1 Correction of sensor crosstalk error in Exhalyzer D multiple-breath washout

2 device significantly impacts outcomes in children with cystic fibrosis

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 analyzed the data. M.O., B.F and K.R created and organized the databases for retrospective
 analysis. F.W. drafted the manuscript, with major contributions from M.O, K.R. and P.L. All
 authors approved the final version of the manuscript.
- 23
- 24 Running title: Measurement error in a multiple-breath washout device
- 25

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- 33 Total word count: 393 Intro + 492 Methods + 780 Results + 1826 Discussion = 3491

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- 35 **Online Supplement:** This manuscript has an online supplement, accessible here:
- 36 DOI: https://doi.org/10.6084/m9.figshare.14604846
- 37 URL: https://figshare.com/articles/online_resource/_/14604846
- 38
- 39 **Presentations**: Preliminary results were presented at the ERS international congress 2020
- 40 (**DOI:** 10.1183/13993003.congress-2020.1171).

41 **ABSTRACT**

42 Rationale: Nitrogen multiple-breath washout is an established technique to assess 43 functional residual capacity and ventilation inhomogeneity in the lung. Accurate 44 measurement of gas concentrations is essential for the appropriate calculation of 45 clinical outcomes.

Objectives: We investigated the accuracy of oxygen and carbon dioxide gas sensor
measurements used for the indirect calculation of nitrogen concentration in a
commercial multiple-breath washout device (Exhalyzer D, Eco Medics AG, Duernten,
Switzerland) and its impact on functional residual capacity and lung clearance index.

50 Methods: High precision calibration gas mixtures and mass spectrometry were used 51 to evaluate sensor output. We assessed the impact of corrected signal processing on 52 multiple-breath washout outcomes in a dataset of healthy children and children with 53 cystic fibrosis using custom analysis software.

Results: We found inadequate correction for the cross sensitivity of the oxygen and carbon dioxide sensors in the Exhalyzer D device. This results in an overestimation of expired nitrogen concentration, and consequently multiple-breath washout outcomes. Breath-by-breath correction of this error reduced the mean (SD) cumulative expired volume by 19.6 (5.0)%, functional residual capacity by 8.9 (2.2)%, and lung clearance index by 11.9 (4.0)%. It also substantially reduced the level of the tissue nitrogen signal at the end of measurements.

61 Conclusions: Inadequate correction for cross sensitivity in the oxygen and carbon 62 dioxide gas sensors of the Exhalyzer D device leads to an overestimation of 63 functional residual capacity and lung clearance index. Correction of this error is 64 possible and could be applied by re-analyzing the measurements in an updated 65 software version.

66 NEW AND NOTEWORTHY

We investigated the sensor accuracy of a prominent nitrogen multiple-breath washout (N₂MBW) device (Eco Medics AG, Duernten, Switzerland) as a possible cause of lack of comparability between outcomes of different MBW devices and methods. We identified an error in the nitrogen concentration calculation of this device, which results in a 10-15% overestimation of primary outcomes, functional residual capacity and lung clearance index. It also leads to a significant overestimation of nitrogen back-diffusion into the lungs.

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75 **INTRODUCTION**

Cystic fibrosis (CF) is a chronic, genetic disease characterized by lung function decline and respiratory failure. Newborn screening and early interventions for CF have resulted in the majority children with CF having no overt respiratory symptoms and normal spirometry(1). However, structural lung disease is present early on highresolution chest CT scans and progresses during childhood(2). Therefore, sensitive functional outcomes are needed to monitor disease progression and assess treatment responses in children with CF.

The multiple breath washout (MBW) technique is more sensitive than spirometry to detect early CF lung disease(3, 4). The lung clearance index (LCI) from MBW correlates with underlying structural lung disease and tracks disease progression in children with CF(1, 5-7). LCI has been endorsed as an endpoint in clinical trials in children and adults with CF in North American and Europe(8-10). In young children with CF, LCI significantly improved in response to disease modifying therapies and
hypertonic saline, while spirometry outcomes did not change(11, 12). Therefore, the
LCI is a promising endpoint for clinical management and interventional trials in
children with CF, even more in the era of CFTR modulator therapies.

