

The Swiss cohort of elderly patients with venous thromboembolism (SWITCO65+): rationale and methodology

Marie Méan · Marc Righini · Kurt Jaeger · Hans-Jürg Beer · Beat Frauchiger · Joseph Osterwalder · Nils Kucher · Bernhard Lämmle · Jacques Cornuz · Anne Angelillo-Scherrer · Nicolas Rodondi · Andreas Limacher · Sven Trelle · Christian M. Matter · Marc Husmann · Martin Banyai · Markus Aschwanden · Michael Egloff · Lucia Mazzolai · Olivier Hugli · Henri Bounameaux · Drahomir Aujesky

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Abstract Venous thromboembolism (VTE) is common and has a high impact on morbidity, mortality, and costs of care. Although most of the patients with VTE are aged ≥ 65 years, there is little data about the medical outcomes in the elderly with VTE. The Swiss Cohort of Elderly Patients with VTE (SWITCO65+) is a prospective multi-center cohort study of in- and outpatients aged ≥ 65 years with acute VTE from all five Swiss university and four high-volume non-university hospitals. The goal is to examine which clinical and biological factors and processes of care drive short- and long-term medical outcomes, health-related quality of life, and medical resource utilization in elderly patients with acute VTE. The cohort also includes a large biobank with biological material from each participant. From September 2009 to March 2012,

1,863 elderly patients with VTE were screened and 1003 (53.8 %) were enrolled in the cohort. Overall, 51.7 % of patients were aged ≥ 75 years and 52.7 % were men. By October 16, 2012, after an average follow-up time of 512 days, 799 (79.7 %) patients were still actively participating. SWITCO65+ is a unique opportunity to study short- and long-term outcomes in elderly patients with VTE. The Steering Committee encourages national and international collaborative research projects related to SWITCO65+, including sharing anonymized data and biological samples.

Keywords Cohort study · Venous thromboembolism · Elderly patients · Biobank

M. Méan (✉) · N. Rodondi · D. Aujesky
Division of General Internal Medicine, Bern University Hospital,
Inselspital, 3010 Bern, Switzerland
e-mail: marie.mean@insel.ch

M. Righini · H. Bounameaux
Division of Angiology and Hemostasis, Geneva University
Hospital, Geneva, Switzerland

K. Jaeger · M. Aschwanden
Department of Angiology, Basel University Hospital, Basel,
Switzerland

H.-J. Beer · M. Egloff
Department of Internal Medicine, Cantonal Hospital of Baden,
Baden, Switzerland

B. Frauchiger
Department of Internal Medicine, Cantonal Hospital
of Frauenfeld, Frauenfeld, Switzerland

J. Osterwalder
Emergency Department, Cantonal Hospital of St. Gallen, St.
Gallen, Switzerland

N. Kucher
Division of Angiology, Bern University Hospital, Bern,
Switzerland

B. Lämmle
Division of Hematology and Central Hematology Laboratory,
Bern University Hospital, Bern, Switzerland

J. Cornuz
Department of Ambulatory Care and Community Medicine,
Policlinique Médicale Universitaire, Lausanne, Switzerland

A. Angelillo-Scherrer
Service and Central Laboratory of Hematology,
Lausanne University Hospital, Lausanne, Switzerland

Introduction

Acute venous thromboembolism (VTE), defined as deep vein thrombosis (DVT) and/or pulmonary embolism (PE), is a common disease and has a high impact on morbidity, mortality, and costs of care [1]. The annual incidence of VTE rises exponentially with age, from <1 case per 1,000 person-years in persons aged below 50 years to more than 6 cases per 1,000 person-years in persons aged above 80 years [2–5]. Overall, 60 % of VTE cases occur in individuals aged ≥ 65 years [1, 6].

Switzerland and other Western countries are facing a rapidly aging population [7]. Extrapolations from the Swiss Federal Statistical Office indicate that the proportion of persons aged over 65 years will increase from 17 % in 2008 to 28 % in 2060 [8]. The incidence and health burden of VTE is likely to increase with the expected growth of the elderly population [9].

