaliplatin cohort 1, patients carrying any *PIN1* rs2233678 C allele had shorter progression-free survival (PFS) and overall survival (OS) than the

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#### Conflict of interest

The authors have no conflicts of interest to declare in this work.

G/G variant (PFS, 7.4 vs. 15.0 months, hazard ratio [HR] 3.24,  $P < 0.001$ ; OS, 16.9 vs. 31.5 months, HR: 2.38,  $P = 0.003$ ). In contrast, patients with C allele had longer median PFS than patients with G/G (11.9 vs. 9.4 months, HR: 0.64, 95%CI: 0.45–0.91,  $P = 0.009$ ) in the irinotecan cohort 1. No significant differences were observed in the combination cohort. In comparison between the irinotecan cohort 1 and combination cohort, the patients carrying the G/G variant benefit greatly from the combination compared with irinotecan-based regimen (PFS, 11.6 vs. 9.4 months, HR 0.61, 95%CI: 0.47–0.78,  $P < 0.001$ ; OS, 30.6 vs. 24.0 months, HR 0.79, 95%CI: 0.62–1.02,  $P = 0.060$ ), while no significant difference was shown in any C allele. Germline *PIN1* polymorphisms may predict clinical outcomes in mCRC patients receiving oxaliplatin- or irinotecan-based therapy, and identify specific populations favorable to oxaliplatin plus irinotecan combination therapy.

## Introduction

The never-in-mitosis-gene-a (NIMA) kinases are a family of serine/threonine kinases that play essential roles in mitotic entry by modulating the cell cycle in both *Aspergillus nidulans* and human cells.<sup>1–3</sup> Overexpression of NIMA promotes progression of the cell cycle irrespective of the cell cycle phase, while inactivation of NIMA reversibly induces late G2 arrest.<sup>4</sup> Serine/threonine (S/T) phosphorylation regulates the function of proteins by inducing conformational changes. Peptidyl-prolyl cis/trans isomerase NIMA-interacting 1 (PIN1) interacts with NIMA in a manner that correlates with cell cycle progression, cellular proliferation, and neoplastic transformation. PIN1 binds to and isomerizes specific phosphorylated S/T-proline motifs, resulting in conformational changes in a subset of target proteins. The PIN1-induced conformational changes affect the function of its substrates through a post-phosphorylation regulatory mechanism, leading to enhanced or suppressed phosphorylation in cell signaling.<sup>5</sup>

PIN1 is also involved in the repair of DNA double-strand breaks (DSBs) as part of the DNA damage response (DDR), which maintains genomic integrity and reduces the risk of tumorigenesis.<sup>6,7</sup> The DDR activates DNA repair and regulates cell cycle checkpoint pathways, leading to cell cycle arrest or apoptosis.<sup>8</sup> *TP53* is a tumor suppressor gene that transcriptionally regulates genes involved in cell cycle checkpoints, genomic stability, and apoptosis.<sup>9</sup> As part of the checkpoints, DNA damage triggers PIN1 isomerization of p53, which directs the transcriptional activity of p53 toward cell cycle arrest or apoptosis depending on the degree of DNA damage.<sup>10,11</sup> In addition, PIN1 inhibits the ubiquitination of p53 by mouse double minute 2 homolog (Mdm2).<sup>12</sup> Homologous recombination is a crucial DNA repair pathway triggered by DSBs in the late S and G2 phases of the cell cycle. Isomerization of CtIP by PIN1 results in diminished DSB repair by restricting DNA end-resection (Figure 1A). Furthermore, PIN1 appears to restrict homologous recombination and promote another DNA repair pathway non-homologous end-joining (NHEJ) under DSBs via isomerization of CtIP.<sup>6,13</sup>

Casein kinase 2 (CK2) is a tetrameric protein consisting of two catalytic (CK2 $\alpha$ /2 $\alpha'$ ) and two regulatory (CK2 $\beta$ ) subunits.<sup>14</sup> CK2 is a highly conserved serine/threonine protein kinase that regulates cell viability, cell proliferation, and signal transduction by

phosphorylating numerous cellular targets.<sup>15–17</sup> PIN1 interacts with CK2 $\alpha$ /2 $\alpha'$ , especially phosphorylated CK2 $\alpha$ , but not with CK2 $\beta$ . PIN1-mediated isomerization of CK2 $\alpha$  regulates the hyperphosphorylation and activity of topoisomerase I (Top1), an enzyme that unwinds the DNA double helix and is the target of irinotecan<sup>18,19</sup> (Figure 1B).

As key components of current standard treatments for colorectal cancer (CRC),<sup>20</sup> oxaliplatin is a platinum compound that crosslinks DNA, whereas irinotecan inhibits Top1.<sup>21,22</sup> Although both agents induce DNA damage, we speculated that they may inflict different degrees of damage, and oxaliplatin would be predicted to have the greater effect. In this study, we determined whether gene polymorphisms in PIN1-related pathway components could predict the efficacy of oxaliplatin- and irinotecan-based treatments and their combination therapy in patients with metastatic CRC (mCRC).

