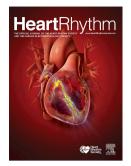
Insulin-Like Growth Factor-Binding Protein-7 and risk of congestive heart failure hospitalization in patients with atrial fibrillation

Steffen Blum, MD, PhD, Stefanie Aeschbacher, PhD, Pascal Meyre, MD, PhD, Michael Kühne, MD, Nicolas Rodondi, MD, Jürg H. Beer, MD, Peter Ammann, MD, Giorgio Moschovitis, MD, Leo H. Bonati, MD, Manuel R. Blum, MD, Msc, Peter Kastner, PhD, Fiona Baguley, BSc, Christian Sticherling, MD, Stefan Osswald, MD, David Conen, MD, MPH, for the BEAT-AF and Swiss-AF investigators



PII: S1547-5271(20)31132-2

DOI: https://doi.org/10.1016/j.hrthm.2020.11.028

Reference: HRTHM 8604

To appear in: Heart Rhythm

Received Date: 6 September 2020

Revised Date: 16 November 2020

Accepted Date: 29 November 2020

Please cite this article as: Blum S, Aeschbacher S, Meyre P, Kühne M, Rodondi N, Beer JH, Ammann P, Moschovitis G, Bonati LH, Blum MR, Kastner P, Baguley F, Sticherling C, Osswald S, Conen D, for the BEAT-AF and Swiss-AF investigators, Insulin-Like Growth Factor-Binding Protein-7 and risk of congestive heart failure hospitalization in patients with atrial fibrillation, *Heart Rhythm* (2021), doi: https://doi.org/10.1016/j.hrthm.2020.11.028.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Published by Elsevier Inc. on behalf of Heart Rhythm Society.



- **1** Insulin-Like Growth Factor-Binding Protein-7 and risk of congestive heart
- 2

failure hospitalization in patients with atrial fibrillation

- 3 Steffen Blum^{a,b},MD, PhD; Stefanie Aeschbacher^{a,b},PhD; Pascal Meyre^{a,b},MD,PhD; Michael
- 4 Kühne^{a,b},MD; Nicolas Rodondi^{c,d},MD; Jürg H Beer^e,MD; Peter Ammann^f,MD; Giorgio Moschovitis^g,MD;
- 5 Leo H. Bonati^h, MD; Manuel R. Blum^{c,d}, MD, Msc; Peter Kastnerⁱ, PhD; Fiona Baguley^b, BSc; Christian
- 6 Sticherling^{a,b}, MD; Stefan Osswald^{a,b}, MD; David Conen^{b,j}, MD, MPH; for the BEAT-AF and Swiss-AF

7 investigators

- 8 a. Division of Cardiology, Department of Medicine, University Hospital Basel, University of Basel,
 9 Basel, Switzerland;
- b. Cardiovascular Research Institute Basel, University Hospital Basel, University of Basel, Basel,
 Switzerland;
- 12 c. Institute of Primary Health Care (BIHAM), University of Bern, Bern, Switzerland;
- Department of General Internal Medicine, Inselspital, Bern University Hospital, University of
 Bern, Bern, Switzerland.
- 15 e. Department of Medicine, Cantonal Hospital of Baden and Molecular Cardiology, University
 16 Hospital of Zurich, Switzerland
- 17 f. Division of Cardiology, Kantonsspital St. Gallen, St. Gallen, Switzerland;
- 18 g. Division of Cardiology, EOC Ospedale Regionale di Lugano, Ticino, Switzerland;
- h. Department of Neurology and Stroke Center, University Hospital Basel, University of Basel,
 Basel, Switzerland
- 21 i. Roche Diagnostics GmbH, Penzberg, Germany
- 22 j. Population Health Research Institute, McMaster University, Hamilton, Ontario, Canada
- 23

24 Word count: 5.008

25 **Running title:** IGFBP-7 to predict CHF hospitalization in AF patients

26

- 27 Address for correspondence:
- 28 David Conen, MD MPH
- 29 Population Health Research Institute
- 30 Barton Street East
- 31 Hamilton Ontario, Canada

32

33 Email:conend@mcmaster.ca

1 Disclosures

2 MK:speakers' bureau for Boston Scientific, St. Jude Medical and Biotronik; lecture/consulting 3 fees from Sorin, Boehringer Ingelheim, Bayer, Sanofi Aventis, Novartis, Medtronic, Pfizer-BMS; 4 unrestricted grants from Bayer and Pfizer-BMS. Proctor for Medtronic (Cryoballoon); NR:grant from 5 the Swiss Heart Foundation; JHB:grants from the Swiss National Science Foundation, The Swiss Heart 6 Foundation, grants from Bayer, lecture fees from Sanofi Aventis and Amgen, to the institution 7 outside the submitted work; LHB:grants from the Swiss National Science Foundation, The Swiss Heart 8 Foundation (Bern, Switzerland), and the University of Basel, Switzerland; unrestricted research grant 9 from AstraZeneca, consultancy or advisory board fees or speaker's honoraria from Amgen, Bayer, 10 Bristol-Myers Squibb, and Claret Medical, and travel grants from AstraZeneca and Bayer; 11 PK:employee of Roche Diagnostics; CS:speaker honoraria from Biosense Webster, Boston Scientific 12 and Medtronic, research grants from Biosense Webster, Daiichi-Sankyo, and Medtronic; proctor for Medtronic (Cryoballoon); DC:consultant/speaker fees from Servier Canada outside of the submitted 13 14 work.