Despite the significant public health impact of VTE in the elderly and growing evidence that VTE may have a less favourable course in elderly patients (e.g., higher recurrence, bleeding, and mortality rates) [6], older patients are underrepresented in randomized and nonrandomized prospective studies of VTE [10, 11]. To date, little is known about the factors that determine medical outcomes, quality of life, and costs of care in the elderly with VTE. To fill this gap of knowledge, the National Institute of Health and international experts in the field called for VTE-related research in elderly patients [1, 12–14]. A prospective cohort study with long-term follow-up is the best methodological approach to examine the impact of risk factors and processes of care on patient outcomes because random assignment of risk factors is neither feasible nor ethical.

A. Limacher · S. Trelle
Clinical Trials Unit, University of Bern, Bern, Switzerland

C. M. Matter
Cardiovascular Research, Institute of Physiology, Zurich Center for Integrative Human Physiology, University of Zurich, Zurich, Switzerland

M. Husmann
Division of Angiology, Zurich University Hospital, Zurich, Switzerland

M. Banyai
Division of Angiology, Cantonal Hospital of Lucerne, Lucerne, Switzerland

L. Mazzolai
Service of Angiology, Lausanne University Hospital, Lausanne, Switzerland

O. Hugli
Emergency Department, Lausanne University Hospital, Lausanne, Switzerland

Therefore, a group of academic investigators set up the Swiss Cohort of Elderly Patients with VTE (SWITCO65+), a prospective multicenter cohort study of in- and outpatients aged 65 years or older with acute VTE from university and non-university hospitals in Switzerland. The goal of SWITCO65+ is to examine which clinical and biological factors and processes of care drive short- and long-term medical outcomes, health-related quality of life, and medical resource utilization in elderly patients with acute VTE. In this paper, we describe the study rationale, methods, and the patient population of SWITCO65+.

Methods

Study design

SWITCO65+ is a prospective multicenter cohort study of in- and outpatients aged 65 years or older with acute VTE from all five university (Basel, Bern, Geneva, Lausanne, and Zurich) and four high-volume non-university hospitals (cantonal hospitals of Baden, Frauenfeld, Luzern, and St. Gallen) in Switzerland. Only hospitals with >250 beds were considered to optimize enrollment of patients with VTE within sites and minimize the total number of hospitals required. The Ethics Committee at each participating center approved the study. SWITCO65+ is entirely funded by grants from the Swiss National Science Foundation (grant no. 33CSCO-122659/139470).

Patients sample

Patients were recruited from September 2009 to March 2012. Study nurses identified potential study subjects with VTE in the in- and outpatient services of all participating study sites. Consecutive patients aged 65 years or older with objectively diagnosed, symptomatic DVT or PE were eligible. Symptomatic DVT was defined as an acute onset of leg pain or swelling plus incomplete compressibility of a venous segment on ultrasonography or an intraluminal filling defect on contrast venography [15]. Because iliac veins and the inferior vena cava may be technically difficult to compress, additional diagnostic criteria for iliac and caval DVT also included abnormal duplex flow patterns compatible with thrombosis or an intraluminal filling defect on spiral computed tomography or magnetic resonance imaging venography [16–18]. Given that compression ultrasonography has a lower sensitivity and specificity for distal DVT [19], patients with isolated distal DVT were eligible only if the incompressible distal vein transverse diameter was at least 5 mm [20].

Symptomatic PE was defined as a positive spiral computed tomography or pulmonary angiography, a high-probability ventilation-perfusion scan, or proximal DVT

documented by compression ultrasonography or contrast venography in patients with acute chest pain, new or worsening dyspnea, hemoptysis, or syncope [21, 22].

Exclusion criteria were inability to provide informed consent (i.e., severe dementia), conditions incompatible with follow-up (i.e., terminal illness or place of living too far away from the study center), insufficient German or French-speaking ability, thrombosis at a different site than lower limb, catheter-related thrombosis, or previous enrollment in the cohort.

Eligible patients who had no exclusion criteria were approached for informed consent to participate in the study. Because of collection and storage of biologic samples (including genetic material), patients were separately asked permission for future uses of these samples for genetic analyses related to VTE, with the specification that the investigators must obtain specific consent for any future use that is unrelated to VTE (tiered consent) [23]. Patients who initially refused participation because they were unwilling to come regularly back to the hospital for follow-up visits were offered home visits.

