## Dayside-to-nightside dust coma brightness asymmetry and its implications for nightside activity at comet 67P/Churyumov-Gerasimenko

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#### Abstract

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We have determined the dust coma brightness ratio between the dayside and the nightside (DS:NS) in OSIRIS images of comet 67P/Churyumov-Gerasimenko and compared them to results from numerical dust coma simulations to learn more about the dynamic processes that are involved in coma formation. The primary focus of this paper lies in the analysis of a subset of OSIRIS images acquired during one comet rotation on 11. April 2015 when the spacecraft was at a phase angle of 90° and therefore directly above the terminator. The DS:NS ratio was found to be  $2.49 \pm 0.18$  on average - a very low value if insolation-driven sublimation of water dominates dust emission. We investigated two possible hypotheses: First, the influence of direct activity from non-illuminated (nightside) areas of the comet and second, the brightness contribution of large gravity-dominated particles in the innermost coma. For our numerical simulations, we used a combination of DSMC gas dynamics simulation and particle propagation by an equation of motion to simulate the dust coma. Our simulations show that direct activity from the nightside is preferred, contributing  $\approx 10\%$  of the total emission. We show that intensity profiles, used to quantify dust outflow behaviour, fit the observations better when nightside activity is present and we suggest that nightside gas emission by  $CO_2$  or CO is responsible for the observed dust flux. With the help of a simplified Keplerian modelling approach we exclude large particles on gravitationally bound or ballistic orbits from being the major contributor to the observed dust coma brightness. Additionally, we show the DS:NS ratio as a function of days to perihelion and observe that it is on a similar level as in the April OSIRIS time series from February to mid-June 2015, but increases towards a maximum of  $\geq 4.07 \pm 0.49$  shortly after perihelion passage. We suggest that this is correlated to the increasing importance of  $H_2O$  production when approaching perihelion.

10 Keywords: Rosetta, Simulation, Dust coma, Nightside activity

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## 11 1. Introduction

Cometary nuclei typically have diameters of a few kilometres. They consist of a mixture of 12 refractory material, often referred to as dust, and ices. The most abundant volatile species on typ-13 ical Jupiter-family comets (JFC) are water ( $H_2O$ ), carbon dioxide ( $CO_2$ ) and carbon monoxide 14 (CO) [1]. The sublimation of these frozen volatiles is the source of cometary activity, with the 15 sublimating gases dragging dust from the surface into the inner coma. Comet 67P/Churyumov-16 Gerasimenko (67P) is a JFC and was the target of the European Space Agency's (ESA) corner-17 stone mission, Rosetta. The Rosetta spacecraft reached 67P in August 2014 and escorted it along 18 its orbit for about two years through perihelion and beyond until the mission ended in Septem-19 ber 2016. Among the scientific instruments, the Optical, Spectroscopic, and Infrared Remote 20 Imaging System (OSIRIS) narrow-angle camera (NAC) and wide-angle camera (WAC) provided 21 images in the visible spectral range (240-1000 nm) [2] to monitor continuously the nucleus sur-22 face and dust coma. The dust coma surrounding the nucleus is mainly driven by insolation-23 induced sublimation of volatiles from surface or near-surface ices and can be directly observed 24 on OSIRIS images through the sunlight that is scattered by dust particles in the coma. Most of 25 the images show a diffuse global dust coma with distinct jet-like structures. Gas contributions 26 to the brightness of the coma observed in its broad-band filters by OSIRIS are negligible. How-27 ever, the coma structures arise from a complex combination of the irregular surface morphology 28 and an inhomogeneous gas source distribution over the surface of the nucleus. Determining the 29 source distribution of the gas has been the subject of previous extensive studies (e.g. [3, 4, 5, 6]). 30

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In this paper we focus on the brightness distribution of the innermost diffuse dust coma 32 out to distances of 10-20 km above the illuminated dayside and the non-illuminated nightside 33 as observed in projection by the 2D imaging system. We define the dayside-to-nightside dust 34 coma brightness ratio (DS:NS) as the ratio between the averaged brightness on the dayside and 35 the nightside coma at a distance of 10 to 12 km. We study this particular coma characteristic in 36 OSIRIS images and artificial images from numerical simulations with the aim to gain new insight 37 into the dynamic processes governing the innermost dust coma. In a perfect case, an observa-38 tion from directly above the terminator gives exactly the dust brightness above each hemisphere. 39 When the phase angle is not 90°, the DS:NS ratio is affected by projection effects in 2D line-of-40 sight data. Hence, we select and analyse images specifically for 90° phase angle geometry. 41

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The simplest model of cometary outflow is to assume force-free radial expansion from a 43 spherical nucleus emitting only from the dayside. In this approximation, one would expect a 44 very high DS:NS ratio for phase angles close to 90° (for a phase angle of exactly 90° the DS:NS 45 ratio would be infinite). The ejected dust is moving outwards with constant speed and the dust 46 column density, which is proportional to the brightness observed by a line-of-sight instrument 47 such as the OSIRIS cameras, is decreasing with the inverse of the distance to the source centre. 48 In cometary literature this is often referred to as the 1/r-law and we will use the same notation in 49 this paper when referring to this specific relation. In reality, comets are more complex systems. 50 Shape effects of the irregular nucleus considerably influence the dust outflow in the first few 51 kilometres above the surface and the dust motion is by no means force-free. The two dominant 52 forces governing dust outflow dynamics in the innermost coma are the drag force that gas exerts 53 on dust particles and the comet's gravitational force. The acceleration of dust particles through 54 gas drag leads to a deviation from 1/r towards steeper slopes close to the nucleus similar to that 55 observed for 67P [7]. 56

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Already in 1989, the dayside coma of comet 1P/Halley was noticed to be only 3.2 times 58 brighter than the nightside coma from images taken by the Halley Multicolour Camera during 59 the fly-by of the *Giotto* spacecraft at a phase angle of  $107^{\circ}$  and a heliocentric distance of 0.89 60 AU [8]. Such a low DS:NS coma brightness ratio was quite unexpected. It was interpreted by 61 Keller and Thomas [8] to be the consequence of near-surface lateral transport caused by gas drag 62 on dust from active regions towards the nightside, but no numerical simulations of this process 63 were performed at the time. For 19P/Borrelly a DS:NS coma brightness ratio as low as 1.7 was 64 65 found during the fly-by of the *Deep Space 1* spacecraft at a phase angle of  $88^{\circ}$  and a heliocentric distance of 1.36 AU [9]. Again this is much lower than can be explained by insolation-driven 66 emission and subsequent force-free radial outflow. 67

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Here we attempt to determine the processes controlling the DS:NS ratio and model the influ-69 ence of gravity-dominated particles and nightside activity to assess whether either of these mech-70 anisms can match the observations. A significant number of large particles on bound or ballistic 71 trajectories could noticeably change the observed coma brightness distribution by adding a sig-72 nificant flow from the dayside towards the nightside. The bound or ballistic particles falling back 73 or orbiting above the nightside of the comet would add brightness to the nightside coma. Such 74 particles were observed in the vicinity of 67P and are resolved in certain OSIRIS images [10]. 75 Taking into account the resolution of the camera system, such particles have to be of the order of 76 centimetres to metres in size and are slow moving with respect to the nucleus with most close to 77 or below escape velocity. However, it is not well known how much these particles contribute in 78 brightness to the unresolved coma we observe with the OSIRIS cameras. 79

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In the last decades, numerical simulations have proven to be an increasingly important tool 81 to interpret and predict observations of gas and dust comae around comets. Most gas dynamics 82 calculations of cometary outgassing include some form of uniform outgassing from the night-83 side at production rates equivalent to 2%-10% of the total production rate. Bieler et al. [11], for 84 example, used 7%-10% to match ROSINA Comet Pressure Sensor (COPS) data between Au-85 gust 2014 and January 2015 at 67P. Marschall et al. [4] deliberately did not include gas activity 86 on the nightside but their fitting to the COPS measurements noticeably underestimated the ob-87 served densities over the nightside and additional gas emission was clearly required. Outgassing 88 directly from the nightside of the nucleus would also invoke nightside dust activity and could 89 therefore be another reason for the increased coma brightness observed in OSIRIS images above 90 the nightside. We note here that Bockelée-Morvan et al. [12] found water production to be weak 91 in regions with low solar illumination, but suggested that  $CO_2$  is outgassing from both illumi-92 nated and non-illuminated regions. It was suggested that this indicates that CO<sub>2</sub> sublimes from a 93 depth that is below the diurnal skin depth. We shall show that this is indeed plausible. 94

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In the following, we will present results from analysis of four OSIRIS images selected from 96 a time series on 11. April 2015 and compare them with results from numerical simulations. In 97 section 2, we describe our data set, the methods we use for image analysis and present our results 98 thereof. In particular, we focus on the DS:NS coma brightness ratio and the dust outflow profiles 99 obtained using the "azimuthal average" [7]. In Section 3, we introduce our simulation methods 100 and models. We give an overview of the DSMC model we use to simulate the gas coma and 101 our dust dynamics simulation pipeline used to simulate the dust coma. We tested models with 102 different activity source distributions over the surface and a model with added nightside activity. 103

| No. | PSA file name              | Timestamp           | $\alpha[^{\circ}]$ | SSLong [°] | DS:NS           |
|-----|----------------------------|---------------------|--------------------|------------|-----------------|
| A   | W20150411T023758504ID4FF18 | 2015-04-11T02.37.58 | 89.66              | 46.26      | $2.46\pm0.04$   |
| В   | W20150411T050857774ID4FF18 | 2015-04-11T05.08.57 | 89.06              | 333.37     | $2.68 \pm 0.03$ |
| C   | W20150411T081257701ID4FF18 | 2015-04-11T08.12.57 | 88.33              | 244.54     | $2.56 \pm 0.02$ |
| D   | W20150411T120457516ID4FF18 | 2015-04-11T12.04.57 | 87.43              | 132.54     | $2.26 \pm 0.02$ |

Table 1: List of the OSIRIS image file names from ESA's Planetary Science Archive (PSA) in the analysed image subset of the 11. April 2015. The observation timestamp, phase angle ( $\alpha$ ), sub-solar longitude (SSLong) and calculated DS:NS ratio are given as well.