15

1 Abstract

Background: The occurrence of congestive heart failure (CHF) hospitalization among patients with
atrial fibrillation (AF) is a poor prognostic marker.

Objective: To assess whether Insulin-Like Growth Factor-Binding Protein-7 (IGFBP-7), a marker of
 myocardial damage, identifies AF patients at high risk for this complication.

Methods: We analyzed two prospective multicenter observational cohort studies including 3,691 AF
patients. Levels of IGFBP-7 and N-terminal pro-brain natriuretic peptide (NT-proBNP) were measured
from frozen plasma samples at baseline. The primary endpoint was hospitalization for CHF.
Multivariable adjusted Cox-regression analyses were constructed.

10 Results: Mean age was 69±12 years, 1,028 (28%) were females and 879 (24%) had a history of CHF. 11 The incidence per 1,000 patient-years across increasing IGFBP-7 quartiles was 7, 10, 32 and 85. The 12 corresponding multivariable adjusted hazard ratios (aHRs) [95%CI] were 1.0, 1.05 [0.63;1.77], 2.38 [1.50;3.79], and 4.37 [2.72;7.04] (p for trend <0.001). In a subgroup of 2,812 patients without pre-13 14 existing CHF at baseline, the aHRs were 1.0, 0.90 [0.47;1.72], 1.69 [0.94;3.04], and 3.48 [1.94;6.24] (p 15 for trend <0.001). Patients with IGFBP-7 and NT-proBNP levels above the biomarker-specific median 16 had a higher risk of incident CHF hospitalization (aHR 5.20 [3.35; 8.09]) compared to those with only 17 one elevated marker (elevated IGFBP-7 aHR 2.17[1.30;3.60]; elevated NT-proBNP aHR 18 1.97[1.17;3.33]) or no elevated marker (reference).

19 Conclusion: Higher plasma levels of IGFBP-7 were strongly and independently associated with CHF 20 hospitalization in AF-patients. The prognostic information provided by IGFBP-7 was additive to that 21 of NT-proBNP.

22

1 Keywords: Atrial fibrillation; Heart failure; IGFBP-7; Biomarkers; epidemiology

Journal

1 Introduction

2 In patients with atrial fibrillation (AF), most studies have focused on predicting and 3 preventing stroke. Much less information is available with regard to congestive heart failure (CHF), despite a tight link between AF and CHF¹⁻³. CHF hospitalizations put a substantial economic burden 4 5 on health care systems and are associated with a worse prognosis among AF patients⁴⁻⁶. In 6 contemporary, anticoagulated AF patients, CHF is much more common than stroke, and progressive CHF accounts for many deaths.⁷ Therapy options for AF patients with concomitant CHF are limited⁸, 7 and established therapies may not have the same impact as in patients without AF⁹. Accurately 8 9 identifying AF patients at increased risk for CHF hospitalization is an important first step towards the development of effective prevention strategies. 10

11 Insulin-like growth factor-binding protein 7 (IGFBP-7) plays a role in cell cycle arrest during early phases of cell injury¹⁰, and may therefore be involved in the development of myocardial 12 damage. It has been identified as a potential biomarker for CHF and cardiac hypertrophy¹¹. Previous 13 14 studies suggested that IGFBP-7 may be related to worsening CHF and hospitalization for CHF, and lower IGFBP-7 levels were associated with fewer cardiovascular events among CHF patients¹². Higher 15 16 IGFBP-7 levels predicted adverse outcome events in CHF patients with preserved but not those with reduced ejection fraction (EF).¹³ However, all these prior studies on IGFBP-7 were small, included 17 18 selected patient populations, and had short follow-up. In addition, there are no data available in 19 patients with AF.

20 We therefore investigated the associations of IGFBP-7 levels with incident CHF 21 hospitalization in a large cohort of patients with established AF, taking into account a large number 22 of covariates and potential confounders.

23

1 Methods

2 Study Design and Participants

We combined datasets from two ongoing prospective, observational, multicenter cohort studies of AF patients in Switzerland. Both cohorts used similar eligibility criteria, outcome definitions and case report forms (CRFs). The study complies with the Declaration of Helsinki. The study protocols were approved by the local ethics committees, and informed written consent was obtained from each participant.

8 Between 2010 and 2014, the Basel Atrial Fibrillation (BEAT-AF) cohort study recruited 1,553 9 patients with documented AF across 7 centers in Switzerland. The Swiss Atrial Fibrillation (Swiss-AF) 10 study enrolled 2,415 AF patients between 2014 and 2017 across 14 centers in Switzerland. Details 11 about the methodology for BEAT-AF and Swiss-AF have been described previously.^{14, 15} In both 12 cohorts all patients were required to have previously documented AF. Main exclusion criteria for both cohorts were the inability to sign informed consent and the presence of potentially reversible 13 14 forms of AF (e.g. AF after cardiac surgery). Patients with an acute illness within the last 4 weeks could 15 be enrolled once their health status had stabilized. Patients enrolled in BEAT-AF were not eligible for 16 participation in Swiss-AF.

From the combined dataset (n=3,968), we excluded 206 (5.2%) patients with missing IGFBP-7 or N-terminal pro-natriuretic peptide (NT-proBNP) measurements, and 64 (1.6%) without follow-up information. Seven (0.2%) patients with accidental inclusion in both cohorts were only counted once, leaving 3,691 (93.0%) patients for these analyses. We used all available data up to April 17th 2019.

