

# Journal Pre-proof

Population-based Assessment of Aortic-related Outcomes in Aortic Dissection, Intramural Hematoma, and Penetrating Aortic Ulcer

Salome Weiss, Indrani Sen, Ying Huang, W. Scott Harmsen, Thomas C. Bower, Gustavo S. Oderich, Philip P. Goodney, Randall R. DeMartino



PII: S0890-5096(20)30505-7

DOI: <https://doi.org/10.1016/j.avsg.2020.06.004>

Reference: AVSG 5153

To appear in: *Annals of Vascular Surgery*

Received Date: 28 April 2020

Revised Date: 10 June 2020

Accepted Date: 11 June 2020

Please cite this article as: Weiss S, Sen I, Huang Y, Harmsen WS, Bower TC, Oderich GS, Goodney PP, DeMartino RR, Population-based Assessment of Aortic-related Outcomes in Aortic Dissection, Intramural Hematoma, and Penetrating Aortic Ulcer, *Annals of Vascular Surgery* (2020), doi: <https://doi.org/10.1016/j.avsg.2020.06.004>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Elsevier Inc. All rights reserved.

1 **Population-based Assessment of Aortic-related Outcomes in Aortic Dissection, Intramural**  
2 **Hematoma, and Penetrating Aortic Ulcer**

3  
4 Salome Weiss<sup>1,2</sup>, Indrani Sen<sup>1</sup>, Ying Huang<sup>1</sup>, W. Scott Harmsen<sup>3</sup>, Thomas C. Bower<sup>1</sup>, Gustavo S.  
5 Oderich<sup>1</sup>, Philip P. Goodney<sup>4</sup>, Randall R. DeMartino<sup>1</sup>

6  
7 <sup>1</sup>Division of Vascular and Endovascular Surgery, Mayo Clinic, 200 First Street SW, Rochester,  
8 MN 55905, United States

9  
10 <sup>2</sup>Department of Cardiovascular Surgery, Inselspital, Bern University Hospital, University of  
11 Bern, Freiburgstrasse, 3010 Bern, Switzerland

12  
13 <sup>3</sup>Department of Health Sciences Research, Mayo Clinic, 200 First Street SW, Rochester, MN  
14 55905, United States

15  
16 <sup>4</sup>Section of Vascular Surgery, Dartmouth-Hitchcock Medical Center, 1 Medical Center Drive,  
17 Lebanon, NH 03766, United States

18  
19 **Address for correspondence**

20 Salome Weiss, M.D., Department of Cardiovascular Surgery, Inselspital, Bern University  
21 Hospital, University of Bern, Freiburgstrasse, 3010 Bern, Switzerland

22 Phone: +41 31 632 47 87, Fax: +41 31 632 29 19, Email: salome.weiss@insel.ch

23 **Abstract**

24

25 **Objective:** Aim was to analyze aortic-related outcomes after diagnosis of aortic dissection (AD),  
26 intramural hematoma (IMH), and penetrating aortic ulcer (PAU) from a population-based  
27 approach.

28 **Methods:** Retrospective review of an incident cohort of AD, IMH, and PAU patients in Olmsted  
29 County, MN from 1995-2015. Primary end-point was aortic death. Secondary end-points were  
30 subsequent aortic events (aortic intervention, new dissection or rupture not present at  
31 presentation) and first-time diagnosis of an aortic aneurysm. Outcomes were compared to  
32 randomly selected population referents matched for age and sex in a 3:1 ratio using Cox  
33 proportional hazards regression adjusting for comorbidities.

34 **Results:** Among 133 patients (77 AD, 21 IMH, 35 PAU), 57% were male and mean age was 71.8  
35 years (SD 14). Median follow-up was 10 years. Of 73 deaths among AD/IMH/PAU patients, 23  
36 (32%) were aortic-related. Estimated freedom from aortic death was 84%, 80% and 77% at 5, 10,  
37 and 15 years. There were no aortic deaths among population referents (adjusted HR for aortic  
38 death in AD/IMH/PAU 184.7, 95% CI 10.3 – 3299.2,  $p < .001$ ). Fifty (38%) AD/IMH/PAU  
39 patients had a subsequent aortic event (aortic intervention, new dissection or rupture) while there  
40 were eight (2%) aortic events among population referents (all elective aneurysm repairs; adjusted  
41 HR for any aortic event and aortic intervention in AD/IMH/PAU patients 33.3, 95% CI 15.3 –  
42 72.0,  $p < .001$  and 31.5, 95% CI 14.5 – 68.4,  $p < .001$ , respectively). After excluding aortic  
43 events/interventions  $\leq 14$  days of diagnosis, AD/IMH/PAU patients remained at increased risk of  
44 any aortic event (adjusted HR 10.8, 95% CI 3.9 – 29.8,  $p < .001$ ) and aortic intervention (adjusted  
45 HR 9.6, 95% CI 3.4 – 26.8,  $p < .001$ ). Among those subjects with available follow-up imaging, the  
46 risk of first-time diagnosis of aortic aneurysm was significantly increased for AD/IMH/PAU

47 patients when compared to population referents (adjusted HR 10.9, 95% CI 5.4 – 21.7,  $p < .001$   
48 and 8.3, 95% CI 4.1 – 16.7,  $p < .001$  for thoracic and abdominal aneurysms respectively) and  
49 remained increased when excluding aneurysms that formed within 14 days of AD/IMH/PAU  
50 (adjusted HR of 6.2, 95% CI 1.8 – 21.1,  $p = .004$  and 2.8, 95% CI 1.0 – 7.6,  $p = .040$  for thoracic  
51 and abdominal aneurysms respectively).

52 **Conclusions:** AD/IMH/PAU patients have a substantial risk of aortic death, any aortic event,  
53 aortic intervention, and first-time diagnosis of aortic aneurysm that persists even when the acute  
54 phase ( $\leq 14$  days after diagnosis) is uncomplicated. Advances in post-diagnosis treatment are  
55 necessary to improve the prognosis in these patients.

56  
57 **Key words:** Aortic dissection, intramural hematoma, penetrating aortic ulcer, aneurysm,  
58 prognosis.

59  
60 **Sources of funding:** This study was supported by the American Heart Association  
61 (16SDG27250043). It was conducted using the resources of the Rochester Epidemiology Project,  
62 which is supported by the National Institutes of Health National Institute on Aging under Award  
63 Number R01AG034676. The content is solely the responsibility of the authors and does not  
64 necessarily represent the official views of the National Institutes of Health. Data storage was  
65 performed with REDCap (UL1TR002377).

66  
67 **Disclosures:** None.

## 68 **1. Introduction**

69 Aortic dissection (AD), intramural hematoma (IMH) and penetrating aortic ulcer (PAU) are  
70 dreaded aortic pathologies. Despite the distinct characteristics of each of these entities, they all  
71 involve a disruption of the media layer of the aortic wall and may progress from one to another,<sup>1</sup>  
72 sharing a significant risk of acute and chronic aortic-related morbidity and mortality.<sup>2</sup> For acute  
73 Stanford type A and type B dissection, the International Registry of Acute Aortic Dissection  
74 (IRAD) reported an in-hospital mortality of 22% and 14% respectively.<sup>3</sup> At three years, almost  
75 25% of those with type B dissection discharged alive will additionally have died.<sup>4</sup> In both, type A  
76 and type B dissection, aortic events are the most common cause of death.<sup>5-8</sup> Acute survival of  
77 patients presenting with IMH and PAU may be slightly better than for those with AD (9-13%)<sup>9, 10</sup>  
78 but poor long-term survival has been reported in an institutional series.<sup>10</sup> Additionally, patients  
79 with chronic AD, IMH, and PAU carry a significant risk of subsequent aortic events including  
80 recurrent dissection, rupture, and aneurysmal degeneration, often requiring intervention.  
81 However, the true risk of late aortic death and subsequent aortic events among patients with these  
82 aortic pathologies is not well known. Most long-term data come from registries or single centers<sup>4,</sup>  
83 <sup>10-12</sup> and may be biased by limited follow-up compliance of these patients<sup>13</sup> and lack of mortality  
84 data.