92 The most common MBW technique used in clinical trials is the nitrogen washout 93 (N₂MBW), using the Exhalyzer D device (Eco Medics AG, Duernten, Switzerland)(12, 94 13). The subject breathes 100% oxygen to wash out resident nitrogen to 2.5% of the 95 starting concentration. However, this technique relies on indirect calculation of N_2 96 concentration through the measurement of oxygen (O_2) and carbon dioxide (CO_2) . 97 which means that small errors in the O2 or CO2 concentration could result in 98 instantaneous and cumulative errors in the N₂ concentration, particularly at the end of 99 test criteria(14). Further, as N₂ is soluble in the blood and tissues, there is the 100 potential for N_2 diffusion from the lung tissue into the alveolar spaces during the 101 washout(15). A combination of these effects has been proposed to be the cause of 102 elevated N₂MBW outcomes as compared to SF₆MBW(16). It is essential to address 103 these concerns in N₂ methodology to ensure the appropriate calculation of clinical 104 outcomes.

In this study we investigated the accuracy of indirect nitrogen measurement using the Exhalyzer D device by i) assessing the sensor accuracy of its O_2 and CO_2 sensors, ii) establishing a correction for any observed sensor error and iii) assessing the effect size of the sensor error on clinical outcomes and tissue nitrogen.

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111 METHODS

112 Study design

This was an experimental study to assess the sensor accuracy of the Exhalyzer D device. We also performed a retrospective analysis of existing N₂MBW data to assess the impact of sensor inaccuracy on MBW outcomes. The Ethics Committee of the Canton of Bern, Switzerland approved the study protocol (PB 2017-02139).

117 i) Sensor accuracy

To assess sensor accuracy over the wide range of concentrations encountered in a N₂MBW measurement, we collected experimental data from gas mixture measurements and mass spectrometry measurements. We compared the measured O₂ and CO₂ concentrations to the known gas mixture concentrations.

122 Gas mixtures

123 Sensor accuracy was assessed over a representative range of concentrations 124 present in N₂MBW measurements. Twelve technical gas mixtures (Carbagas AG, 125 Muri bei Bern, Switzerland) were used, each containing different combinations of 126 CO_2 , O_2 and N₂ concentrations.

Additionally, a series of mass spectrometry measurements was carried out, where N_2 was kept at 2% to mimic the MBW end of test condition, while CO_2 and O_2 were varied (AMIS 2000 Mass Spectrometer, Innovision ApS, Odense, Denmark). Mass spectrometry data was provided by Eco Medics AG, Duernten, Switzerland.

131 Sensor characteristics

132 The Exhalyzer D measures both O₂ (X3004 OEM sensor, Oxigraf Inc., Sunnyvale,

133 CA, USA) and CO₂ (Capnostat 5, Respironics Inc., Wallingford, CT, USA) using

absorption spectroscopy, a technique where the absorption of light is measured at specific frequencies that are characteristic to each gas(17). The absorption spectra of O_2 and CO_2 are affected by a variety of factors, including pressure, temperature, and the presence of other gases(18, 19). This leads to a sensor cross sensitivity, where the presence of O_2 in the gas mixture can affect the absorption spectrum (and therefore measured concentration) of CO_2 and vice versa.