## Materials and methods

### Study design and patients

We investigated five independent cohorts of 950 patients with mCRC who received different first-line treatments. Oxaliplatin cohort 1 (Ox-cohort 1) consisted of 146 patients who received FOLFOX  $\pm$  bevacizumab treatment at the Cancer Institute Hospital (Tokyo, Japan) as discovery.<sup>23</sup> Oxaliplatin cohort 2 (Ox-cohort 2) consisted of 70 patients in a retrospective cohort who received first-line FOLFOX or XELOX + bevacizumab treatment as validation (Tokyo, Japan). Irinotecan cohort 1 (Iri-cohort 1) of 228 patients received FOLFIRI + bevacizumab treatment (TRIBE arm A) in the randomized, open-label, phase III TRIBE trial as discovery.<sup>24</sup> Irinotecan cohort 2 (Iri-cohort 2) of 276 patients received FOLFIRI + bevacizumab treatment (FIRE-3 arm B) in the randomized, open-label, phase III FIRE-3 trial.<sup>25</sup> Combination cohort (Ox + Iri-cohort) consisted of 230 patients who received FOLFOXIRI + bevacizumab treatment (TRIBE arm B) in the TRIBE trial.<sup>24</sup> Eligible patients had a histologically confirmed diagnosis of mCRC, had measurable or evaluable disease according to Response Evaluation Criteria in Solid Tumors (RECIST) v1.1, had no prior treatment for metastatic disease, and provided signed informed consent. FOLFOX  $\pm$  bevacizumab treatment (oxaliplatin 85 mg/m<sup>2</sup>, 5-fluorouracil [5-FU] bolus 400 mg/m<sup>2</sup>, 5-FU infusion 2400 mg/m<sup>2</sup>, leucovorin calcium 200 mg/m<sup>2</sup>, with or without bevacizumab 5 mg/kg) was administered every 2 weeks. XELOX + bevacizumab treatment (oxaliplatin 130 mg/m<sup>2</sup>, capecitabine 1,000 mg/m<sup>2</sup> given twice daily from evening of day 1 to morning of day 15, with or without bevacizumab 5 mg/kg) was administered every 3 weeks. FOLFIRI + bevacizumab treatment (irinotecan 180 mg/m<sup>2</sup>, 5-FU bolus 400 mg/m<sup>2</sup>, 5-FU infusion 2400 mg/m<sup>2</sup>, leucovorin 200 mg/m<sup>2</sup>, bevacizumab 5 mg/kg) was administered every 2 weeks. FOLFOXIRI + bevacizumab treatment (oxaliplatin 85 mg/m<sup>2</sup>, irinotecan 165 mg/m<sup>2</sup>, 5-FU bolus 400 mg/m<sup>2</sup>, 5-FU infusion 3200 mg/m<sup>2</sup>, leucovorin 200 mg/m<sup>2</sup>, with or without bevacizumab 5 mg/kg) was administered every 2 weeks. Treatment was continued until any of the following occurred: disease progression, unmanageable toxicity, or patient refusal. We were fully compliant with the Reporting Recommendations for Tumor Marker Prognostic Studies (REMARK) guidelines. Tissue analysis was approved by the Institutional Review Board of each institute and was conducted at the University of Southern California/Norris

Comprehensive Cancer Center in accordance with the Declaration of Helsinki and Good Clinical Practice Guidelines.

### Single nucleotide polymorphism (SNP) selection

The eight candidate SNPs examined in this study were present in seven genes: *PIN1*; *TP53*; *CHE1/apoptosis antagonizing transcription factor (AATF)*; *casein kinase 2, alpha 1 polypeptide (CSNK2A1)*; *casein kinase 2, beta polypeptide (CSNK2B)*; *TOP1*; and *CTIP/retinoblastoma binding protein 8 (RBBP8)*, and were selected by the following criteria: (i) SNPs revealed to be of biological significance according to a literature review; (ii) tagged SNPs using the HapMap genotype data with  $r^2$  threshold of 0.8: <http://snpinfo.niehs.nih.gov/snpinfo/snptag.htm>; or (iii) SNPs with a cut-off for minor allele frequency of at least 10% in both Caucasians and East Asians (in the Ensembl Genome Browser: <http://uswest.ensembl.org/index.html>). Functional significance was predicted based on the functional SNP (F-SNP) database: <http://compbio.cs.queensu.ca/F-SNP/> (Supplementary Table S1).

### DNA extraction and genotyping

Genomic DNA was isolated from peripheral whole blood samples from the patients in the TRIBE cohorts and from formalin-fixed paraffin-embedded tissues from the patients in the Ox-cohort 1, Ox-cohort 2 and the Iri-cohort 2. DNA was extracted using a QIAmp Kit (Qiagen, Valencia, CA, USA) according to the manufacturer's protocol. Genotyping of the selected SNPs was performed by PCR-based direct DNA sequence analysis using an ABI 3100A Capillary Genetic Analyzer and Sequencing Scanner v1.0 (Applied Biosystems, Life Technologies, Grand Island, NY, USA). The extracted DNA samples were amplified using forward and reverse primers for each SNP. For quality control purposes, 10% of the samples were randomly selected and analyzed for each SNP by direct DNA sequencing; this yielded genotype concordance rates of at least 99%. SNP analysis was performed using an ABI Sequencing Scanner v1.0 (Applied Biosystems) and carried out by investigators blinded to the patients' clinical data.

### Immunohistochemistry

To determine whether the expression of p53 correlated with the *PIN1* polymorphisms, we performed immunohistochemical staining of formalin-fixed, paraffin-embedded tumor sections using an anti-p53 protein antibody (D0-7; DAKO, Santa Clara, CA, USA). A pathologist assessed 131 of 146 samples from the Ox-cohort 1 who received oxaliplatin-based treatment at the Cancer Institute Hospital in Japan. Tumors from the remaining 15 patients were not analyzed due to a lack of tissue. Three patterns of p53 staining were identified: strong nuclear staining was defined as 'overexpression (high expression)', moderate or mild nuclear staining was defined as 'wild-type expression', and no nuclear staining was defined as 'loss (low expression)'.

