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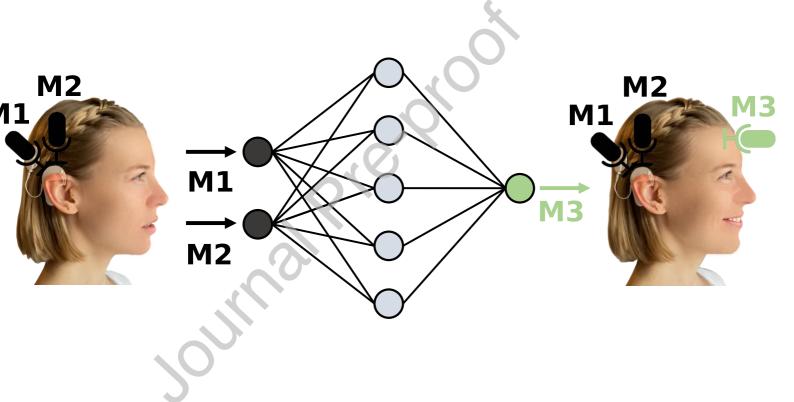
© 2021 The Author(s). Published by Elsevier B.V. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/) HIGHLIGHTS:

- Optimal positioning of the microphones is impractical.

- Deep learning can be used to virtually sense microphone signals.

- Virtual microphone signals can significantly improve the speech quality.

Junalprophos



# Speech Signal Enhancement in Cocktail Party Scenarios by Deep Learning based Virtual Sensing of Head-Mounted Microphones

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## 10 Abstract

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The cocktail party effect refers to the human sense of hearing's ability to pay attention to a single conversation while filtering out all other background noise. To mimic this human hearing ability for people with hearing loss, scientists integrate beamforming algorithms into the signal processing path of hearing aids or implants' audio processors.

Although these algorithms' performance strongly depends on the number and spatial arrangement of the microphones, most devices are equipped with a small number of microphones mounted close to each other on the audio processor housing.

We measured and evaluated the impact of the number and spatial arrangement of hearing aid or head-mounted microphones on the performance of the established Minimum Variance Distortionless Response beamformer in cocktail party scenarios. The measurements revealed that the optimal microphone placement exploits monaural cues (pinna-effect), is close to the target signal, and creates a large distance spread due to its spatial arrangement.

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However, this microphone placement is impractical for hearing aid or 26 implant users, as it includes microphone positions such as on the forehead. To 27 overcome microphones' placement at impractical positions, we propose a deep 28 virtual sensing estimation of the corresponding audio signals. The results 29 of objective measures and a subjective listening test with 20 participants 30 showed that the virtually sensed microphone signals significantly improved 31 the speech quality, especially in cocktail party scenarios with low signal-to-32 noise ratios. Subjective speech quality was assessed using a 3-alternative 33 forced choice procedure to determine which of the presented speech mixtures 34 was most pleasant to understand. 35

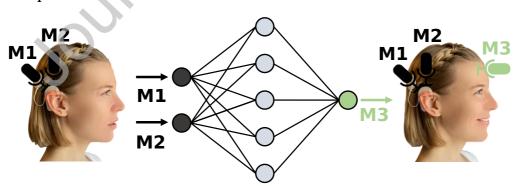
Hearing aid and cochlear implant (CI) users might benefit from the pre sented approach using virtually sensed microphone signals, especially in noisy

<sup>38</sup> environments.

<sup>39</sup> Keywords: artificial intelligence, selective hearing, neural network,

40 beamformer, hearing aid, cochlear implant

Declarations of interest: none



## **Graphical Abstract**

## List of acronyms

- **SNR** signal-to-noise ratio
- **BSS** blind source separation
- ASC acoustic scene classification
- **RTF** relative transfer function
- **STFT** short-time Fourier transform
- **ISTFT** inverse short-time Fourier transform
- **SI-SDR** scale-invariant speech to distortion ratio
- **SDR** speech to distortion ratio
- **STOI** short-time objective intelligibility
- **PESQ** perceptual evaluation of speech quality
- CI cochlear implant
- MVDR minimum variance distortionless response
- BCP Bern cocktail party
- **ILD** interaural level difference
- **HRTF** head related transfer function
- **ReLU** rectified linear unit
- **GUI** graphical user interface

 $\mathbf{dB}$  decibel

Journal Pression

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## 41 **1. Introduction**

Following a conversation in a noisy setting is difficult. In literature, this 42 phenomenon is referred to as the cocktail-party problem. It describes an 43 acoustic scenario, where multiple speech and noise sources with different in-44 tensities and directions of incidence overlap [1]. For normal-hearing persons, 45 the auditory system can handle conflicting sounds and focus on a specific 46 conversation [2, 3]. In hearing aids or CI audio processors, this separation 47 of the conversational partner from a noise tangle is the goal of sophisticated 48 beamforming algorithms [4, 5, 6, 7]. 49

It is well known that the signal quality of beamforming algorithms in-50 creases with the number of available input microphones and their position-51 ing with respect to the target source [8, 9, 10, 11, 12, 13]. Using numerical 52 experiments, Feng et al. [8] showed that the microphone positions play an 53 essential role in the overall performance of beamforming algorithms. Jones 54 et al. [14] further showed for CI users that the microphone position at the 55 ear canal versus behind the ear led to more detailed interaural level differ-56 ence (ILD) information due to the frequency transformations of the pinna 57 [15, 16]. In the specific case of unilateral CI users, it was demonstrated that 58 an additional microphone positioned at the contralateral ear led to increased 59 speech understanding in noise [17, 13, 18]. 60

Since many conversations are held face to face [19], it is reasonable to assume that additional microphones in positions other than the contralateral ear canal, e.g., on the forehead, may further improve speech understanding. However, the additional placement of microphones on the head is impractical from the perspective of a hearing aid or CI user. One way of circumventing

this limitation may be to place the microphones virtually rather than phys-66 ically. The results of several virtual microphone sensing approaches suggest 67 that estimating an additional microphone signal using information from the 68 available microphones may improve the speech quality in a cocktail party 69 scenario [20, 21, 22]. The microphone array used to record the reference sig-70 nals was similar in the studies and consisted of 2 microphones positioned in a 71 straight line at a distance of 4 cm [20, 21] or 3 cm [22] from each other. To gen-72 erate virtual microphone signals, the phase was linearly interpolated [20, 21] 73 or extrapolated [22] using measurements of the real microphone signals. In 74 Denk et al. [23], functions transformed the sound pressure at a microphone 75 positioned on a hearing aid to the pressure measured at the open eardrum. 76 The basis for the determination of these functions were the relative transfer 77 functions (RTFs) between the microphones, which in turn were determined 78 by head related transfer functions (HRTFs) measurements using frequency 79 sweeps in an anechoic chamber. Also using frequency sweeps, Corey et al. 80 [24] measured and evaluated impulse responses of 160 microphones spread 81 across the body and affixed to wearable accessories. Their results suggest 82 that microphone arrangements with large spatial distance spread across the 83 body provided the best signal-to-noise ratio (SNR) values. Unlike micro-84 phones positioned on the head, the geometric arrangement of microphones 85 placed on clothing may change according to posture. Likely, the quality of 86 a beamforming algorithm defined for a specific microphone geometry suffers 87 from the continually changing microphone geometries in everyday life [25]. 88

The tremendous progress in the field of machine learning leads to the expectation that in the future, the RTFs between microphones can be de-

termined purely data-driven, i.e., without prior knowledge of the specific 91 measurement setup. As a result, beamforming algorithms could be tuned 92 to individual array geometries by simply providing sufficient reference data 93 from the wearer without the need for anechoic chambers or knowledge of 94 the sound sources' positions. In the Mic2Mic publication [26] it was demon-95 strated that even with unlabeled and unpaired data, audio signals between 96 different microphone domains could be translated. Based on the results, an 97 additional virtual microphone at the head of a hearing aid or CI user gen-98 erated or learned solely by data-driven rules seems like a realistic scenario. 99 However, regardless of whether the microphones are placed virtually or phys-100 ically on a subject's head, little is known about how their positioning affects 101 beamforming. 102