85 We have previously characterized the incidence of AD, IMH, and PAU and its associated  
86 mortality in a population-based approach using the Rochester Epidemiology Project (REP,  
87 Olmsted County, MN residents)<sup>14</sup> and evaluated this incidence cohort in regards to non-aortic  
88 cardiovascular events.<sup>15</sup> The objective of the present study was to quantify the risk of aortic  
89 death, subsequent aortic events, and aortic aneurysm formation after AD, IMH, and PAU. By  
90 comparing AD, IMH, and PAU patients to referent subjects from the same population, we aimed

91 to approximate the expected increased risk of AD, IMH, and PAU patients in order to  
92 characterize the early and late impact of these aortic pathologies on patients' lives.

93

## 94 **2. Methods**

95 The detailed identification process of the incidence cohort we assessed is described elsewhere.<sup>14,</sup>

96 <sup>15</sup> In brief, we utilized the resources of the REP, a unique collaboration of health care providers  
97 linking together medical records of virtually all residents of Olmsted County, MN.<sup>16, 17</sup> This  
98 permits the identification of incident diagnoses at a population level and allows follow-up of  
99 patients across providers. Within the REP, adult residents ( $\geq 18$  years of age) with a new  
100 diagnosis of AD, IMH, or PAU from 1995-2015 were identified using International Classification  
101 of Disease codes (ICD, 9<sup>th</sup> and 10<sup>th</sup> revision) and Hospital Adaptation of the International  
102 Classification of Diseases codes (HICDA, 2<sup>nd</sup> edition). Study inclusion required imaging  
103 confirmation of the diagnosis. For immediate decedents, AD/IMH/PAU had to be confirmed by  
104 autopsy or be the primary diagnosis on the death certificate. AD, IMH, and PAU were defined  
105 based on standard criteria used in current guidelines<sup>1</sup> and classified as acute ( $\leq 14$  days of  
106 symptom onset), subacute (15 – 90 days), chronic ( $>90$  days), or unknown presentation  
107 (unknown date of onset of the pathology). AD was classified using the De Bakey and the  
108 Stanford classification, while IMH was classified using the Stanford classification only. PAU was  
109 classified by anatomic location.

110 The AD/IMH/PAU cohort was compared to randomly selected Olmsted County population  
111 referents matched for age and sex. As previously described, a matching ratio of 3:1 was chosen  
112 based on a sample size calculation to detect a minimum hazard ratio (HR) for all-cause death of  
113 1.95 with an alpha of 0.05 and power of 0.8.<sup>14</sup> For population referents, the diagnosis date of the  
114 matched AD/IMH/PAU patient was set as the index date to differentiate pre-existing conditions

115 from outcome events. Comorbidities were assessed using the Charlson Comorbidity Index.<sup>18</sup>  
116 Charlson comorbidities were identified using predefined ICD and HICDA codes. Assignment of  
117 a comorbidity required two occurrences of a corresponding code within five years prior to the  
118 date of AD/IMH/PAU diagnosis (or the index date in population referents).<sup>19</sup> All individuals  
119 were censored on December 31, 2015 for outcomes.

120

### 121 *2.1. Assessment of aortic death*

122 Primary endpoint was aortic death. Dates and causes of death were obtained from death  
123 certificates available through the REP, which collates up to date information on in state and out  
124 of state deaths from multiple sources.<sup>17</sup> For a minority of subjects with missing vital status  
125 information (e.g. due to migration), an institutionally approved Internet research location service  
126 (Accurint, [www accurint.com](http://www accurint.com)) was used and death certificates requested where permissible as per  
127 state laws. For three AD/IMH/PAU patients and three population referents known to have died  
128 out of state, deaths certificates could not be obtained. Causes of death retrieved from death  
129 certificates were cross-checked with medical records. Aortic death was defined as death due to  
130 rupture, ischemic complications, surgical complications related to AD/IMH/PAU treatment, and  
131 other aortic-related causes (not specified).

132

### 133 *2.2. Assessment of subsequent aortic events*

134 The secondary end-point of a subsequent aortic event included aortic intervention, and new  
135 dissection or rupture that was not present at initial presentation. All operative reports of  
136 AD/IMH/PAU patients and population referents were screened for aortic interventions. Medical  
137 records and follow-up imaging were reviewed to identify new dissection (including progression  
138 from IMH, retrograde Stanford type A dissection, new Stanford type B dissection after repair of

139 De Bakey type II dissection) and rupture. Only first aortic interventions, first subsequent  
140 dissections or ruptures were considered. Events were analyzed as a composite endpoint (any  
141 aortic event) and by event type separately.

142

### 143 *2.3. Assessment of new aneurysm formation*

144 To identify aneurysm formation during follow-up, available imaging was reviewed for  
145 AD/IMH/PAU patients and population referents. This included any contrast or non-contrast CT  
146 or MRI with visualization of the entire thoracic or abdominal aorta respectively and a slice  
147 thickness of 5 mm or less. Abdominal ultrasound was included if performed for aneurysm  
148 screening or, if providing satisfactory evaluation of the abdominal aorta in two planes when  
149 performed for other reasons. As there was no standardized imaging assessment for  
150 AD/IMH/PAU patients during the study period, imaging was at the discretion of the provider at  
151 the time (frequency and sections of the aorta imaged). This usually correlated with the acuity of  
152 the aortic pathology, the extent of disease, and stability over time. Population referents had no  
153 standard aneurysm screening and imaging was often performed for other reasons.

154 The maximum diameter of the ascending aorta, the aortic arch, the descending and the abdominal  
155 aorta was measured outer-to-outer wall perpendicular to the course of the aorta using an  
156 electronic caliper. Cut off values to define an aneurysm of the thoracic aorta were based on  
157 previously reported mean values for normal thoracic aortic diameters.<sup>20</sup> Mean normal diameters  
158 in each aortic segment were multiplied by 1.5, resulting in cut off values of  $\geq 4.5$  cm for the  
159 ascending aorta (aortic valve to innominate artery),  $\geq 4$  cm for the aortic arch (innominate artery  
160 to left subclavian artery) and  $\geq 3.7$  cm for the descending aorta (left subclavian artery to  
161 diaphragm). For the abdominal aorta, a generally accepted cut off value of  $\geq 3$  cm was used to  
162 define an aneurysm.<sup>21</sup> Any first-time aneurysm formation, associated or not associated with the



163 initial AD/IMH/PAU pathology, was noted. If no aneurysm formation was documented, subjects  
164 were censored on the date of the last available imaging or at death. Subjects with known or  
165 repaired aneurysm prior to AD/IMH/PAU diagnosis (or the index date in referents) were  
166 excluded from the analysis as were those with no available follow-up imaging. For excluded  
167 AD/IMH/PAU patients, matched population referents were excluded likewise to maintain the age  
168 and sex-matching; for excluded referents, their matched AD/IMH/PAU patient was excluded  
169 only if all three referent subjects had been excluded due to prior aneurysm or lack of imaging.  
170 Due to the variation in the availability of thoracic and abdominal imaging, analysis was  
171 performed for thoracic and abdominal aneurysm formation separately.