21 Blood samples

Non-fasting venous blood samples were collected from each patient at the initial study visit.
 All study samples were taken during study visits and outside of any procedure. Plasma samples were
 centrifuged, aliquoted and stored at -80°C in a central biobank. IGFBP-7 and NT-proBNP levels were

1 measured from frozen samples using an automated cobas Elecsys electrochemiluminescence 2 immunoassay analyzer (Roche Diagnostics, Mannheim, Germany) by laboratory personnel blinded to 3 clinical information. The method of detection for IGFBP-7 was an immunoassay developed for 4 electrochemiluminescent detection applying monoclonal antibodies that were specifically generated 5 against IGFBP-7 and screened for specific detection. For IGFBP-7 the limit of detection was 0.01 6 ng/mL and within-run precision coefficient of variation 2%.

7 Assessment of study variables

8 Both cohorts collected information about personal, medical and lifestyle factors through very 9 similar study CRFs.. Weight and height were directly measured using calibrated devices. Body mass 10 index (BMI) was calculated as weight in kg divided by height in meters squared. AF was classified into 11 paroxysmal AF, persistent AF or permanent AF¹⁶.

After a face-to-face baseline examination, all yearly follow-up examinations were performed by mail and telephone interviews in BEAT-AF, and by yearly in-person visits in Swiss-AF. During these follow-up visits, we collected detailed information on adverse outcome events.

15 Definitions and Outcomes

16 The outcome for this analysis was hospitalization for CHF, defined in both cohorts as a hospitalization for CHF that was associated with at least one overnight stay, or a CHF exacerbation 17 18 that led to an extension of an existing hospitalization. Source documentation for these events 19 included symptoms and signs of CHF (e.g. weight gain, shortness of breath, rales), imaging evidence 20 of pulmonary congestion, BNP or NT-proBNP levels and information on left ventricular function in 21 some cases. We used cases with available information on left ventricular function to assess the 22 associations of IGFBP-7 with CHF hospitalization with reduced EF (i.e. left ventricular EF <50%) versus 23 CHF hospitalization with preserved EF (i.e. left ventricular EF \geq 50%). Based on all available source 24 data each event was independently validated by two physicians. In case of discrepancy, a third physician was consulted for a final decision. 25

1 Statistical Analysis

Baseline characteristics were stratified by quartiles of IGFBP-7. Categorical variables were presented as numbers (percentages) and compared using χ^2 -tests. The distribution of continuous variables was checked using kurtosis, skewness and visual inspection of the histogram. They were then presented as means \pm standard deviation (SD) or median (interquartile range [IQR]), and compared using analysis of variance or Wilcoxon-Rank-Sum tests, as appropriate.

7 Incidence rates were calculated as number of events per 1,000 patient years of follow-up. To assess the association between baseline IGFBP-7 levels and incident CHF hospitalization, we obtained 8 9 hazard ratios and 95% confidence intervals (CI) from Cox proportional-hazards models. Multivariable 10 models were adjusted for a pre-defined set of covariates, including age, sex, BMI, heart rate, systolic 11 blood pressure, glomerular filtration rate, AF type at baseline (paroxysmal vs. non-paroxysmal), current smoking, cohort (BEAT-AF vs. Swiss-AF), and history of diabetes, coronary artery disease, 12 13 hypertension, stroke/transient ischemic attack, or CHF. The variable selection for the multivariable models was predefined and mainly included known risk-factors and comorbidities associated with 14 15 CHF and its pathophysiology. Potential collinearity between covariates in the Cox models was assessed using Pearson correlation coefficients. With one exception, all correlation coefficients were 16 <0.25 (data not shown). 17

18 To assess whether the association between IGFBP-7 and CHF hospitalization provides 19 independent information beyond that of natriuretic peptides, we adjusted the multivariable models for plasma levels of NT-proBNP. In a separate step we created four mutually exclusive groups, 20 21 according to whether IGFBP-7 and NT-proBNP levels were above or below their respective median. 22 We constructed Kaplan-Meier survival curves across these. We then calculated hazard ratios (95%CI) 23 across these 4 groups in multivariable adjusted Cox regression models. Finally, we calculated receiver operating characteristic (ROC) curves for NT-proBNP, IGFBP-7 and both markers combined. We 24 25 performed a sensitivity analysis where we additionally adjusted the multivariable model for AF

duration (years since first diagnosis of AF), history of pulmonary vein isolation; baseline rhythm (atrial fibrillation/flutter vs. all other rhyhtm), AF-related symptoms and baseline medical therapy (beta blocker, class Ic antiarrhythmic drugs, class III antiarrhythmic drugs). For this sensitivity analysis, we excluded 594 (16.1%) patients with missing information on one or more of these covariates.