140 ii) Correction function

141 We combined the data of the technical gas mixture and mass spectrometry 142 measurements to construct a correction function for the O₂ and CO₂ sensors. We fitted a 2nd-degree two-parameter polynomial through the error for each sensor, as a 143 144 function of measured O₂ and CO₂ (Eq. E4 in the online supplement). Fitting was 145 performed using MATLAB 2017b (Mathworks, Natick, Massachusetts, USA). This 146 characterization of the measurement error as a function of measured gas concentrations could then be directly used as a correction function for the analysis of 147 MBW measurements. For each combination of O2 and CO2 we added the fitted error 148 149 for each sensor to the respective measured concentrations. Note that when 150 characterizing the error currently present in the sensors, the existing linear CO₂ 151 crosstalk correction of Spiroware 3.2.1 was still applied(20), but when characterizing 152 the correction function, parameters were chosen to replace and improve the existing 153 crosstalk correction.

154 iii) Impact on outcomes

We characterized the impact of measurement error on MBW outcomes in 357 measurements from 85 healthy children(21) and 62 children diagnosed with CF(5, 22) (Table E4 in the online supplement). We compared outcomes between those calculated using standard analysis algorithms (replicating Spiroware 3.3) and
 corrected algorithms using a custom Python script developed by our group.

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162 **RESULTS**

163 i) Sensor accuracy

164 We found that the Exhalyzer D device has O₂ and CO₂ sensor measurement errors 165 which result in overestimated N₂ concentrations. The N₂ error was non-linear and O₂-166 and CO₂-dependent, with the highest error occurring at very high O₂ concentrations 167 and increasing CO₂ concentrations (Figure 1). Therefore, at the standard end of test conditions (1/40 th starting N_2 concentration: end expiratory N_2 at 2%, CO_2 at 5%, O_2 168 169 at 93%), the N_2 concentration was significantly overestimated (Table 1). At the 170 original end of test, the Exhalyzer D measures 2% N₂ when the real N₂ concentration 171 was 1.1%. Correction for the sensor error reduces N₂ concentrations at the end of the 172 washout and the end of test criteria was systematically reached earlier (Figure 2). At 173 the new end of test conditions, the relative error in N₂ concentration following correction was estimated to be 44% (2.88% N₂ standard vs 2% N₂ corrected; Table 174 175 1).

176 **Table 1**

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Condition	Signal Processing							N ₂ Error		Contribution	
	Standard			Corrected							
	[N ₂]	[CO ₂]	[O ₂]	[N ₂]	[CO ₂]	[O ₂]	abs	rel	[CO ₂]	[O ₂]	
Original end of test [%]	2.00	5.00	93.0	1.10	5.12	93.8	0.90	82.4	13.5	86.5	
New end of test [%]	2.88	4.88	92.2	2.00	5.00	93.0	0.88	44.1	13.3	86.7	
No nitrogen [%]	0.88	4.88	94.2	0.00	5.00	95.0	0.88	-	-	-	

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Table 1: Specific examples of sensor impact on measurement of N_2 in three conditions of interest. The original end of test corresponds to a gas mixture that would be identified as the end of test in standard processing. The second condition corresponds to the new end of test after sensor correction. The third condition contains no real nitrogen. Standard concentrations denote concentrations measured in standard Spiroware 3.3 processing. Corrected concentrations correspond to concentrations after sensor correction is applied. N₂ error summarizes the absolute (abs) difference between N₂ in standard vs. corrected, as well as the relative (rel) error ((standard-corrected)/corrected). The relative contribution of each sensor in [%] to the total error in N₂ concentration is listed under "Contribution". 185 O_2 sensor

The majority of the error in N₂ measurement (87% of the error at the test end, see Table 1) originated from the O₂ sensor of the Exhalyzer D device. The O₂ measurement error was non-linear and dependent on the CO₂ concentration (Figure 3A). This error resulted in underestimation of O₂ concentrations, with a greater underestimation with increasing concentrations of CO₂ in the measured range of 0 – 7.5%. This in turn lead to an overestimation of calculated concentrations of N₂ as described above.