172

#### 173 *2.4. Statistical analysis*

174 Summary statistics including mean (standard deviation) or median (range), and frequencies  
175 (percent) were used to describe baseline characteristics and descriptive outcomes. Univariate  
176 associations of baseline characteristics between AD/IMH/PAU patients and population referents  
177 were made using Student's t-test for continuous and  $\chi^2$  test for categorical variables with Fisher's  
178 exact test for low frequency events. Subtypes AD, IMH, and PAU were compared using ANOVA  
179 for continuous variables and  $\chi^2$  test for categorical variables. Endpoints were evaluated as time to  
180 event using life tables and Kaplan Meier plots. Cox proportional hazards regression was used to  
181 compare AD/IMH/PAU patients and population referents, adjusting for age, sex and the Charlson  
182 Comorbidity Index. For all outcomes, analyses were performed in two ways: by including all  
183 events from the time of diagnosis forward and by including events >14 days after diagnosis only  
184 to assess for the risk of aortic events beyond the acute phase. P-values <.05 were considered  
185 significant. Statistical analyses were performed using STATA (StataCorp., College Station, TX)  
186 and SAS software (SAS Institute Inc., Cary, NC).

187  
188 The study was approved by the Institutional Review Boards of the two major health care  
189 providers in the REP, Mayo Clinic and Olmsted Medical Center. All individuals included in the  
190 study had already provided informed consent for the use of their medical records in research as  
191 part of the REP.<sup>16</sup>

192

### 193 **3. Results**

194 One-hundred and thirty-three AD/IMH/PAU patients were identified; 77 had AD, 21 IMH, and  
195 35 PAU. Mean age at diagnosis was 71.8 years (SD 14.1) and 57% were male. Baseline  
196 characteristics of the AD/IMH/PAU cohort and population referents are displayed in **Table I**  
197 (some of this data has been published before<sup>14, 15</sup>). Median follow-up was 10.2 years for  
198 AD/IMH/PAU patients and 10.1 years for matched population referents.

199

#### 200 *3.1. Aortic death*

201 During follow-up, 73 (55%) of 133 subjects in the AD/IMH/PAU cohort died compared to 144  
202 (36%) of 399 in the referent cohort. Aortic death occurred in 23 (32%) of 73 AD/IMH/PAU  
203 decedents due to rupture (n=12, 52%), complications after surgical treatment of AD/IMH/PAU  
204 (n=5, 22%), ischemic complications (n=4, 17%) and unspecified aortic causes (n=2, 9%).

205 Estimated freedom from aortic death in AD/IMH/PAU patients at 5, 10, and 15 years was 84%,  
206 80% and 77%. No aortic deaths occurred in population referents (adjusted HR for aortic-related  
207 death in AD/IMH/PAU 184.7, 95% CI 10.3 – 3299.2, p<.001). Fifteen (11%) deaths occurred  
208 within 14 days of AD/IMH/PAU diagnosis, thereof, 13 (87%) were aortic-related. Late deaths  
209 (>14 days) were due to aortic causes in 10 (17%) of 58. For those surviving the first 14 days after

210 AD/IMH/PAU diagnosis, estimated freedom from aortic death at 5, 10, and 15 years was 93%,  
211 85%, and 85% (adjusted HR for aortic death among AD/IMH/PAU 67.6, 95% CI 3.3 – 1401.7,  
212  $p=.006$ ). Among subtypes, only AD was associated with an increased risk of aortic-related death  
213 both when including and when excluding acute deaths (**Table II**, subtype analyses not adjusted  
214 for the Charlson comorbidity Index due to low event numbers).

215 Other prevalent causes of death in AD/IMH/PAU patients were non-aortic cardiovascular causes  
216 ( $n=21$ , 29%), cancer ( $n=8$ , 11%).<sup>14, 15</sup> While none of the population referents died from an aortic  
217 cause, the majority also died from non-aortic cardiovascular disease ( $n=40$ , 28%) or cancer  
218 ( $n=29$ , 20%). Trauma and respiratory causes accounted for 5 (3%) and 11 (8%) of deaths among  
219 referents, respectively.

220

### 221 3.2. Subsequent aortic events

222 Fifty (38%) of 133 AD/IMH/PAU patients had a subsequent aortic event: 48 had at least one  
223 aortic intervention (including initial treatment), 7 had new dissection, 7 had new rupture (11 had  
224 more than one of these events). Estimated freedom from any aortic event at 5, 10, and 15 years  
225 was 60%, 56%, and 56%. Among population referents there were 8 non-ruptured aneurysm  
226 repairs but no other aortic-related events, resulting in an adjusted HR for any aortic event and  
227 first aortic intervention in AD/IMH/PAU patients of 33.3 (95% CI 15.3 – 72.0,  $p<.001$ ) and 31.5  
228 (95% CI 14.5 – 68.4,  $p<.001$ ), respectively. Survival free from aortic intervention in  
229 AD/IMH/PAU patients and referents and is displayed in **Fig 1**. Freedom from new rupture and  
230 dissection in AD/IMH/PAU patients is displayed in **Fig 2**.

231 Thirty-six (75%) of 48 aortic interventions and 2 (29%) of 7 new ruptures in AD/IMH/PAU  
232 patients occurred within 14 days of diagnosis. No new dissections occurred in the acute phase.

233 When excluding acute interventions and events  $\leq 14$  days, AD/IMH/PAU patient remained at  
234 increased risk for any aortic event (adjusted HR 10.8, 95% CI 3.9 – 29.8,  $p < .001$ ) and first aortic  
235 intervention (adjusted HR 9.6, 95% CI 3.4 – 26.8,  $p < .001$ ) when compared to population  
236 referents.

237 Unadjusted subtype analyses showed an increased risk of any aortic event and intervention for  
238 AD and IMH, both when including the acute phase and beyond that. PAU alone was associated  
239 with aortic events only when including acute phase events but not thereafter (**Table II**).

240

### 241 *3.3. New aneurysm formation*

242 After exclusion of subjects with prior known or repaired thoracic aneurysm (**Table I**) as well as  
243 those without imaging, a total of 69 AD/IMH/PAU patients (40 AD, 11 IMH, and 18 PAU) and  
244 103 population referents remained for the assessment of thoracic aneurysm formation. Estimated  
245 freedom of first-time diagnosis of a thoracic aneurysm at 5, 10, and 15 years was 42%, 37%, and  
246 25% in AD/IMH/PAU patients versus 96%, 88%, and 75% in population referents (adjusted HR  
247 10.9, 95% CI 5.4 – 21.7,  $p < .0001$ ; **Fig 3a**). Among those AD/IMH/PAU patients who did not  
248 develop a thoracic aneurysm within 14 days of AD/IMH/PAU diagnosis, freedom of thoracic  
249 aneurysm formation at 5, 10, and 15 years was 78%, 70%, 46% (adjusted HR 6.2, 95% CI 1.8 –  
250 21.1,  $p = .004$ ; **Fig 3b**).

251 For the assessment of abdominal aneurysm formation, 77 AD/IMH/PAU patients (40 AD, 18  
252 IMH, and 19 PAU) and 131 population referents remained. Estimated freedom of first-time  
253 diagnosis of an abdominal aneurysm at 5, 10, and 15 years was 56%, 44%, and 44% in  
254 AD/PAU/IMH patients versus 93%, 86%, and 86% in population referents (adjusted HR 8.3,  
255 95% CI 4.1 – 16.7,  $p < .001$ ; **Fig 4a**). Among those who did not have an abdominal aneurysm

256 within 14 days of AD/IMH/PAU diagnosis, freedom of abdominal aneurysm formation at 5, 10,  
257 and 15 years was 82%, 65%, 65% (adjusted HR 2.8, 95% CI 1.0 – 7.6,  $p=.040$ ; **Fig 4b**).

258

#### 259 **4. Discussion**

260 This assessment of newly diagnosed AD, IMH, and PAU patients in Olmsted County, MN from  
261 1995-2015 quantifies aortic-related outcomes from a population-based approach. Our results  
262 confirm the significant risk of aortic events known to be associated with AD/IMH/PAU diagnosis  
263 in the short-term. However, our findings also highlight the relevant risk of aortic death and  
264 subsequent aortic events that remains in these patients >14 days after diagnosis. Despite follow-  
265 up and treatment, the long-term prognosis of these pathologies remains poor and never  
266 approximates population levels.