### Statistical analysis

The study endpoints were progression-free survival (PFS), overall survival (OS), and tumor response. PFS was defined as the interval between the date of starting treatment (oxaliplatin

cohorts) or randomization (TRIBE and FIRE-3 cohorts) and the date of confirmed disease progression or death. For patients who had no disease progression or who died, PFS was censored at the date of last follow-up. OS was calculated from the date of starting treatment (Ox-cohorts 1 and 2) or randomization (TRIBE and FIRE-3 cohorts) until the date of death from any cause. For patients who were lost to follow-up, data were censored on the date when the patient was last confirmed to be alive. The objective response rate was calculated from the number of patients who achieved complete response (CR) and partial response (PR) to treatment, according to RECIST v1.0, among all patients whose tumor responses were evaluated. The patient baseline characteristics were compared across cohorts using Chi-square and Kruskal–Wallis tests, as appropriate. The allelic distribution of SNPs by ethnicity for deviation from the Hardy–Weinberg equilibrium and the allelic frequencies of the SNPs were examined by exact tests. The associations between SNPs and baseline characteristics were examined by Fisher’s exact test. Kaplan–Meier curves were constructed and analyzed by the log-rank test to estimate the associations between the candidate SNPs and PFS or OS. A multivariable Cox proportional hazards model was used to adjust for factors influencing the association between SNPs and PFS or OS. Baseline patient demographic and clinical characteristics that were significantly associated with PFS or OS at  $P < 0.1$  were included in the multivariable analyses. Fisher’s exact test was used to examine associations between SNPs and tumor responses. Co-dominant, dominant, or recessive genetic models were used for SNPs, because no true model has yet been established. The study had 80% power to detect a minimum hazard ratio (HR) of 1.77 to 2.03 for the association between a SNP and PFS when the minor allele frequencies ranged from 0.1 to 0.4 in the Ox-cohort 1 ( $n = 146$ , PFS events: 98) using a dominant model and a two-sided log-rank test at the 0.05 level, and 80% power to detect a minimum HR of 1.53 to 1.72 for the association between a SNP and PFS when the minor allele frequencies ranged from 0.1 to 0.4 in the Iri-cohort 1 ( $n = 228$ , PFS events: 174) using a dominant model and a two-sided log-rank test at the 0.05 level. The power for detecting a minimum HR of 1.77 to 2.03 was at least 44% for the same SNPs with the same minor allele frequencies in the Ox-cohort 2 ( $n = 70$ , PFS events: 43), 97% in the irinotecan cohort 2 ( $n = 276$ , PFS events: 236), and 93% in the combination cohort ( $n = 230$ , PFS events: 162) using the same tests. All analyses were carried out with SAS 9.4 software (SAS Institute, Cary, NC, USA). All tests were two-sided at a significance level of 0.05.

## Results

### Baseline demographics and clinical characteristics

The baseline characteristics of the five cohorts are summarized in Table 1. The allelic frequencies and genotype distribution of each SNP met the reported results for Japanese or Caucasian populations, and all candidate SNPs were genotyped at a success rate of 93% in all cohorts, based on the quantity or quality of the extracted genomic DNA. The allelic frequencies for all candidate SNPs analyzed in this study were within the Hardy–Weinberg equilibrium ( $P > 0.05$ ) in all cohorts.

### Candidate polymorphisms and clinical outcomes in oxaliplatin cohorts

Univariate analysis of the Ox-cohort 1 revealed that patients carrying any C allele in *PIN1* rs2233678 had significantly shorter PFS (7.4 vs. 15.0 months, HR 3.24, 95% CI 1.60–6.54,  $P < 0.001$ ) and OS (16.9 vs. 31.5 months, HR 2.38, 95% CI 1.32–4.30,  $P = 0.003$ ) than patients with the G/G variant. These effects remained significant in the multivariable analysis at  $P = 0.009$  and  $P = 0.044$  for PFS and OS, respectively. Regarding tumor response, patients with the C/C variant in *TP53* rs1042522 achieved a significantly higher response rate than patients with any G allele (74% vs. 46%,  $P = 0.001$ ) (Figure 2A; Table 2; Supplementary Table S2). In the Ox-cohort 2, patients carrying the *PIN1* rs2233678 G/C allele had shorter OS than patients with the G/G variant (17.3 vs. 36.0 months, HR 2.43, 95% CI 0.83–7.15,  $P = 0.087$ ); in the multivariable model, the effect remained marginally significant (HR 3.01, 95% CI 0.98–9.20,  $P = 0.054$ ) (Table 2).

### Candidate polymorphisms and clinical outcomes in irinotecan cohorts

Univariate analysis of the Iri-cohort 1 revealed that patients carrying any C allele in *PIN1* rs2233678 had significantly longer PFS (11.9 vs. 9.4 months, HR 0.64, 95% CI 0.45–0.91,  $P = 0.009$ ) than patients with the G/G variant. Although the patients carrying a C allele also had longer OS, the difference did not reach the level of statistical significance (31.6 vs. 24.0 months, HR 0.79, 95% CI 0.56–1.11,  $P = 0.17$ ) (Figure 2B). In the multivariable analysis, *PIN1* rs2233678 remained significantly associated with PFS (HR 0.61, 95% CI 0.38–0.96,  $P = 0.033$ ) (Table 2; Supplementary Table S3). In the analysis of tumor response, any *PIN1* rs2233678 C allele variant was significantly associated with better tumor response compared with the G/G variant (Fisher's exact  $P = 0.011$ ). In the Iri-cohort 2, no significant associations were observed between SNPs and tumor response or outcomes (Table 2).

### Candidate polymorphisms and clinical outcomes in combination (Ox + Iri) cohort

Univariate and multivariate analyses showed no significant differences between SNPs and PFS, OS, or tumor response (Table 2; Figure 2C).

