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Development of a Core Outcome Set for Therapeutic Studies in Eosinophilic Esophagitis (COREOS): An International Multidisciplinary Consensus

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1 **Development of a Core Outcome Set for Therapeutic Studies in Eosinophilic**
2 **Esophagitis (COREOS): An International Multidisciplinary Consensus**

3

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Journal Pre-proof

278 **COMMENTARY**279 **The Need to Define Consensus Outcomes in Eosinophilic Esophagitis**

280 There has been tremendous interest in developing eosinophilic esophagitis (EoE)-specific
281 pharmacotherapies,¹ and over 50 active or enrolling interventional studies are currently
282 registered on Clinicaltrials.gov. Recent positive results from phase III trials of dupilumab, a
283 monoclonal antibody targeting the IL-4 receptor alpha, budesonide orodispersible tablets as
284 both induction and maintenance therapy, and budesonide oral suspension, have inspired even
285 greater enthusiasm for drug development in this field.²⁻⁵ Despite these breakthroughs, efficient
286 drug development in EoE has been hampered by the lack of standardized outcome measures
287 that can be used in both registrational trials to support labelling claims and in observational
288 studies to answer practice-based questions. Agreement on the most appropriate endpoints for
289 use in clinical studies has not been reached, and significant heterogeneity exists in the outcome
290 measures that are reported.⁶ Given the lack of consensus and the increasing scrutiny of
291 outcome measures in clinical trials of EoE, developing a core outcome set (COS) is a research
292 priority. A COS is a consensus-derived minimum set of outcomes that should be measured and
293 reported in all trials in a given therapeutic area.⁷ Using a COS to inform study design and
294 choose endpoints can improve the efficiency of clinical studies by ensuring appropriate
295 outcomes are measured, minimize heterogeneity in reporting, reduce the risk of publication
296 bias, and improve the quality of evidence synthesis by facilitating fair comparisons across
297 different therapies.

298

299 Therefore, in collaboration with the Consortium of Eosinophilic Gastrointestinal Disease
300 Researchers (CEGIR), the European Eosinophilic Esophagitis Research Network (EUREOS),
301 and the Eosinophil Gastrointestinal Disorders (EGID) Committee of The American Academy of
302 Allergy, Asthma, and Immunology (AAAAI), we developed an international consensus COS for

303 use in studies of pharmacologic and dietary interventions for adult and pediatric patients with
304 EoE (COREOS).

305

306 **Methods for Defining the COS**

307 Detailed methods used to define the COS are summarized in the accompanying Meeting
308 Summary. We used a multiple phase approach, conducted in accordance with
309 recommendations from the Core Outcome Measures in Effectiveness Trials (COMET) initiative⁷,
310 ⁸ to identify relevant outcome domains and endpoint definitions for randomized controlled trials
311 (RCTs) and observational studies in adult and pediatric patients with EoE. First, a series of
312 systematic reviews^{6, 9} and patient engagement surveys¹⁰ were conducted to identify candidate
313 outcomes of importance. Input was gathered from a diverse range of patients with EoE to
314 determine their values on the importance of different outcomes and recruited using purposive
315 sampling from multiple clinics to capture a range of disease duration, disease activity, and
316 treatment experiences. A total of 36 patients with EoE participated in semi-structured interviews
317 and 109 patients with EoE completed a paper-based survey. Outcomes identified in the patient
318 engagement surveys and through systematic literature reviewer were organized into eleven
319 domains, and a series of working group meetings were held to review the pertinent endpoints.
320 These domains were then discussed in a moderated face-to-face meeting at Digestive Disease
321 Week 2019 (San Diego, United States) and a Delphi panel of multidisciplinary experts voted to
322 categorize these into core, important, and research agenda domains based on the Outcome
323 Measures in Rheumatology (OMERACT) model.¹¹ In phase 3, a comprehensive list of outcome
324 measures within each core domain was evaluated in a two-round Delphi survey to establish
325 consensus. Finally, a virtual ratification meeting was held to vote on the final outcomes included
326 in the COS.