A more simplified modelling approach to simulate a background of large gravity dominated particles is also presented. In the results section (Sec. 4), we present and discuss the results of our numerical simulations and compare them to the findings from the OSIRIS image analysis. In the last section of this paper (Sec. 5), we summarise our results and conclude.

## 108 2. OSIRIS image analysis

#### 109 2.1. Image subset for analysis

The analysed images form a subset of four OSIRIS images that were all acquired during one 110 comet rotation on the 11. April 2015. Table 1 gives an overview of the properties of the analysed 111 images. All images were taken with WAC filter 18 (Vis610;  $\lambda_c$ =612.6 nm central wavelength) 112 and have an exposure time of 9.6 s. The heliocentric distance of the comet at the acquisition time 113 was  $D_{\odot} = 1.89AU$  and the spacecraft was at a distance of  $\approx 141$  km from the comet centre. The 114 sun illuminated the nucleus at a latitude of about 10° north. The raw image data from the OSIRIS 115 camera was corrected through the OSIRIS scientific calibration pipeline (OsiCalliope) [13]. The 116 calibration and correction pipeline includes corrections for analogue-to-digital converter (ADC) 117 offset and gain, bias subtraction, high and low spatial frequency flat fielding, bad pixel and bad 118 column removal, an exposure time normalisation, radiometric calibration and a correction for 119 geometric distortion (resulting in CODMAC<sup>1</sup> level 4 data, in units of  $[Wm^{-2}sr^{-1}nm^{-1}]$ ). Images 120 used in the data analysis presented in this paper (CODMAC level 4F) have additionally been 121 corrected for out-of-field and in-field (ghost) stray light contributions and are transformed from 122 radiometric units  $[Wm^{-2}sr^{-1}nm^{-1}]$  into dimensionless reflectance units. The reflectance factor 123 is defined as 124

$$R = \frac{\pi I(i, e, \alpha, \lambda)}{F(\lambda)} \tag{1}$$

with the observed spectral radiance I, the solar spectral irradiance at the corresponding helio-125 centric distance from the comet F, the incidence angle i, the emission angle e, the phase angle 126  $\alpha$ , and the wavelength  $\lambda$ . Note that the solar irradiance was calculated at the central wavelength 127 of each filter. All calibration steps are described fully in the documentation of the pipeline which 128 is available in the public domain on ESA's Planetary Science Archive (PSA) and can be found 129 in the corresponding FTP data folders of the OSIRIS Wide Angle Camera (OSIWAC) instru-130 ment of the Rosetta mission under DOCUMENT/CALIB/OSIRIS\_CAL\_PIPELINE\_V08.PDF. 131 The selected images were acquired with the camera looking towards the dayside-nightside ter-132 minator at a phase angle close to 90°. This allows for a clear separation of the dayside (DS) and 133

<sup>&</sup>lt;sup>1</sup>Committee On Data Management, Archiving and Computing (CODMAC) Data Level Definition [14, p. 34-35]

the nightside (NS) of the coma, because projection of radial dayside emission into the nightside is negligible. We refer to the projected coma above the illuminated side of the nucleus ( $\pm$  90° from the sun azumithal angle in the image plane) as the dayside coma and, analogously, to the projected coma above the non-illuminated side of the nucleus as the nightside coma. The images were selected such that they cover the inner coma around the nucleus in every direction to a projected radial distance of more than 10 km. We refer to the projected distance from the centre of the nucleus in the image plane as the impact parameter, b.

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## 142 2.2. Azimuthal average profiles

The basic idea of azimuthal average profiles  $(\overline{Rb})$  is to visualise global outflow behaviour of cometary dust comae by showing brightness changes from flux integrations over quasi-closed surfaces. This method was introduced by Thomas and Keller [15] in 1990 and they showed that cylindrical surfaces, realised as concentric circles in line-of-sight measurements such as camera images, are a good approximation for closed surfaces. In this paper we define the azimuthal average in terms of azimuthal angle  $\phi$  as:

$$\overline{Rb} = \frac{b}{2\pi} \int_0^{2\pi} R(\phi, b) d\phi, \qquad (2)$$

with  $R(\phi, b)$  the image brightness as a function of azimuthal angle and impact parameter. To 149 simplify interpretation of the profiles, the azimuthal average is often multiplied by the impact 150 parameter, b, such that force-free radial outflow from a point source appears as a constant inde-151 pendent of distance to the centre of the source. This reflects the fact that column densities of 152 radial outflow follows a 1/r-law if the outflow is force-free. (With the definition of the impact 153 parameter, b, we should in fact be talking about a "1/b-law" here, but to stay consistent with the 154 cometary literature we will keep to the notation of "1/r-law" in this paper.) Any deviations of the 155 azimuthal average profile from 1/r-behaviour points towards additional physical processes acting 156 on the dust in the coma. In Gerig et al. [7] the application of azimuthal average profiles to Rosetta 157 OSIRIS images is discussed in more detail. They showed in a comprehensive statistical study 158 that the dust outflow behaviour beyond  $\approx 12$  km converges to force-free radial outflow in broad 159 agreement with theoretical approximations described by Zakharov et al. [16]. Additionally, pos-160 sible processes at work in the inner coma of 67P and their effects on the azimuthal average were 161 identified and discussed. 162

In Figure 1 b), the azimuthal average profiles calculated for images A-D are shown. Every point 163 in the profile corresponds to the averaged image brightness along a circle of constant b mul-164 tiplied with the corresponding impact parameter. For the middle profile (black solid line) the 165 brightness values were averaged over the full 360° angle (FA) range of the circle. The top black 166 dash-dotted line profile corresponds to a brightness averaging over the dayside (DS) angle range 167 (projected solar azimuth angle  $\pm 90^{\circ}$ ) and the black dashed profile on the bottom of the diagram 168 corresponds to the complementary brightness averaging over the nightside (NS) angle range. The 169 dayside and the full angle profile show a decrease with distance close to the nucleus, which is 170 dominated by the effects of the dust accelerating away from the surface [7]. The profile on the 171 nightside is nearly constant indicating that the brightness is decreasing with the inverse of the 172 impact parameter which is characteristic for a 1/r-bahaviour. This agrees well with radial dust 173 profiles from observations with the Visible and InfraRed Thermal Imaging Spectrometer spec-174 tral mapping channel (VIRTIS-M; [17]) on the 27. April 2015 as reported in Rinaldi et al. [18], 175

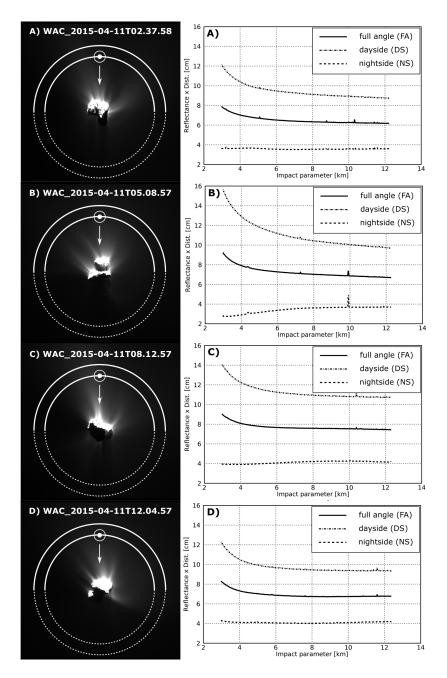


Figure 1: (left) The brightness in images A-D from the April 2015 OSIRIS time series (Table 1) is stretched to make the faint coma visible ( $R < 0.1 \cdot R_{max}$ ). The inner white circles mark a distance of 10 km and the outer white circles a distance of 12 km to the nucleus centre. The upper half (solid line) marks the dayside in the images and the lower half (dotted line) marks the nightside. The direction of the sun in the image planes is indicated by the arrows. (right) The corresponding azimuthal average profiles for the dayside (dashed-dot line), the full angle (solid line) and the nightside (dashed line) for images A-D (a) are shown.

although we caution that radial profiles do not form closed surfaces and are therefore susceptible
 to error caused by non-radial expansion.

#### 178 2.3. DS:NS coma brightness ratio

When looking at the images and profiles in Figure 1, it is clear that the nightside coma is less bright than the dayside coma. This is expected for coma activity driven by dayside heat input with low thermal inertia as inferred by Groussin et al. [19]. To quantify the difference in brightness of the dayside to the nightside coma, we define the dayside-to-nightside coma brightness ratio (DS:NS). The ratio is calculated as

$$DS : NS = \frac{\int_{10km}^{12km} (\overline{Rb})_{DS} db}{\int_{10km}^{12km} (\overline{Rb})_{NS} db},$$
(3)

with the subscripts DS and NS indicating the integration over the azimuthal average profiles over 184 the dayside and nightside, repsectively. The chosen distance range assures that we are compar-185 ing values in the region where the dust coma has nearly reached force-free radial outflow and 186 where processes such as acceleration of the dust that dominate in the innermost <12 km above 187 the nucleus surface have little influence on our result. We calculate an error for the DS:NS ratio 188 by propagating the statistical errors from the averaging of the azimuthal average in the range be-189 tween 10 and 12 km. The errors we find are very small (on the order of 1%). The ratio of DS:NS 190 brightness in the selected OSIRIS image subset (Figure 1) are given in Table 1. The average 191 DS:NS ratio over the four OSIRIS images is  $2.49 \pm 0.18$ . 192

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#### <sup>194</sup> 2.4. Solar radiation pressure reflected particles