To continue the discussion, the first objective of this work was to system-103 atically investigate the speech signal quality in complex acoustic scenarios 104 with varying head-mounted microphone arrangements and a minimum vari-105 ance distortionless response (MVDR) beamformer as introduced by Souden 106 et al. [10]. Based on these measurements, virtual microphone signals at spe-107 cific positions were estimated using a deep neural network. Finally, subjec-108 tive listening tests were conducted to investigate to what extent the virtually 109 sensed microphone signals could improve the speech signal quality. 110

## 111 2. Methods

#### 112 2.1. Linear observation model

In this work, recordings from M = 16 microphones attached to a human head were used. Each of the i = 1...M microphone signals  $y_i(t)$  recorded varying acoustic cocktail party scenarios at time t. In the following, the cocktail party mixtures are described as the summation of the target speech source  $s_i(t)$  and the noise  $w_i(t)$  at microphone i:

$$y_i(t) = a_i s(t - \tau_i) + w_i(t)$$

where  $\tau_i$  represents the time-delay of arrival and  $a_i$  is the amplitude modulation depending on the geometric arrangement of the microphones under the assumption of anechoic conditions. The noise  $w_i(t)$  is assumed to be uncorrelated with the signal  $s_i(t)$ .

To enhance the perception of the target speech sources, the signals at each microphone can be combined using "beamforming" techniques. In this study, we used the widely studied MVDR beamformer [27, 28], which is introduced in the following section.

## 126 2.2. MVDR beamforming

The MVDR beamformer minimizes the power of the beamformed signal while preserving the target signal, under the constraint of no distortion in the target signal [10]. The MVDR is a filter-and-sum beamformer and as such it applies different phase weights  $h_i(f)$  to the *i* input microphone channels in order to steer the main lobe of the directivity pattern to the direction of

the target signal. The phase weights, or filters, are obtained in the frequency
domain using [29]:

$$\boldsymbol{h}_{ref}(f) = \left[h_{1,ref}(f), \dots, h_{M,ref}(f)\right]^T = \frac{1}{\lambda(f)} \left(\boldsymbol{G}(f) - \boldsymbol{I}_{M \times M}\right) \boldsymbol{e}_{ref} \quad (1)$$

Where I is the identity matrix and G(f) can be obtained by  $G(f) = \Phi_{\text{noise}}^{-1}(f)\Phi_{\text{obs}}(f)$  with  $\lambda(f) = \text{trace}(G(f)) - M$  [30, 10]. The spatial covariance matrices  $\Phi$  can be computed by using time-frequency masks [29, 31, 32, 33]. However, in this work we focus on the impact of additional microphone channels on the MVDR beamformers performance and extract  $\Phi_{\text{noise}}^{-1}(f)$ ,  $\Phi_{\text{obs}}(f)$  and  $\Phi_{\text{target}}(f)$  from the noise, observation and target recordings.

The standard unit vector of the reference microphone  $e_{ref}$ , is selected by a maximum a posteriori expected SNR estimation. The reference microphone is chosen based on ref = argmax SNR<sub>post,r</sub> [29] and:

$$\mathrm{SNR}_{\mathrm{post},r} = \frac{\sum_{f=0}^{F-1} \boldsymbol{h}_r^H(f) \boldsymbol{\Phi}_{\mathrm{target}}(f) \boldsymbol{h}_r(f)}{\sum_{f=0}^{F-1} \boldsymbol{h}_r^H(f) \boldsymbol{\Phi}_{\mathrm{noise}}(f) \boldsymbol{h}_r(f)}.$$

Thus, the reference channel or microphone depends on  $h_r(f)$ , which is the *M*-dimensional filter response (see Eq. 1) at the discrete frequency index  $f = 0, \ldots, F - 1$ , when  $e_{ref}$  is set to  $e_r$ . After the filters  $h_{ref}(f)$  are computed, the beamformed output  $z_{t,f}$  is obtained by using the short-time Fourier transforms (STFTs)  $y_{i,t,f}$  of the microphone signals  $y_i(t)$ :

$$z_{t,f} = \sum_{i=1}^{M} h_{i,ref}(f) y_{i,t,f}$$

For the MVDR beamformer, the input signals were down-sampled to 8 kHz and a Blackman window was applied [34]. Subsequently, an STFT (size = 256 and shift = 128) was performed. To reconstruct the signal, an

inverse short-time Fourier transform (ISTFT) with the overlapadd strategy
was applied. The herein used MVDR beamformer to evaluate the benefits
of virtual microphone signals is just one application scenario. Theoretically,
any multi-channel speech-enhancement algorithm could have been used to
assess the benefits of virtually sensed microphone signals.

## 151 2.3. Data

The Bern cocktail party (BCP) dataset is tailored to this work, as it 152 contains multi-microphone recordings of hearing aid or CI users in cocktail 153 party scenarios [35]. For the recordings, 12 loudspeakers (Control 1 Pro, 154 JBL, Northridge, USA) were aligned horizontally in a circle at the height 155 of the ears (1.2 m) in an acoustic chamber [36, 37, 13]. For this work, we 156 used the acoustic scenarios captured with 16 microphones (ICS-40619, TDK, 157 Tokyo, Japan) attached to a head and torso simulator (Brel & Kjær, Type 158 4128, Nærum, Denmark) (see Figures 1 and 2). 159

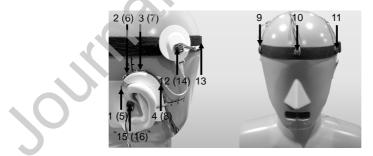


Figure 1: Placement of the 16 microphones used for cocktail party scenario recordings. The IDs refer to the microphone signals assignment in the multi-channel recording audio files [35]. Numbers in brackets refer to the contralateral (here: right side) assignment of the microphones. The sagittal plane is defined by a straight line between microphones 10 and 13 (front and back). A numeric description can be found in Table 1.

Microphone ID	Microphone position
{1}	Left audio processor. Facing forward.
{2}	Left audio processor. Facing to the top / forward.
{3}	Left audio processor. Facing to the top / backward.
<i>{</i> 4 <i>}</i>	Left audio processor. Facing back.
<i>{</i> 5 <i>}</i>	Right audio processor. Facing forward.
<b>{6}</b>	Right audio processor. Facing to the top / forward.
{7}	Right audio processor. Facing to the top / backward.
{8}	Right audio processor. Facing backward.
<i>{</i> 9 <i>}</i>	Right temple.
{10}	Front.
{11}	Left temple.
{12}	Left transmission coil.
{13}	Back.
{14}	Right transmission coil.
$\{15\}$	Left Ear. Entry of the ear canal.
{16}	Right Ear. Entry of the ear canal.

Table 1: Assignment of the 16 microphone positions to their respective IDs.

## 160 2.3.1. Test dataset

The results of this work were computed with an excerpt of 2400 samples 161 from the BCP dataset [35]. The duration of each sample was 1.5 s, resulting 162 in a total test dataset duration of 1 h. The samples were randomly chosen 163 under the constraint, that a majority of the recordings contain a target source 164 azimuth inside the field of view (i.e.,  $\pm 45^{\circ}$ ), as this represents the most 165 natural listening scenario [38] (see Figure 3). All samples were randomly 166 selected from an SNR distribution which covered conversational speech levels 167 with 1 to 3 competing speakers and varying background noise types and 168 intensities. The distribution of the audio mixture on the 12 output channels 169 covered scenarios of spatially separated and non-separated speech and noise 170 sources. The samples or audio mixtures had a mean SNR value of 1.2 dB 171 with a standard deviation of 10.9 dB. 172

## 173 2.3.2. Training dataset

For the training and validation of the deep neural network 65 h (78404 audio samples with 3 s duration each) were randomly selected from the head and torso simulator recordings of the BCP dataset [35], excluding the test dataset (see Section 2.3.1). Ninety percent of the samples were used for training and 10% for validation. Because of the large size of the training and validation dataset, no cross-validation was performed.