193 CO₂ sensor

194 We also found an error in the CO₂ concentration. The CO₂ sensor output was already 195 corrected by a factor that depends on the concentration of O₂ (Eq. E3 in the online 196 supplement). However, the CO_2 sensor seemed to display a different cross-sensitivity 197 than the standard signal processing takes into account (Figure 3B). The residual 198 error appeared to be primarily dependent on CO₂ concentration and only partially 199 dependent on O_2 concentration. The CO_2 error also lead to an overestimation of N_2 , 200 however the impact of the CO_2 error was smaller than the O_2 error, making up 13% 201 of the total sensor error at the end of test conditions (Table 1).

202 iii) Effect size of sensor correction

203 Sensor correction impact on MBW outcomes

We re-analyzed 357 MBW measurements from healthy controls (HC) and children with CF using the sensor correction functions outlined above in a custom software. Application of the O_2 and CO_2 sensor correction functions had a significant impact on all MBW outcomes (Table 1). Following the sensor correction, the mean (SD) cumulative expired volume decreased by 19.6 (5.0) %, FRC decreased by 8.9 (2.2) 209 %, and LCI decreased by 11.9 (4.0) %. The reduced CEV is explained by lower end-210 expiratory concentrations of N₂, which lead to an earlier end of test (i.e. the criterion of reaching 1/40th of the initial N₂ concentration is reached earlier). Decreased FRC is 211 explained by slightly lower concentrations of N₂ throughout the measurement. The 212 213 decrease in CEV was more pronounced than for FRC, and with LCI being the ratio of 214 those two outcomes (LCI = CEV/FRC), this leads to an overall decrease in LCI. The 215 change in outcomes following sensor correction could vary greatly for individual 216 measurements (Table 2). However, outcomes before and after the correction over a large number of measurements correlate strongly. Linear fits of corrected outcomes 217 vs standard outcomes have R^2 values of 0.997 for FRC, and 0.96 for LCI (Figure 4), 218 219 respectively.

The significance of differences in LCI and FRC [L] observed between healthy controls and children with CF present in the uncorrected data were also present following sensor correction (Table 2). The change in outcomes following correction was dependent on the magnitude of the outcomes themselves for both FRC and LCI (Figure 5, and OLS Figure 1).

225 Table 2

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		n		Standa	rd		Correct	ed			Differen	се	
			mean	SD	p-value*	mean	SD	p-value*	mean	rel [%]	95%	CI [%]	p-value [†]
LCI [TO]	All	147	8.33	2.05		7.31	1.7		1.02	11.9	11.2 -	12.5	<0.001
	HC	85	7.12	0.51		6.30	0.4		0.82	11.3	10.6 -	12.1	<0.001
	CF	62	9.99	2.21		8.69	1.8		1.30	12.6	11.4 -	13.8	<0.001
	Difference		-2.87		<0.001	-2.38		<0.001					
FRC [L]	All	147	1.63	0.87		1.49	0.80		0.14	8.9	8.6 -	9.3	<0.001
	HC	85	1.87	0.95		1.73	0.89		0.14	7.9	7.6 -	8.1	<0.001
	CF	62	1.31	0.61		1.17	0.53		0.14	10.4	9.7 -	11.0	<0.001
	Difference		0.56		<0.001	0.56		<0.001					
CEV [L]	All	147	14.9	7.4		11.9	5.7		3.03	19.6	18.8 -	20.4	<0.001
	HC	85	14.6	6.7		12.0	5.6		2.67	18.2	17.4 -	19.0	<0.001
	CF	62	15.2	8.3		11.7	5.8		3.54	21.5	20.1 -	23.0	<0.001
	Difference		-0.58		0.6532	0.29		0.7601					

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Table 2: Summary of the differences in Lung Clearance Index (LCI), functional residual capacity (FRC) and cumulative expired volume (CEV) between healthy controls (HC) and and patients with cystic fibrosis (CF) in the retrospective dataset before (standard) and after (corrected) the application of the sensor correction function. *unpaired t test; †paired t test. Bold print indicates statistical significance.