To exclude that the observed night side diffuse activity is the result of dust density projection 195 far from the nucleus into the OSIRIS line of sight we discuss the case of solar radiation pressure 196 (SRP) reflected particles. Dust particle motion towards the Sun is reversed by SRP. Such SRP-197 reflected particles return into the near-nucleus field of view giving an almost constant background 198 in images. This gives the first clear indication that the observed dust above the nightside is not 199 the result of radiation pressure because Figure 1 does not indicate a rise in Rb with distance on 200 the nightside as would be expected for a constant background. In the OSIRIS images it can be 201 observed that the nucleus is shadowing parts of the coma on the nightside leading to a brightness 202 decrease of 11-23% in the shadowed areas compared to the non-shadowed adjacent coma. To 203 illustrate this we show the extremely stretched versions of images A-D in Fig. 2. The shadow cast 204 by the nucleus onto the nightside coma is clearly visible. To verify that the measured brightness 205 decrease lies in the range that would be expected from a shadowed near nucleus coma, we set 206 up a simple theoretical model. The coma on the nightside more or less follows a 1/r-behaviour 207 (Fig. 1) and we can therefore assume force-free radial outflow with constant velocity and write 208 the local dust number densities as 209

$$n(r) = \frac{Q}{4\pi r^2 \nu},\tag{4}$$

where Q is the dust production rate, v is the (constant) velocity of the outflow and r is the actual distance from a point source [7]. We further assume a spherical nucleus of radius  $R_N = 2$  km and an observer at the distance of  $d_{s/c}$  from the nucleus centre. A line-of-sight (LOS) integration through the coma lets us calculate column densities that are directly proportional to brightness
values like OSIRIS would observe in an optically thin coma.

$$\int_{LOS} = \int_{-d_{s/c}}^{\infty} n(\vec{r}) d\vec{r} - \left[ \int_{-R_N}^{R_N} n(\vec{r}) d\vec{r} \right]_{shadow}$$
(5)

We can estimate the decrease in brightness from the shadow cast by the nucleus on the coma 215 without defining actual values for Q and v. The relative difference in brightness between a LOS 216 integration at 10 km from the nucleus centre outside the nucleus shadow and a LOS integration 217 at 10 km through the centre of the shadow is 12.8%. This lies well in the range of the brightness 218 decrease measured in the images. Different observation and illumination conditions on the com-219 plex nucleus and local inhomogeneities in the coma compared to force-free radial outflow can 220 lead to the variations we observe. Hence, the brightness we observe on the nightside has to come 221 from dust close to the nucleus to explain the decrease of brightness we observe in the nucleus 222 shadow and cannot be dominated by particles in the far-field that are returned into the line of 223 sight by SRP. 224

## 225 2.5. Considerations about image signal level

We also note that it is important to check that the analysed coma signal is well above the 226 noise level of the image, especially for our nightside analysis. Long exposure images, like 227 the ones we are using in this study, are especially suitable for analysis of weak coma sig-228 nal because they generally provide a better signal-to-noise (S/N) ratio over the whole image. 229 We calculated complete S/N maps for all four images in the April 2015 time series to esti-230 mate the image S/N level. We chose an approach following the description of the OSIRIS 231 calibration steps in the documentation of the OSIRIS scientific calibration pipeline (DOCU-232 MENT/CALIB/OSIRIS\_CAL\_PIPELINE\_v08.PDF). We start with the raw data images (COD-233 MAC level 2) in units of digital numbers (DN). In a first step, a correction for the ADC offset 234 and gain (OSIRIS gain modes: high gain =  $3.1 e^{-}/DN$  or low gain =  $15.5 e^{-}/DN$ ) is applied. 235 The exact values used in the calculations for each image are taken from the calibration history 236 header of the level 4F images. Then the image is corrected for bias by subtraction of the bias 237 base value and the temperature dependent bias value. Finally, we apply a high and low frequency 238 flat field correction by multiplication of the image matrix with the two corresponding flat field 239 matrices. The corresponding file names can be found in the calibration history header under 240 FLAT\_HI\_FILE and FLAT\_LO\_FILE and the corresponding flat field files are available publicly 241 in the PSA. This results in image pixel (ij) signal values in number of electrons  $(S_{ij})$  that are 242 then used in the signal-to-noise calculation. The error for each pixel is a combination of the 243 statistical poisson error  $E_p = \sqrt{S_{ij}}$ , scaling with the number of detected electrons per pixel, and the coherent read-out-noise  $E_c$  of the detector. The values of the coherent read-out-noise for 244 245 both cameras (NAC and WAC) can be found in the documentation of the calibration pipeline. In 246 our calculations, we used the value of  $E_c$  (WAC) = 7.1 DN. Following the documentation of the 247 calibration pipeline, the per-pixel error is then calculated in number of electrons as 248

$$\sigma_{ij} = \sqrt{E_c^2 + E_p^2}.$$
(6)

The S/N ratio is calculated by taking the ratio of the calculated signal level per pixel and the per-pixel error  $(SNR)_{ij} = S_{ij}/\sigma_{ij}$ . The resulting S/N maps are shown for all four images A-D on

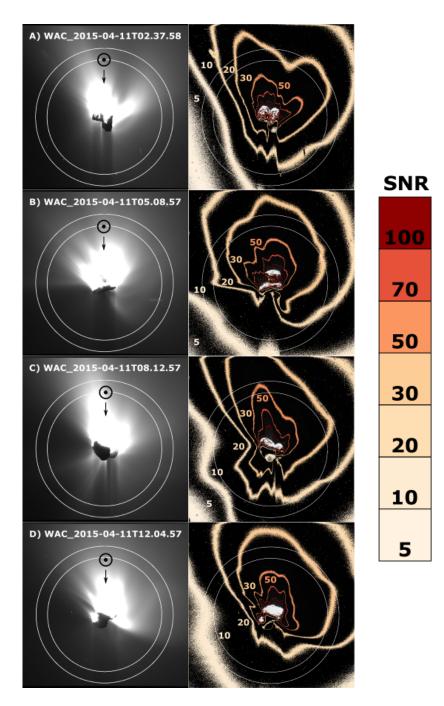


Figure 2: (left) Images A-D stretched to the brightness range  $[R_{min}, 0.02 \cdot R_{max}]$ . The shadow the nucleus is casting on the nightside coma is visible in all four images, showing that the observed coma brightness on the nightside originates from light scattered by dust particles in the immediate vicinity of the nucleus. (right) Contour plot of images A-D showing the signal-to-noise ratio (SNR) in the coma around the nucleus. For orientation, the circles mark a distance of 10 km and 12 km from the nucleus centre in the image plane.

the right side in Fig. 2. The gradient in S/N ratio is depicted as colour contours and the signalto-noise ratio is highest on the illuminated nucleus and around the sub-solar point in the dayside coma. The lowest S/N levels we reach on the nightside at distances between 10-12 km from the nucleus centre are in the range of 5-10, which ensures that we are working with real coma signal well above the camera noise level even when analysing the nightside where the coma signal is weaker than on the dayside. It is to be noted that, in general, we are summing many pixels to derive results and hence the actual S/N for our results are typically far higher.

## **3.** Numerical simulations

We simulate rarefied gas outflow from the nucleus with the Direct Simulation Monte-Carlo 259 method (DSMC; [20]) on the molecular level in 3D. In a second simulation step, we trace dust test 260 particles through the calculated gas field and determine the dust number density distribution and 261 the velocity of the flow in full 3D. In our simulation pipeline, the gas and dust coma simulations 262 are completely decoupled, which means that we assume a gas dominated coma where the back-263 reaction of dust onto the gas is negligible. This is a good approximation if the energy transferred 264 from the gas onto the dust is small of the order of a few percent as is the case in our simulations. 265 Tenishev et al. [21] also presented arguments that this is an adequate simplification. 266

<sup>267</sup> In the next sections, we will outline our model pipeline in more detail. However, an in-depth <sup>268</sup> description of the model can be found in Marschall et al. [4].

## 269 3.1. Gas and dust dynamics simulation

#### 270 3.1.1. DSMC

DSMC model setup. For modelling the gas outflow, we use a DSMC code called UltraSPARTS 271 (ultra-fast Statistical PARTicle Simulation Package; www.plasmati.com.tw). It is a commercial-272 ized derivative of the code PDSC++ which was developed over the course of more than a decade 273 to study rarefied gas dynamics under non-equilibrium conditions [22, 23, 24, 25]. In our simula-274 tions, we use the complex shape of the nucleus of 67P based on the SHAP7 shape model [26] as 275 the inlet boundary of the gas flow. We also simulate gas outflow from a spherical nucleus to study 276 the effects in simplified geometries. For our simulations we use tetrahedron-based unstructured 277 grids, which are generated using the Gridgen<sup>TM</sup> software by Pointwise<sup>®</sup> (www.pointwise.com/gridgen). 278 The outer boundary of our simulation domain is spherical and located at a radius of 10 km from 279 the nucleus centre. We calculate the solar incidence angle for every facet of the inlet surface 280 281 for a specific illumination condition, including self-shadowing. The incidence angles determine the surface temperature through a thermal balance equation including sublimation of water ice. 282 The calculated surface temperature is a lower limit, because we assume a pure ice surface in our 283 calculations. On 67P however, ice on the surface has only been detected in specific locations as 284 small icy patches [27] and is otherwise masked by dust which has a higher equilibrium tempera-285 ture than sublimating ice. The sublimation rate for every inlet facet is calculated as a function of 286 the surface temperature. At this stage, we simulate sublimation of just one gas species, namely 287 H<sub>2</sub>O. To scale the calculated production rates to match observed values, we introduce an effec-288 tive active fraction (EAF) as a free parameter in our model. This parameter can be thought of as 289 the fraction of the surface in percent that is effectively active. A completely icy surface would 290 correspond to an EAF = 100. An EAF = 1 can therefore be interpreted as a surface that shows 291 292 only 1% of the activity of that same surface completely covered with ice. We compare results from simulations with a homogeneous EAF over the whole comet with simulation results from 293

simulations with a regionally inhomogeneous EAF (Figure 3). In the case of a homogeneous 294 EAF, approximately 2% of the total surface of the comet needs to be active in order to obtain 295 a mean global gas production rate of 20 kg/s in the simulations, which corresponds to the total 296 production rate calculated for 67P in April 2015 following the empirical interpolation of Hansen 297 et al. [28]. In the case of a regionally inhomogeneous EAF we used different EAF values in 298 different morphological regions [29]. We use the same regional EAF map that was found for 299 Spring Equinox (May 2015) by Marschall et al. [30], but scaled down to match the lower total 300 production rates in April 2015. 301