### Comparison between FOLFIRI + bevacizumab and FOLFOXIRI + bevacizumab according to *PIN1* polymorphisms in TRIBE trial

In comparison of the Iri-cohort 1 and Ox + Iri-cohort (TRIBE arm A vs. B), the patients with the G/G variant in *PIN1* rs2233678 benefitted from FOLFOXIRI + bevacizumab compared with FOLFIRI + bevacizumab for clinical outcomes (PFS, 11.6 vs. 9.4 months, HR 0.61, 95% CI: 0.47–0.78,  $P < 0.001$ ; OS, 30.6 vs. 24.0 months, HR 0.79, 95% CI: 0.62–1.02,  $P = 0.060$ ); while no significant survival benefit was shown in any C allele (Figures 3A and 3B; Supplementary Table S4).

### Associations between p53 immunohistochemistry and *PIN1* polymorphisms

Patients in the Ox-cohort 1 were assigned to three groups based on immunohistochemical staining for p53 in 131 tissue samples: 69 patients showed high expression, 37 showed wild-type expression, and 25 showed low expression. Patients in the wild-type or low expression groups had longer PFS and OS, but the effects were not significant (Supplementary Table S5). Interaction analyses between p53 staining status and *TP53* rs1042522 or *PIN1*

rs2233678 were performed. Interaction terms for p53 and SNPs were included in the multivariable model adjusted for baseline characteristics and estimated using the maximum likelihood test. However, no significant interactions were observed between p53 expression and these SNPs.

## Discussion

We report here the first evidence that *PIN1* gene polymorphisms may influence the antitumor activity of oxaliplatin in first-line treatment for mCRC. The SNPs potentially predicted the outcomes for efficacy of oxaliplatin-based treatment and additional effect of oxaliplatin in combination treatment with irinotecan.

In the TRIBE trial, first-line FOLFOXIRI + bevacizumab significantly improved PFS and OS compared with FOLFIRI + bevacizumab in mCRC patients.<sup>24</sup> To our knowledge, there have been no reports of useful biological markers that can distinguish clinical outcomes between them. In addition, it remains unknown whether the antitumor effect of the combination regimen is based on a synergistic action. .

Recent data in a review showed that PIN1 acts as a post-phosphorylation regulator of biological function of its substrate proteins and alters their conformation.<sup>5,26</sup> The conformational changes can modulate biological activity, bioavailability, phosphorylation status, protein-protein interactions, protein stability, and subcellular localization, leading to regulation of various cellular events such as transcriptional regulation, cell proliferation, and mitosis.<sup>27</sup> Lu et al. analyzed the allele-specific effects of *PIN1* rs2233678 variants on promoter activity using a luciferase reporter assay, and showed that the G allele was more highly associated with *PIN1* gene expression compared with the C allele. The authors suggested that the change from G to C might diminish binding of regulatory proteins to the *PIN1* promoter, although differences in *PIN1* mRNA levels in cells with different *PIN1* rs2233678 genotypes were not assessed. In addition, the C allele was associated with a decreased risk of squamous cell carcinoma of the head and neck, indicating that the G/G variant was a high risk factor.<sup>28</sup>

Our data showed that the dominant *PIN1* rs2233678 G/G variant was associated with the outcome of oxaliplatin-based treatment; that is, G/G was favorable for oxaliplatin treatment. To our knowledge, there is currently no strong evidence of an association between *PIN1* polymorphisms and sensitivity to chemotherapeutic agents. The findings from the functional analysis described above shed light on the role of PIN1 in the DDR to oxaliplatin treatment.<sup>28</sup> The PIN1-mediated conformational change in CtIP restricts homologous recombination while simultaneously promoting NHEJ. A recent in vitro study demonstrated that depletion of PIN1 significantly decreased NHEJ frequency, whereas PIN1 overexpression markedly reduced homologous recombination by diminishing the formation of single-stranded DNA.<sup>13</sup> These data support our findings of better outcomes for patients with the *PIN1* rs2233678 G/G variant following oxaliplatin-based treatment, and suggest the possibility that the high expression of PIN1 in these patients inhibits CtIP and thus inactivates homologous recombination (Figure 1C). We found no interaction effects between p53 staining and *TP53* rs1042522 and *PIN1* rs2233678, suggesting that the PIN1-induced change in conformation

of p53 does not affect its expression. A recent study also investigated the correlation between *TP53* rs1042522 alleles and p53 expression by immunohistochemical staining, and observed no association.<sup>29</sup> However, the diverse and varied effects of oncologic signaling resulting from a PIN1-induced change in p53 conformation remain to be clarified. Thus, we assume that dysfunction in the DDR to oxaliplatin-induced DSBs is the main reason for the increased sensitivity to the oxaliplatin-based regimens.

In our study, the *PIN1* rs2233678 G/G variant had potential opposite effects on sensitivity to oxaliplatin and irinotecan between the Ox-cohort 1 and Iri-cohort 1; these findings are sustained by previous reports<sup>18,19</sup> that PIN1 isomerization of CK2 $\alpha$  inhibits Top1 activity (Figure 1D). Given the effect was not confirmed in the Iri-cohort 2, a further validation study is warranted to explore whether the PIN1-mediated isomerization of CK2 $\alpha$  varying Top1 activity is associated with clinical outcome. Intriguingly, the combination cohort and Iri-cohort 1 in the TRIBE trial had comparable effects on PFS and OS in patients with any *PIN1* rs2233678 C allele, whereas patients carrying the G/G variant continued to have a significant advantage in adding oxaliplatin to irinotecan. Consequently, we assume that the preferable effect of oxaliplatin is most likely to appear in patients with the G/G variant when receiving oxaliplatin-based treatment, and which confers improved outcomes when combining oxaliplatin to irinotecan-based treatment.