231 Sensor correction impact on tissue nitrogen

232 We also observed a substantial impact of the sensor corrections on tissue nitrogen. 233 Towards the end of a MBW measurement, the concentration of N₂ in the lung drops 234 so low that diffusion of N₂ from the body becomes a potential concern for the 235 accuracy of the MBW outcomes. In the Exhalyzer D, N_2 concentration is currently 236 overestimated in the presence of CO_2 (i.e. during expirations), even in the complete 237 absence of N₂ (Figure 6B). In conditions reflecting expirations where there is no N₂ 238 exhaled (CO₂ around 5%, rest O₂), the Exhalyzer D still measures a concentration of 239 N_2 of 0.88% (Table 1, and Figure 6B, intersection of 5% line with x-axis). As 240 correction of the O₂ and CO₂ error significantly reduces the N₂ concentration, a 241 significant part of the tissue nitrogen signal at a diffusion equilibrium in long MBW 242 measurements disappears after correction (Figure 6A). The higher the end-expiratory 243 concentrations of CO_2 , the greater this effect (Figure 6B).

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245

246 **DISCUSSION**

247 Summary

We report a significant measurement error in the Eco Medics Exhalyzer D N₂MBW device. At high concentrations of O₂, and natural end-expiratory concentrations of CO₂, the device's sensors underestimate O₂ and CO₂ gas concentrations and it therefore overestimates end-expiratory concentrations of N₂. Artificial elevation of N₂ during the washout influences the end of test criterion and causes overestimation of FRC and LCI. It also results in a significant overestimation of measured tissue nitrogen at the end of the test.

i) Sensor accuracy

256 We are the first group to formally characterize this sensor cross sensitivity error. 257 Previous studies have reported high expiratory N₂ concentrations at the end of long 258 MBW measurements(23-25). While this has predominantly been attributed to the 259 release of N₂ from the lung tissue(15), others have argued that tissue nitrogen alone 260 may not be sufficient to explain the observed concentrations of N₂, and that there 261 may be an additional "offset error" present, speculated to be caused by CO₂-crosstalk 262 with the O_2 -sensor(16). We confirm this impact of sensor crosstalk and 263 comprehensively characterize a significant sensor error in the Exhalyzer D device 264 which is primarily responsible for elevated expiratory N₂ concentrations in N₂MBW 265 measurements.

266 Previous validation studies using *in vitro* lung models as well as the internal testing of 267 Eco Medics AG either did not specifically examine the end of test, end-expiratory 268 conditions examined here, or potentially washed CO₂ out of the validation system 269 before the end of test condition could be reached (26). This may have made it difficult 270 to identify the impact of sensor cross sensitivity in the critical end of test phase of 271 MBW. It is worth noting that individual sensor errors were relatively small (~1% 272 relative error of a sensor reading), even in the most extreme case (low N₂, high CO₂). 273 However, the indirect calculation of N_2 by the Exhalyzer D device is vulnerable to 274 errors in the high O_2 and high CO_2 concentrations that occur at the end of the MBW 275 measurement(14), leading to a relative N_2 error in this condition of 44%. This 276 measurement error exceeds the recommendations for manufacturers outlined in the 277 ATS/ERS consensus statement of measuring tracer gas concentration within 5% 278 accuracy(27).

279 The measurements performed here highlight the need for more robust methods of 280 validation for MBW devices. Ideally, such a validation would involve an in vitro lung 281 model that can realistically reproduce the signal dynamics introduced by breathing, 282 and allow for direct comparison of outcomes between devices. In the absence of 283 such a validation system, individual components of MBW devices such as the 284 measurement of tracer gas should be further validated, covering the entire range of 285 concentrations encountered in a MBW measurement. Testing MBW equipment with a 286 wider range of technical gas mixtures with a special emphasis on the test end 287 criterion is highly feasible, and should be a minimum requirement for equipment 288 validation. The specific error in tracer gas measurement described here has been 289 shown to be highly relevant to the Exhalyzer D, but any device that relies on indirect 290 assessment of tracer gas concentrations is potentially vulnerable to cumulative errors 291 in their individual gas sensors.