A third model with a homogeneous EAF but with approximately 10% of the total activity in mass 302 coming from the non-illuminated areas of the comet (including shadowed areas on the dayside) 303 was tested. The exact fraction of activity from the non-illuminated surface facets changes slightly 304 with illumination condition because the area of shadowed surface is not constant throughout a 305 comet rotation due to the complex shape of the nucleus. Also in this case, we consider only out-306 gassing of  $H_2O$ . To perform the simulation within our scheme, we have to increase the surface 307 temperature on the nightside artificially to allow activity from those regions. The level of activity 308 from the nightside as a first order estimate was determined through a trial and error approach by 309 step-wise increasing the artificial nightside temperature and with it activity from the nightside. 310 To get about 10% of the global  $H_2O$  activity on the nightside, which provided the best fit to our 311 data analysis, the shadowed model facets need to be at a temperature of 175 K. Such high tem-312 peratures are not expected on the nightside of comet 67P because the low thermal inertia values 313 measured by *Rosetta* (e.g. MIRO: <80 J K<sup>-1</sup> m<sup>-2</sup> s<sup>-0.5</sup> for the Seth, Ash and Aten regions and 314 VIRTIS: 40-160 K J K<sup>-1</sup> m<sup>-2</sup> s<sup>-0.5</sup> [31]; or MUPUS locally at the Philae final landing site:  $80 \pm$ 315  $35 \text{ J K}^{-1} \text{ m}^{-2} \text{ s}^{-0.5}$  [32]) imply a rapid cooling of the surface once direct insolation stops. How-316 ever, measurements of the near-surface brightness temperature by MIRO (Microwave Instrument 317 for the *Rosetta* Orbiter; [33]) acquired in September 2014 for different effective latitudes on the 318 nucleus indicate values between 100-160 K a few centimetres below the actual surface of the 319 nucleus in non-illuminated areas [34]. Accepting the brightness temperature as a proxy for the 320 actual surface temperature, the surface on the nightside will be warm enough to maintain some 321 activity, especially when taking into account more volatile gas species than  $H_2O$ , such as  $CO_2$  or 322 CO. They are more probable drivers of nightside dust activity on 67P [12]. A consistent model 323 of  $CO_2$  emission from the nightside will be addressed in more detail in a subsequent paper. 324 All our gas coma simulations are steady state solutions for one solar illumination condition at a 325

time. Our simulated coma is therefore not time-dependent. This is a reasonable simplification considering that the gas molecules are typically accelerated to speeds of >100 m/s in only a few seconds. This means they leave our simulation domain in the order of a few tens of seconds, which is quasi-instantaneous compared to the comet pre-perihelion rotation period of 12.4 hours [35].

331

DSMC example result. As an example of our gas simulation we show the result for the illumi-332 nation conditions on the nucleus corresponding to image A. The slice through the simulation 333 domain shows a plane normal to the line of sight from the spacecraft to the nucleus (Figure 4). 334 In the figure, we show the logarithm of the simulated  $H_2O$  number density. The model is based 335 on the regionally inhomogeneous EAF map (Figure 3 b). Here, the non-illuminated nightside is 336 inactive (the temperature of non-illuminated facets is set to 100 K). The direction of the sun in 337 the image is marked with a white line. We can see that most of the activity is directed towards 338 the sun as we expect for insolation-driven activity. The gas is also laterally expanding from the 339

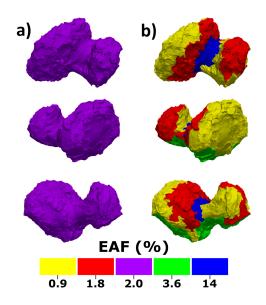


Figure 3: Effective active fraction (EAF) maps for the simulations of the OSIRIS time series on the 11th April 2015. The model on the left (a) has a globally homogeneous EAF map. The model on the right (b) has regionally different EAF values. The regional EAF distribution is the same as was found for Spring Equinox (May 2015) in Marschall et al. [30], but scaled down to match the total production rates in April 2015.

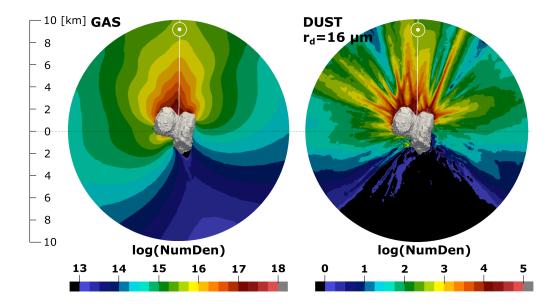


Figure 4: Left: A slice through the gas number density result of a simulation with the inhomogeneous EAF map for the illumination conditions of image A. Right: Corresponding slice through the dust number density result of the dust flow field for particles of radius  $r_d = 16 \mu m$ . The Sun illuminates the nucleus at a latitude of  $\approx 10^\circ$  north and a longitude of  $46^\circ$  east in the Cheops coordinate frame. The direction to the Sun in the image is marked by a white line. The normal to the plane of the slice is pointing towards the spacecraft position.

active dayside towards the nightside, but the gas densities on the nightside are typically  $\ge 2$  orders of magnitude below the number density observed on the dayside.

#### 343 3.1.2. DRAG3D

342

DRAG3D is the name of our simulation code developed to study the dust dynamics in the first ten kilometres above the comet nucleus. It is an advanced version of the dust dynamics codes used in previous publications (see [4, 7, 30, 36]), that now includes the shadowing of the dust coma by the comet nucleus. Similar model approaches have been published by, for example, Crifo et al. [37], Combi et al. [38], Combi et al. [39] and Tenishev et al. [21].

DRAG3D simulation pipeline. We simulate the dust field around 67P by propagating test parti-349 cles through the DSMC gas field. The test particles are assumed spherical and we simulate the 350 dynamical behaviour of 40 discrete size bins separately. Our test particles cover a radii range of 351  $\approx$  8 nm - 0.3 mm corresponding to size parameters of 0.08 < x < 3265 (x =  $2\pi r_d/\lambda_c$ , with  $\lambda_c$  = 352 612.6 nm being the central wavelength for WAC filter 18). They have a density of 440 kg/m<sup>3</sup>, a 353 value which is a bit lower than the nucleus bulk density [26, 40]. The applied equation of motion 354 for the dust at any location inside the simulation grid (we use the same simulation grid as for the 355 DSMC gas simulation) includes the drag force  $\vec{F}_D$  from the gas flow and the opposing gravity 356 force  $\vec{F}_G$  from the nucleus acting on a particle of mass  $m_d$  and radius  $r_d$  at location  $\vec{x}_d$ : 357

$$m_d \vec{a}_d = \vec{F}_G + \vec{F}_D = m_d \vec{g}_{x_d} + \frac{1}{2} C_D m_g n_g \sigma_d |\vec{v}_g - \vec{v}_d| (\vec{v}_g - \vec{v}_d),$$
(7)

with  $\vec{a}_d = \frac{d^2 \vec{x}_d}{dt^2}$  the acceleration of the dust particle and  $\vec{g}_{x_d}$  the local gravitational acceleration. The gravity field is calculated for the complex nucleus (see section 3.3.2 in [4]) with constant bulk density of 537.8 kg/m<sup>3</sup> [26, 40]. The drag force is dependent on the mass of the simulated gas molecules  $m_g$  (here H<sub>2</sub>O), the local gas density n<sub>g</sub> from the DSMC result, the geometric dust particle cross-section  $\sigma_d = r_d^2 \pi$ , the difference in local gas and dust velocity ( $\vec{v}_g - \vec{v}_d$ ) and the drag coefficient  $C_D$ .  $C_D$  is calculated as [37]:

$$C_D = \frac{2\zeta^2 + 1}{\sqrt{\pi}\zeta^3} e^{-\zeta^2} + \frac{4\zeta^4 + 4\zeta^2 - 1}{2\zeta^4} erf(\zeta) + \frac{2(1-\epsilon)\sqrt{\pi}}{3\zeta}\sqrt{\frac{T_d}{T_g}}.$$
(8)

In these calculations the dust temperature  $T_d$  is set to be equal to the gas temperature  $T_g$ , the fraction of specular reflection  $\epsilon=0$  and

$$\zeta = \frac{|\vec{v}_g - \vec{v}_d|}{\sqrt{\frac{2kT_g}{m_g}}},\tag{9}$$

with *k* being the Boltzmann constant. A fourth-order Runge-Kutta method with adaptive timestep is used to solve the equation of motion and the particles are tracked either until they reach the 10 km outlet boundary of the simulation domain or until they are redeposited on the surface of the nucleus. The acceleration due to gravity is the same for all particles independent of mass or size, but the drag acceleration for spherical particles of constant density is proportional to  $1/r_d$ and thus gets smaller for larger particles. This means that gravity force has more influence on the particle trajectories of large particles, an effect which can be seen in the results for the larger

dust size bins in the form of returning trajectories. To obtain the dust coma properties in the grid 373 cells, such as dust number density or dust velocity, test particles are numerically weighted such 374 that they reflect the actual number of particles leaving a surface facet. This number is determined 375 by assuming a dust-to-gas mass production rate ratio  $Q_d/Q_g$  and scaling the dust flux to the 376 gas flux accordingly. We set  $Q_d/Q_g$  to be constant over the whole surface of the comet. Dust 377 properties per cell, such as number density and velocity, are then calculated by averaging over 378 the corresponding dust property of all the test particles that crossed the cell at any point during 379 the simulation taking into account the time the particle spent in that cell. 380

To produce artificial images that can be directly compared with OSIRIS images, the dust number density is integrated along lines of sight from the camera towards the nucleus. Points beyond the grid outlet boundary at 10 km are extrapolated using a  $1/r^2$  law. This is again done for every simulated size bin separately, resulting in 40 partial images that are in a last step combined to generate the final artificial image including all dust sizes. The calculated column density  $n_{col}$ result for each size bin is weighted according to a power law particle size distribution function of the form:

$$n_{col}(r_d) \sim r_d^{-q}.$$
 (10)