Our study has some limitations. The sample size was limiting for detecting small percentages of minor alleles. For example, there was no statistical significance in PFS for *PIN1* rs2233678 in the Ox-cohort 2; however, the trend in HR was consistent with that in Ox-cohort 1 as well as tumor response. Nevertheless, we need a further study to confirm the predictive value of *PIN1* rs2233678 for oxaliplatin-based treatment. The study lacked in vitro and in vivo results. The patients in the oxaliplatin cohorts were Asian (Japanese) while those in the irinotecan cohorts were Caucasians (Italian or German); and the population homogeneity in each cohort might have affected the allele frequency and outcomes. However, we observed no significant associations between *PIN1* rs2233678 genotypes and other prognostic variables at baseline in our study. In contrast, our study has a number of strengths, including the presence of cohorts derived from two phase III studies, the presence of validation cohorts with comparable baseline characteristics, immunochemical confirmation of correlations between *PIN1* genotype and protein expression. In addition, the superiority of FOLFOXIRI to FOLFIRI in PFS and OS in the phase III TRIBE trial was reproduced, when the study population was limited to patients carrying the *PIN1* rs2233678 G/G variant. This strongly suggests the clinical importance of oxaliplatin in combination treatment in first-line treatment for mCRC.

In conclusion, germline *PIN1* polymorphisms may predict especially oxaliplatin sensitivity rather than irinotecan in mCRC patients. Further validation studies comparing oxaliplatin- or irinotecan-based treatment to combination treatment is warranted to verify the unique multifunctionality of *PIN1* SNPs for providing an optimal treatment plan for selected patients.



## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

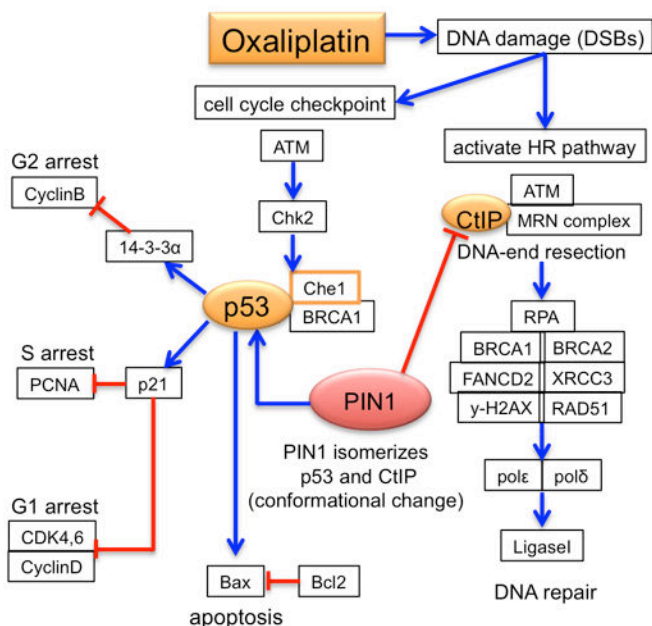
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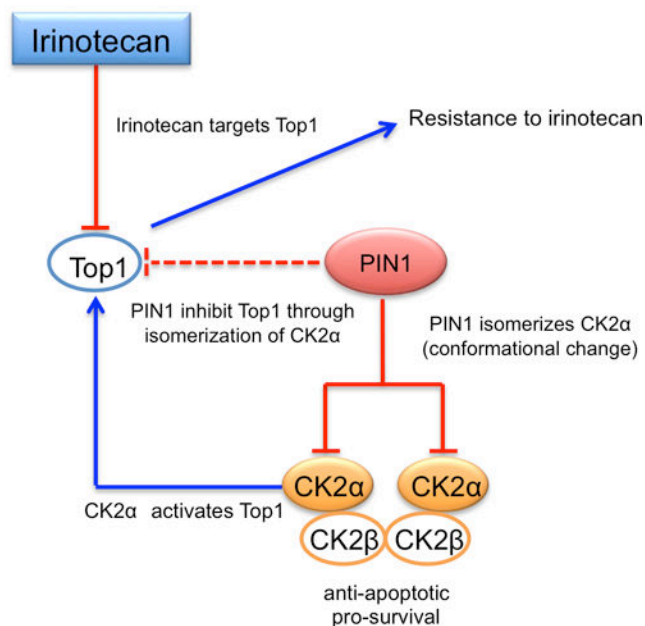
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(A) Role of PIN1 in oxaliplatin-based treatment