Follow-up visits

Follow-up includes one telephone interview and two surveillance face-to-face evaluations during the first year of study participation and then semi-annual contacts, alternating between face-to-face evaluations (clinic visits or home visits in house-bound patients) and telephone calls as well as periodic reviews of the patient’s hospital chart (Table 1). During each visit/contact, study nurses interview

patients to obtain information about the date and type of clinical events (recurrent VTE, bleeding, and death). If a clinical event has occurred, this information is complemented by reviewing medical charts and interviewing patients’ primary care physicians and family members. During the baseline assessment and surveillance clinic visits, participants also undergo a brief clinical examination and generic and disease-specific quality of life assessments.

Study nurses at each study site prospectively collect clinical data, diagnostic and therapeutic processes of care, laboratory parameters, and information about health-related quality of life for all enrolled patients using standardized data collection forms (Table 2). In non-enrolled patients, anonymized demographic data were collected to assess the risk of a potential patient selection bias. The follow-up period will continue until December 2013.

Outcomes

The primary medical outcome is the recurrence of symptomatic, objectively confirmed VTE during the follow-up period, defined as new or recurrent PE or DVT (proximal and/or distal) based on previously published criteria [22, 24].

Secondary medical outcomes are the occurrence of major bleeding, all-cause mortality, and the postthrombotic syndrome (PTS) during the follow-up period [25–27]. We defined major bleeding as a fatal bleeding, a symptomatic bleeding in a critical organ (intracranial, intraspinal, intraocular, retroperitoneal, intraarticular, pericardial, or

Table 1 Data collection and follow-up schedule

Data Collection	Study month									
	Baseline	3	6	12	18	24	30	36	42	48
Type of assessment										
Face-to-face evaluation (at the hospital or during a home visit)	X	X		X		X		X		X
Telephone interview			X		X		X		X	
Review of the patient’s hospital chart	X	X	X	X	X	X	X	X	X	X
Parameter										
Patient baseline characteristics	X									
Initial VTE-related processes of care	X	X								
Assessment of VTE recurrence, bleeding, and death		X	X	X	X	X	X	X	X	X
Generic and disease-specific HRQL assessment	X	X		X		X		X		X
Symptoms and signs of the PTS		X	X	X	X	X	X	X	X	X
Blood sampling	X			X						
Leg vein ultrasonography (in patients with index DVT only)		X								
Echocardiography (in patients with index PEonly)	X									
Medical resource utilization	X	X		X		X		X		X

VTE venous thromboembolism, HRQL health-related quality of life, PTS postthrombotic syndrome, DVT deep vein thrombosis, PE pulmonary embolism

Table 2 Data collected

Data collected	Variables
Patient baseline characteristics	
Demographic and socioeconomic factors	Age, gender, race, education level, and insurance and living status
VTE-related risk factors	Recent immobilization, cancer, prior VTE, estrogen therapy, known thrombophilia, arterial hypertension, smoking, physical activity, acute heart failure, recent sepsis, acute respiratory failure, acute rheumatic disease, recent stroke, recent major surgery, inflammatory bowel disease, and family history of VTE
History and physical examination	VTE-related symptoms, vital parameters, signs and symptoms of the PTS [27], and electrocardiographic findings
Bleeding risk factors	History of stroke, history of gastrointestinal bleeding, history of major bleeding, recent myocardial infarction, diabetes mellitus, renal failure, anemia, thrombocytopenia, liver disease, and concomitant use of antiplatelet treatment
VTE event	Type (DVT and/or PE) and localization
Initial VTE-related processes of care	Diagnostic strategy, type of treatment
VTE-related complications	VTE recurrence, bleeding event (minor, major), mortality, chronic thromboembolic pulmonary hypertension, heparin-induced thrombocytopenia, and signs and symptoms of the PTS [27]
Generic and disease specific health-related quality of life	Short Form 36 Health Survey Questionnaire (SF-36), VEINES quality of life and symptom severity [VEINES-QOL/Sym] questionnaire for patients with symptomatic index DVT and the Pulmonary Embolism Quality of Life [PEmb-QoL] questionnaire for patients with symptomatic index PE
Leg vein ultrasonography	Signs of residual vein thrombosis [46]
Echocardiography	Signs of right-ventricular dysfunction [47, 48]
Blood collection	Hemostasis tests, biomarkers, DNA and RNA analyses ^a
Medical resource utilization	VTE-related processes of care, length of the index hospitalization, number of VTE-related physician and emergency department visits, acute and chronic care hospital and nursing home admissions