The power law index, q, is a free parameter in our model and has been varied for this work in 388 half-integer steps between  $2.0 \le q \le 4.0$ . Measurements from the Cometary Secondary Ion Mass 389 Analyzer (COSIMA; [41]) indicate a power law dust size distribution exponent of  $q=1.8\pm0.4$ 390 before spring equinox in May 2015 which is increasing to  $q=2.8\pm0.9$  towards perihelion for par-391 ticles in the 30-150  $\mu$ m size range [42]. The controlled size distribution in the model corresponds 392 to the initial size distribution of particles ejected from the surface and is changed locally in the 393 coma by the forces acting. The brightness in unitless reflectance values, R, for every partial 394 image assuming an optically thin coma is calculated as: 395

$$R = n_{col}\sigma_{geo}Q_{scat}\frac{p(\phi)}{4\pi}.$$
(11)

 $\sigma_{geo} = \pi r_d^2$  is the geometric particle cross section. The scattering properties, such as the scattering 396 efficiency  $Q_{scat}$  and the phase function  $p(\phi)$  as a function of the phase angle  $\phi$ , are calculated 397 using Mie theory and the algorithm of Bohren and Huffman [43]. A new addition to the DRAG3D 398 code is now taking into account the shadow that is cast by the nucleus onto the nightside coma. 399 In the image composition, grid cells shadowed by the nucleus get a reflectance of zero assigned 400 and the shadowed grid cells are thus not contributing to the calculated brightness. As a final step, 401 the partial images are combined to produce the total artificial image corresponding to a dust size 402 distribution with a specific power law exponent q and dust-to-gas ratio  $Q_d/Q_g$ . 403

DRAG3D example result. As an example for a dust simulation result we show a slice through 404 the dust flow field simulation of particles with radius  $r_d = 16\mu m$  (Fig. 4, right). The DSMC gas 405 field shown in the same figure served as an input to the DRAG3D pipeline for calculations of the 406 local drag force that the gas is exerting on the dust. The shown dust result is merely one of the 40 407 dust fields simulated for particles with radii in the range between 8 nm - 0.3 mm. The simulated 408 dust coma is much more structured than the corresponding gas coma. The coma pattern visible in 409 the simulation result mostly reflects the surface morphology and is not greatly influenced by the 410 surface source distribution. This can be seen in Figure 7 a) showing a brightness profile around 411 the azimuthal angle at 3 km distance from the nucleus centre of the inhomogeneous (blue) and 412 the homogeneous (red) simulation results. 413

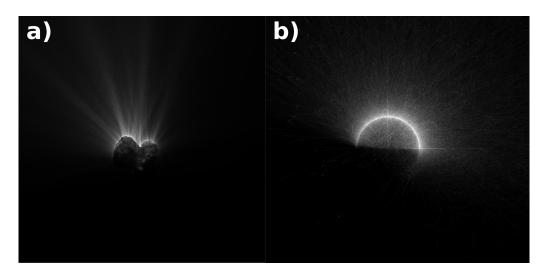


Figure 5: a) Artificial image generated with the DRAG3D code corresponding to OSIRIS image A with a homogeneous EAF and without nightside activity. We show the image corresponding to dust size distribution power law exponent q = 3.0 and  $Q_d/Q_g = 1$ . It is zoomed in and enhanced in brightness. b) Zoomed in and stretched artificial simulation image of a background of large gravitationally dominated particles. This is the result corresponding to the input parameters of model II in Table 2.

It has to be kept in mind that the slice through the dust field result can not be directly compared to the corresponding OSIRIS image because, firstly, it is not a column integration through the whole coma and, secondly, it is just the result of one single dust particle size. An example of a final artificial image of the DRAG3D simulation is shown in Figure 5 a).

#### 418 3.2. Simplified Keplerian model for large, gravity dominated particles

To test the influence of large particles on the coma brightness, we simulate a background of 419 gravity dominated particles in a physically simplified model environment. We do this by tracing 420 particles along Keplerian trajectories from a rotating spherical nucleus with a surface radius of 421 2 km. The sphere rotates with a rotation period of 12.4 hours. The model takes into account the 422 direction of insolation and the direction to the observer. In the model, particles are generated ran-423 domly on the sunlit hemisphere of the spherical nucleus. A particle is released from the surface 424 without assuming any release mechanism and it obtains a randomized initial speed. The initial 425 speeds follow a half-Gaussian velocity distribution function (VDF) inhibiting negative velocities 426 towards the nucleus surface. The mean speed determining the width of the VDF is a free param-427 eter of the model. A randomized lateral deviation to the main velocity direction, which is defined 428 perpendicular to the surface, is added and is another free parameter. The initial velocity of the 429 released particle also includes a tangential velocity component arising from nucleus rotation. Be-430 cause the gravity dominated particles move by definition at low speeds comparable to or below 431 the escape velocity of 67P, these particles stay close to the nucleus on time scales that are compa-432 rable to its rotation period. Therefore, the effect of nucleus rotation on the trajectories cannot be 433 neglected. The model accepts two modes of source strength distributions over the sunlit hemi-434 sphere. Either the source strength is homogeneous over the whole illuminated hemisphere or the 435 source strength is weighted with the cosine of the incidence angle. The latter option emulates 436 insolation driven activity with the maximum source strength at the sub-solar point. The particle 437

is finally tracked along its trajectory in finite time steps over 5.4 comet rotations (66.67 hours or 438  $60 \cdot 10^3$  time steps of 4 seconds). The particle trajectory is governed by gravitational acceleration 439 and an optional constant acceleration in a specific direction. With the latter option, acceleration 440 such as that arising from solar radiation pressure can be tested in the model. After particle track-441 ing, the particle positions are integrated along lines of sight into a 2D image grid. The image 442 dimensions are fixed to 2000 x 2000 and the absolute grid spacing, which corresponds to the 443 pixel spatial resolution, is determined by the pixel resolution of the OSIRIS image which the 444 background is compared to. From the integrated particle number density per image grid space, a 445 filling factor is calculated by multiplication with the particle cross section. For the calculation of 446 the final image brightness, we assume that the simulated large particles scatter sunlight as if they 447 were chunks of the surface of 67P. This is a reasonable assumption, since millimetre to decimetre 448 sized particles have spatial dimensions that are very large compared to the visible wavelengths 449 at which the images are acquired. Therefore, we use the Hapke phase curve [44, 45, 46] which 450 was determined for the surface of 67P by Fornasier et al. [47]. We note that the particle radius 451 and thus the particle masses do not influence the dynamical result because the trajectories are 452 governed by gravity alone. The particle radius only plays a role in determining the final bright-453 ness of the image, where it enters in the form of a filling factor. This means that we can calculate 454 the final image brightness for any particle size (dust radius  $r'_d$ ) by multiplying the result for the 455 particle with radius  $r_d$  with a factor of  $(\frac{r'_d}{r_d})^2$ . As an example, we show in Figure 5 b) the resulting artificial image of a background simulation of large gravitationally bound particles. It was 456 457 generated with the input parameters of model II in Table 2. 458

## 459 **4. Results**

<sup>460</sup> The results section of this paper contains three subsections:

- 4.1 DS:NS ratio in dust dynamics simulations
- 4.2 DS:NS ratio in a gravity dominated large particle background
- 4.3 Time dependence of DS:NS inbound to perihelion

In the first subsection 4.1, we present and discuss the results of our coma dust dynamics model corresponding to the four OSIRIS images from section 2. We compare DS:NS ratios and dust outflow behaviour of two coma models with different source distributions on the surface with a coma model that additionally includes nightside activity. We discuss the potential of added nightside activity to explain the observed DS:NS ratios and dust outflow behaviour in OSIRIS images.

In the second subsection 4.2, we present and discuss the results from a simplified Keplerian simulation of large gravitationally bound coma particles. We explore a large parameter space and compare DS:NS ratios of different model input conditions with each other and with the OSIRIS observations. We discuss the potential of large gravity dominated particles in the inner coma to explain the observed DS:NS ratios and dust outflow behaviour in OSIRIS images.

<sup>475</sup> In the third section 4.3, we show the temporal evolution of DS:NS ratios in OSIRIS images as

a function of days to perihelion and discuss our previous results in the context of the missiontimeline to perihelion.

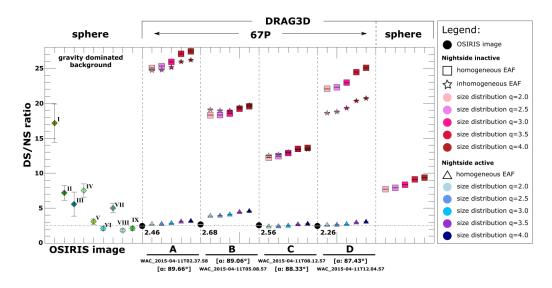


Figure 6: Overview of the results of DS:NS ratios from OSIRIS images and corresponding simulations. The black dots represent the OSIRIS DS:NS results from image analysis. The square and star symbols represent results of the full dust dynamics simulations with the DRAG3D code with a homogeneous EAF and a inhomogeneous EAF, respectively. The triangle symbols represent results of the DRAG3D simulations with an artificially added nightside H<sub>2</sub>O activity. On the right of the graphic, results of a simulation with a spherical nucleus is shown to test the influence of the complex nucleus shape on the DS:NS ratios. On the left we show DS:NS ratios of simulations with the simplified Keplerian model for a gravitation dominated large particle background (diamonds). The numbers besides the diamond symbols correspond to the numbers of the model initial conditions in Table 2. The errors of DS:NS are indicated with errorbars. For the OSIRIS analysis and the results from the dynamics simulations the errors are very small (in the order of 1%) and the errorbars are therefore mostly contained in the respective symbols. The results are discussed in more detail in sections 4.1 and 4.2.