(B) Role of PIN1 in irinotecan-based treatment



(C) *PIN1* SNPs and oxaliplatin sensitivity

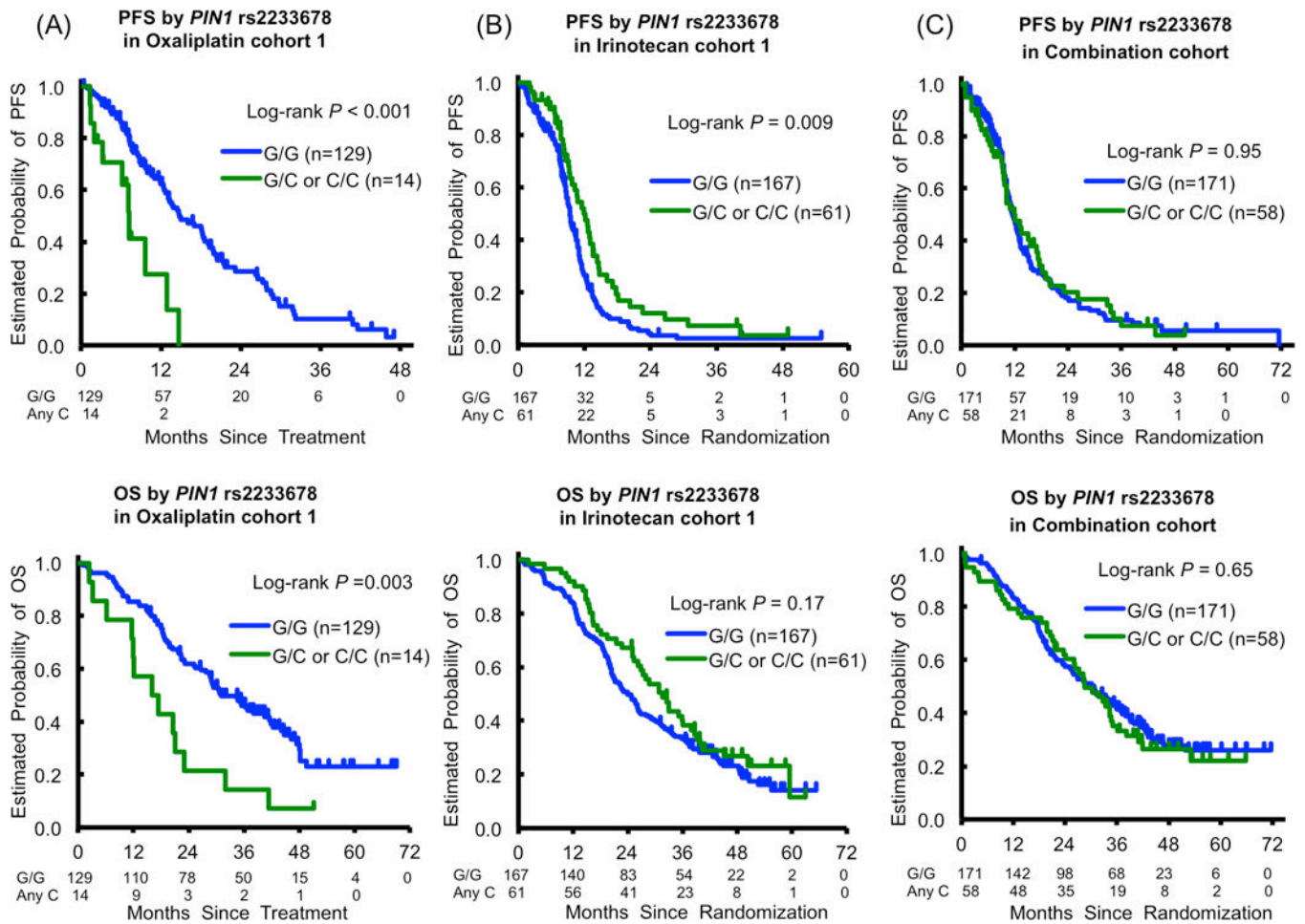
<i>PIN1</i> rs2233678	CtIP activity	DNA-end resection	Balance of DNA repair	Oxaliplatin sensitivity
G/G	↓	↓	HR < NHEJ	↑
Any C	↑	↑	HR > NHEJ	↓

HR, homologous recombination; NHEJ, non-homologous end joining

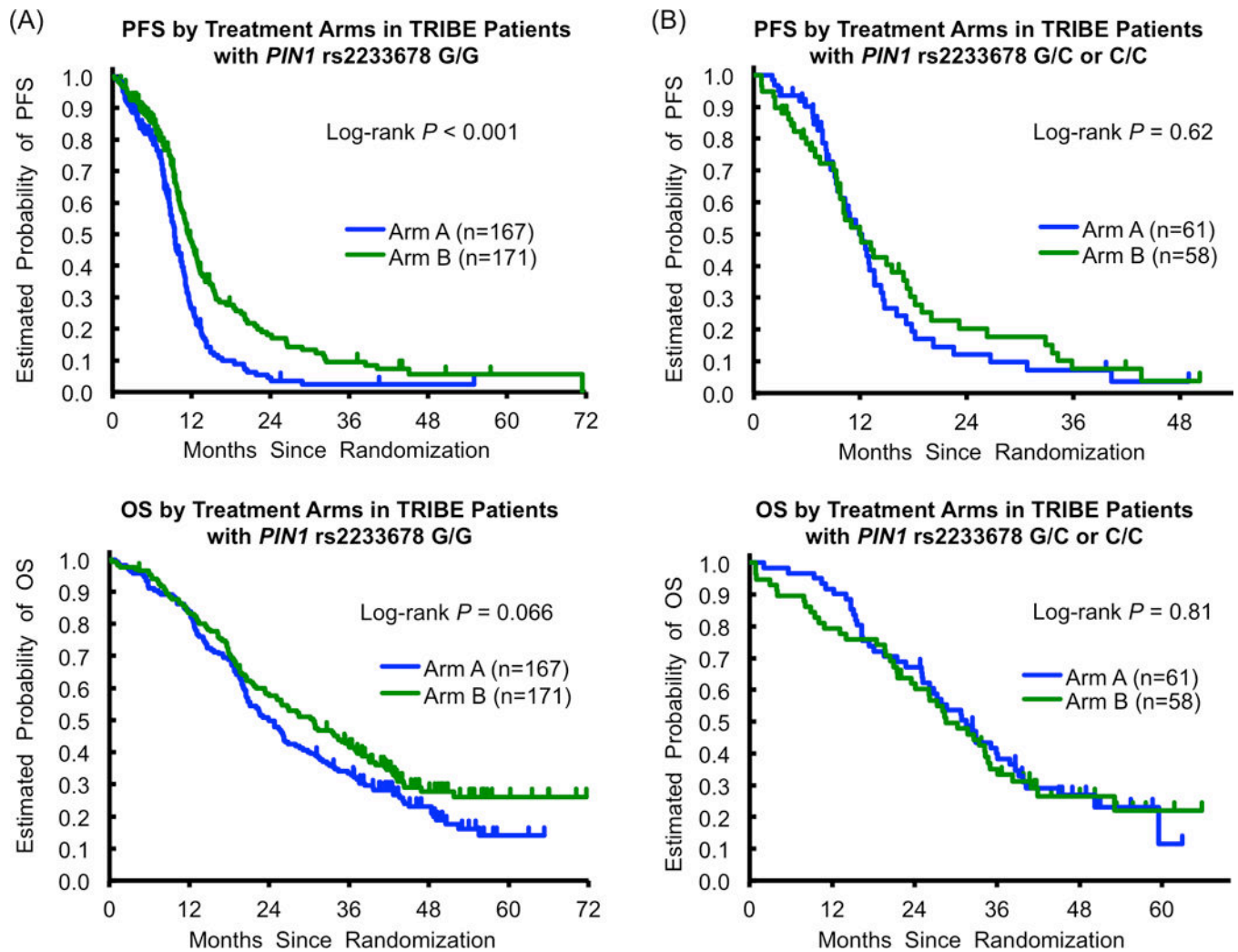
(D) *PIN1* SNPs and irinotecan sensitivity