267 We have previously shown that AD/IMH/PAU patients remain at a significantly increased risk of  
268 all-cause mortality when surviving the first 14 days after diagnosis.<sup>14</sup> In our cohort, deaths were  
269 due to aortic causes in 32%, representing the most common cause of death. The majority (52%)  
270 of aortic deaths were due to rupture. Although most common within 14 days of diagnosis, aortic  
271 death remained a significant risk thereafter, accounting for 17% of late deaths, resulting in an  
272 estimated freedom from aortic death at 5, 10, and 15 years of 84%, 80% and 77%. In previous  
273 reports, mostly including patients with aortic dissection, late deaths were due to aortic causes in  
274 16 – 39%<sup>6-8</sup> and freedom from aortic death at 5 and 10 years (85% and 82%) was similar to our  
275 series.<sup>22</sup> Chou et al. reported 32% aortic or possibly aortic-related late deaths in patients with  
276 IMH or PAU.<sup>10</sup> Looking at subtypes in our cohort, the risk of aortic death was mainly driven by  
277 AD, with few late aortic deaths among IMH and PAU patients. Whereas in Chou's series, all  
278 patients presented acutely, our cohort included newly diagnosed AD, IMH, and PAU pathologies  
279 regardless of acuity, thus possibly including more patients with less aggressive aortic disease. As

280 we have previously shown, mortality has not improved over the past 20 years and aortic death  
281 remains one of the most important causes of death in long-term.<sup>14</sup> If the prognosis of  
282 AD/IMH/PAU is to be improved, advances in the long-term treatment and follow-up of these  
283 patients are clearly necessary.

284 Similar to aortic death, subsequent aortic events were most prevalent within 14 days of  
285 AD/IMH/PAU diagnosis and the most frequent subsequent aortic event was aortic intervention.  
286 This included initial surgical treatment of AD, IMH, or PAU, explaining the early drop in the  
287 Kaplan Meier curve (**Fig 1**). After excluding acute events ( $\leq 14$  days), AD/IMH/PAU patients  
288 remained at significantly increased risk for any subsequent aortic event, in particular during the  
289 first five years post diagnosis. When compared to population referents, the risk of ever  
290 undergoing an aortic intervention among those who survived the acute phase without surgical  
291 treatment remained ten-fold higher with a total of 14% of these patients eventually undergoing  
292 intervention. Kret et al. reported 38% of medically treated patients with type B dissections  
293 eventually needing surgery in their series, while overall follow-up compliance of patients was  
294 limited.<sup>13</sup> Chou et al. reported 43% of IMH and 30% of PAU patients undergoing late surgery.<sup>10</sup>

295 In our cohort, AD and IMH accounted for the majority of subsequent interventions. Patients with  
296 PAU not requiring surgery within the first 14 days, had the same low long-term risk of aortic  
297 intervention as the general population. However, due to low event rates among AD, IMH, and  
298 PAU separately, subtype analyses have to be interpreted very cautiously.

299 The assessment of aneurysm formation was clearly limited by the availability of follow-up  
300 imaging and was subdivided into thoracic and abdominal aneurysm formation for the same  
301 reason. The initial drop in survival free from first-time thoracic and abdominal aneurysm in  
302 AD/IMH/PAU patients (**Fig 2**) has to be considered in the context that the data reflects the first

303 time patients were diagnosed with an aneurysm on imaging. Due to the lack of imaging  
304 immediately prior to the AD/IMH/PAU event in most patients, aneurysm formation was often  
305 first diagnosed at the same time as AD, IMH, or PAU and it remains unclear whether aneurysm  
306 or the AD/IMH/PAU pathology came first. However, when excluding those diagnosed with  
307 aneurysm formation within 14 days, the risk of developing any first-time aneurysm remained 6.2-  
308 fold and 2.8-fold higher than in population referents for the thoracic and abdominal aorta  
309 respectively. It has to be noted, that any first thoracic and abdominal aneurysm was documented,  
310 regardless whether it involved the site of the initial AD/IMH/PAU pathology or not. These data  
311 may therefore also reflect “systemic aortic disease”<sup>2</sup>, meaning that, in these patients with  
312 pathologies of the aortic media, the entire aorta is predisposed to aneurysm formation.

313 It has to be kept in mind that this AD/IMH/PAU incident cohort includes all newly diagnosed  
314 patients with these pathologies. As mentioned above, it may include less severe findings than  
315 series from referral centers. This may particularly be true for PAU patients, which more often  
316 than AD and IMH, were chronic or of unknown acuity. The primary aim of this study was to  
317 define aortic-related outcomes in AD, IMH, and PAU from a broader perspective. Therefore, all  
318 identified pathologies were included, considering their common pathological disruption of the  
319 media layer of the aorta. Furthermore, those presenting with a pathology of unknown acuity may  
320 not necessarily have been asymptomatic but may have had atypical or not explicitly remembered  
321 symptoms. This comprehensive approach may explain somewhat lower rates of aortic deaths and  
322 subsequent aortic events than reported in single center series but may more likely reflect true  
323 outcomes in the AD/IMH/PAU population overall.

324 When comparing AD, IMH, and PAU patients to population referents, it is obvious that the latter  
325 will be at much smaller risk for any aortic event. However, specific risks of AD/IMH/PAU  
326 patients to suffer an aortic-related complication may be difficult to grasp without a baseline in the

327 general population. Thus, the present study stresses the implications of the aortic pathologies AD,  
328 IMH, and PAU on the further clinical course of affected patients.

329 The REP provides a reliable infrastructure for population-based research in Olmsted County,  
330 capturing virtually all health care provided to the residents of this geographically isolated region.  
331 Patients are followed across providers and death certificates as well as autopsy reports are made  
332 available through the database. These are unique conditions within the United States. While this  
333 population-based strategy strengthens our study, there are some limitations to the generalizability  
334 of the study findings. The demographical characteristic of Olmsted County, reflect a  
335 predominantly white population that is slightly healthier than Minnesota state residents overall.<sup>14</sup>  
336 However, prior studies have shown high similarity in terms of age, sex, and ethnic characteristics  
337 between Olmsted County and Minnesota/upper Midwest residents as well as similar mortality  
338 rates for Olmsted County and the United States overall.<sup>23</sup>

339

## 340 **5. Conclusion**

341 The findings of this study highlight the high risk of aortic death, subsequent aortic events and  
342 aneurysm formation that is associated with AD/IMH/PAU diagnosis. Most importantly, even in  
343 patients who survive the first 14 days after diagnosis without one of these complications, a  
344 substantial aortic risk persists. This strengthens the need for further improvements in the care and  
345 treatment of these patients, potentially advocating for more rigorous follow-up in post diagnosis  
346 aortic care and modalities of treatment for these patients. The question of how and to what extent  
347 adverse aortic outcomes can be prevented most effectively remains to be the subject of further  
348 research, but clearly, room exists for improvement.



349 **References**

- 350 1. Riambau V, Bockler D, Brunkwall J, et al. Editor's Choice - Management of Descending  
351 Thoracic Aorta Diseases: Clinical Practice Guidelines of the European Society for Vascular  
352 Surgery (ESVS). *Eur J Vasc Endovasc Surg.* 2017;53(1):4-52.
- 353 2. Tsai TT, Nienaber CA, Eagle KA. Acute aortic syndromes. *Circulation.*  
354 2005;112(24):3802-13.
- 355 3. Pape LA, Awais M, Woznicki EM, et al. Presentation, Diagnosis, and Outcomes of Acute  
356 Aortic Dissection: 17-Year Trends From the International Registry of Acute Aortic Dissection. *J*  
357 *Am Coll Cardiol.* 2015;66(4):350-8.
- 358 4. Tsai TT, Fattori R, Trimarchi S, et al. Long-term survival in patients presenting with type  
359 B acute aortic dissection: insights from the International Registry of Acute Aortic Dissection.  
360 *Circulation.* 2006;114(21):2226-31.
- 361 5. Umana JP, Lai DT, Mitchell RS, et al. Is medical therapy still the optimal treatment  
362 strategy for patients with acute type B aortic dissections? *J Thorac Cardiovasc Surg.*  
363 2002;124(5):896-910.
- 364 6. Yu HY, Chen YS, Huang SC, et al. Late outcome of patients with aortic dissection: study  
365 of a national database. *Eur J Cardiothorac Surg.* 2004;25(5):683-90.
- 366 7. Olsson C, Thelin S, Stahle E, et al. Thoracic aortic aneurysm and dissection: increasing  
367 prevalence and improved outcomes reported in a nationwide population-based study of more than  
368 14,000 cases from 1987 to 2002. *Circulation.* 2006;114(24):2611-8.
- 369 8. Glower DD, Speier RH, White WD, et al. Management and long-term outcome of aortic  
370 dissection. *Ann Surg.* 1991;214(1):31-41.
- 371 9. Cho KR, Stanson AW, Potter DD, et al. Penetrating atherosclerotic ulcer of the  
372 descending thoracic aorta and arch. *J Thorac Cardiovasc Surg.* 2004;127(5):1393-9.