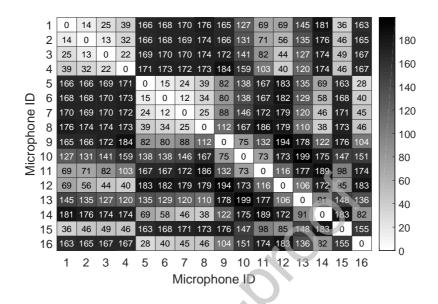


Figure 2: Euclidean distances in millimeters between the microphones for the head and torso simulator measurements [35].

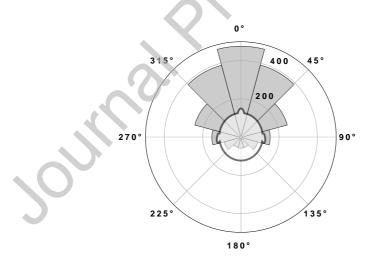


Figure 3: Circular histogram of the frequency of occurrence of spatial source directions in relation to the head and torso simulator azimuth. The audio files were were selected such that the directional distribution assumes a von-Mises distribution with  $\mu = 0.0$  and  $\kappa = 1.1$  [35].

## 180 2.4. Evaluation of microphone channel configurations

Various microphone channel configurations were evaluated by adding or omitting microphone channels with respect to a reference microphone channel configuration, as explained in detail later (Section 3, Tables 3-6). The results were computed by providing the MVDR beamformer [10] with the target and noise spatial covariance matrices  $\Phi$  of the audio mixtures from the corresponding microphone configurations.

The reference microphone configurations were selected to cover reasonable microphone inputs of hearing aid devices or audio processors. Care was also taken to ensure that all microphones in the unilateral reference microphone configurations could technically be connected to the audio processor using an existing cable such as from the CI transmission coil to the audio processor.

To cover realistic use cases regarding the benefits of different microphone 192 configurations, the results were divided into 4 categories rather than pre-193 senting all possible microphone channel combinations: subsets of unilateral 194 CI microphone configurations (see Table 3), unilateral CI microphone con-195 figurations with additional ipsilateral microphones (Table 4), unilateral CI 196 microphone configurations with additional contralateral microphones (Table 197 5), symmetric bilateral CI configurations with additional microphones (Table 198 6). An overview of all measured microphone configurations can be found in 199 Table 2. 200

For the evaluation of the microphone configurations (i.e., real recordings and virtually sensed microphone channels), the following objective speech quality metrics were assessed: perceptual evaluation of speech quality (PESQ) [39], short-time objective intelligibility (STOI) [40] and scale-invariant speech

Unilateral microphone configuration	Bilateral microphone configurations			
{1}	$\{1, 2, 3, 4, 9\}$			
{2}	$\{1, 2, 3, 4, 14\}$			
{3}	$\{1, 2, 3, 4, 16\}$			
{4}	$\{1, 2, 3, 4, 5, 6, 7, 8\}$			
{10}	$\{1, 2, 3, 4, 5, 6, 7, 8, 10\}$			
{11}	$\{1, 2, 3, 4, 5, 6, 7, 8, 13\}$			
{12}	$\{1, 2, 3, 4, 5, 6, 7, 8, 9, 11\}$			
{13}	$\{1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16\}$			
{15}	$\{1, 2, 3, 4, 5, 6, 7, 8, 15, 16\}$			
$\{1, 2\}$	$\{2, 3, 9\}$			
$\{1, 2, 3, 4\}$	$\{2, 3, 14\}$			
$\{1, 2, 3, 4, 10\}$	$\{2, 3, 16\}$			
$\{1, 2, 3, 4, 11\}$	$\{2, 3, 6, 7\}$			
$\{1, 2, 3, 4, 12\}$	$\{2, 3, 6, 7, 10\}$			
$\{1, 2, 3, 4, 13\}$	$\{2, 3, 6, 7, 13\}$			
$\{1, 2, 3, 4, 15\}$	$\{2, 3, 6, 7, 9, 11\}$			
{1, 3}	$\{2, 3, 6, 7, 15, 16\}$			
{1, 4}	$\{2, 3, 10, 13, 16\}$			
{2, 3}				
$\{2, 3, 10\}$				
$\{2, 3, 11\}$				
$\{2, 3, 12\}$				
$\{2, 3, 13\}$				
$\{2, 3, 15\}$				
$\{2, 4\}$				
$\{3, 4\}$				

Table 2: Overview of all measured microphone configurations.

to distortion ratio (SI-SDR) [41]. The PESQ metric models the speech qual-205 ity as perceived by human listeners. Analysis of speech-audio with the PESQ 206 metric usually ranges from 1.0 (high distortion) to 4.5 (no distortion) [39]. 207 The values of STOI range from 0.0 (no word correctly understood) to 1.0 208 (all words correctly understood) and highly correlate with the intelligibility 200 of degraded speech signals [40]. The SI-SDR metric defines the energy ratio 210 between the clean target signal and the acoustic distortions in decibel (dB). 211 It is a slightly modified version of speech to distortion ratio (SDR), making 212 it insensitive to power rescaling of the estimated signal [41]. 213

For testing within a group of microphone configurations, the Friedman test was used (see Sections 3.1 and 3.2). To find the configurations that differed significantly after the Friedman test has rejected the null hypothesis, a post-hoc Nemenyi test was performed. In Section 3.3, two sets of paired samples were compared to each other with the two-sided Wilcoxon signed-rank test (no multiple testing). The significance level was chosen with  $\alpha = 0.05$ for all statistical tests.

## 221 2.5. Virtual sensing of a microphone channel

The virtual sensing approach aimed to improve the speech quality in cocktail party scenarios by providing the beamformer with additional, virtually sensed, microphone signals. In this work, the estimation of the virtual microphone signals was realized by a purely data-driven deep learning approach on the raw-audio mixture without preprocessing [42].

Most applications of deep neural networks in the domain of audio signal processing address the enhancement of speech signals by separating a target source (speech) from a mixture of interfering noise sources [43]. In the work

presented here, however, no source separation was performed, but rather, in 230 a transferred sense, a denoising of the reference signal, as explained in the 231 following: Let the audio signal captured from a microphone inside the ear 232 canal of the left ear be the reference signal and the audio signal inside the 233 ear canal of the right ear the target signal. By trying to match the signal of 234 the left ear to the right ear or denoise the left ear, we hypothesize that the 235 network implicitly learns the RTF between the two microphone signals or, in 236 other words, the "noise" to remove from the audio signal of the left ear. As 237 a result, the network tries to virtually sense the right ear's audio input by 238 using the signal of the left ear. To evaluate the quality of the virtually sensed 239 microphones, spatial covariance matrices  $\Phi$  with and without virtually sensed 240 microphone signals were provided as input for the MVDR beamformer [10]. 241 The results were compared with the same metrics and statistics as with the 242 real microphones measurements (see Section 2.4). 243

In this study, two microphone signals were used as reference signals, and 244 three additional microphone signals were virtually sensed. The 2 reference 245 signals consisted of the microphones  $\{2, 3\}$  and were chosen because their 246 spatial arrangement corresponds to that of a conventional CI audio proces-247 sor (see Figure 1 or Table 1). Motivated by the results of the head-mounted 248 microphone measurements, the microphone on the forehead  $(\{10\})$ , the back 249  $({13})$  and inside the ear canal of the contralateral ear  $({16})$  were chosen 250 as target signals for the virtual sensing approach. In the remainder of the 251 manuscript, virtual channels are indicated by the subscript v. The resulting 252 microphone configuration ( $\{2, 3, 10_v, 13_v, 16_v\}$ ) provided the advantages 253 as explained in the Discussion (Section 4.1): a high spatial spread of the 25

microphone signals [44], proximity to the target signal, and frequency transformations by the pinna and head shadow [15].