<i>PIN1</i> rs2233678	CK2α activity	Top1 activity	Irinotecan sensitivity
G/G	↓	↓	↓
Any C	↑	↑	↑

**Figure 1.** Chemotherapeutic agents and PIN1-related pathways: roles of PIN1 in (A) oxaliplatin-based treatment; (B) irinotecan-based treatment; (C) *PIN1* SNPs and oxaliplatin sensitivity; and (D) *PIN1* SNPs and irinotecan sensitivity.



**Figure 2.** Progression-free survival (PFS) and overall survival (OS) in three cohorts: oxaliplatin cohort 1 (A); irinotecan cohort 1 (B); and combination cohort (C) according to G/G or any C *PIN1* rs2233678 alleles.



**Figure 3.**

Progression-free survival (PFS) and overall survival (OS) in treatment arms in TRIBE study according to G/G or any C *PIN1* rs2233678 alleles: arm A receiving FOLFIRI + bevacizumab (irinotecan cohort 1); arm B receiving FOLFOXIRI + bevacizumab (combination cohort).

**Table 1**  
Comparisons of baseline demographic and clinical characteristics among the patient cohorts

Characteristics	Oxaliplatin cohort 1 (N=146)		Oxaliplatin cohort 2 (N=70)		Irinotecan cohort 1 (N=228)		Irinotecan cohort 2 (N=276)		Combination cohort (N=230)		P value <sup>a</sup>
	N	%	N	%	N	%	N	%	N	%	
Sex											<0.001
Male	71	49	30	43	138	61	185	67	137	60	
Female	75	51	40	57	90	39	91	33	93	40	
Age (years)											
Median (range)	61 (27 – 75)		60 (32 – 79)		60 (29 – 75)		64 (31 – 76)		60 (29 – 75)		<0.001
65	105	72	48	69	163	71	146	53	156	68	
> 65	41	28	22	31	65	29	130	47	74	32	
ECOG Performance status											<0.001
0	128	88	70	100	188	83	151	55	205	89	
1-2	18	12	0	0	39	17	125	45	25	11	
Primary tumor site											<0.001
Right	41	28	24	34	57	27	60	26	78	36	
Left	105	72	46	66	156	73	170	74	141	64	
Number of metastases											0.015
1	48	33	30	43	99	43	143	52	100	43	
2	61	42	30	43	90	39	82	30	91	40	
3	37	25	10	14	39	17	51	18	39	17	
Liver metastasis											<0.001
Yes	80	55	37	53	72	32	92	33	80	35	
No	66	45	33	47	156	68	184	67	150	65	
Primary tumor resection											<0.001
Yes	116	79	54	77	144	63	239	87	157	68	
No	30	21	16	23	84	37	37	13	73	32	
Adjuvant chemotherapy											0.055
Yes	24	16	17	24	28	12	51	18	29	13	

Characteristics	Oxaliplatin cohort 1 (N=146)		Oxaliplatin cohort 2 (N=70)		Irinotecan cohort 1 (N=228)		Irinotecan cohort 2 (N=276)		Combination cohort (N=230)		P value <sup>a</sup>
	N	%	N	%	N	%	N	%	N	%	
No	122	84	53	76	200	88	225	82	201	87	
<i>KRAS</i> exon2 status											< 0.001
Wild-type	38	68	29	43	96	51	236	86	90	47	
Mutant	18	32	39	57	93	49	40	14	101	53	

<sup>a</sup> P values were calculated using the Chi-square test for categorical factors and the Kruskal–Wallis test for numeric variables.