6 We did not exclude patients with a history of CHF from our main analyses to increase the 7 generalizability of our data. To investigate the potential influence of preexisting CHF on the observed 8 associations, we repeated the main analyses separately in patients with and without a history of pre-9 existing CHF. Formal differences were evaluated using tests for interaction in the non-stratified 10 model. Sensitivity analyses for incident CHF hospitalization with preserved versus reduced EF were 11 also performed. For these analyses, we censored patients with unknown or the other EF type at the 12 time of CHF hospitalization. To not overfit these models, they were adjusted for age, sex, heart rate, 13 systolic blood pressure, glomerular filtration rate history of coronary artery disease, hypertension or 14 CHF at baseline. All statistical analyses were performed using SAS 9.4 (SAS Corporation, Cary, North 15 Carolina, USA) and SPSS Statistics for Windows, Version 25 (IBM Corp., Armonk, New York, USA). A two-sided p value < 0.05 was considered to indicate statistical significance. 16

1 Results

Baseline characteristics stratified by quartiles of IGFBP-7 are presented in Table 1. Quartile
specific median (interquartile range) IGFBP-7 levels were 147 (138;153), 169 (164;176), 196 (188;206)
and 249 (230;291) ng/l, respectively.

5 Association of IGFBP-7 with heart failure hospitalization

6 Of the 3,691 patients included in this analysis, 385 (10.4%) had a CHF hospitalization during a 7 mean follow-up of 3.7 ± 1.8 years, corresponding to an incidence of 29.5 cases per 1,000 patient-8 years of follow-up. The incidence was 6.7, 10.0, 31.9 and 85.0 per 1,000 patient years across 9 increasing IGFBP-7 quartiles (p<0.001) (Figure 1). Age and sex adjusted Cox regression models 10 confirmed a steep increase in the risk of CHF hospitalization across guartiles of IGFBP-7 (Table 2). The multivariable adjusted hazard ratio (aHR) for the highest compared with the lowest quartile was 4.37 11 12 (95%Cl 2.72;7.04), with a p for trend across quartiles of <0.001. After additional adjustment for NTproBNP, the association remained highly significant. In models stratified by history of CHF at 13 14 baseline, the associations did not significantly differ between patients with and without a history of 15 CHF (Supplemental Table S-1 and S-2), even though the risk increase by IGFBP-7 seemed less 16 pronounced among patients with a history of CHF (p for interaction 0.07). Additional adjustment for 17 AF-related symptoms, history of pulmonary vein isolation, baseline rhythm, AF-duration and baseline medication did not significantly change the association of IGFBP-7 and CHF hospitalization 18 19 (Supplemental Table S-3). Left ventricular EF at the time of the outcome event was available in 156 20 patients, 83 (53.2%) with reduced EF and 73 (46.8%) with preserved EF. The multivariable adjusted 21 hazard ratios (95%CI) per unit increase of log transformed IGFBP-7 were 4.58 [1.98;10.59] and 6.99 22 [2.87;17.03] for CHF hospitalization with reduced and preserved EF, respectively (Supplemental 23 Table S-4 and S-5).

24 Association of NT-proBNP with heart failure hospitalization

Table S-6 and Figure S-1 in the Supplemental Material show the association of baseline NTproBNP values with hospitalization for CHF. The incidence of CHF per 1,000 patient-years across
increasing quartiles of baseline NT-proBNP was 3.1, 15.4, 34.0 and 81.3, respectively. In the highest
NT-proBNP quartile, the multivariable aHR was (95%CI 4.93;17.59) compared to the lowest quartile
(p for trend <0.001). Further adjustment for IGFBP-7 attenuated this association (aHR 7.09 [95%CI
3.72;13.50).

7 Heart failure hospitalization according to levels of IGFBP-7 and NT-proBNP

Compared to patients with IGFBP-7 and NT-proBNP levels below the median, the multivariable aHRs (95%CI) were 1.97 (95%CI 1.77;3.33) in patients with high NT-proBNP (but low IGFBP-7) levels, and 2.17 (95% CI 1.30;3.60) in patients with high IGFBP-7 (but low NT-proBNP) levels, and 5.20 (95%CI 3.35; 8.09) in patients where both IGFBP-7 and NT-proBNP levels were high **(Table 3, Figure 2)**. The corresponding incidence rates per 1,000 patient-years were 5.3, 17.3, 19.4 and 73.3, respectively. The area under the curve was 0.75 (Ref.) for NT-proBNP, 0.76 (p=0.60) for IGFBP-7, and 0.78 for both markers combined (p<0.001) (**Figure 3**).

1 Discussion

2 To the best of our knowledge, this is the first large study to assess the association between 3 IGFBP-7 and CHF hospitalization, and the first study to show the prognostic value of IGFBP-7 in patients with AF. We observed several new and clinically important findings. First, the risk of CHF 4 5 hospitalization was high with an overall incidence of 29.5 cases per 1,000 patient-years. Second, 6 increasing levels of IGFBP-7 and NT-proBNP were strongly and independently associated with 7 incident CHF hospitalization. Third, both markers provided additive information that was independent of each other. Patients with elevated IGFBP-7 and elevated NT-proBNP had a more than 8 9 5-fold increase in risk of CHF hospitalization after multivariable adjustment. The corresponding 10 incidence was 73 cases per 1,000 patient-years. Thus, a combination of both markers may be useful 11 to identify AF patients at very high risk of CHF hospitalization. Finally, these associations were 12 observed among patients with and without pre-existing CHF at baseline.

Patients with both AF and CHF have a higher risk for AF progression¹⁷, a lower quality of life¹⁸, 13 an increased risk for recurrent hospitalizations¹⁹ and a higher risk of death⁷. CHF is one of the main 14 drivers for mortality among AF patients receiving anticoagulation for stroke prevention²⁰. AF patients 15 with a recent CHF decompensation have a particularly high risk for serious complications²¹. CHF 16 remains a main driver of health-care costs²² and a substantial number of patients are readmitted 17 within the first year after a hospitalization for CHF^{23, 24}. Therefore, the concomitant occurrence of AF 18 19 and CHF has important implications. Early identification of AF patients at increased risk of a CHF 20 hospitalization may therefore have an important impact not only on the individual patient, but also 21 on a socio-economical level.