327

328 The COS panel included diverse stakeholders, reflecting a broad range of clinical knowledge
329 and geographical diversity, including patients with EoE, gastroenterologists, pathologists,
330 allergists, dieticians, psychologists, researchers, and methodologists. Several rounds of Delphi
331 surveys were completed to first rank each domain and subsequently individual outcomes on a
332 9-point Likert scale.¹² Scores of 1-3 indicate an outcome domain that was not considered
333 important for inclusion, scores of 4-6 indicate an outcome domain that was considered important
334 but not critical for inclusion, and scores of 7-9 indicate an outcome domain felt critical for
335 inclusion in the COS. Outcome domains and outcome definitions scored in the 7-9 range by
336 $\geq 70\%$ of panelists and in the 1-3 range by $< 15\%$ of panelists were considered to have met
337 consensus. A moderated video conference to ratify the final COS was conducted December 8,
338 2020. Although this was initially planned as a face-to-face meeting, this was amended to a
339 virtual conference due to COVID-19 public health restrictions. After discussion, panelists
340 anonymously voted on the final items as “Include in the COS”, “Do not include in the COS”, or
341 “Unsure”. Items receiving $\geq 70\%$ of votes in the “Include in the COS” category and $< 15\%$ of votes
342 in the “Do not include in the COS” category were ratified for final inclusion.

343

344 **A COS for EoE**

345 Four outcome domains were voted as critical for inclusion in an EoE COS: *histopathology*,
346 *endoscopy*, *patient-reported symptoms*, and *EoE-specific quality of life (QoL)* (**Figure 1**). While
347 endpoints such as genetic profiling, biomarkers, esophageal distensibility, patient perceptions of
348 health, and immunologic endpoints were important, they were not deemed critical for
349 assessment in every study at this time. Patients with EoE identified improvement in EoE-related
350 symptoms and QoL as the most important outcome domains: $> 90\%$ of patients identified
351 improvements in these domains as important in both the short and long-term. From the four
352 core domains, a total of 122 outcome definitions were identified. Over two rounds of Delphi
353 survey voting by 69 (Round 1) and 62 (Round 2) panelists, a total of 59 outcomes were

354 considered for inclusion (18 for histology, 12 for endoscopy, 19 for patient-reported symptoms,
355 and 10 for EoE-specific QoL). At the ratification meeting, 42 items were discussed and voted on
356 with 33 items included in the final COS. These are summarized in **Table 1**.

357

358 **COS: Histopathology Outcomes**

359 There was consensus that the peak eosinophil count (PEC) should be reported in all RCTs and
360 observational studies, expressed either as eosinophils (eos) per high power field (hpf) (including
361 exact area used and the hpf size reported in mm^2) or as eos per mm^2 , viewed at 400 \times
362 magnification. Several panelists identified that both measures should be reported, as eos/hpf
363 has been historically used, whereas eos per mm^2 adjusts for differences in microscope ocular
364 field size. In RCTs, the EoE Histology Scoring System (EoEHSS)¹³ should be used, and both
365 the grade and stage of each component item reported. There was consensus that histologic
366 remission should be reported in all studies, although the precise threshold for histologic
367 remission was debated. The proportion of patients with < 15 eos/hpf in all esophageal locations
368 should be reported, but there was disagreement on reporting a more stringent threshold of ≤ 6
369 eos/hpf.