257 2.5.1. Deep neural network architecture for the virtual sensing approach

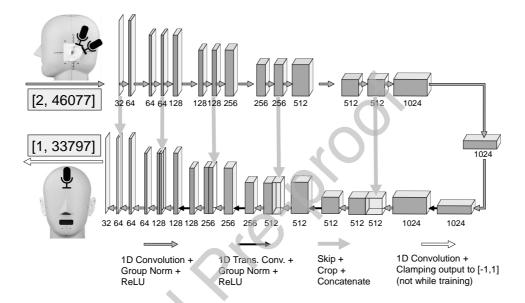


Figure 4: The proposed deep neural network architecture for the virtual sensing of additional microphone channels based on the work of Stoller et al. [42]. The numbers below the blocks describe the input channel size of the following convolution. Shown is an example for the estimation of the microphone signal on the forehead ( $\{10\}$ ) with the measurement data of 2 microphones as positioned in conventional cochlear implant (CI) audio processors (microphones  $\{2, 3\}$ ). The network's input and output data blocks denoted with "[A, B]" describe the number of channels (A) and the number of samples (B). For an illustration of the microphone placement, please see Figure 1.

The network architecture followed the U-Net adaption for end-to-end audio source separation in the time-domain [42]. The neural network operation on the raw-waveform in the time domain allowed to model the phase information of the audio signal, thus avoiding complex phase recovery algorithms

<sup>262</sup> [45, 46]. The well known U-Net structure is composed as a convolutional
<sup>263</sup> autoencoder, and as such, consists of an encoder (contracting path), a bot<sup>264</sup> tleneck, and a decoder (expanding path) [47]. A diagram of our network's
<sup>265</sup> architecture implementation is shown in Figure 4.

In the encoder, an increasing number of higher-level features on coarser 266 time scales were calculated, allowing the modeling of long-term dependen-267 cies in the audio signal. Our implementation of the encoder consisted of 268 5 levels, with each level working on half the time resolution and twice the 269 number of feature maps as the previous one. In the bottleneck, the model 270 was forced to learn a compression of the input data, containing only the 271 relevant information (latent space) to construct the virtual microphone sig-272 nal. The latent-space representation of the bottleneck layer was passed to 273 the decoder, which tried to learn a mapping of the input data to match the 274 desired virtual microphone signal. The decoder was the mirror image of the 275 encoder and also consisted of 5 levels. Each level worked on double the time 276 resolution and half the number of feature maps as the previous level. Based 277 on the results of initial tests, transposed convolutions were used for the up-278 sampling process. Each convolution was followed by group normalization, 279 and a rectified linear unit (ReLU) activation function [48, 19]. By introduc-280 ing the skip connections in the encoder-decoder architecture, the encoder's 281 high-level features were concatenated with the local features computed dur-282 ing the upsampling block of the decoding. The result of this concatenation 283 were multi-scale features that were fed in the output layer of the network 284 [47, 42]. The output of the last convolutional layer was the estimation of the 285 virtually sensed microphone signal. 286

The receptive field of the model was chosen to work with 2.1s (46077 samples), which provided an output vector with the desired test size of 1.5s (33797 samples).

Since no implicit zero padding was performed in the convolution operation, the neural network's output sample size was smaller than the input sample size. Avoiding zero-padding allowed the convolutions to be performed in the correct audio context. As a result, audio artifacts in the results could be minimized, and the temporal continuity of the audio signal was better preserved [42].

#### 296 2.5.2. Network training

To train the deep virtual sensing network, we extracted measurement 297 data from the two reference channels  $(\{2, 3\})$  and the microphone channel to 298 be estimated. Due to the large size of the BCP training dataset (see Section 299 2.3.2), no data augmentation was necessary. In accordance with the original 300 Wave-U-Net implementation [42], the audio data of the BCP dataset [35] 301 was downsampled to 22.05 kHz. For evaluating the network's performance, 302 the absolute differences between the actual value and the predicted value  $(L_1)$ 303 loss) were used. To update the network weights iteratively based on training 304 data, we applied the ADAM optimizer [49] with the default decay rates of 305  $\beta_1 = 0.9, \beta_2 = 0.999$  and a batch size of 16 [42]. Instead of monotonically 306 decreasing the learning rate, cyclical learning rates [50] were used with upper 307 and lower boundaries of 0.0002 and 0.00001, respectively. Early stopping was 308 performed after 10 epochs with only minimal improvement on the validation 309 loss. Afterward, the best model was fine-tuned with lower learning rate limits 310 (0.000001 to 0.00001) and a batch size of 8, again until 10 epochs without 311

improvement on the validation loss. The fine-tuned network was further used to predict the virtual channels. The test dataset to evaluate the virtually sensed microphone channels consisted of 2400 samples, which included the audio files described in Section 2.3.1. Care was taken to ensure that none of the test samples were used to validate or train the network.

Since each virtual channel was estimated on a separate network, the networks were trained one after the other. The training time was reduced by successively using the previously trained network as a starting-point (transfer learning) [51]. All computations were performed with the open-source machine learning framework PyTorch version 1.6.0 [52].

#### 322 2.5.3. Subjective listening tests

Twenty normal hearing participants (6 female, 14 male, mean age in years = 29.8, SD = 3.6) performed a subjective listening test to evaluate the benefit of the virtually sensed microphone signals on the speech quality. The test was performed in a quiet environment, and stimuli were presented via high definition insert earphones (Triple Driver, 1 More Inc. San Diego, CA) at the most comfortable loudness levels as selected by the subjects.

The questions of the subjective evaluation were twofold. First, we asked the subjects whether the signal processing applied by the MVDR beamformer lead to overall improved speech quality. Second, it was evaluated whether the beamformed signal based on the reference channels ( $\{2, 3\}$ ) with additional virtual channels ( $\{10_v\}, \{13_v\}, \{16_v\}$ ) outperforms the beamformed signal without virtual channels available, i.e. only the measured channels  $\{2, 3\}$ were used (see Figure 1 or Table 1 for a transcription of the channel IDs).

To answer these questions, the participants were asked to listen to 3 audio

337 mixtures, all based on the same recording but either

• Beamformed based on the reference channels with additional virtual channels ( $\{2, 3\} + \{10_v\}, \{13_v\}, \{16_v\}$ )

- Beamformed based on the reference channels only  $(\{2, 3\})$
- The non-beamformed recording of the channels {2, 3}

The 3 audio mixtures were randomly assigned to 3 buttons on a graphical 342 user interface (GUI). Since the beamformer's task was to enhance the speech 343 quality for a predefined target signal, a fourth button on the GUI labeled 344 "Target Signal" played back a recording of the corresponding target speech 345 signal without interfering background noise. Finally, the participants' task 346 was to select from the 3 audio mixtures the one in which the target signal 347 was most comfortable to understand. Before the test started, trial runs were 348 conducted until the participants confirmed that they understood the test 349 procedure. 350

During the test and the trial runs, the participants were allowed to hear 351 the 4 audio files (1 target signal and 3 audio mixtures) as many times as de-352 sired. The test stimuli consisted of 60 audio mixture quartets of 1.5 seconds 353 length per file, ensuring that each file contained the utterance of at least one 354 word. All audio mixtures were taken from the pool of the 2400 test files 355 described in Section 2.3 with distribution proportions as shown in Figure 3. 356 Evaluation of the presented audio files took about 20 minutes; no feedback 357 was given during or after the test. After evaluating 30 of the 60 audio files, a 358 pause of 3 minutes was taken during which the GUI was disabled. To mini-359 mize order bias, the 2 stimuli blocks that were evaluated before and after the 360

<sup>361</sup> pause were counter-balanced within the participants. The subjective listen<sup>362</sup> ing evaluation was designed in accordance with the Declaration of Helsinki,
<sup>363</sup> written informed consent was obtained from all participants.