VTE venous thromboembolism, PTS postthrombotic syndrome, DVT deep vein thrombosis, PE pulmonary embolism

^a At baseline: D-dimer ELISA (Vidas), fibrinogen, factor V Leiden mutation, prothrombin G20210A mutation, N-terminal pro-brain natriuretic peptide, genotyping cytochrome P450 2C9 (CYP2C9), genotyping vitamin K epoxide reductase complex subunit 1 (VKORC1), ultra sensitive troponin, ultra sensitive C-reactive protein; at 12 months after the index VTE: D-dimer ELISA (Vidas), fibrinogen, antithrombin, protein C, protein S, lupus anticoagulant, anti-beta-2-glycoprotein antibodies, anticardiolipin antibodies, homocystein, factor VIII, factor IX, factor XI

intramuscular with compartment syndrome), a bleeding with a reduction of hemoglobin ≥ 20 g/l, or a bleeding leading to the transfusion ≥ 2 units of packed red blood cells [25]. We also collect information on all bleeding events that require medical attention (e.g., a physician consultation or a visit at the emergency department).

The PTS is defined as a score of ≥ 5 based on the Villalta scale [26]. The Villalta scale is well validated [28], has good to excellent inter-observer reliability [29], and is responsive to clinical change [31, 32].

We assess outcomes using patient or proxy interviews, interview of the patient's primary care physician, and/or hospital chart review. A committee of three blinded clinical experts adjudicates all outcomes and classifies the cause of all deaths as definitely due to PE, possibly due to PE, due to major bleeding, or due to another cause [25, 30]. Final classifications are made on the basis of the full consensus of this committee.

Study nurses collect clinical patient variables and screen for the presence of the PTS using the Villalta scale (Table 2) [27]. Study nurses also collect information on initial VTE-related

processes of care that potentially have an impact on medical and economic patient outcomes [31–34], including diagnostic strategy used to diagnose VTE, anticoagulation-related practices, prescription of compression stockings in patients with DVT, and invasive treatments (systemic thrombolysis, catheter-based interventions, insertion of a vena caval filter, or surgical thromboembolism) [32]. To determine direct costs of medical care, study nurses collect detailed information about patients' demographic and socioeconomic factors, VTE-related risk factors, history and physical examination, bleeding risk factors, initial VTE-related processes of care, VTE-related complications, symptoms and signs of the PTS, and health-related quality of life. Utilized resources will be transformed in cost measures using Swiss insurance reimbursement standards (www.tarmedsuisse.ch; www.swissdrg.ch).

Laboratory variables

At the time of study enrolment, information on routine laboratory tests (blood count, serum chemistry, and basic

coagulation studies, such as the international normalized ratio) was collected and a baseline blood sample was drawn from each consenting patient. The blood samples were locally processed, packed in dry ice, and sent to the SWITCO65+ project biobank where the samples are stored in a -80°C high capacity freezer.

Because fibrinogen, antithrombin, protein C and S, antiphospholipid antibodies, and factor VIII, IX, and XI levels may be influenced by the presence of acute thrombosis or ongoing anticoagulation, these parameters are measured in a second fasting blood sample at 12 months after the index VTE. In patients who are still receiving vitamin K antagonists at that time, vitamin-K dependant coagulation factors (protein C and S, factor IX) are not measured. Low levels of coagulation inhibitors (antithrombin, protein C or S) and the presence of antiphospholipid antibodies are confirmed in a second blood sample. In total, the SWITCO65+ biobank contains 105 ml blood from each participant. All individual laboratory tests are performed in a single reference laboratory (Table 2).

A biobank has been established to collect and store serum, plasma, and DNA and RNA samples to explore future, yet unknown biological prognostic markers for VTE and treatment responses. To ensure optimal sample storing and processing, our study biobank follows established quality standards [35].