370

371 *Implications and Future Directions:* Given the importance of eosinophilic inflammation in
372 defining EoE, histopathology was almost universally agreed upon as a core domain. However,
373 three areas of controversy garnered discussion. First, although using eos/ mm^2 was felt to be
374 advantageous for standardizing density measurements across different microscopes and field
375 sizes,¹⁴ most of the literature to date has expressed the PEC per hpf. There was consensus that
376 this should continue to be reported to facilitate historical treatment comparisons although we
377 advocate for a greater emphasis on reporting eos/ mm^2 (using remission definitions of PEC ≤ 25
378 eos/ mm^2 and < 60 eos/ mm^2 , corresponding to PEC of ≤ 6 eos/hpf and < 15 eos/hpf, respectively).
379 Second, there was consensus that a PEC of < 15 eos/hpf should be used as the threshold to

380 define histologic remission, although this is discordant from recent recommendations from the
381 United States Food and Drug Administration (US FDA).¹⁵ Multiple guidelines have established
382 ≥ 15 eos/hpf as the cutoff for diagnostic purposes, and the panel voted that the proportion of
383 patients achieving a PEC lower than this threshold should continue to be reported.¹⁶⁻¹⁸ A
384 threshold of ≤ 6 eos/hpf may be too stringent to achieve and may not necessarily be appropriate
385 for potential future drug targets with mechanisms of action that do not directly inhibit
386 eosinophils. Nevertheless, we anticipate that in future trials designed for regulatory approval of
387 medications, the proportion of patients with post-treatment PEC < 15 eos/hpf and ≤ 6 eos/hpf will
388 both be reported. Finally, the EoEHSS has been previously demonstrated to be valid, reliable,
389 responsive, applicable in adult and pediatric populations, and measures histologic items that are
390 prevalent in patients with EoE beyond the PEC alone.^{13, 19, 20} For these reasons, panelists felt
391 strongly that the EoEHSS should be routinely evaluated in RCTs. However, the EoEHSS was
392 not included as a core outcome in observational studies due to concerns about the time
393 required for interpretation and lack of an atlas to help pathologists not specialized in EoE to
394 score some of the features, although uptake in clinical practice may increase as it is adopted in
395 RCTs.

396

397 **COS: Endoscopic Outcomes**

398 The panel voted that the EoE Endoscopic Reference Score (EREFS) should be used in both
399 RCTs and observational studies to standardize endoscopic assessment of EoE disease activity,
400 scoring the most severe grade of EoE-associated features. Additionally, both inflammatory and
401 fibrotic components of the EREFS should be reported. Different versions of the EREFS were
402 explored (scoring from 0-8, 0-9, 0-16, and 0-18 with alternative definitions or weighting of the
403 EREFS components). There was consensus to score the major features of the EREFS from 0-8
404 with furrows assessed as absent/present; however, there was extensive discussion that scoring
405 from 0-8 may result in a narrower dynamic range of the EREFS score and decrease

406 responsiveness measured by endoscopy, when compared to scoring the furrows ordinally using
407 grade 0 (absent), grade 1 (mild, vertical lines without visible depth), and grade 2 (severe,
408 vertical lines with mucosal depth/indentation). Additionally, if scoring is performed on a 0-9
409 scale, *post-hoc* analysis collapsing the categories for moderate-to-severe furrows can generate
410 an EREFS score on a 0-8 scale, but not vice versa. For both RCTs and observational studies,
411 there was consensus that endoscopic remission should be defined using an EREFS ≤ 2 .

412
413 *Implications and Future Directions:* The EREFS score has been shown to accurately identify
414 disease activity in both adult and pediatric populations, can be reliably scored by experts and
415 quickly learned by non-experts, and is responsive to treatment.²¹⁻²³ From this consensus, we
416 recommend scoring the EREFS in all EoE RCTs and observational studies, reporting individual
417 component items, and using a cutoff of ≤ 2 for endoscopic remission. However, there was
418 debate as to whether the EREFS should be scored on a 0-9 or 0-8 scale, recognizing that
419 scoring on a broader range may improve the sensitivity of the instrument for detecting change in
420 an RCT setting and can be converted to a 0-8 scale *post-hoc* if required. Functionally, reporting
421 individual component subscores of the EREFS is also required to discern endoscopic
422 inflammatory versus fibrostenotic disease activity. Investigators may choose to grade furrows on
423 a 3-point rather than binary scale and collapse in post-hoc analyses if required.