- 373 10. Chou AS, Ziganshin BA, Charilaou P, et al. Long-term behavior of aortic intramural  
374 hematomas and penetrating ulcers. *J Thorac Cardiovasc Surg.* 2016;151(2):361-72, 73 e1.
- 375 11. Evangelista A, Salas A, Ribera A, et al. Long-term outcome of aortic dissection with  
376 patent false lumen: predictive role of entry tear size and location. *Circulation.*  
377 2012;125(25):3133-41.
- 378 12. Bernard Y, Zimmermann H, Chocron S, et al. False lumen patency as a predictor of late  
379 outcome in aortic dissection. *Am J Cardiol.* 2001;87(12):1378-82.
- 380 13. Kret MR, Azarbal AF, Mitchell EL, et al. Compliance with long-term surveillance  
381 recommendations following endovascular aneurysm repair or type B aortic dissection. *J Vasc*  
382 *Surg.* 2013;58(1):25-31.
- 383 14. DeMartino RR, Sen I, Huang Y, et al. A Population-Based Assessment of the Incidence  
384 of Aortic Dissection, Intramural Hematoma and Penetrating Ulcer, and Its Associated Mortality  
385 from 1995 to 2015. *Circ Cardiovasc Qual Outcomes.* 2018;11:e004689.
- 386 15. Weiss S, Sen I, Huang Y, et al. Cardiovascular morbidity and mortality after aortic  
387 dissection, intramural hematoma, and penetrating aortic ulcer. *J Vasc Surg.* 2019.
- 388 16. St Sauver JL, Grossardt BR, Yawn BP, et al. Use of a medical records linkage system to  
389 enumerate a dynamic population over time: the Rochester epidemiology project. *Am J*  
390 *Epidemiol.* 2011;173(9):1059-68.
- 391 17. St Sauver JL, Grossardt BR, Yawn BP, et al. Data resource profile: the Rochester  
392 Epidemiology Project (REP) medical records-linkage system. *Int J Epidemiol.* 2012;41(6):1614-  
393 24.
- 394 18. Charlson ME, Pompei P, Ales KL, et al. A new method of classifying prognostic  
395 comorbidity in longitudinal studies: development and validation. *J Chronic Dis.* 1987;40(5):373-  
396 83.

- 397 19. Chamberlain AM, Gersh BJ, Alonso A, et al. Decade-long trends in atrial fibrillation  
398 incidence and survival: a community study. *Am J Med.* 2015;128(3):260-7 e1.
- 399 20. Hager A, Kaemmerer H, Rapp-Bernhardt U, et al. Diameters of the thoracic aorta  
400 throughout life as measured with helical computed tomography. *J Thorac Cardiovasc Surg.*  
401 2002;123(6):1060-6.
- 402 21. Chaikof EL, Dalman RL, Eskandari MK, et al. The Society for Vascular Surgery practice  
403 guidelines on the care of patients with an abdominal aortic aneurysm. *J Vasc Surg.* 2018;67(1):2-  
404 77 e2.
- 405 22. Winnerkvist A, Lockowandt U, Rasmussen E, et al. A prospective study of medically  
406 treated acute type B aortic dissection. *Eur J Vasc Endovasc Surg.* 2006;32(4):349-55.
- 407 23. St Sauver JL, Grossardt BR, Leibson CL, et al. Generalizability of epidemiological  
408 findings and public health decisions: an illustration from the Rochester Epidemiology Project.  
409 *Mayo Clin Proc.* 2012;87(2):151-60.

## Tables

**Table I:** Baseline characteristics of the AD/IMH/PAU cohort and population referents

	Referents (n=399)	AD/IMH/PAU (n=133)	p*	AD/IMH/PAU Subtypes			p
				AD (n=77)	IMH (n=21)	PAU (n=35)	
<b>Age (years), mean (SD)</b>	71.8 (14.1)	71.8 (14.1)	1.0	68.9 (15.6)	73.5 (11.5)	77.1 (10.0)	.015
<b>Male gender</b>	76 (57.1%)	76 (57.1%)	1.0	46 (59.7%)	11 (52.4%)	19 (54.3%)	.770
<b>Charlson Comorbidity Index, mean (SD)</b>	1.7 (2.1)	2.6 (2.6)	<.001	2.1 (2.2)	2.8 (2.6)	3.7 (3.2)	.006
<b>Thoracic aneurysm</b>							
Prior known	5 (1.2%)	11 (8.3%)	<.001	6 (7.8%)	2 (9.5%)	3 (8.6%)	.912
Prior repair	2 (0.5%)	2 (1.5%)	<.001	1 (1.3%)	1 (4.8%)	0 (0%)	.359
<b>Abdominal aneurysm</b>							
Prior known	7 (1.7%)	6 (4.5%)	.100	3 (3.9%)	0 (0%)	3 (8.6%)	.423
Prior repair	3 (0.7%)	11 (8.3%)	<.001	6 (7.8%)	1 (4.8%)	4 (11.4%)	.677
<b>Acuity of presentation<sup>†</sup></b>			-				<.001
Acute	-	79 (59.4%)		52 (67.5%)	17 (81.0%)	10 (28.6%)	
Subacute	-	4 (3.0%)		2 (2.6%)	1 (4.8%)	1 (2.9%)	
Chronic	-	3 (2.3%)		2 (2.6%)	0 (0%)	1 (2.9%)	
Unknown	-	47 (35.3)		21 (27.3%)	3 (14.3%)	23 (65.7%)	
<b>Stanford classification</b>			-				<.001
Type A	-	-		45 (58.4%)	5 (23.8%)	-	
Type B	-	-		32 (41.6%)	16 (76.2%)	-	
<b>De Bakey classification</b>			-				-
Type I	-	-		24 (31.2%)	-	-	
Type II	-	-		21 (27.3%)	-	-	
Type IIIa	-	-		8 (10.4%)	-	-	
Type IIIb	-	-		24 (31.2%)	-	-	
<b>Anatomic localisation</b>							
Thoracic	-	-		-	-	18 (51.4%)	
Abdominal	-	-		-	-	17 (48.6%)	
<b>Connective tissue disease</b>	-	8 (6.0%)	-	8 (10.4%)	0 (0.0%)	0 (0.0%)	.052
<b>Bicuspid aortic valve</b>	-	3 (2.3%)	-	1 (1.3%)	1 (4.8%)	1 (2.9%)	.388
<b>Iatrogenic</b>	-	7 (5.3%)	-	6 (7.8%)	1 (4.8%)	0 (0.0%)	.281

\* p-values for comparisons between the AD/IMH/PAU and the referent cohort do not account for matching

<sup>†</sup> Acute: ≤14 days of symptom onset; subacute: 15-90 days; chronic: >90 days