Additional imaging exams

Patients with symptomatic PE underwent transthoracic echocardiography at baseline to assess the presence of right ventricular dysfunction, a parameter that is associated with increased overall mortality following PE [36]. On-site cardiologists performed echocardiographic exams according to a standardized protocol.

Because residual vein thrombosis is known to be a risk factor of VTE recurrence [37], each patient with symptomatic proximal DVT had a leg vein ultrasonography based on a standard protocol at three months after enrolment. On-site vascular physicians performed all exams according to a standardized protocol.

Health-related quality of life assessment

We assess patients' generic health-related quality of life at baseline and during follow-up using the standard Short Form 36 Health Survey Questionnaire. We evaluate disease-specific health-related quality of life using validated questionnaires: the VEINES quality of life and symptom severity (VEINES-QOL/Sym) questionnaire for patients with symptomatic index DVT and the Pulmonary Embolism Quality of Life (PEmb-QoL) questionnaire for patients with

symptomatic index PE [38, 39]. All questionnaires are self-administered.

Sample size calculation and planned statistical analyses

We based our sample size calculation on the clinical relevance of risk factors for VTE recurrence. A risk factor was considered clinically relevant if its prevalence is $\geq 10\%$ in elderly patients with VTE and if this risk factor increases the risk of recurrent VTE by ≥ 2 -fold during a 4-year follow-up period [40]. Assuming a conservative baseline VTE recurrence rate of 15% at four years, a sample size of ≥ 649 elderly patients with VTE was needed to detect a hazard ratio of ≥ 2.0 for VTE recurrence, using a 80% power and a 2-sided alpha level of 0.05 [41–43]. Estimating that up to 30% of patients would die from non-VTE-related causes or drop out for other reasons during the 4-year follow-up, an initial sample size of about 1,000 patients was necessary.

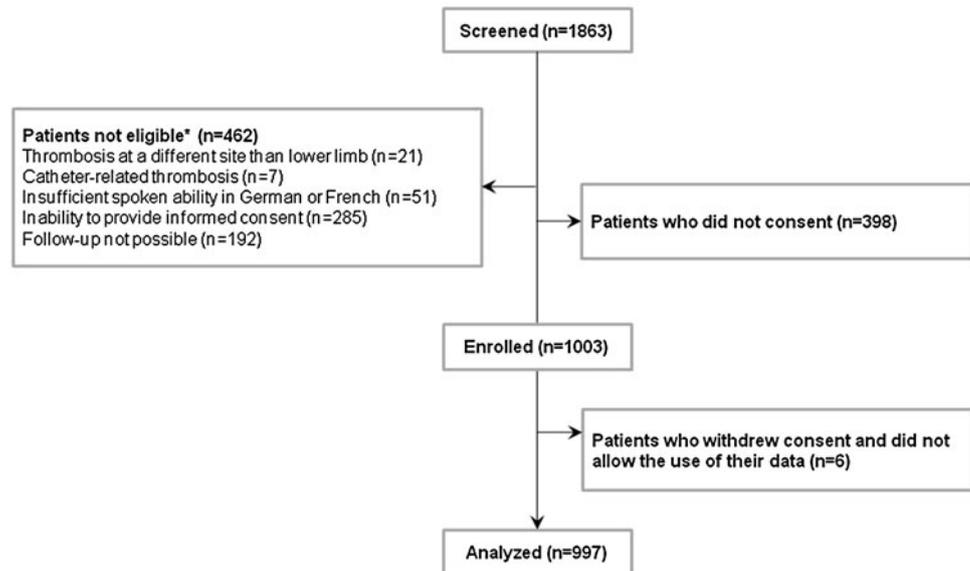
The cumulative incidence of recurrent VTE will be calculated by Kaplan–Meier survival analysis. The Cox-proportional hazards model will be used to evaluate the association between clinical, biological, and radiographic predictors and the time of VTE recurrence. To account for clustering of patients within a given center, we will include a fixed-center effect in the Cox-proportional hazard model. We will use the same survival methods to evaluate the association between predictors and major bleeding, death, and the PTS.