Our study showed an 8-fold higher incidence of CHF hospitalization among patients in the highest compared to the lowest IGFBP-7 quartile. These relationships remained highly significant after multivariable adjustment. While this association seemed stronger among patients with a history of CHF (p for interaction 0.07), the risk increase was still highly significant among patients without a

1 history of CHF at baseline (adjusted HR for quartile IV versus I 3.48 [1.94; 6.24]). The risk seemed 2 comparable for CHF admissions with preserved versus CHF admissions with reduced EF 3 (Supplemental Tables S-3 and S-4). The prognostic information was additive and independent to the gold-standard marker NT-proBNP. Patients with both markers above the biomarker specific median 4 5 had a nearly 6-fold increased risk for a CHF hospitalization compared to patients with both 6 biomarkers below the median. These markers might therefore be very helpful in risk assessment and 7 early identification of patients who may need closer follow-up to prevent recurrent CHF admissions. 8 Based on our findings, future studies should assess whether AF patients with elevated levels of both 9 IGFBP-7 and NT-proBNP benefit from more advanced evaluations, such as echocardiography or other 10 imaging modalities, whether they need closer follow-up, more intense risk factor management or more frequent referrals for advanced treatment modalities such as catheter ablation, and whether 11 12 these intervention can prevent costly CHF hospital admissions and other outcomes in this high-risk population. . From a clinical practice perspective, our data therefore suggest that IGFBP-7 may have a 13 14 place in the assessment and management of AF patients at risk of CHF hospitalizations.

15 IGFBP-7 has been found through proteomic searches to be associated with cardiac hypertrophy¹¹. The IGF axis was associated with New York Heart Association functional class and 16 predicted adverse outcomes among 142 CHF patients²⁵. Higher IGFBP-7 levels were related to 17 diastolic function and exercise capacity among patients with CHF with preserved EF²⁶. A retrospective 18 19 analysis of 151 CHF patients with reduced EF showed that serial IGFBP-7 measurements predicted cardiovascular death, CHF hospitalization and worsening CHF¹². Another small study showed that 20 levels of IGFBP-7 decreased among CHF patients after implantation of a left ventricular assist device, 21 suggesting that a lower IGFBP-7 level reflects less severe CHF¹³. In this context, our study is by far the 22 23 largest and we were able to exactly quantify the impact of IGFBP-7 in AF patients.

The mechanisms of the association between IGFBP-7 and CHF are not well understood. Being associated with cell cycle arrest¹⁰, higher levels of IGFBP-7 might accelerate the senescence of

1 myocardial cells²⁷. IGFBP-7 was associated with hepatic fibrogenesis²⁸. Therefore, promoting 2 myocardial fibrosis might be another pathophysiological pathway explaining the association with 3 CHF. IGFBP-7 seems to be up-regulated in inflammatory states like exacerbations of chronic 4 obstructive pulmonary disease²⁹ or inflammatory breast cancer³⁰, suggesting that inflammation may 5 be a potential link.

6 The key strengths of this analysis are the availability of two large cohorts of well-7 characterized AF patients with a very low rate of missing values and central adjudication of all 8 outcome events. Our study therefore has adequate power to thoroughly assess the value of IGFBP-7 in this context. As in any observational design, our study is unable to prove causality and residual 9 10 confounding may persist despite multivariable adjustment. Echocardiography was not systematically performed and AF burden was not available, and we were unable to take into account the potential 11 12 importance of these factors. Future studies are needed to assess whether interventions that favorably address/treat AF correlate with a reduced level of IGFB-7 to potentially assess causality 13 14 versus association.

15 Conclusions

16 This study found that increasing levels of IGFBP-7 are strongly and independently associated 17 with CHF hospitalization in patients with established AF and provide prognostic information over and 18 beyond the use of natriuretic peptides. The combination of IGFBP-7 and NT-proBNP may help to 19 better identify patients at high risk of CHF hospitalization in the future, and therefore become an 20 important tool in the management of patients with AF.

21

22 Sources of Funding

BEAT-AF:Swiss National Science Foundation (PP00P3_159322), Swiss Heart Foundation,
 University of Basel, Roche Diagnostics, Boehringer-Ingelheim, Sanofi-Aventis, Merck Sharp & Dome,

Bayer, Daiichi-Sankyo, Pfizer/Bristol-Myers Squibb and the Foundation for Cardiovascular Research
Basel. Swiss-AF:grants of the Swiss National Science Foundation (33CS30_1148474 and
33CS30_177520), Foundation for Cardiovascular Research Basel, University of Basel, and Roche
Diagnostics. Plasma levels of NT-proBNP and IGFBP-7 were measured free of charge by Roche
Diagnostics. *SB* is supported by the Mach-Gaensslen foundation outside the submitted work. DC
holds a McMaster University Department of Medicine Mid-Career Research Award.