**Table II:** Risk of aortic death in AD/IMH/PAU patients versus matched population referents.

All AD/IMH/PAU patients (n=133)													
All events							Excluding acute events*						
AD/IMH/PAU		Referents		AD/IMH/PAU vs. referents			AD/IMH/PAU		Referents		AD/IMH/PAU vs. referents		
At risk	Events	At risk	Events	HR (95% CI)	p	At risk	Events	At risk	Events	HR (95% CI)	p		
<b>Aortic death</b>	133	23	399	0	184.7 (10.3 – 3299.2)	<.001	118	10	354	0	67.6 (3.3 – 1401.7)	.006	
<b>Any aortic event</b>	133	50	399	8	33.3 (15.3 – 72.0)	<.001	83	13	249	6	10.8 (3.9 – 29.8)	<.001	
<b>Aortic intervention</b>	133	48	399	8	31.5 (14.5 – 68.4)	<.001	83	12	249	6	9.6 (3.4 – 26.8)	<.001	
Aortic dissection (AD, n=77) <sup>†</sup>													
<b>Aortic death</b>	77	16	231	0	111.7 (6.1 – 2030.6)	.001	65	5	195	0	33.9 (1.4 – 801.1)	.029	
<b>Any aortic event</b>	77	34	231	4	47.6 (16.4 – 137.8)	<.001	39	7	117	2	18.2 (3.5 – 94.2)	<.001	
<b>Aortic intervention</b>	77	33	231	4	44.8 (15.5 – 130.0)	<.001	39	6	117	2	14.9 (2.8 – 79.1)	.002	
Intramural hematoma (IMH, n=21) <sup>†</sup>													
<b>Aortic death</b>	21	4	63	0	30.5 (1.2 – 769.2)	.038	20	3	60	0	23.6 ( 0.8 – 669.9)	.064	
<b>Any aortic event</b>	21	9	63	3	14.5 (3.8 – 55.0)	<.001	16	5	48	3	7.3 (1.7 – 31.7)	.008	
<b>Aortic intervention</b>	21	9	63	3	14.5 (3.8 – 55.0)	<.001	16	5	48	3	7.3 (1.7 – 31.7)	.008	
Penetrating aortic ulcer (PAU, n=35) <sup>†</sup>													
<b>Aortic death</b>	35	3	105	0	28.4 (0.9 – 878.7)	.055	33	2	99	0	17.5 (0.4 – 696.6)	.127	
<b>Any aortic event</b>	35	7	105	1	24.5 (3.0 – 199.9)	.003	28	1	84	1	3.2 (0.2 – 51.8)	.408	
<b>Aortic intervention</b>	35	6	105	1	21.2 (2.5 – 176.6)	.005	28	1	84	1	3.2 (0.2 – 51.8)	.408	

\*Including deaths >14 days of diagnosis only; <sup>†</sup>unadjusted for the Charlson Comorbidity Index due to low event numbers per subtype

1 **Figure legends**

2

3 **Fig 1.** Survival free from aortic intervention for AD/IMH/PAU patients versus population  
4 referents of similar age and gender.

5

6 **Fig 2.** Survival free from aortic rupture **(a)**, and survival free from new aortic dissection **(b)** after  
7 diagnosis of AD, IMH, or PAU.

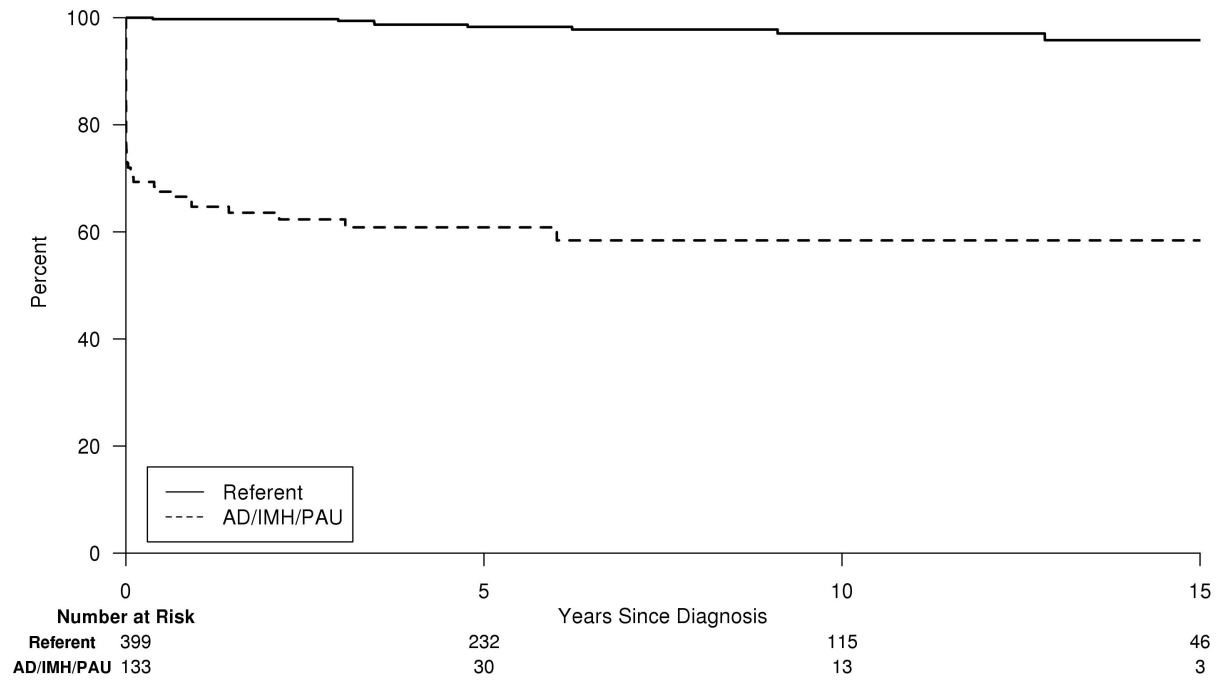
8

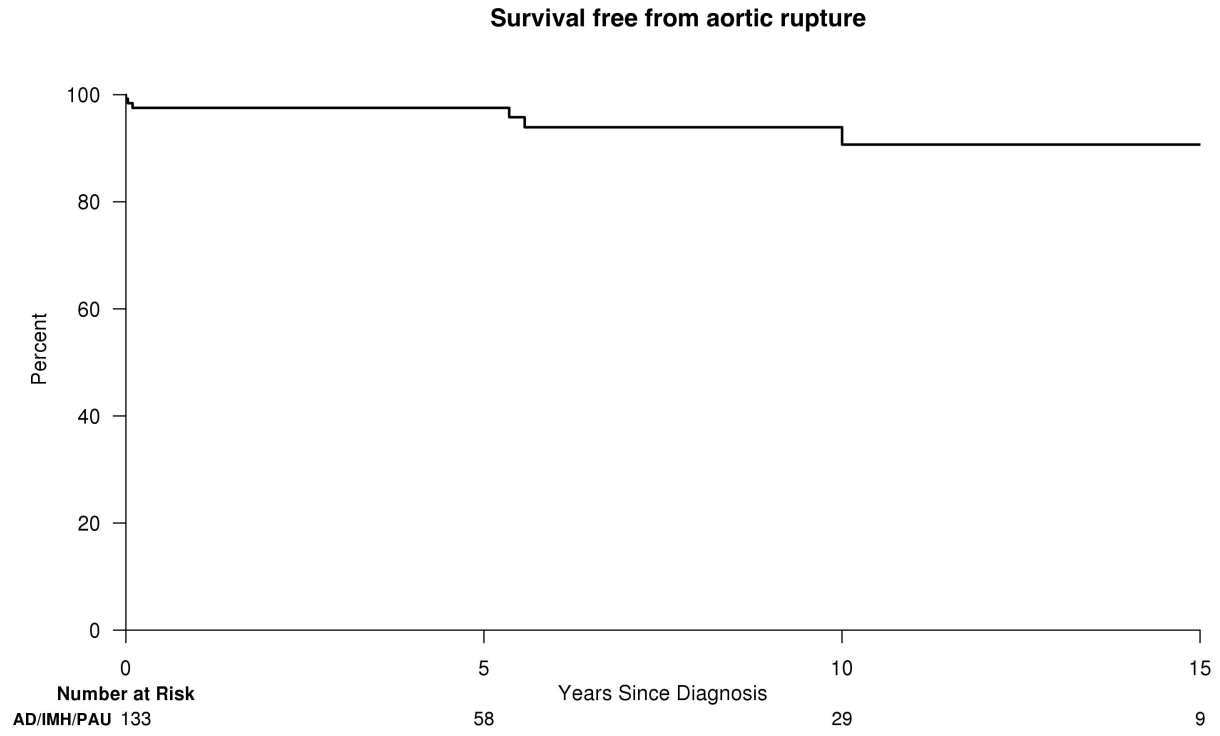
9 **Fig 3.** Survival free from first time diagnosis of a thoracic aneurysm for AD/IMH/PAU  
10 patients versus population referents of similar age and gender, including all detected  
11 aneurysms from the time of AD/IMH/PAU diagnosis **(a)** and those > 14 days after diagnosis  
12 only **(b)**.