A Kruskal-Wallis test was used to determine if the frequency of choices 364 within the 3 response options differed significantly from each other. After the 365 Kruskal-Wallis test has rejected the null hypothesis, a post-hoc Nemenyi test 366 was performed to investigate which of the response distributions differed sig-367 nificantly from each other. To determine whether the response distributions 368 differed significantly from the chance level of the test (33 %), a chi-square 369 test was applied. The significance level was chosen with  $\alpha = 0.05$  for all 370 statistical tests. 371

## 372 3. Results

#### 373 3.1. MVDR beamforming with unilateral channel configurations

Table 3 shows the PESQ, STOI and SI-SDR performances of unilateral 374 single microphone configurations compared to the performance with the ref-375 erence configuration, i.e. a CI audio processor equipped with 4 microphones 376 placed on top of the housing. For the PESQ and SI-SDR metric, the per-377 formances with single microphones were significantly worse than with the 378 4-channel reference configuration (all p = 0.001). The same was observed 379 for STOI (p = 0.001) except for the microphones  $\{1, 4\}$  and  $\{2, 4\}$  (both 380 p = 0.9). In all 3 metrics, the microphones that were facing the front (front 381  $\{10\}$ , left temple  $\{11\}$ , forward facing (audio processor)  $\{1\}$ , see Figure 1 382 or Table 1) achieved the best results, whereas the performance differences 383 between channels  $\{10\}$  and  $\{11\}$  were not statistically significant in terms of 384 PESQ and SI-SDR (p = 0.608, p = 0.9) but for STOI (p = 0.001). Between 385 the microphones  $\{1\}$  and  $\{2\}$  the metrics PESQ, STOI and SI-SDR did not 386 differ significantly (p = 0.408, p = 0.9, p = 0.115) (a significance-matrix 387 showing the results of the post-hoc Nemenyi tests for Table 3 can be found 388 in the Appendix (Figures A.1-A.3)). 389

When the same 4-channel reference configuration (microphones {1, 2, 3, 4}) was extended by the aforementioned ipsilateral single microphone signals, again the front-facing microphones {10} and {11} (see Figure 3) provided the greatest benefit (see Table 4). The performance differences for all metrics when channel {10} (front) was added did not differ significantly from the performance differences when channel {11} (left temple) was added to the reference configuration (PESQ: p = 0.792, STOI: p = 0.736, SI-SDR: p = 0.9)

(a significance-matrix showing the results of the post-hoc Nemenyi tests for
Table 4 can be found in the Appendix (Figures A.4-A.9)).

Since many CI audio processors record signals from 2 microphones posi-399 tioned on top of the housing, the performance of different spatial arrange-400 ments of 2 microphones placed on the audio processor compared to the 4-401 channel reference configuration (microphones  $\{1, 2, 3, 4\}$ ) was investigated 402 and is shown in Table 3. The arrangement with the largest spatial distance 403 between the 2 microphones, namely the microphones on top of the audio pro-404 cessors facing the front and back  $(\{1, 4\})$ , achieved the best performance (see 405 Figure 2 for a microphone distance matrix). The statistical analysis showed 406 that the performance differences of the microphones  $\{1, 4\}$  did not differ sig-407 nificantly for PESQ and STOI from the results compared to the microphones 408 on the audio processor facing the top and the back  $(\{2, 4\})$  to the reference 409 configuration (p = 0.668, p = 0.9). Both 2 channel microphone configura-410 tions did not differ significantly from the 4 channel reference configuration in 411 terms of STOI (both p = 0.9). For the SI-SDR metric, the differences when 412 adding  $\{1, 4\}$  did not differ statistically significantly from any of the tested 413 2 channel configurations (all p = 0.9). 414

The arrangement with the smallest inter-microphone distance (microphones {2, 3}, see Figures 1 and 2), which is related to the conventional microphone positions of CI audio processors, achieved the lowest scores in 2 (STOI and SI-SDR) of the 3 evaluated objective metrics, even though for SI-SDR the differences of this configuration did not differ significantly from any of the tested 2 channel configurations (all p = 0.9). For the metrics PESQ and STOI no significant differences in the performances between the micro-

phones  $\{2, 3\}, \{1, 2\}$  or  $\{1, 3\}$  were observed (PESQ: p = 0.721, p = 0.601, 422 STOI: p = 0.884, p = 0.134). Table 4 shows the impact on the PESQ, STOI 423 and SI-SDR metrics when additional ipsilateral, including those on the sagit-424 tal plane, microphones were added to the the conventional microphone ar-425 rangement  $(\{2, 3\})$ . The extension of the microphone arrangement  $(\{2, 3\})$ 426 with forward facing microphones (front  $\{10\}$  or left temple  $\{11\}$ ) provided 427 the greatest benefit. For none of the 3 tested metrics did the performance 428 between adding the front  $(\{10\})$  or left temple  $(\{11\})$  microphone to the 429 conventional microphone arrangement differ significantly (PESQ: p = 0.067, 430 STOI: p = 0.678, SI-SDR: p = 0.251). 431

Table 3: Values represent the mean difference in the performance of the unilateral cochlear implant (CI) microphone configurations compared to the mean performance of the *reference channel configuration* including channels positioned on the sagittal plane (see Figure 1). The best result for each metric is marked in bold. All performance differences were statistically significant compared to the reference channel performance, except those marked with "<sup>†</sup>".

		Metric		
Microphone 1	Ds	PESQ	STOI	SI-SDR
Ref.: {1, 2, 3,	4}	1.77	0.48	-29.07
	$\{1\}$	-0.28	-0.06	-2.95
	$\{2\}$	-0.28	-0.06	-3.13
	$\{3\}$	-0.29	-0.06	-3.13
	$\{4\}$	-0.31	-0.07	-3.32
{	$10\}$	-0.24	-0.03	-2.77
{	11}	-0.25	-0.04	-2.65
{	$12\}$	-0.30	-0.07	-3.24
{	$13\}$	-0.35	-0.08	-3.52
	$15\}$	-0.29	-0.06	-3.19
	2}	-0.17	-0.03	-1.25
<b>)</b> {3	4}	-0.13	-0.02	-0.86
{1	3}	-0.15	-0.03	-0.97
{1	4}	-0.08	-0.01 $^{\dagger}$	-0.77
{2	3}	-0.16	-0.03	-1.32
$\{2,$	4}	-0.09	$-0.01^{\dagger}$	-0.89

Table 4: Values represent the mean difference in the performance of unilateral cochlear implant (CI) microphone configurations when additional ipsilateral, including sagittal plane, microphones were added (see Figure 1). The performance difference is calculated in relation to the mean performance of the *reference channel configuration*. The best result for each metric is marked in bold. All performance differences were statistically significant compared to the reference channel performance, except those marked with "<sup>†</sup>".

	Metric			
Microphone IDs	PESQ	STOI	SI-SDR	
Ref.: {1, 2, 3, 4}	1.77	0.48	-29.07	
Ref. $+$ {10}	0.18	0.04	0.69	
Ref. $+$ {11}	0.20	0.04	0.59	
Ref. $+$ {12}	0.02	< 0.01	$0.14^{\dagger}$	
Ref. $+$ {13}	0.11	0.03	0.64	
Ref. $+$ {15}	0.01	${<}0.01^{\dagger}$	$-0,39^{\dagger}$	
<i>Ref.:</i> {2, 3}	1.61	0.45	-30.38	
Ref. $+$ {10}	0.22	0.06	1.38	
Ref. $+$ {11}	0.23	0.06	1.10	
Ref. $+$ {12}	0.12	0.03	0.81	
Ref. $+$ {13}	0.15	0.04	0.92	
Ref. $+$ {15}	0.03	< 0.01	0.30	