#### 478 4.1. DS:NS ratio in dust dynamics simulations

In a series of full dynamics simulations with the DSMC and DRAG3D pipeline, we simulated 479 the gas and dust come corresponding to the four OSIRIS observations in the subset chosen for 480 analysis (Table 1). We ran simulations for each of the four observational geometries with a 481 globally homogeneous EAF and with a regionally inhomogeneous EAF map (Figure 3a and b). 482 We compare the DS:NS ratios calculated from the artificial simulation images (image analysis 483 according to Sec. 2.3) with those of the corresponding OSIRIS images. All DS:NS ratios are 484 shown in Figure 6. The star and square symbols indicate the results for the inhomogeneous and 485 the purely insolation driven model, respectively. The different colours from light pink to dark 486 red (left to right in a symbol group) mark results for different size distributions. The power law 487 size distribution function (Eq. 10) in our dust simulations is determined by q. It is immediately 488 489 clear from the graphic, that the DS:NS ratios for all the DRAG3D simulations without nightside activity are very high: All of them are above 10, which is, even in the best case, more than a factor 490 4 higher than the ratios observed in the OSIRIS images. This implies that in our simulations we 491 see far fewer particles on the nightside relative to the dayside than is observed by OSIRIS at 67P. 492 A particle size distribution with q = 2.0 as a rule leads to lower DS:NS ratios. This is expected, 493 because the larger simulation particles, which dominate the size distribution for smaller power 494 law exponents, are more likely to fall back onto the nucleus rather than reach escape velocity 495 and thus may be transported on ballistic trajectories towards the nightside of the nucleus. Last 496 but not least, we note that the source distribution on the surface does not have a large influence 497 on the result, although the inhomogeneous EAF map seems to lead to slightly better results for 498 image D. 499

In Figure 6, the simulation cases with 10% of the activity from the nightside are shown as blue triangles. The colour from light to dark blue (left to right in a symbol group) indicate results with different size distribution power law indexes from 2.0 - 4.0 varied in half-integer steps. The DS:NS brightness ratios in the simulation cases with added nightside activity are in the value range between 2.4-4.6, which is close to the values observed in OSIRIS images.

This is even better illustrated when looking at a plot showing the polar distribution of bright-505 ness around the nucleus. In Figure 7, we show a brightness profile at 3 km distance from the 506 nucleus centre. The black profile is the brightness distribution in our OSIRIS example image A. 507 Most of the dust activity is pointed roughly in the sunward direction  $(270^{\circ})$  as is expected for 508 insolation driven dayside activity. This is in good agreement with Tubiana et al. [48], who report 509 for OSIRIS and VIRTIS-M observations from 27. April 2015 that the main dust activity peaks 510 at  $0^{\circ}$  subsolar longitude (sunward direction) and that water is the main driver for dust activity 511 coming from the sunlit dayside of the comet. The red and blue lines show the polar profile at 3 512 km nucleocentric distance in the simulation image with a homogeneous and an inhomogeneous 513 EAF, respectively, and no nightside activity. The orange line shows the corresponding polar pro-514 file for a simulation case with a homogeneous EAF and 10% activity from the nightside. It fits the 515 OSIRIS profile much more closely than the red and blue profiles of the models without nightside 516 activity. It especially reaches the brightness level of the observations over the nightside (angle 517 range 0-180°). On the dayside (angle range 180-360°), all three models reproduce the general 518 outflow pattern well, showing that the dayside activity is modelled well by an insolation driven 519 H<sub>2</sub>O coma. We want to stress at this point, that it was not the intention of this work to try to fit 520 the source distribution on the nucleus surface to match outflow pattern exactly. The brightness 521 peak at 350° is almost certainly arising from an inhomogeneity in surface source distribution that 522 is not included in our EAF maps. 523

<sup>524</sup> The outflow behaviour of all three models fit the general outflow behaviour observed at 67P well.

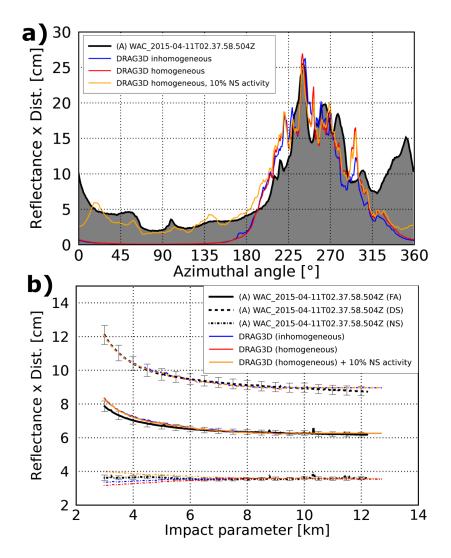


Figure 7: a) Brightness distribution with polar angle around the nucleus in OSIRIS and corresponding artificial simulation images. Nightside activity (orange line) is needed to fit the brightness level observed on the nightside of 67P. b) Azimuthal average profiles in the same OSIRIS and simulation images to test the general outflow behaviour in the simulation models. The errors added to the OSIRIS profile show a  $10\sigma$  standard deviation for the azimuthally averaged brightness values.

Especially on the dayside only little deviations from the azimuthal average profile of the OSIRIS 525 image is observed. This means that we fit the dayside activity extremely well with our dust dy-526 namics model. The azimuthal average profile is not very sensitive to source distribution on the 527 surface because it is averaged over the whole polar angle range and therefore all three models 528 give nearly the same result for the dayside profile and the dayside dominated full angle profile. 529 However, when looking at the nightside profiles the differences between the models are more 530 significant. The added nightside activity modifies the profile in the right direction for a better fit 531 with the OSIRIS profiles, but over-corrects the profile indicating that 10% of the total activity 532 coming from the nightside is probably a slight overestimation. 533 Because we only simulate particles with a maximum particle radius of 0.32 mm, an additional 534

<sup>534</sup> Because we only simulate particles with a maximum particle facture of 0.52 min, an additional fraction of even larger particles dominated by gravity and transported towards the nightside on bound or ballistic trajectories could also potentially lead to enhanced brightness in the nightside coma and thus to smaller DS:NS ratios. We explore and exclude this possibility of brightness contributions to the nightside by larger particles (mm-cm-dm size) dominating the observed coma in Section 4.2, where we present the results of simulations of a background of gravity dominated large particles.

541

#### 542 DS:NS ratio for a spherical nucleus

To study the influence of the complex shape of 67P we can compare two simulations with the 543 same initial conditions but one using the complex nucleus and one using a spherical nucleus as 544 the inlet surface. Complementary to the simulation with the homogeneous EAF, we simulate 545 insolation-driven outgassing from a homogeneous sphere without nightside activity. From the 546 final results of our simulation we calculated the DS:NS brightness ratio for the sphere and show 547 them in Figure 6 on the far right of the graphic. The calculated DS:NS ratios in the spherical 548 case have values between 7.7-9.3, which is compared to the OSIRIS observations still more 549 than a factor 3 too high, but lower than the ratios we observe in the dynamics simulations with 550 the complex nucleus with an inactive nightside. Therefore, it seems that the assumption of a 551 spherical nucleus leads to underestimating the DS:NS. This is important to keep in mind for the 552 next section, where we study a spherical nucleus in a simplified modelling approach. 553

#### <sup>554</sup> 4.2. DS:NS ratio in a gravity dominated large particle background

Because we only simulate particles with a maximum particle radius of 0.32 mm in our 555 DRAG3D simulation, an additional fraction of even larger particles dominated by gravity and 556 transported towards the nightside on bound or ballistic trajectories could potentially lead to en-557 hanced brightness in the nightside coma and thus to smaller DS:NS ratios. Larger dust particles 558 of millimetre, centimetre or even up to decimetre size are not efficiently accelerated to escape 559 velocity via gas drag and are therefore more likely to fall back onto the nucleus after an initial 560 ejection from the surface. This means that they are moving at low speeds along ballistic tra-561 jectories or even in gravitationally bound orbits around the nucleus, where they have a chance 562 to appear on the nightside and contribute to the brightness there. To test the magnitude of this 563 effect, we simulate a background of large gravity dominated particles with the model described 564 in Section 3.2. We tested 9 different model set-ups and the initial parameter conditions for every 565 tested model are listed in Table 2. The results in DS:NS are shown as green diamonds on the left 566 side in Figure 6. The numbers besides the diamond symbols correspond to the numbers of the 567 model initial conditions in Table 2. The errors in DS:NS are higher than for the OSIRIS anal-568 ysis or the dynamics simulations because, firstly, the model uses lower statistics and, secondly 569

| No.  | <i>v<sub>m</sub></i> [m/s] | <i>v<sub>r</sub></i> [m/s] | $a_{+}[m/s^{2}]$ | <i>r</i> <sub>d</sub> [m] | COS | DS:NS            |
|------|----------------------------|----------------------------|------------------|---------------------------|-----|------------------|
| Ι    | 0.6                        | 0.01                       | 0                | 0.01                      | YES | $17.16 \pm 2.74$ |
| II   | 0.6                        | 0.01                       | 0                | 0.01                      | NO  | $7.19 \pm 1.05$  |
| III  | 0.3                        | 0.01                       | 0                | 0.01                      | NO  | $5.58 \pm 1.72$  |
| IV   | 1.0                        | 0.01                       | 0                | 0.01                      | NO  | $7.52 \pm 0.95$  |
| V    | 0.6                        | 0.01                       | -3.0E-6          | 0.01                      | YES | $3.09 \pm 0.37$  |
| VI   | 0.6                        | 0.01                       | -3.0E-6          | 0.01                      | NO  | $2.10\pm0.26$    |
| VII  | 0.6                        | 0.01                       | -3.86E-7         | 0.01                      | NO  | $5.01 \pm 0.65$  |
| VIII | 0.6                        | 0.01                       | -3.86E-6         | 0.001                     | NO  | $1.82 \pm 0.22$  |
| IX   | 0.6                        | 0.5                        | 0                | 0.01                      | YES | $2.11 \pm 0.29$  |

Table 2: List of the input parameters, such as the mean speed  $v_m$ , the random speed  $v_r$ , the additional acceleration  $a_+$  and the particle radius  $r_d$ , tested in the model to simulate the background of gravity dominated large particles. COS = YES indicates that a cosine distribution over the illuminated hemisphere was used. The particle density was in all runs set to 500  $kg/m^3$ . Each diamond on the left in Figure 6 shows the DS:NS ratio result of one of the large simulations. The numbers in the figure correspond to the model numbers in this table. The models that produce DS:NS ratios close to the observations are highlighted in grey.

and more importantly, the flow behaviour does not tend towards force-free radial outflow in the case of gravity dominated particles and we therefore have a gradient in the profile at the location where we calculate the DS:NS ratio.