7

Journal Pression

1 **References**

- Miyasaka Y, Barnes ME, Gersh BJ, et al. Incidence and mortality risk of congestive heart
 failure in atrial fibrillation patients: a community-based study over two decades. Eur Heart J
 Apr 2006;27:936-941.
- Santhanakrishnan R, Wang N, Larson MG, et al. Atrial Fibrillation Begets Heart Failure and
 Vice Versa: Temporal Associations and Differences in Preserved Versus Reduced Ejection
 Fraction. Circulation Feb 2 2016;133:484-492.
- 8 3. Ponikowski P, Voors AA, Anker SD, et al. 2016 ESC Guidelines for the diagnosis and treatment
 9 of acute and chronic heart failure: The Task Force for the diagnosis and treatment of acute
 10 and chronic heart failure of the European Society of Cardiology (ESC)Developed with the
 11 special contribution of the Heart Failure Association (HFA) of the ESC. Eur Heart J Jul 14
 12 2016;37:2129-2200.
- Lesyuk W, Kriza C, Kolominsky-Rabas P. Cost-of-illness studies in heart failure: a systematic review 2004-2016. BMC Cardiovas Disord May 2 2018;18:74.
- Wolowacz SE, Samuel M, Brennan VK, Jasso-Mosqueda JG, Van Gelder IC. The cost of illness of atrial fibrillation: a systematic review of the recent literature. Europace Oct 2011;13:1375-1385.
- Wang TJ, Larson MG, Levy D, et al. Temporal relations of atrial fibrillation and congestive
 heart failure and their joint influence on mortality: the Framingham Heart Study. Circulation
 Jun 17 2003;107:2920-2925.
- Fauchier L, Villejoubert O, Clementy N, et al. Causes of Death and Influencing Factors in
 Patients with Atrial Fibrillation. Am J Med Dec 2016;129:1278-1287.
- Dyrda K, Roy D, Leduc H, et al. Treatment Failure With Rhythm and Rate Control Strategies in Patients With Atrial Fibrillation and Congestive Heart Failure: An AF-CHF Substudy. J Cardiovasc Electrophysiol Dec 2015;26:1327-1332.
- 8. Kotecha D, Holmes J, Krum H, et al. Efficacy of beta blockers in patients with heart failure
 plus atrial fibrillation: an individual-patient data meta-analysis. Lancet Dec 20
 2014;384:2235-2243.
- 10. Kashani K, Al-Khafaji A, Ardiles T, et al. Discovery and validation of cell cycle arrest
 biomarkers in human acute kidney injury. Critical Care Feb 6 2013;17:R25.
- Chugh S, Ouzounian M, Lu Z, et al. Pilot study identifying myosin heavy chain 7, desmin,
 insulin-like growth factor 7, and annexin A2 as circulating biomarkers of human heart failure.
 Proteomics Aug 2013;13:2324-2334.
- Motiwala SR, Szymonifka J, Belcher A, et al. Measurement of Novel Biomarkers to Predict
 Chronic Heart Failure Outcomes and Left Ventricular Remodeling. J Cardiovasc Transl Res
 2014/03/01 2014;7:250-261.
- Hage C, Bjerre M, Frystyk J, et al. Comparison of Prognostic Usefulness of Serum Insulin-Like
 Growth Factor-Binding Protein 7 in Patients With Heart Failure and Preserved Versus
 Reduced Left Ventricular Ejection Fraction. Am J Cardiol Jun 15 2018;121:1558-1566.
- 40 14. Conen D, Rodondi N, Mueller A, et al. Design of the Swiss Atrial Fibrillation Cohort Study
 41 (Swiss-AF): structural brain damage and cognitive decline among patients with atrial
 42 fibrillation. Swiss Med Wkly 2017;147:w14467.