13

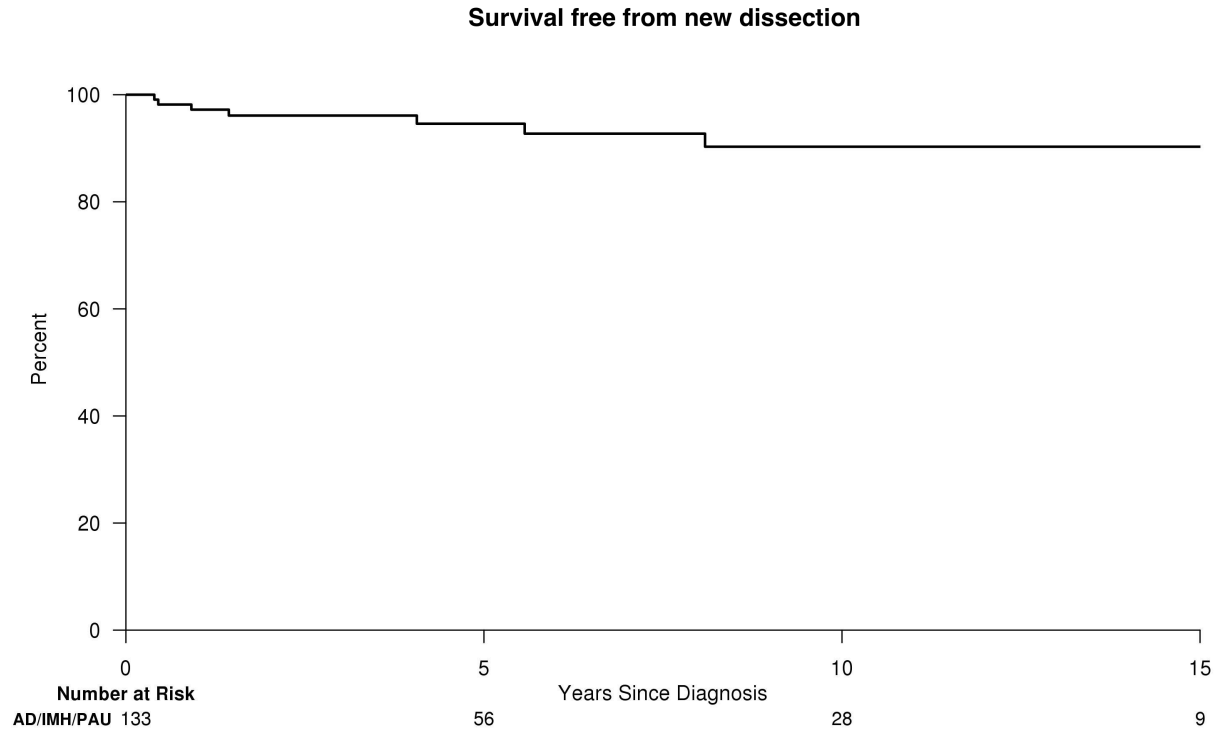
14 **Fig 4.** Survival free from first time diagnosis of an abdominal aneurysm for AD/IMH/PAU patients  
15 versus population referents of similar age and gender, including all detected aneurysms from the time  
16 of AD/IMH/PAU diagnosis **(a)** and those > 14 days after diagnosis only **(b)**.