Mean scores for the SF-36, VEINES-QOL/Sym, and PEmb-QoL questionnaire will be computed at baseline, at 3, 12, 24, 36, and 48 months. Differences in mean scores over time will be analyzed using repeated-measures analysis of variance. Proportions of patients who worsened from the previous time point will be calculated. Potential determinants (clinical patient factors, processes of care) of worsening health-related quality of life scores from 3, 12, 24, 36, and 48 months will be evaluated using logistic regression, with the change in score (dichotomized as improved versus worse) as the dependent variable.

To account for differences in follow-up time, costs of care for each patient will be expressed as annualized costs and weighted for the number of months a given patient was observed. A linear regression model will be used to study the association between costs of care and clinical patient factors, processes of care, and medical outcomes.

Preliminary results

Between September 8, 2009, and March 31, 2012, a total of 1,863 patients with VTE were screened (Fig. 1). We excluded 462 (24.8%) who had at least one of the

Fig. 1 Flow chart

following exclusion criteria: inability to provide informed consent ($n = 285$), follow-up not possible ($n = 192$), insufficient ability to speak German or French ($n = 51$), thrombosis at different site than lower limb ($n = 21$), or catheter-related thrombosis ($n = 7$), leaving a sample of 1,401 eligible patients. After the exclusion of 398 patients who refused to provide informed consent, our initial study sample comprised 1,003 patients (53.8 % of initially screened patients). The baseline characteristics of the study sample are shown in Table 3. Non-enrolled patients were statistically significantly older (mean age 78 vs. 76 years; $P = 0.001$), more likely to be women (59 vs. 47 %; $P < 0.001$), and were somewhat less likely to have symptomatic PE (65 vs. 69 %; $P = 0.053$) than enrolled patients.

By October 16, 2012, six patients had withdrawn their consent and did not allow the use of their data. Of the 997 remaining patients, after an average follow-up time of 512 days, 799 (79.7 %) patients were still actively participating, 150 (15 %) had died, 47 (4.7 %) had withdrawn their consent allowing the use of their data and one (0.1 %) had been lost to follow-up. The 53 patients who withdrew their consent were statistically significantly more likely to be women (68 vs. 46 %; $P = 0.003$) and had a significantly longer length of hospital stay (15.8 vs. 9.4 days; $P < 0.001$) than the 950 patients who continued participating, was lost to follow-up or who died.

The enrolment of new patients was terminated on March 31, 2012 when the targeted patient sample size was achieved. Of the 1,003 initially enrolled patients, the majority (51.7 %) is aged ≥ 75 years and 52.7 % are men (Table 3). Virtually all patients are Caucasians (99.8 %). Patients from all educational levels are well represented in

the study. A substantial proportion of patients has comorbid diseases, such as active cancer, chronic lung disease, or heart failure. Overall, 69.3 % of patients had PE with or without DVT. Most patients (81.2 %) were treated in the hospital. Overall, 48.6 % of patients received low-molecular-weight heparin as initial antithrombotic treatment.

The vast majority of patients completed the baseline SF-36 (89.9 %), VEINES-QOL/Sym (88.7 %), and PEmb-QoL (86.2 %) questionnaires. Baseline blood samples are available in 905 (90.9 %) of enrolled patients. Overall data completeness is excellent, with less than 5 % missing values.

Discussion

The SWITCO65+ study is a multicentre prospective cohort aiming to study which clinical and biological predictors and processes of care drive long-term medical outcomes, quality of life, and medical resource utilization in 1,003 elderly patients with acute VTE. After an average follow-up time of 512 days, 799 (79.7 %) patients were still actively participating.

Our cohort study has several strengths. First, given the high incidence of VTE and the lack of data for elderly patients with VTE, a cohort project focusing on the outcomes of elderly patients with VTE is innovative and relevant from a public health point of view. To our knowledge, our cohort study is the most comprehensive attempt to study the impact of clinical, biological, and radiologic predictors on clinically relevant outcomes, health-related quality of life, and medical resource utilization in elderly patients with VTE. Second, a particular