424

425 **COS: Patient-Reported Symptoms and Quality of Life**

426 There was consensus that validated instruments for patient-reported symptoms, including the
427 Dysphagia Symptoms Questionnaire (DSQ) and the symptom-based EoE Activity Index (EEsAI)
428 with 7-day recall period, should be assessed in EoE RCTs. There was discussion that guidance
429 from the US FDA highlights the use of clinical outcome assessment instruments that use daily
430 evaluations. The EEsAI was developed and has been used in previous RCTs with a 7-day recall
431 period as secondary endpoint, and this outcome was voted to be included in the COS.

432

433 The DSQ was the only 24-hour recall instrument selected out of a myriad of options and is the
434 first such instrument to be validated for use in RCTs, allowing assessment of endpoints such as
435 dysphagia-free days. Other instruments, including both conceptually similar and dissimilar tools,
436 such as the Dysphagia Symptom Diary and Numeric Rating Scales for Dysphagia and Pain,
437 respectively, have been used in other drug development programs, as historically licensing DSQ
438 to all interested parties has not been possible. Given the multitude of instruments with daily
439 recall currently used in RCTs, EEsAI 7-day recall period may be used as secondary endpoint to
440 allow for cross-comparisons between existing instruments.

441

442 There was also consensus that the language used to query dysphagia in adults with EoE
443 include trouble swallowing and delayed/slow passage of food. "Food being stuck" did not reach
444 consensus thresholds in the ratification round. No instruments for measuring symptom severity
445 reached consensus for use in observational studies. Separate instruments were considered for
446 pediatric patients. In pediatric trials, there was consensus that symptoms should be measured
447 using the Pediatric Eosinophilic Esophagitis Symptom Score (PEESS v2.0) for RCTs, but not for
448 observational studies.

449

450 There was consensus that QoL should be measured in EoE RCTs using the EoE-specific QoL
451 questionnaire (EoE-QOL-A) for adults and the Pediatric Quality of Life Inventory (PedsQL) EoE
452 Module for pediatrics. When using the PedsQL EoE Module, it was considered appropriate for
453 both parent-proxy report and child self-report to be reported in RCTs. The panel concluded that
454 disease specific QoL measures rather than generic QoL measures should be chosen for this
455 domain. No instruments for use in all observational studies met the consensus threshold for
456 inclusion in the COS.

457

458 *Implications and Future Directions:* The development of a generic daily recall instrument was
459 identified as a priority, as existing tools such as DSQ and episode-based instruments may be
460 difficult or expensive to implement outside of industry-sponsored RCTs. Whether such
461 instruments should use broad language to describe dysphagia is another relevant consideration,
462 because most available instruments do not assess all possible symptoms relevant for adults
463 with EoE or do not include the most common language used by patients to describe
464 dysphagia.^{24, 25} “Food being stuck” narrowly missed the consensus criteria during ratification
465 round because there were concerns raised that this more accurately reflected food bolus
466 impaction rather than dysphagia, although no clear distinction between language used to
467 describe short- and long-lasting episodes of dysphagia has been noted in qualitative work.
468 Lastly, data on cross comparisons of instruments are scarce, and it is not clear whether
469 assessing symptoms more broadly by including all possible dysphagia language as well as all
470 symptom domains relevant to patients might explain a greater extent of the variation in severity
471 of biologic findings when compared to assessing dysphagia frequency alone.

472
473 The Pediatric Eosinophilic Esophagitis Symptom Score (PEESS v2.0) is the only currently
474 available instrument for assessing symptoms in pediatric patients with EoE. Although there are
475 data to convincingly demonstrate the alignment between patient-reported and proxy-reported
476 symptom severity, there are not enough data to understand the performance of this instrument
477 in the context of treatment response, especially given that: 1) there is a 30-day recall period for
478 this instrument; 2) age influences symptom presentation in children; and 3) a broad range of
479 symptoms need to be assessed.