- Conen D, Rodondi N, Muller A, et al. Relationships of Overt and Silent Brain Lesions With
 Cognitive Function in Patients With Atrial Fibrillation. J Am Coll Cardiol Mar 12 2019;73:989 999.
- Camm AJ, Kirchhof P, Lip GY, et al. Guidelines for the management of atrial fibrillation: the
 Task Force for the Management of Atrial Fibrillation of the European Society of Cardiology
 (ESC). Eur Heart J Oct 2010;31:2369-2429.
- Blum S, Meyre P, Aeschbacher S, et al. Incidence and Predictors of Atrial Fibrillation
 Progression: A Systematic Review and Meta-Analysis. Heart Rhythm Oct 23 2018.
- 9 18. Suman-Horduna I, Roy D, Frasure-Smith N, et al. Quality of life and functional capacity in
 10 patients with atrial fibrillation and congestive heart failure. J Am Coll of Cardiol Jan 29
 11 2013;61:455-460.
- Steinberg BA, Kim S, Fonarow GC, et al. Drivers of hospitalization for patients with atrial
 fibrillation: Results from the Outcomes Registry for Better Informed Treatment of Atrial
 Fibrillation (ORBIT-AF). Am Heart J May 2014;167:735-742 e732.
- Bassand JP, Accetta G, Camm AJ, et al. Two-year outcomes of patients with newly diagnosed atrial fibrillation: results from GARFIELD-AF. Eur Heart J Oct 7 2016;37:2882-2889.
- Lip GY, Rasmussen LH, Skjoth F, Overvad K, Larsen TB. Stroke and mortality in patients with
 incident heart failure: the Diet, Cancer and Health (DCH) cohort study. BMJ Open 2012;2.
- Braunschweig F, Cowie MR, Auricchio A. What are the costs of heart failure? Europace May 2011;13 Suppl 2:ii13-17.
- 21 23. Krumholz HM, Parent EM, Tu N, et al. Readmission after hospitalization for congestive heart
 22 failure among Medicare beneficiaries. Arch Intern Med Jan 13 1997;157:99-104.
- 23 24. Al-Omary MS, Davies AJ, Evans TJ, et al. Mortality and Readmission Following Hospitalisation
 24 for Heart Failure in Australia: A Systematic Review and Meta-Analysis. Heart Lung Circ Aug
 25 2018;27:917-927.
- 26 25. Watanabe S, Tamura T, Ono K, et al. Insulin-like growth factor axis (insulin-like growth factor 27 I/insulin-like growth factor-binding protein-3) as a prognostic predictor of heart failure:
 28 association with adiponectin. Eur J Heart Fail Nov 2010;12:1214-1222.
- 29 26. Gandhi PU, Gaggin HK, Redfield MM, et al. Insulin-Like Growth Factor-Binding Protein-7 as a
 30 Biomarker of Diastolic Dysfunction and Functional Capacity in Heart Failure With Preserved
 31 Ejection Fraction: Results From the RELAX Trial. JACC Heart Failure Nov 2016;4:860-869.
- Severino V, Alessio N, Farina A, et al. Insulin-like growth factor binding proteins 4 and 7
 released by senescent cells promote premature senescence in mesenchymal stem cells. Cell
 Death Dis Nov 7 2013;4:e911.
- 35 28. Guo XH, Liu LX, Zhang HY, et al. Insulin-like growth factor binding protein-related protein 1
 36 contributes to hepatic fibrogenesis. J Dig Dis Apr 2014;15:202-210.
- Ruan W, Wu M, Shi L, et al. Serum levels of IGFBP7 are elevated during acute exacerbation in
 COPD patients. Int J Chron Obstruct Pulmon Dis 2017;12:1775-1780.
- 39 30. Bieche I, Lerebours F, Tozlu S, Espie M, Marty M, Lidereau R. Molecular profiling of 40 inflammatory breast cancer: identification of a poor-prognosis gene expression signature.
 41 Clinical Cancer Res Oct 15 2004;10:6789-6795.

1 Figure Legends

- 2 Figure 1:Risk of congestive heart failure hospitalization stratified by baseline IGFBP-7 quartiles in all
- 3 patients
- 4 p<0.001 (log rank); IGFBP-7=Insulin-Like Growth Factor-Binding Protein-7
- 5 y-axis:congestive heart failure hospitalization free survival
- 6 x-axis:follow-up in years.
- 7
- 8 Figure 2:Risk of congestive heart failure hospitalization stratified by baseline IGFBP-7 and NT-proBNP
- 9 values in all patients
- 10 p<0.001 (log rank); CHF=congestive heart failure; low= <biomarker specific median; high= ≥
- 11 biomarker specific median; IGFBP-7=Insulin-Like Growth Factor-Binding Protein-7; NT-proBNP=N-
- 12 terminal pro brain natriuretic peptide
- 13 y-axis:congestive heart failure hospitalization free survival
- 14 x-axis:follow-up in years.
- 15
- 16 Figure 3: Receiver operating characteristic curves according to baseline IGFBP-7 and NT-proBNP
- 17 values
- 18 IGFBP-7=Insulin-Like Growth Factor-Binding Protein-7; NT-proBNP=N-terminal pro brain natriuretic
- 19 peptide
- 20
- 21
- 22

1 Table 1: Baseline characteristics stratified by IGFBP-7 quartiles

Characteristic	Quartile I	Quartile II	Quartile III	Quartile IV	p-value
n	922	923	923	923	
IGFBP-7 range [ng/ml]	85-159	159-182	182-216	216-986	
Age[years]	64±11	70±9	74±7	77±8	<0.001
Female sex	277 (30.0)	265 (28.7)	234 (25.4)	252 (27.3)	0.14
Body mass index[kg/m ²]	27.3±4.5	27.6±4.8	27.6±4.7	27.2±4.9	0.15
Heart rate[beats/min]	68±16	68±16	70±17	72±18	<0.001
Current Smoker	97 (10.6)	64 (7.0)	74 (8.0)	54 (5.9)	0.002
Systolic blood pressure [mmHg]	133±17	135±18	135±19	133±21	0.003
NT-proBNP level[pg/ml]	184[74;448]	347[130;806]	747[302;1341]	1654[888;2910]	<0.001
Atrial Fibrillation Type					
Paroxysmal	574(62.3)	503(54.6)	420(45.5)	315(34.1)	
Persistent	262(28.5)	263(28.5)	258(28.0)	224(24.3)	<0.001
Permanent	85(9.2)	156(16.9)	245(26.5)	384(41.6)	
Rhythm					
Sinus	669(72.6)	556(60.2)	442(47.9)	235(25.5)	<0.001
Atrial Fibrillation	213(23.1)	304(32.9)	417(45.2)	611(66.2)	
Atrial Flutter	11(1.2)	18(2.0)	19(2.1)	26(2.8)	
Other	25(2.7)	42(4.6)	33(3.6)	44(4.8)	
Medication					
Beta Blocker	566(61.4)	626(67.8)	661(71.6)	692(75.0)	<0.001
Class Ic AAD	90(9.8)	72(7.8)	23(2.5)	10(1.1)	<0.001
Class III AAD	200(21.7)	193(20.9)	154(16.7)	132(14.3)	<0.001
AF-related symptoms	699(77.0)	628(69.2)	568(62.6)	498(54.5)	<0.001
History of PVI	343(37.2)	219(23.8)	144(15.6)	70(7.6)	<0.001
History of atrial flutter	188(20.4)	191(20.7)	212(23.0)	162(17.6)	0.04
History of coronary artery disease	141(15.3)	206(22.3)	277(30.0)	353(38.2)	<0.001
History of stroke/TIA	129(14.0)	146(15.8)	164(17.8)	186(20.3)	0.003