**Table 2**

Associations between PIN1-related genes and clinical outcomes

	Tumor response		Progression-free Survival			Overall Survival			
	N	CR+PR	SD+PD	Median, months (95%CI)	HR (95%CI) †	HR (95%CI) ‡	Median, months (95%CI)	HR (95%CI) †	HR (95%CI) ‡
<b>Oxaliplatin cohort 1</b>									
<i>PIN1</i> rs2233678									
G/G	129	74 (60%)	50 (40%)	15.0 (12.6, 18.8)	1 (Reference)	1 (Reference)	31.5 (28.1, 42.1)	1 (Reference)	1 (Reference)
G/C <i>a</i>	13	6 (46%)	7 (54%)	7.4 (2.0, 13.1)	3.24 (1.60, 6.54)	2.67 (1.28, 5.57)	16.9 (6.2, 23.4)	2.38 (1.32, 4.30)	1.91 (1.02, 3.59)
C/C <i>a</i>	1	0	1 (100%)						
<i>P</i> value *			0.30		<0.001	0.009		0.003	0.044
<i>TP53</i> rs1042522									
C/C	56	40 (74%)	14 (26%)	13.3 (9.8, 18.5)	1 (Reference)	1 (Reference)	29.1 (22.7, 31.5)	1 (Reference)	1 (Reference)
C/G	70	31 (46%)	36 (54%)	14.1 (9.3, 19.4)	0.90 (0.58, 1.39)	0.87 (0.54, 1.38)	35.0 (20.1, 42.0)	0.96 (0.63, 1.47)	0.93 (0.60, 1.43)
G/G	16	7 (44%)	9 (56%)	13.1 (5.4, 30.3)	1.04 (0.52, 2.10)	0.96 (0.47, 1.97)	32.3 (13.3, 58.5)	0.71 (0.33, 1.51)	0.62 (0.29, 1.35)
<i>P</i> value *			0.005		0.84	0.83		0.66	0.48
C/C	56	40 (74%)	14 (26%)	13.3 (9.8, 18.5)	1 (Reference)	1 (Reference)	29.1 (22.7, 31.5)	1 (Reference)	1 (Reference)
Any G	86	38 (46%)	45 (54%)	13.8 (10.1, 17.3)	0.92 (0.60, 1.40)	0.88 (0.57, 1.37)	35.0 (20.8, 42.4)	0.92 (0.61, 1.38)	0.87 (0.57, 1.32)
<i>P</i> value *			0.001		0.68	0.58		0.67	0.50
<b>Oxaliplatin cohort 2</b>									
<i>PIN1</i> rs2233678									
G/G	64	26 (41%)	38 (59%)	13.0 (9.6, 17.7)	1 (Reference)	1 (Reference)	36.0 (26.5, 49.8)	1 (Reference)	1 (Reference)
G/C	6	2 (33%)	4 (67%)	13.1 (5.6, 35.0)	1.11 (0.43, 2.82)	1.15 (0.44, 2.98)	17.3 (11.9, 35.0)	2.43 (0.83, 7.15)	3.01 (0.98, 9.20)
<i>P</i> value *			1.00		0.83	0.78		0.087	0.054
<b>Irinotecan cohort 1</b>									
<i>PIN1</i> rs2233678									
G/G	167	86 (53%)	75 (47%)	9.4 (8.7, 10.4)	1 (Reference)	1 (Reference)	24.0 (20.5, 26.8)	1 (Reference)	1 (Reference)
G/C <i>a</i>	56	41 (75%)	14 (25%)	11.9 (9.5, 13.6)	0.64 (0.45, 0.91)	0.61 (0.38, 0.96)	31.6 (25.2, 37.8)	0.79 (0.56, 1.11)	0.73 (0.47, 1.13)
C/C <i>a</i>	5	2 (40%)	3 (60%)						



	N	Tumor response		Progression-free Survival			Overall Survival			
		CR+PR	SD+PD	Median, months (95%CI)	HR (95%CI) †	HR (95%CI) ‡	Median, months (95%CI)	HR (95%CI) †	HR (95%CI) ‡	
<i>P</i> -value*			<b>0.011</b>		<b>0.009</b>		<b>0.033</b>		0.17	0.15
<b>Irinotecan cohort 2</b>										
<i>P</i> / <i>N</i> / rs2233678										
G/G	224	123 (59%)	84 (41%)	9.9 (9.0, 10.8)	1 (Reference)	1 (Reference)	1 (Reference)	24.8 (21.5, 26.7)	1 (Reference)	1 (Reference)
G/C <sup>a</sup>	46	24 (59%)	17 (41%)	11.8 (8.9, 13.4)	0.98 (0.71, 1.36)	1.00 (0.73, 1.39)	1.03 (0.71, 1.50)	23.1 (17.4, 31.5)	1.11 (0.76, 1.62)	
C/C <sup>a</sup>	6	4 (67%)	2 (33%)							
<i>P</i> -value*			1.00		0.91		0.98		0.86	0.59
<b>Combination cohort</b>										
<i>P</i> / <i>N</i> / rs2233678										
G/G	171	113 (68%)	53 (32%)	11.6 (10.3, 13.0)	1 (Reference)	1 (Reference)	1 (Reference)	30.6 (24.0, 35.4)	1 (Reference)	1 (Reference)
G/C <sup>a</sup>	54	32 (63%)	19 (37%)	12.0 (9.5, 16.9)	0.99 (0.70, 1.41)	1.18 (0.77, 1.82)	1.09 (0.76, 1.55)	28.6 (23.6, 34.7)	1.07 (0.71, 1.61)	
C/C <sup>a</sup>	4	4 (100%)	0							
<i>P</i> -value*			0.36		0.95		0.45		0.65	0.76

<sup>a</sup>Combined for estimates of HR.

\* *P*-values were calculated using Fisher's exact test for tumor response, the log-rank test for univariate analysis (†), and the Wald test for multivariable analysis within the Cox regression model (‡).

‡ Multivariable model was adjusted for liver metastasis, resection of metastases, resection of primary tumors, and ECOG performance status, and was stratified by regimen in the oxaliplatin cohort 1; by liver metastasis and primary tumor location in the oxaliplatin cohort 2; age, ECOG performance status, tumor site, resection of primary tumors, *BRAF* mutation status, and adjuvant chemotherapy in the irinotecan cohort 1; sex, ECOG performance status, liver metastasis, and resection of primary tumors in the irinotecan cohort 2; and age, ECOG performance status, tumor site, resection of primary tumors, *BRAF* mutation status, and adjuvant chemotherapy in the combination cohort. Significant *P*-values are in bold.