573

#### <sup>574</sup> 4.2.1. Models I and II: The effect of insolation-driven outgassing on DS:NS

The only difference in the initial conditions between models I and II is, that in model I, the 575 simulated particles over the sunlit hemisphere are weighted with a cosine distribution to simulate 576 insolation-driven activity, whereas in model II the particles are homogeneously distributed over 577 the whole hemisphere. This change of the source distribution in the input conditions has a signifi-578 cant effect on the resulting DS:NS ratio, which is for model II with the homogeneous distribution 579 about 2.4 times lower than for model I (see Fig. 6). This effect can be explained with the fact that 580 the regions close to the day-night-side terminator release more particles in the homogeneous case 581 (model II) than in the case where the amount of particles released is weighted with the cosine 582 of the incidence angle (model I). The initial speeds of particles released close to the terminator 583 have an average angle of 90° with the sun incidence direction and because of the randomization 584 585 of the initial speed direction, about half of the particles already start off with velocities towards the nightside and hence immedeately appear above the nightside and the DS:NS ratio is therefore 586 lower in model II. The same effect of the homogeneous versus the cosine distribution can be seen 587 when comparing the results of models V and VI. 588

#### <sup>589</sup> 4.2.2. Models III and IV: The effect of the initial mean speed on DS:NS

When comparing model II with models III and IV, we can see that the mean speed we give to the initial velocity distribution of the particles does not have a large influence on the final result. The trend in the modelled data shows that we can expect slightly smaller DS:NS ratios the slower the particles move on average, but the relatively small differences between the ratios (compare Fig. 6) suggests that the mean speed is not the most decisive parameter for DS:NS in the simulation. We note that, even for a very low mean speed of 0.3 m/s, the DS:NS ratio in the result is, with a value of 5.58, still too high compared to the OSIRIS observations.

## 597 4.2.3. Models V - VIII: The effect of an anti-sunward acceleration on DS:NS

From a comparison of results of models I and V or model II and VI, it is clear that an 598 additional force in the model realised through an additional acceleration term in the applied 599 equation of motion can reduce the DS:NS ratios (see Fig. 6). In models V and VI, an additional 600 acceleration of  $3.0 \cdot 10^{-6} m/s^2$  in an anti-sunward direction has been introduced in the model. 601 As mentioned before, this could simulate, for example, a force such as solar radiation pressure. 602 We note here, that outgassing of coma dust particles heated by the Sun could potentially also 603 produce a force in an anti-sunward direction through directed rocket force. In models V and VI, 604 the magnitude of the additional acceleration was chosen such that we obtain about the values for 605 DS:NS that we expected from the OSIRIS observation. When calculating more realistic values 606 for the radiation pressure acceleration on a particle of 0.01 m radius at 1.89 AU (April 2015), we 607 arrive at acceleration values that are almost an order of magnitude lower than that used in models 608 V and VI. We calculate a rough first order estimate for the acceleration of a particle with radius 609  $r_d$  caused by radiation pressure as 610

$$a_{rad} = \frac{3L_{\odot}}{8\pi D_{\odot}^2 c r_d \rho},\tag{12}$$

with  $L_{\odot}$  the total average solar luminosity,  $D_{\odot}$  the heliocentric distance, c the speed of light and  $\rho$ 611 the particle density. This equation is based on the assumption that the particle is a perfect reflector 612 and back-scatterer [49]. This is most certainly not the case for real cometary dust particles (the 613 nucleus has a geometric albedo of 6.5% [47] and the scattering phase curves suggest a significant 614 amount of forward scattering [50]) and the calculated accelerations are therefore strict upper 615 boundaries. A perfectly absorbing particle would experience a factor of 2 smaller acceleration 616 caused by radiation pressure. In addition, we neglect solar gravity, which would further decrease 617 the anti-sunward acceleration. In models VII and VIII, we tested upper boundary values of solar 618 radiation acceleration for particles of two different radii. Although it was mentioned before that 619 the gravitationally dominated trajectories are the same for all particle sizes, the magnitude of the 620 acceleration caused by radiation pressure depends on particle size. In model VII the particle with 621 a radius of 0.01 m has an additional acceleration of  $3.86 \cdot 10^{-7} m/s^2$ . In model VIII the particle 622 with radius 0.001 m feels a 10 times larger additional acceleration of  $3.86 \cdot 10^{-6} m/s^2$ . This has a 623 very noticeable effect on the result of the DS:NS ratio: The DS:NS ratio for the smaller particles, 624 which feels a stronger additional acceleration, is about a factor of 2.75 smaller than the ratio 625 for particles with a 10 times larger radius and lies with  $1.82 \pm 0.22$  just a bit below the range 626 of the DS:NS ratios of the OSIRIS images. On the other hand, smaller particles are affected 627 more strongly by the gas drag and this would add an acceleration mostly radially outward from 628 the surface which is not included in our simplified model here. But as we can see from our 629 full dynamics model this leads to much higher DS:NS ratios that are not compatible with the 630 observation. So including radiation pressure or similar anti-sunward acceleration does not help 631 to explain the observed DS:NS ratio. 632

# 4.2.4. Model IX: The effect of lateral deviation from the initial speed direction perpendicular to the surface on DS:NS

In model IX the magnitude of the random speed, which is controlling the lateral deviation from the initial speed direction perpendicular to the surface, has been increased by a factor of 50. The rest of the parameters were kept the same as in model II. In this test case IX, we reach a DS:NS ratio as low as in the observations (compare Fig. 6). It is not surprising, that an increased

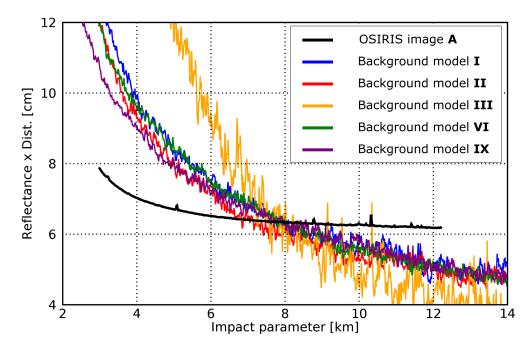


Figure 8: Full angle azimuthal average profiles of the OSIRIS image A (black line) in comparison with the azimuthal average profiles of some of the gravity driven background simulations. See Table 2 for the corresponding model parameters. The figure shows that a coma in which gravity dominated particles constitute the major part of scattering centres does not match the observed outflow behaviour at 67P. The profiles are normalised to fit the OSIRIS observation at 8 km.

lateral deviation from the direction perpendicular to the surface increases the particle transport 639 towards the nightside and thus leads to low DS:NS ratios. However, the model case we show 640 here is extreme, with the mean of the lateral component ( $v_r = 0.5$  m/s) of the initial velocity 641 being more than 83 % of the mean speed component perpendicular to the surface ( $v_m = 0.6$  m/s). 642 This effectively results in a wide distribution of ejection angles. In our full dynamics simulations 643 with the DRAG3D pipeline, we do not model any mechanism of ejection for dust particles. 644 This means that the particles are lifted off the surface by gas drag and the particle velocities 645 are dominated by the gas velocity immediately above the surface, which is strongly aligned 646 with the local surface normals. The angular distribution of gas and dust velocity in DSMC and 647 DRAG3D simulation results right above the surface measured to the local surface normals has 648 a much narrower distribution. This result, especially the result of our gas dynamics simulation, 649 indicates that large amount of lateral transport driven by gas drag (i.e. surface "breezes" as 650 was suggested as a mechanism to enhance DS:NS ratio at 1P/Halley by Keller and Thomas 651 [8]) is not to be expected at 67P under the assumption of smooth homogeneous outgassing on the 652 dayside. Nevertheless, a particle ejection mechanism for dust particles leading to large deviations 653 of ejection directions from the local surface normal could contribute to enhance lateral particle 654 transport towards the nightside and thus decrease the DS:NS brightness ratio. 655

## 656 4.2.5. The azimuthal average in the gravity dominated background

<sup>657</sup> We have shown that gravity dominated models require some extreme conditions to match <sup>658</sup> the low DS:NS of the observations. But we have not yet used all the observational information.

When looking at the azimuthal average profiles from the gravity dominated background models, 659 it immediately becomes clear that they are very different from the OSIRIS profile. In Figure 8 the 660 azimuthal average profiles of a few selected large particle background models (see Tab. 2) are 661 shown in comparison with the azimuthal average profile of OSIRIS image A. While the OSIRIS 662 profile shows a decrease close to the nucleus and tends towards free radial outflow with increas-663 ing impact parameter (1/r behaviour i.e. a flat curve in the plot), all model profiles also show a 664 steep decrease close to the nucleus but do not converge towards a 1/r behaviour. This makes it 665 clear, that we are not observing a dust coma dominated by large particles. If such particles were 666 present, their outflow behaviour has to be masked by the outflow behaviour of particles whose 667 movement is initially governed by gas drag followed by decoupling from the flow. To test how 668 many large particles can be masked in a drag dominated coma, which is needed to fit the OSIRIS 669 observations, we added a background of large particles simulated with the Keplerian model in 670 different percentages of mass production rate to our DRAG3D simulation results. At the same 671 time the DS:NS ratios in these added model results were determined and are shown in Figure 672 9. The large particle background of model VI was chosen as an example and added in different 673 percentages of total mass production rate to the result of the DRAG3D simulation with the in-674 homogeneous EAF corresponding to OSIRIS image A. The different symbols indicate different 675 particle sizes in the modelled background. The figure shows that for particles of  $r_d \ge 1$  cm more 676 than 30% of the mass has to be concentrated in the large particle background to obtain DS:NS 677 ratios close to the observed values. In the case of a background of mm-sized particles lower mass 678 fractions are needed to achieve low DS:NS ratios. However, particles in the mm-size range are, 679 for the level of production rate we are considering here, probably not dominated by gravity but 680 still governed by gas drag (Fig. 49 in [51] for spherical simulations) and are thus more likely to 681 show outflow behaviour and DS:NS like we observe in our DRAG3D simulations. 682 683