History of hypertension	512(55.5)	589(63.8)	698(75.6)	746(80.8)	<0.001
History of heart failure	108(11.7)	149(16.1)	234(25.4)	388(42.0)	<0.001
History of diabetes mellitus	83(9.0)	106(11.5)	160(17.3)	240(26.0)	<0.001
GFR(ml/min/1.7m ²)	76±15	68±15	60±15	47±18	<0.001

1 IGFBP-7=Insulin-Like Growth Factor-Binding Protein-7; NT-proBNP=N-terminal pro-brain natriuretic

- 2 peptide; TIA=transient ischemic attack; GFR=glomerular filtration rate; AAD=antiarrhythmic drug
- 3 Data are means±standard deviation, medians[interquartile range] or counts (%) as appropriate.

Table 2: Relationship between IGFBP-7 and congestive heart failure hospitalization

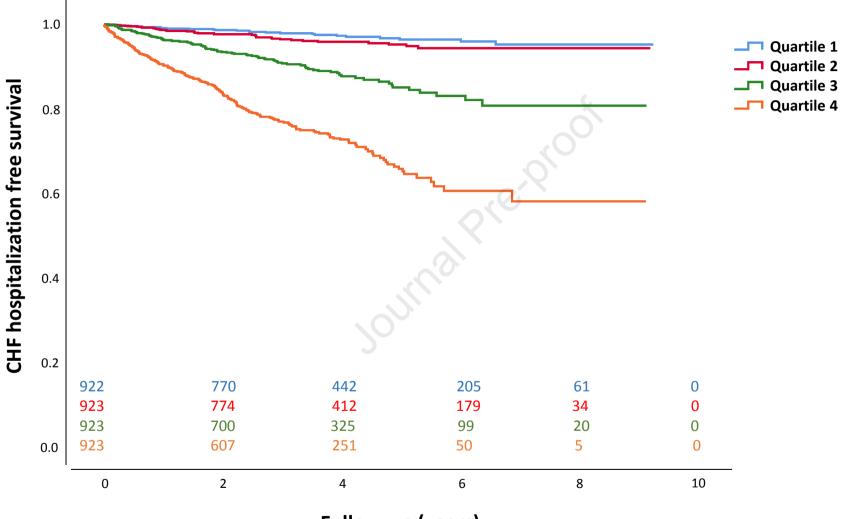
	Continuous [*]	Quartile I	Quartile II	Quartile III	Quartile IV	p for trend
	n=3691	n=922	n=923	n=923	n=923	
Incidence per 1,000 patient years	29.5	6.7	10.0	31.9	85.0	
Age/Sex adj.	11.74[8.56;16.10] [§]	Reference	1.25[0.75;2.10]	3.46[2.19;5.46]	8.27[5.30;12.90]	<0.001
$Multivariable \ adjusted^{\dagger}$	6.55[4.39;9.77] [§]	Reference	1.05[0.63;1.77]	2.38[1.50; 3.79]	4.37[2.72;7.04]	<0.001
Additionally adjusted for NT-proBNP	3.75[2.36;5.95] [§]	Reference	0.93[0.55;1.56]	1.89[1.19;2.99]	2.85[1.76;4.64]	<0.001

IGFBP-7=Insulin-Like Growth Factor-Binding Protein-7;NT-proBNP=N-terminal pro brain natriuretic peptide;^{*}IGFBP-7 log transformed;[†]adjusted for age, sex, BMI, heart rate,systolic blood pressure,glomerular filtration rate,AF type at baseline (paroxysmal vs. non-paroxysmal),current smoking,cohort (BEAT-AF vs. Swiss-AF), and history of diabetes,coronary artery disease,hypertension,stroke/transient ischemic attack,or CHF;[§]p<0.001; Max.62 (1.7%) observations were deleted due to missing variables

Table 3: Relationship of IGFBP-7 and NT-proBNP categories with congestive heart failure hospitalization

	IGFBP-7 low, NT-proBNP low	IGFBP-7 low, NT-proBNP high	IGFBP-7 high, NT-proBNP low	IGFBP-7 high, NT-proBNP high
	n=1335	n=511	n=511	n=1334
			<u>×</u>	
Incidence per 1,000 patient	5.3	17.3	19.4	73.3
years				
Age/Sex adjusted	Reference	2.75[1.66;4.57]	2.89[1.75;4.77]	9.80[6.52;14.73]
Multivariable adjusted *	Reference	1.97[1.17;3.33]	2.17[1.30;3.60]	5.20[3.35;8.09]

I, IIgii- /-biomarker speeme measure, she ıв natriuretic peptide; *adjusted for age, sex, BMI, heart rate, systolic blood pressure, glomerular filtration rate, AF type at baseline (paroxysmal vs. non-paroxysmal), current smoking, cohort (BEAT-AF vs. Swiss-AF), and history of diabetes, coronary artery disease, hypertension, stroke/transient ischemic attack, CHF; max.62 (1.7%) patients removed from these models due to missing data. were



Follow-up (years)