Table 3 Baseline characteristics of the full cohort

Characteristics	n/N (%) ^a
Male gender	529/1003 (52.7)
Age, years	
65–75	484/1003 (48.3)
75–85	385/1003 (38.4)
>85	134/1003 (13.4)
Caucasian race	994/996 (99.8)
Education level	
Less than high school	547/992 (55.1)
High school graduate	252/992 (25.4)
University graduate	193/992 (19.5)
Living status	
At home with someone else	631/994 (63.5)
At home alone	339/994 (34.1)
In nursing home	24/994 (2.4)
Comorbid diseases	
Active cancer ^b	157/995 (15.8)
Chronic lung disease	136/995 (13.7)
Heart failure	77/995 (7.7)
Prior history of VTE	283/995 (28.4)
Index VTE event	
DVT only	308/1003 (30.7)
PE only	558/1003 (55.6)
Both DVT and PE	137/1003 (13.7)
Site of treatment	
Inpatient ^c	802/995 (81.2)
Outpatient	187/995 (18.8)
Initial treatment	
Low-molecular-weight heparin	468/960 (48.7)
Unfractionated heparin	332/960 (34.6)
Fondaparinux	159/960 (16.6)
Danaparoid	1/960 (0.1)

VTE venous thromboembolism, DVT deep vein thrombosis, PE pulmonary embolism

^a Denominators are changing because the information was not available in all patients

^b Defined as cancer that required therapy (surgery, chemotherapy, and/or radiotherapy) during the last 3 months

^c Overall, 184 inpatients developed VTE during a hospitalization for another reason

strength is the focus on health-related quality of life measures. Given their reduced life expectancy, health-related quality of life aspects may be particularly relevant in the elderly. Third, because factors that drive medical resource utilization have not been prospectively examined in elderly patients with VTE, our cohort will improve our understanding of what drives cost of care in such patients. Fourth, we have established a biobank including DNA and RNA samples. These samples can be used to study future,

yet unknown predictors of VTE-related prognosis. Fifth, SWITCO65+ benefits from a professional data management that includes central monitoring and regular data quality control measures. Further strengths are the low dropout rate of 4.8 % and the near-complete data collection.

Our study has several potential limitations. First, our study patients were recruited at all five Swiss university hospitals (two of which serve also as community hospital) and four high-volume non-university hospitals and therefore, our cohort is not entirely population-based. Given the decentralized structure of the Swiss health care system, the high complexity and costs of baseline and follow-up assessments, a broader recruitment at small-volume hospital sites, would not have been logistically and financially feasible. However, because we included consecutive VTE patients from in- and outpatient services at university and non-university hospitals, we believe our study sample to be fairly representative for Swiss patients with VTE. Second, although we offered home visits and enrolled patients with mild to moderate cognitive disorders, we cannot exclude the possibility that the sickest patients are underrepresented in our cohort because patients suffering from severe dementia or terminal illness were excluded from participation. Another common reason for non-enrollment was refusal to participate (21.4 % of screened patients), most probably because of the high number and complexity of baseline and follow-up assessments, a known obstacle to study participation in the elderly [44]. However, given the high study burden, the 53.8 % enrollment rate that we achieved is laudable for a prospective cohort study focusing on elderly patients and compares well with other cardiovascular cohort studies of older persons. For example, the Cardiovascular Health Study enrolled 39.6 % of elderly subjects with whom contact was made [45]. Third, 99.8 % of our study patients were Caucasians. Thus, our results may not be generalizable to other racial or ethnic groups. Finally, because the present paper focuses on the study rationale and methods and the follow-up is still ongoing, we did not present medical outcome data.

All data are centrally managed and stored at the Clinical Trials Unit of the University of Bern, Switzerland. The study biobank is located at the Service and Central Laboratory of Hematology at the Lausanne University Hospital, Lausanne, Switzerland. Additional information about the cohort, such as the study protocol, data collection forms, study progress, ongoing nested projects, and planned or published articles, can be found on the study website (www.switco65.ch) or at ClinicalTrials.gov (identifier NCT00973596). The Steering Committee of SWITCO65+ encourages national and international collaborative research projects related to SWITCO65+, including sharing anonymized data and biological samples. All submitted projects are peer-reviewed and subject to a final decision by the Steering Committee based on

the following criteria: (1) ethical considerations, (2) originality, (3) validity of the methods used, and (4) feasibility. Any requests for access to SWITCO65+ data or material are to be submitted to the Steering Committee using the study Subproject Proposal Form (available at www.switco65.ch).

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