When looking at the azimuthal average profiles of the models with the added large particle 684 background in Figure 10, we can see that it is not possible to mask enough gravity dominated 685 particles to explain DS:NS ratios as low as in the OSIRIS observations. We show three model 686 curves (red, orange and green) that correspond to the indicated models and data points in Fig. 9. 687 In both, the azimuthal average profiles over the dayside (a) and over the nightside (b), the model 688 profiles with added large particle background show outflow behaviours that are dominated by the 689 gravity dominated background and are thus not fitting the profile from the OSIRIS observation 690 (black line). At the same time, the DS:NS ratio in all three model cases is still too high to 691 match the OSIRIS observations, as is clear from Fig. 9, and an even higher mass fraction of 692 large particles would be needed to further enhance the background outflow. Especially from 693 comparing the nightside azimuthal profiles it is apparent that the gravity dominated background 694 does not fit the dust outflow behaviour at 67P. The OSIRIS observation shows an almost constant 695 profile but the gravity dominated background decreases almost linearly with increasing impact 696 parameter. However, the full dynamics simulation (blue line) and, as mentioned before, the full 697 dynamics simulation with 10% activity added on the nightside (pink line) both show a nightside 698 outflow behaviour that is matching the observations much better. Therefore, a background of 699 large gravity dominated particles appearing on bound or ballistic orbits above the nightside can 700 not explain the DS:NS brightness ratio and the dust outflow behaviour observed at 67P. 701

#### <sup>702</sup> 4.3. Time dependence of DS:NS inbound to perihelion

Finally, we show the variation of DS:NS ratios as a function of days to perihelion. For this we enlarged our original image subset of OSIRIS images by adding all available full-frame images

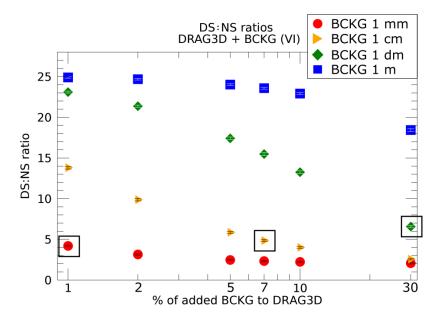


Figure 9: DS:NS ratios of a DRAG3D simulation result (inhomogeneous for OSIRIS image A) as a function of mass percent added in the form of a large particle background (BCKG in the figure). The background was separately calculated and added for four different particle sizes (1 mm, 1 cm, 1 dm, 1 m). Data points for which azimuthal average profiles are shown in Fig. 10 are shown in black frames.

that show the diffuse dust coma out to at least 12 km from the nucleus and that are taken at a 705 phase angle of  $90 \pm 3^{\circ}$ . Additionally, we only consider images acquired with high exposure 706 times equal to or above 7.6 s to ensure a sufficient signal-to-noise ratio in the coma. We excluded 707 all images for which an error with the mechanical shutter [2] was recorded during acquisition. 708 We apply the same image analysis approach as described in Sec. 2 and the resulting DS:NS 709 ratios are shown as grey points in Fig. 11. The red diamonds in the same figure represent weekly 710 mean values (averaged over data inside 7-day bins) to correct for daily variations in DS:NS. The 711 associated error bars represent the statistical standard deviation over the spread out data points. 712 We observe that the DS:NS brightness ratio stays on approximately the same level from February 713 to mid-July 2015 and increases significantly in the last 30 days towards perihelion. However, the 714 dataset is fragmentary due to the specific selection criteria necessary for this analysis and the 715 trend can thus not be observed continuously. The highest value in our dataset occurs 2.4 days 716 after perihelion and the mean DS:NS value reaches  $4.07 \pm 0.49$ . This suggests that the increase 717 in dayside activity and therefore the increased brightness of the dayside coma does not result in 718 the same increase in the observed nightside brightness. We suggest that this is an indication that 719 H<sub>2</sub>O outgassing from the dayside becomes increasingly dominant as the driver of dust activity as 720 the comet approaches the Sun. We shall address this in a subsequent study. 721

We also note at this point the data point ( $\approx 60$  days to perihelion) that seems very close to a DS:NS ratio of 1 which would indicate a nightside as bright as the dayside. The corresponding OSIRIS image (W20151127T170105755ID4DF18) seems to have captured a moment where a large dust event is directed into the nightside and adding brightness to the nominal nightside coma.

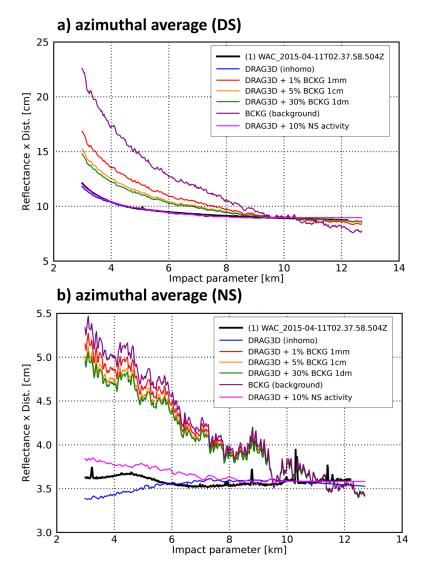


Figure 10: Dayside and nightside azimuthal average profiles of the OSIRIS image A (black line) in comparison with the azimuthal average profiles of different simulation models. In three of the models a percentage of 1%, 5% and 30% of mass was added to the final simulation result in the form of a gravity dominated background (BCKG) of three different particle sizes (1mm, 1cm, 1dm). All profiles are normalised at 10 km. When comparing dayside and nightside profiles, note the different scales of the y-axis.

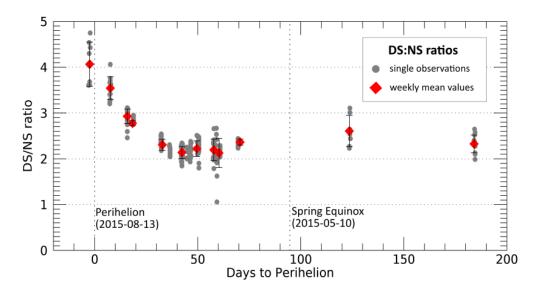


Figure 11: DS:NS shown as a function of days to perihelion. The grey points indicate single data points from OSIRIS images inbound to perihelion and the red diamonds show weekly mean values with the corresponding statistical errors. Only OSIRIS images with a phase angle in the range  $90 \pm 3^{\circ}$  and WAC Filter 18 were considered.

### 727 5. Summary and Conclusion

We analysed and modelled a selected subset of four OSIRIS images acquired during one comet rotation on 11. April 2015. A low DS:NS coma brightness ratio of  $2.49 \pm 0.18$  was determined as an average of all four analysed images. The outflow behaviour in the dayside coma analysed in the form of azimuthal average profiles shows a steep decrease close to the nucleus that converges towards force-free radial outflow (i.e. constant behaviour) beyond 10 km from the nucleus centre. The full angle profile is mostly dominated by the dayside outflow behaviour. The nightside outflow profile follows a 1/r-behaviour.

We compared the results of the OSIRIS image analysis with results of our DRAG3D simulation 735 pipeline. We tested three different models: One with a homogeneous EAF, the second with an 736 inhomogeneous EAF scaled from Marschall's map for spring equinox in May 2015 [30] to match 737 the production rates in April 2015. The third tested model has a homogeneous EAF and 10% 738 of the total mass production rate coming from non-illuminated areas on the nucleus (i.e. the 739 nightside and shadowed areas on the dayside). Analysis shows that the first two models produce 740 DS:NS coma brightness ratios that are factors of 4-10 too high, whereas the simulation model 741 with added nightside activity at a level of 10% of the total production rate produces DS:NS ratios 742 in the correct range compared with the OSIRIS observations. The azimuthal average profiles 743 of all three tested DRAG3D models fit well to the corresponding OSIRIS profiles, showing that 744 the general outflow behaviour in the full dynamics simulations matches the observations. The 745 azimuthal average profile for the nightside is modified in the right direction to improve the fit to 746 the OSIRIS data by the added nightside activity. However, we note that in detail 10% activity on 747 the nightside might be a slight over-estimation when looking at our test case. 748

Further, a background of gravity dominated large particles on ballistic or bound trajectories was
 tested and excluded as a possible explanation for low DS:NS ratios in the observations. A simpli-

fied model where particles were tracked on Keplerian orbits from a spherical nucleus was used 751 in the tests. The results show that we can indeed reach such low DS:NS ratios in our simula-752 tions but only if the model is pushed to its limits, e.g., by including an additional anti-sunward 753 acceleration, discarding insolation-driven activity or increasing the lateral velocity component 754 significantly above the level expected from gas kinetics simulations. In addition, the simplified 755 model uses a spherical nucleus which in itself leads to lower DS:NS ratios than simulations with 756 the complex shape. Furthermore, the outflow behaviour of the simulated large particle back-757 ground does not match OSIRIS observations of the azimuthal average with distance and not 758 enough large gravity dominated particles can be masked by dayside activity dominated by gas 759 drag to fit both the low DS:NS ratios and the azimuthal average profiles. Therefore, we con-760 clude that some amount of direct activity from the nightside of the nucleus is needed to explain 761 all aspects of the OSIRIS observations consistently. Nightside activity has been reported before 762 in the form of single dust events described as jet-like features or dust plumes emanating from 763 non-illuminated surfaces of the comet in the first few hours after local sunset [52, 53] or a few 764 hours before local sunrise [54]. In our study, however, we considered continuous outgassing and 765 dust emission from non-illuminated surfaces to explain the observed nightside activity. Such out-766 gassing is probably driven by sublimation of a sub-surface super-volatile such as  $CO_2$  or CO and 767 not  $H_2O$  [12]. The DS:NS ratio is increasing with decreasing heliocentric distance and reaches 768 a maximum value of about  $4.07 \pm 0.49$  at 2.4 days after perihelion in our dataset. The increase 769 in DS:NS approaching perihelion may be indicative of increasing H<sub>2</sub>O domination as the comet 770 approaches the Sun. Our future work will include the application of a more advanced thermal 771 model and outgassing of  $CO_2$  in addition to water in our coma simulation to study the effect and 772 plausibility of super-volatile outgassing as the driver of nightside activity as described in this 773 paper. 774

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