## 432 3.2. MVDR beamforming with bilateral channel configurations

Table 5 shows the PESQ, STOI and SI-SDR performances when addi-433 tional bilateral microphones were added to the input signals of an unilateral 434 CI audio processor equipped with 4 microphones placed on top of the housing 435 (microphones  $\{1, 2, 3, 4\}$ , see Figure 1 or Table 1). When a single contralat-436 eral microphone was added, it was not the microphone closest to the target 437 source (microphone {9}, temple) that provided the greatest benefit in terms 438 of the human perception-related objective metrics PESQ and STOI, but the 439 contralateral ear canal microphone  $\{16\}$ . Compared to adding channels  $\{9\}$ 440 or {14} (temple or contralateral CI transmission coil), the improvement of 441 the PESQ and STOI metrics were significantly better when adding the con-442 tralateral ear-canal microphone (all p = 0.001) (a significance-matrix show-443 ing all results of the post-hoc Nemenyi test for Table 5 can be found in the 444 Appendix (Figures A.10-A.15)). However, in terms of SI-SDR, the input 445 from the microphone on the contralateral CI transmission coil (microphone 446 {14}) achieved the best SI-SDR values and even outperformed the micro-447 phone configuration compared to an additional contralateral 4-channel CI 448 audio processor. All differences in SI-SDR with the contralateral transmis-440 sion coil microphone ( $\{14\}$ ) compared to  $\{9\}$  (contralateral temple),  $\{16\}$ 450 (contralateral ear canal) and Ref. ch. + {5, 6, 7, 8} were not statistically 451 significant (p = 0.362, p = 0.802, p = 0.409). Since the cable connection 452 between the CI transmission-coil and the audio processor could theoretically 453 be exploited to transmit audio signals, a unilateral microphone configuration 454 was also used as a reference, which included the coil signal  $(\{12\})$  in addi-455 tion to the 4 microphones on the audio processors. The results showed in 456

Table 5 did differ only marginally and non significantly between the reference configuration with the CI transmission coil ({1, 2, 3, 4, 12}) and the reference configuration without the CI transmission coil microphone ({1, 2, 3, 4}). The small benefit of adding microphone {12} to the reference channel configuration is also indicated by the results of Table 4.

An analysis of the results with a reference microphone configuration based 462 on the conventional spatial microphone arrangement in CI audio processors 463 (microphones  $\{2, 3\}$ , see Figure 1 or Table 1), lead to similar conclusions 464 as with the 4-channel microphone configuration described above (see Table 465 5). Again, the overall result of a single additional microphone positioned 466 at the contralateral ear-canal  $\{16\}$  was best, but only with respect to STOI 467 and PESQ. For the PESQ metric, the performance with an additional mi-468 crophone positioned in the contralateral ear canal differed non-significantly 469 compared to the performance with an additional microphone on the temple 470 ( $\{9\}$ ) (p = 0.763). In terms of SI-SDR, the microphones on the contralateral 471 side which were close to the sagittal plane (temple {9} and transmission coil 472  $\{14\}$ ) outperformed the contralateral ear-canal microphone  $\{16\}$  when added 473 to the microphone configuration  $\{2, 3\}$  (p = 0.006, p = 0.9). An additional, 474 identical, bilaterally connected processor with 2 microphones  $(\{6, 7\})$  yielded 475 significantly better values in all metrics than adding the single microphones 476 shown in Table 5 (see Appendix Figure A.13-A.15 for p-values).

Table 5: Values represent the mean difference in the performance of unilateral cochlear implant (CI) microphone configurations when additional contralateral microphones were added (see Figure 1). The performance difference is calculated in relation to the mean performance of the *reference channel configuration*. The best result for each metric is marked in bold. All performance differences were statistically significant compared to the reference channel performance.

	Metric			
Microphone IDs	PESQ	STOI	SI-SDR	
Ref.: {1, 2, 3, 4}	1.77	0.48	-29.07	
Ref. $+$ {9}	0.12	0.03	0.41	
Ref. $+$ {14}	0.16	0.03	0.80	
Ref. $+$ {16}	0.19	0.04	0.42	
Ref. $+$ {5, 6, 7, 8}	0.30	0.05	0.61	
<i>Ref.:</i> {2, 3}	1.61	0.45	-30.38	
Ref. $+ \{9\}$	0.18	0.04	1.30	
Ref. $+$ {14}	0.19	0.04	1.28	
Ref. $+$ {16}	0.21	0.05	1.13	
Ref. $+$ {6, 7}	0.26	0.06	1.44	

When a bilateral CI processor microphone configuration was taken as a 478 reference (microphones  $\{1, 2, 3, 4, 5, 6, 7, 8\}$ , see Table 6), adding a micro-479 phone to the front  $(\{10\})$  provided more benefit than adding a microphone 480 facing the back ({13}) (PESQ and STOI: p = 0.001), but for SI-SDR not 481 statistically significant (p = 0.515) (a significance-matrix showing all results 482 of the post-hoc Nemenyi test for Table 6 can be found in the Appendix (Fig-483 ures A.16-A.21)). The single front microphone achieved even similar and 484 statistically not significantly differing STOI and SI-SDR values compared 485 to the performance when adding 2 microphones at the left and right tem-486 ple ( $\{9,11\}$ ) (both metrics p = 0.9). For PESQ however, the performance 487 with the additional 2 temple microphones  $(\{9,11\})$  differed statistically sig-488 nificant compared to the additional microphone facing to the front  $(\{10\})$ 489 (p = 0.001). Adding the signals of the two in-ear microphones ({15, 16}) to 490 the bilateral CI processor microphone configuration (microphones  $\{1, 2, 3, 4, ..., ..., 2, .$ 491  $(\{2, 3, 6, 7, 8\})$  did not provide any benefit, not even if only 2 bilateral ( $\{2, 3, 6, 6, 7, 8\}$ ) 492 7) instead of 4 ({1, 2, 3, 4, 5, 6, 7, 8}) bilateral processor microphones were 493 used as a reference microphone configuration. The full 16-channel micro-494 phone configuration achieved the statistically significant best PESQ scores 495 (all p = 0.001). However, in terms of STOI and SI-SDR the performance did 496 barely, and for SI-SDR non significantly, differ compared to the 8-channel ref-497 erence microphone configuration. Again, as with the unilateral 4-microphone 498 CI audio processor configuration, adding the transmission-coil microphone 499 signals  $(\{12, 14\})$  to the bilateral microphone configurations  $(\{1, 2, 3, 4, 5, ..., 2, ...$ 500 (6, 7, 8) or  $\{2, 3, 6, 7\}$  did barely and statistically not significant influence 501 the performance metrics shown in Table 6. 502

Table 6: Values represent the mean difference in the performance of bilateral cochlear implant (CI) microphone configurations when additional microphones were added (see Figure 1). The performance difference is calculated in relation to the mean performance of the *reference channel configuration*. The best result for each metric is marked in bold. All performance differences were statistically significant compared to the reference channel performance, except those marked with "<sup>†</sup>".

	Metric		
Microphone IDs	PESQ	STOI	SI-SDR
Ref.: {1, 2, 3, 4, 5, 6, 7, 8}	2.07	0.54	-28.46
Ref. $+$ {10}	0.11	0.01	$0.02^{\dagger}$
Ref. $+ \{9, 11\}$	0.12	0.02	0.11
Ref.+ $\{15, 16\}$	-0.01	-0.01	-0.56
Ref.+ {13}	0.05	0.01	$0.06^{\dagger}$
Ref. + $\{9, 10, 11, 12, 13, 14, 15, 16\}$	0.19	0.01	$-0.61^{\dagger}$
Ref.: {2, 3, 6, 7}	1.87	0.51	-28.94
Ref. $+$ {10}	0.16	0.03	0.49
$\sim$ Ref. + {13}	0.11	0.02	0.20
Ref. $+ \{9, 11\}$	0.22	0.04	0.81
Ref. $+$ {15, 16}	0.04	< 0.01	$-0.39^{\dagger}$

#### <sup>503</sup> 3.3. Virtual sensing of microphone channels

The bar graphs in Figure 5 compare the performance in PESQ, STOI 504 and SI-SDR (see Methods Section 2.4) between virtually sensed microphone 505 signals and actually measured microphone signals placed at the same position 506 on the head, i.e. the front  $(\{10\})$ , the back  $(\{13\})$  and at the entry of the 507 right external auditory canal ( $\{16\}$ ) (see Figure 1 or Table 1). For all 3 508 objective speech quality metrics tested, adding virtually sensed microphone 509 signals to the input signals of the MVDR beamformer resulted in a significant 510 improvement compared to the performance with microphone signals as used 511 in conventional CI audio processors  $(\{2, 3\})$  (p < 0.001). 512

The mean benefit in performance when additional virtual/measured microphone signals were used for beamforming was 0.24/0.34 units for PESQ, 0.06/0.07 units for STOI, and 1.17/1.25 dB for SI-SDR. For the PESQ and STOI metrics, the performance between the virtually sensed microphone signals and the measured microphones signals differed significantly (p < 0.001). In terms of SI-SDR, no significant difference between the two configurations were observed (p = 0.998).