480

481 **Conclusions**

482 In conclusion, we have developed an internationally guided multidisciplinary COS for use in
483 therapeutic trials in pediatric and adult patients with EoE. Groups assessing EoE therapies

484 should be encouraged to adopt this COS to reduce heterogeneity in outcome reporting and
485 improve comparability to future studies. We recognize that the endpoints used in EoE trials have
486 evolved rapidly over the past two decades. Indeed, limitations of existing instruments for
487 measuring histology, endoscopy, symptoms, and quality of life were highlighted during the
488 discussions that occurred in the consensus process and are reviewed in the accompanying
489 Meeting Summary. Therefore, while this is the first iteration of a COS in EoE, we anticipate that
490 ongoing work in the development of new instruments for measuring disease activity will shape
491 the field moving forwards. Importantly, the development of this COS represents only the
492 minimum outcomes that should be currently measured but should not discourage the
493 development and validation of potentially more robust or appropriate instruments to measure
494 disease activity in the future. In fact, we urge all investigators to measure other potential
495 outcomes of interest, in addition to these benchmarked minimum endpoints. Areas of research
496 priority, including comparisons of the performance characteristics of different tools for
497 measuring disease activity in diverse patient populations, will help to inform the next version of
498 this COS.

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571

572 **Tables and Figures Legend**

573

574 **Table 1.** Core outcome set for eosinophilic esophagitis

575 **Figure 1.** Outcome domains for inclusion in the eosinophilic esophagitis core outcome set

576

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577 **Table 1.** Core outcome set for eosinophilic esophagitis

| Outcome Domain | Randomized Controlled Trials | Observational Studies |
|-----------------------|--|---|
| Histopathology | <ul style="list-style-type: none"> □ Peak esophageal eosinophilia (and appropriate measures of spread, such as error terms or confidence intervals) should be measured and reported in all RCTs, expressed as: <ul style="list-style-type: none"> ▪ Number of eosinophils per high-power field (400 × magnification) ▪ Number of cells adjusted per mm² (400 × magnification) □ Histologic remission should be measured in all RCTs <ul style="list-style-type: none"> ▪ In RCTs, histologic remission should be defined based on a peak eosinophil count of < 15 esophageal eosinophils per high-power field in any location^a □ The grade (severity) and stage (extent) of all components in the EoE Histologic Scoring System (EoEHSS) should be measured and reported in all RCTs <ul style="list-style-type: none"> ▪ The EoEHSS remission score should be measured and reported in all RCTs: for each item, proximal and distal esophagus: remission score of ≤ 3 for grade AND ≤ 3 for stage AND peak eosinophil count of < 15 eos/hpf | <ul style="list-style-type: none"> □ Peak esophageal eosinophilia (and appropriate measures of spread, such as error terms or confidence intervals) should be measured and reported in all observational studies, expressed as: <ul style="list-style-type: none"> ▪ Number of eosinophils per high-power field (400 × magnification) ▪ Number of cells adjusted per mm² (400 × magnification) □ Histologic remission should be measured in all observational studies <ul style="list-style-type: none"> ▪ In observational studies, histologic remission should be defined based on a peak eosinophil count of < 15 esophageal eosinophils per high-power field in any location |
| Endoscopy | <ul style="list-style-type: none"> □ The Endoscopic Reference Score (EREFS) should be measured and reported in all RCTs <ul style="list-style-type: none"> ▪ The major features of the EREFS should be scored from 0 to 8, scoring the most severe grade of esophageal EoE-associated features present in the proximal and distal esophagus (with furrows scored as absent or present)^b □ Endoscopic remission based on EREFS should be measured and reported in all RCTs and observational studies <ul style="list-style-type: none"> ▪ In RCTs or observational studies, the endoscopic EREFS-based remission should be defined as an EREFS score ≤ 2 (based on EREFS scoring from 0 to 8)^b ▪ In RCTs or observational studies, endoscopic inflammatory EREFS-based remission should be defined as the inflammation-associated components (exudate, edema, furrows) score ≤ 2 (based on EREFS scoring from 0 to 8)^c ▪ In RCTs or observational studies, the endoscopic fibrotic EREFS-based remission should be defined as categorical definition as absence of strictures, moderate and severe rings | <ul style="list-style-type: none"> □ The Endoscopic Reference Score (EREFS) should be measured and reported in all observational studies <ul style="list-style-type: none"> ▪ The major features of the EREFS should be scored from 0 to 8, scoring the most severe grade of esophageal EoE-associated features present in the proximal and distal esophagus (with furrows scored as absent or present)[*] |