## Survival free from aortic intervention

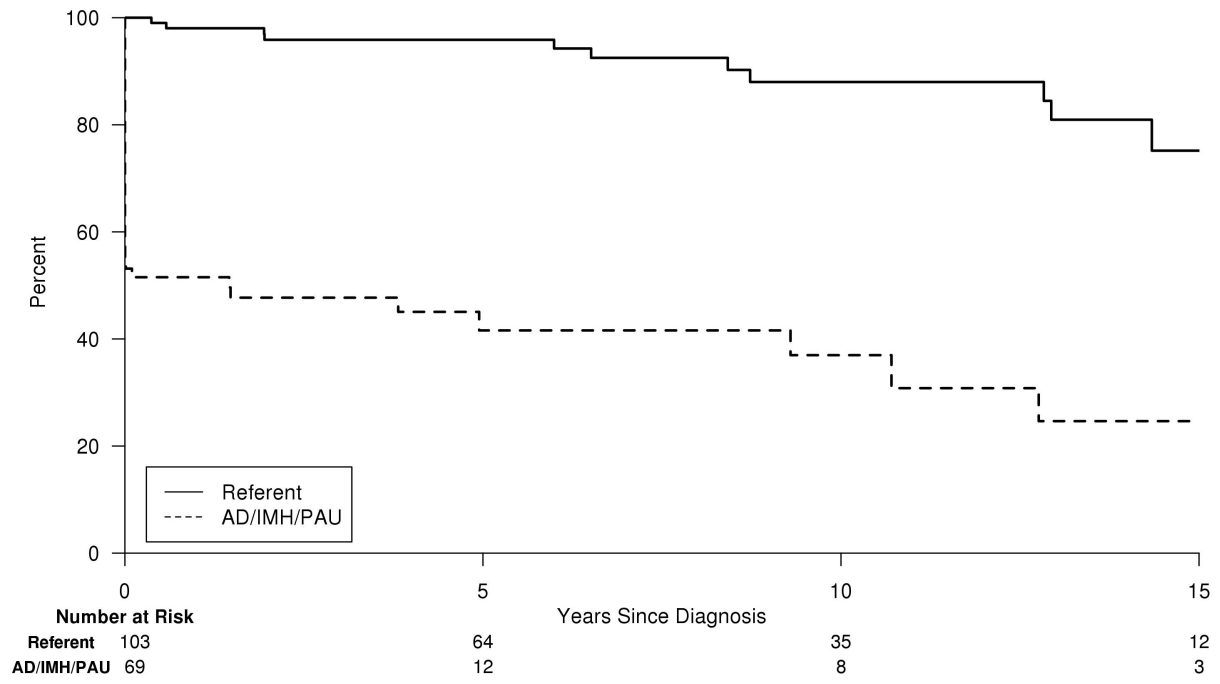






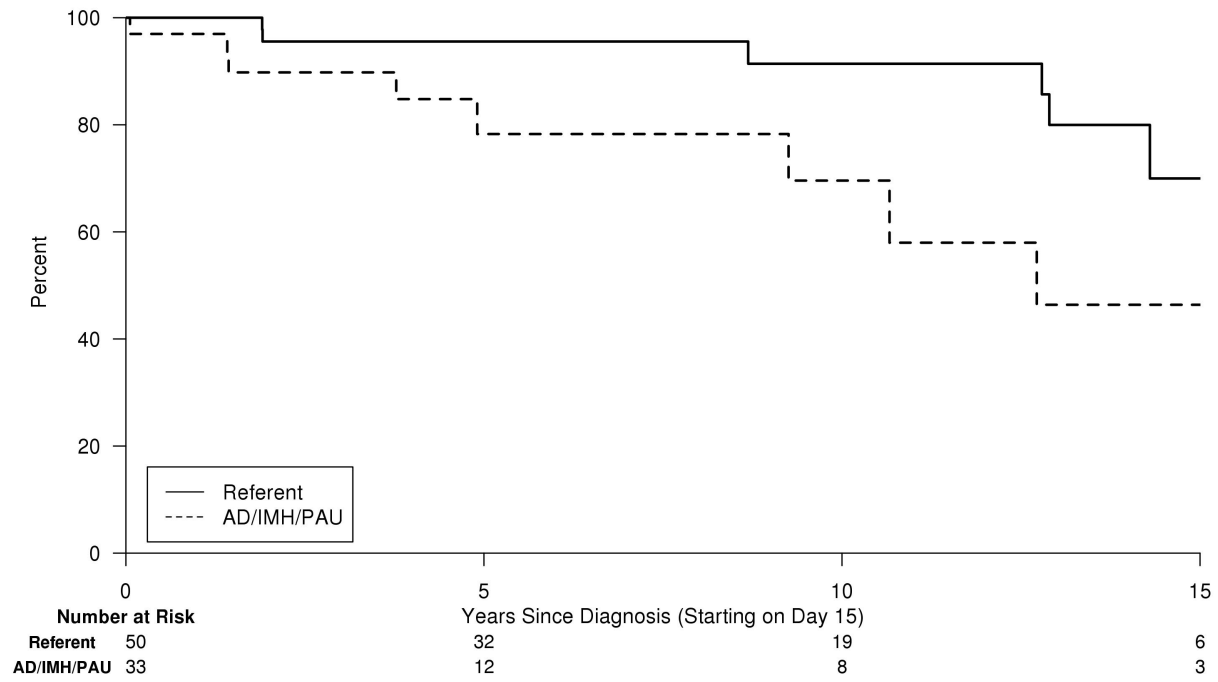


Survival free from first time diagnosis of a thoracic aortic aneurysm

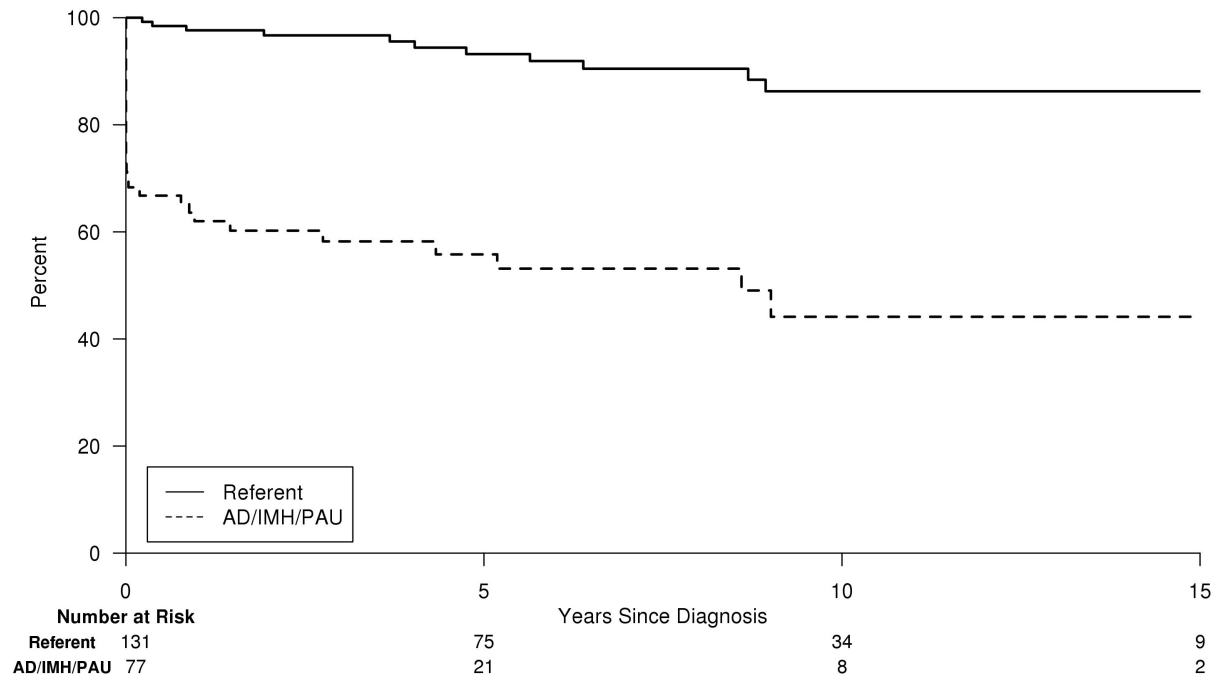


Journal

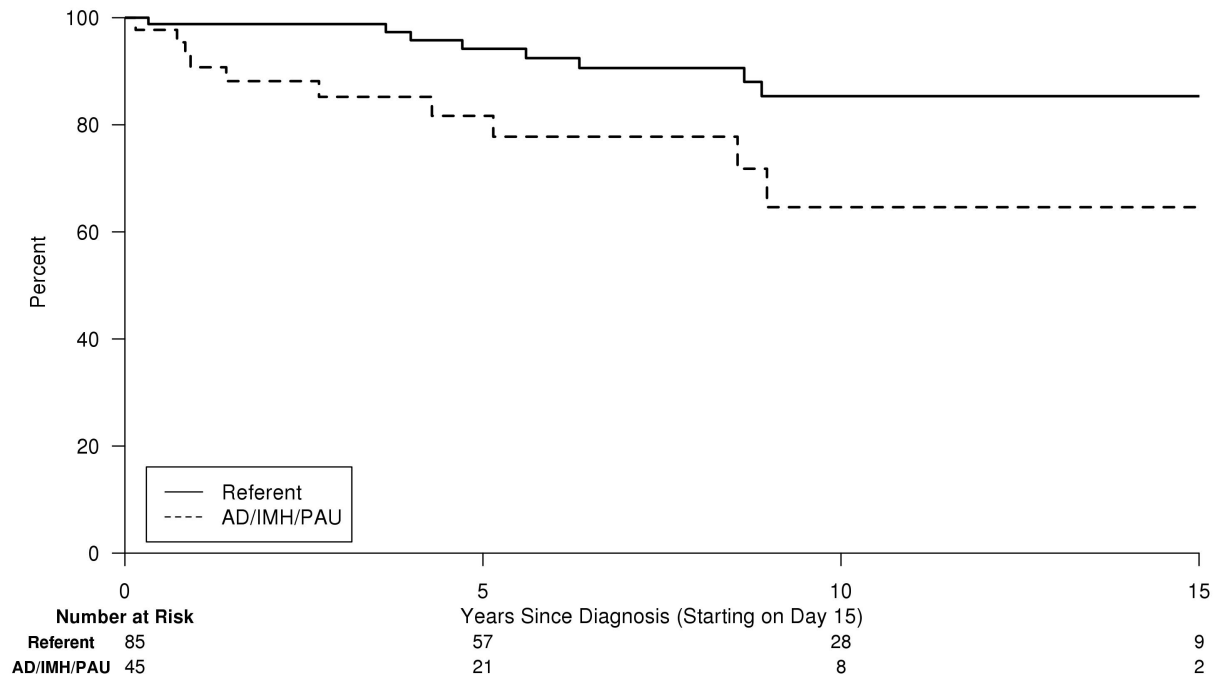
## Survival free from first time diagnosis of a thoracic aortic aneurysm



## Survival free from first time diagnosis of an abdominal aortic aneurysm



Survival free from first time diagnosis of an abdominal aortic aneurysm



Journal