An analysis of the performance of the neural networks with respect to each 520 of the estimated channels  $\{16\}$ ,  $\{13\}$  and  $\{10\}$  showed that the mean benefit 521 when an additional virtual/measured microphone signal was used for beam-522 forming was 0.154/0.211, 0.114/0.149, 0.178/0.219 for PESQ, 0.049/0.052, 523 0.028/0.032, 0.042/0.048 for STOI, and 1.000/1.057, 0.938/0.877, 1.493/1.377524 for SI-SDR. For the metrics PESQ and STOI the differences in performance 525 between the additional virtually estimated microphone and the measured mi-526 crophone were significant (all p < 0.001). For SI-SDR, the differences were 527

significant only with respect to microphone channel {10} (p = 0.027), but not for the channels {13} and {16} (p = 0.244, p = 0.309). The on average bad results for channel {16}, meaning the largest difference between the benefit of additional virtual/measured microphone signals, and the best results for channel {10} were also reflected in the validation losses of the trained networks. For channel {16}, {13} and {10}, the best  $L_1$ -losses on the validation set were  $2.1 \times 10^{-4}$ ,  $1.5 \times 10^{-4}$  and  $1.4 \times 10^{-4}$ , respectively.

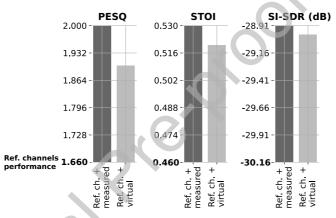


Figure 5: Comparison of overall perceptual evaluation of speech quality (PESQ), shorttime objective intelligibility (STOI) and scale-invariant speech to distortion ratio (SI-SDR) scores between 3 different microphone channel configurations used as input signals for the minimum variance distortionless response (MVDR) beamforming algorithm [10]: 1) Reference channel configuration according to the conventional microphone placement on CI audio processors (microphone IDs {2, 3}) (bold letters); 2) Reference channel configuration with additional measured (real) microphones (microphone IDs {2, 3} + {10, 13, 16}) (dark grey bar); 3) Reference channel configuration with additional virtually sensed microphones (microphone IDs {2, 3} + { $10_v$ ,  $13_v$ ,  $16_v$ }) (light grey bar). The dataset used to evaluate the microphone channel configurations consisted of 2400 cocktail party audio samples, as described in Section 2.3. Please see Figure 1 or Table 1 for a description of the microphone IDs.

#### 535 3.3.1. Subjective listening tests

Figure 6 shows that the participants preferred the audio mixture that was beamformed using the additional virtual channels (Mean=65%, SD=8%) compared to a beamformed signal generated using only the microphones as placed in CI audio processors (Mean=23%, SD=4%). This difference in selection frequency was statistically significant with p < 0.001.

The non-beamformed signal was rarely selected as the signal that was easiest to understand (Mean=13%, SD=7%). The beamformed signal based on the reference channel only and the beamformed signal based on additional virtual channels differed significantly to the non-beamformed audio mixture selection frequency (p = 0.002, p < 0.001).

For all of the presented signal configurations, the distribution of the frequency of choices differed significantly from the chance level of the test (all p < 0.001).

To investigate if the subjects' choice of the signal most comfortable to 549 understand was dependent on the SNR of the original or raw audio mixture, 550 the SNRs of the corresponding raw audio mixtures were compared. It was 551 observed that the subjects preferred the beamformed signal with additional 552 virtual channels if the SNRs of the raw audio mixture were low (Mean=2.4, 553 SD=9.3) compared to the raw audio mixtures' SNRs when the beamformed 554 signal based on the reference channels only was selected (Mean=5.2, SD=8.0, 555 p = 0.001). The SNRs of the raw audio mixtures when the non-beamformed 556 signal was selected (Mean=2.1, SD=9.2) was not significantly different from 557 the SNRs of the raw audio mixtures when the beamformed signal with addi-558 tional virtual channels was selected (p = 0.987). However, it was significantly 559

- <sup>560</sup> different from the SNRs of the raw audio mixtures when the beamformed sig-
- <sup>561</sup> nal based on the reference channels was chosen (p = 0.029).

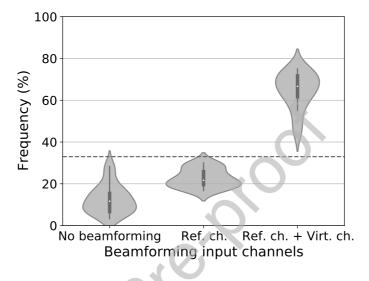


Figure 6: Violin plots [53] of the frequency of choices in the subjective listening test. The data represents the choices for the non-beamformed signal, the beamformed signal with the measured reference channel configuration as input channels (microphone IDs  $\{2, 3\}$ ) and the beamformed signal with additional virtually sensed microphone signals as input channels (microphone IDs  $\{2, 3\} + \{10_v, 13_v, 16_v\}$ ) (see Figure 1 or Table 1). The dashed horizontal line indicates the chance level of the test. The probability of observations taking a given value (Frequency (%)) is indicated by the violin's width, while each violin is normalized to have the same area. The thick black bar in the center of the violin represents the interquartile range. The thin black line extended from it represents the 95% confidence intervals, and the white dot represents the median.

#### 562 4. Discussion

Herein, we presented a comprehensive comparison of different head-mounted microphone configurations and their effect on the output of an MVDR beamforming algorithm. The results showed that microphone positions, such as placing a microphone on the forehead, would be desirable for better speech understanding. Since these microphone positions are not practicable in reality, we proposed and evaluated a purely data-driven virtual sensing technique.

## 569 4.1. Association of the speech quality and the microphone positioning

Our measurements of varying head-mounted microphone arrangements in cocktail party scenarios confirmed that the performance of beamforming algorithms and thus the speech quality improves with additional microphone signals [44]. Single-microphone speech-enhancement algorithms can only exploit temporal and spectral information cues, whereas multi-microphone beamformers can additionally exploit the spatial information of the sound sources [10, 44].