| Outcome Domain | Randomized Controlled Trials | Observational Studies |
|----------------------------------|---|---|
| Patient-Reported Symptoms | <ul style="list-style-type: none"> <input type="checkbox"/> In all RCTs, symptom severity in adults with EoE should be assessed using a generic instrument with a daily recall period^d <input type="checkbox"/> In all RCTs, symptom severity in adults with EoE should be assessed using the following instruments: <ul style="list-style-type: none"> ▪ Dysphagia Symptom Questionnaire ▪ Eosinophilic Esophagitis Activity Index (7-day recall period) <input type="checkbox"/> In all RCTs, the following language should be used to query dysphagia in adults with EoE: <ul style="list-style-type: none"> ▪ Dysphagia defined as trouble swallowing ▪ Dysphagia defined as delayed or slow passage of food <input type="checkbox"/> In all RCTs, symptom severity in pediatric EoE patients should be measured using Pediatric Eosinophilic Esophagitis Symptom Score (PEESS v2.0) | <p>No patient-reported symptom instruments met consensus thresholds for use in all observational studies</p> <ul style="list-style-type: none"> <input type="checkbox"/> In all observational studies, the following language should be used to query dysphagia in adults with EoE: <ul style="list-style-type: none"> ▪ Dysphagia defined as trouble swallowing ▪ Dysphagia defined as delayed or slow passage of food |
| Quality of Life | <ul style="list-style-type: none"> <input type="checkbox"/> In all RCTs, EoE-specific quality of life in adults should be measured using EoE Quality of Life (EoE-QoL-A) questionnaire <input type="checkbox"/> In all RCTs, pediatric EoE-specific quality of life should be measured using The Pediatric Quality of Life Inventory (PedsQL) EoE Module <ul style="list-style-type: none"> ▪ When using PedsQL EoE Module for children, for whom both parent-proxy report and child self-report are available, both should be reported in all RCTs | <p>No patient-reported quality of life instruments met consensus thresholds for use in all observational studies</p> |

578

579 ^a Remission cut-off of <15 eosinophils/hpf corresponding to <60 eosinophils/mm²

580 ^b See text (COS: Endoscopy Outcomes) for full details; if the EREFS is scored from 0 to 9 with furrows graded as
 581 grade 0 (absent), grade 1 (mild, vertical lines without visible depth), and grade 2 (severe, vertical lines with mucosal
 582 depth), recommended to report component scores to calculate post-hoc an EREFS score on a 0 to 8 scale

583 ^c Endoscopic remission recommended to be defined by EREFS≤2 if scored on 0 to 8, or 0 to 9 scale

584 ^d See text (COS: Patient-Reported Symptoms) for full details; considered appropriate to use a generic instrument with
 585 a daily recall period in accordance with regulatory recommendations

586 Abbreviations: EoE eosinophilic esophagitis; EoEHSS EoE Histologic Scoring System; EoE-QoL-A, EoE Quality of
 587 Life for adults, EREFS Endoscopic Reference Score; hpf high power field; PedsQL Pediatric Quality of Life Inventory;
 588 PEESS Pediatric EoE Symptom Score; RCT randomized controlled trial

Research agenda domains

- Secondary impact on family/caregivers
- Resource utilization

Important domains but optional

- Genetic profiling
- Biomarkers
- Esophageal distensibility
- Immunologic dissection
- Patient perception of health

Critical for inclusion (core domains)

- Histopathology
- Endoscopy
- Patient-reported symptoms
- EoE-specific QoL

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