However, a high number of microphones alone does not necessarily lead 577 to a better speech quality [10]. In the case of bilaterally placed microphones 578 (Table 6), we observed saturation in terms of speech signal enhancement 579 with additional microphones that were placed close to the reference micro-580 phones. In particular, the SI-SDR metric showed that noise from additional 581 microphone signals can dominate compared to the redundant information 582 in the audio signal used for speech enhancement. As also shown by Corey 583 et al. [24], the microphone arrangement's spatial diversity played a signifi-584 cant role in the quality of the acoustic beamforming. The herein performed 585

measurements confirmed this finding since no improvements were observed 586 when additional microphones were placed at a distance of about  $5 \,\mathrm{cm}$  to the 587 reference microphones. It was assumed that even for low frequencies, these 588 microphones were too closely spaced to provide inter-microphone information 589 for the beamforming algorithm [24]. Besides, the microphones' distance was 590 too small for an effect of the acoustic head shadow [15]. With the same rea-591 soning, the slightly worse result of the unilateral, conventional microphone 592 configuration  $(\{2, 3\})$  and the good result of the arrangement with the largest 593 inter-microphone distance (front and back facing  $\{1, 4\}$ ) compared to other 594 2-channel microphone arrangements on the audio processor can be argued. 595

Although adding a microphone with a high Euclidean distance to the ref-596 erence microphone configuration is a good rule of thumb to improve acoustic 597 beamforming, other microphone positioning factors, such as exploiting the 598 acoustic head shadow [15], may be just as important. In the unilateral con-599 figuration (see Table 4), we observed that the proximity to the most likely 600 target source with an additional microphone on the temple  $(\{2, 3\}+\{11\})$ 601 was more important than the spatial diversity of the microphones with an 602 additional microphone placed on the back of the head  $(\{2, 3\}+\{13\})$ . In 603 addition to the proximity to the target signal and the microphone distance, 604 our measurements confirmed that the pinna's directional frequency trans-605 formation provided relevant information for improving the quality of the 606 beamforming algorithm [15, 54, 16]. We observed that the most useful ad-607 ditional contralateral microphone was neither the one closest to the target 608 signal ( $\{11\}$ , temple) nor the one with the highest Euclidean distance to the 609 reference microphone configuration ( $\{14\}$ , CI transmission coil). It was the 610

<sup>611</sup> contralateral microphone placed in the ear canal facing away from the target
<sup>612</sup> signal ({16}).

#### 613 4.2. Virtual sensing of head-mounted microphone signals

In this work, we presented and evaluated a method for virtual sensing 614 of microphone signals to improve the speech quality of hearing aid and CI 615 users in noisy environments. The proposed methodology enabled to capture 616 microphone signals at positions on the head, including but not limited to 617 the forehead, where a physical placement of microphones is impractical. Our 618 objective measurements showed, that adding strategically positioned virtual 619 microphones on the head significantly improved the speech quality compared 620 to the speech quality as obtained with a microphone arrangement found in 621 conventional CI audio processors. This result was also confirmed in human 622 listening tests using a 3-alternative forced-choice procedure with the task of 623 selecting the speech mixture that was most comfortable to understand. 624

In addition to the general assumption that adding microphone signals 625 to hearing aid applications can increase the performance of beamforming 626 algorithms [44], we hypothesized and confirmed that replacing real micro-627 phone signals with virtual microphone signals can also increase beamformer 628 performance. In contrast to the work presented in [22, 21, 20], our entirely 629 data-driven approach showed that explicit knowledge of the real microphone's 630 positioning might not be necessary to enhance the speech quality with vir-631 tual microphone channels. The mathematical reasoning for the success of 632 our deep learning-based approach is the subject of ongoing research [55, 56]. 633 In the measurements with the reference microphone configuration accord-634 ing to conventional CI audio processors  $(\{2, 3\})$ , we observed that an addi-635

tional microphone on the forehead produced similar improvements in speech
quality as an additional microphone placed at the entry of the contralateral
ear canal. However, due to the poor estimation of the contralateral ear signal by the neural network, a higher benefit was obtained with the virtual
microphone channel estimating the signal at the forehead. Therefore the
estimation of optimal microphone positions for neural network-based beamforming approaches requires further investigation.

The subjective feedback of the 20 participants significantly showed that 643 the additional virtual microphone signals were preferred, especially in cock-644 tail party scenarios with low SNRs. On the other hand, the participants' 645 choices also showed that in low SNRs scenarios, the MVDR beamforming, 646 either with real or real and additional virtual channels, might degrade the 647 subjective speech signal quality instead of enhancing it. This finding con-648 firmed that although MVDR beamformers aim to keep the target signal 640 undistorted [7], there was a trade-off between noise reduction and speech 650 signal distortion [10]. 651

### 652 4.3. Limitations and outlook

Although the virtually sensed microphones significantly improved the speech quality within this study, further research is needed before the methodology can be used in hearing aids or CI audio processors.

Due to the input data size of 2 seconds, the delay of the proposed network architecture is too long to be applicable in a real hearing aid application. However, this paper's main objective was to demonstrate a proof of concept for purely data-driven virtual channel estimations in hearing aids or CIs. Tackling the problem of latency and neural network complexity in online

speech enhancement is ongoing research [57, 58, 59, 60] with promising re-661 sults and input frame lengths as little as 2 ms [60]. Future research should 662 investigate whether the significant reduction in network time delay required 663 for an application in hearing devices affects the performance of the presented 664 approach. In addition to progress in reducing the computational costs, sub-665 stantial progress is continuously being made in other areas of speech signal 666 enhancement with artificial neural networks relevant for the methodology of 667 this work, such as in blind source separation (BSS) [61, 62, 63], acoustic 668 scene classification (ASC) [64, 65, 66], domain shift [26, 67] and the usage of 669 loss functions to optimize the parameters of the network based on the human 670 perception of speech [68, 59]. The results of Drude et al. [63] indicated, that 671 the benefit of the presented approach when using estimated coherence matri-672 ces may be different from the benefit achieved with the oracle matrices. For 673 computational time reasons, no sophisticated optimization of the presented 674 network's architecture was performed. Further research may investigate the 675 optimal number and size of hidden layers for the presented approach. 676

Our approach follows a two-step procedure to estimate a virtual microphone channel that is used as an additional input to the beamformer. We chose this procedure to improve the compatibility with existing beamforming technology in current devices. However, the entire approach could be replaced by an end-to-end single-network artificial intelligence solution for hearing devices.

One of the biggest challenges of the presented methodology to be applicable in a real-world application will be to ensure the robustness of the network's predictions in acoustic environments with high reverberation [69,

70, 71, 72]. In the context of this work, the first step in this direction would be 686 the use of more challenging acoustic training data, for example, by simulating 687 conditions with higher reverberation [73] or the use of dynamically moving 688 sound sources [36, 74]. Another possibility would be to record acoustic sce-689 narios using a portable microphone array [75]. In a real-world application, 690 this data could be collected as part of an audiological fitting routine. In 691 both cases, whether the data was simulated or recorded in real environments 692 for each subject, the additional recordings and the personalization of the 693 network through transfer learning would most likely increase the robustness 694 of applied neural network solutions [76]. To account for the different head 695 geometries and thus varying inter-microphone features, the information of 696 3D head scans as provided in Fischer et al. [35] could be fed into a neural 697 network architecture that allows metadata injection. 698

Although the speech quality may improve by applying the proposed mea-699 sures, binaural cues would still be discarded, resulting in a low spatial quality 700 of the perceived sounds [15]. It remains unclear whether the findings of this 701 study will also hold for current state-of-the-art beamformers with binaural 702 output. To preserve the binaural cues and thus improve the spatial qual-703 ity of the MVDR beamforming algorithm [10], adaptations such as those 704 proposed by Marquardt et al. [77] or Marquardt and Doclo [78] could yield 705 improvements in this regard while still enhancing the speech quality [79]. 70

#### 707 5. Conclusions

<sup>708</sup> In this work, real and virtual microphone signals were combined as in-<sup>709</sup> put for an MVDR beamformer to investigate the effects on speech quality

for hearing aid or CI users in cocktail party scenarios. The measurements 710 with respect to the number and spatial arrangement of real microphones in-711 dicated that, optimally, microphones should be placed as close as possible 712 to the target source, encode monaural cues, and produce a large distance 713 spread by their spatial arrangement. In reality, however, it is inconvenient 714 to place the microphones according to these criteria. To overcome this prob-715 lem, virtual microphone signals were estimated using a deep neural network 716 without explicit knowledge of the spatial microphone arrangement. The re-717 sults of 3-alternative forced choice subjective listening tests and objective 718 speech quality metrics suggest that hearing aid or CI users might benefit 719 from virtually sensed microphone signals, especially in challenging cocktail 720 party scenarios. 721

## 722 Appendix A. Additional Figures

Please see appendix A.pdf for significance-matrices of the post-hoc Ne-menyi tests concerning the data in Tables 3-6.

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## **Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Kind regards,

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