292 ii) Correction function

293 The sensor error observed in this study appears systematic and reproducible across 294 Exhalyzer D devices. The correction function required to correct for the sensor error 295 is simple and has now been implemented in the signal processing of Spiroware 296 (3.3.1), and can also be applied retrospectively to existing data. Sandvik et al. 297 accessed the correction factors and the equations from Eco Medics AG, which have 298 also been published by us in preprint form(28). They applied the equations in a 299 custom-made software version to measurements of healthy infants and toddlers(20). 300 They were able to show that after application of these equations, agreement between 301 N_2 -MBW and SF₆-MBW outcomes was closer than without the correction. This and 302 our work suggest that the same equations can be applied to infants and school age 303 children. Notably the correction function suggested here would replace the currently existing CO₂ sensor crosstalk correction. The chosen degree of the polynomial fit constitutes an empirical correction and is a compromise between improving the currently either missing or linear empirical correction and the limits of precision imposed by the intrinsic uncertainty of the reference gas mixtures. To ensure accurate re-analysis of outcomes, this correction function will need to be applied to raw signals on a breath-by-breath basis.

310 iii) Effect size of sensor correction

311 Sensor correction impact on MBW outcomes

312 The sensor error described here leads to substantially inflated MBW outcomes. This 313 result provides a new perspective on previously described differences between N_2 314 and SF_6 MBW measurements(23-25). It also offers a potential explanation for the 315 differences observed between N₂MBW outcomes measured using the Exhalyzer D 316 and devices by other manufacturers such as ndd Medizintechnik AG (Zürich, 317 Switzerland)(29). The primary N₂MBW outcomes from the Exhalyzer D were 318 consistently higher than SF₆MBW outcomes and N₂MBW outcomes from the ndd 319 device. These observations may be partly explained by the systematic 320 overestimation of N₂ by the Exhalyzer D reported in this study. The direction of the 321 change after correction suggests that differences between devices will now be 322 smaller. The sensor correction described here has since been used by Sandvik et al. 323 to confirm that agreement between N₂MBW and SF₆MBW improves upon correction 324 in infants and toddlers(20). In order to validate this in detail, original data need to be reloaded using the sensor correction described here. Fortunately, the N₂ error has 325 326 been an overestimation rather than an underestimation, as measurements can now 327 be re-analyzed without the worry that the trials might not have recorded data long 328 enough to reach the end of test in the corrected measurement.

329 Notably, using the sensor correction detailed here will lead to substantially shortened 330 measurement times for N₂MBW. The observed 19.6% reduction in patient breathing 331 required implies that after the sensor correction, the washout portion of the N_2MBW measurements would on average be shortened by almost 1/5th. Despite the strong 332 333 feasibility of N₂MBW in young children within research studies, challenges have been 334 reported when translating to time-limited busier clinical environments(30). Shorter 335 test duration may improve this. Previous studies have tried to reduce MBW test 336 duration by using an earlier LCI cut-off(31) (LCI 5%) or reducing the number of trials 337 used for outcome reporting(32). The sensor correction described here shortens the 338 N₂MBW test length without the need to adjusted test protocols or outcomes. With the 339 correction integrated into the Ecomedics software, the reduced test duration for 340 prospective data collection may therefore help to facilitate the transition of N_2 MBW 341 into the clinical setting (5, 33). The effect of the correction function on other MBW 342 indices such as those calculated by concentraton normalised phase III slope analysis 343 (SnIII) remains yet unclear and needs to be examined in future studies.

344 A major concern that arises with the publication of this study is that it calls into 345 question previously published results obtained using the Exhalyzer D. As the change 346 in outcomes depends on the breathing pattern and CO_2 concentrations, it is difficult 347 for users to predict how much outcomes of a single measurement will change. In 348 addition, the change in outcomes will be higher in children with lung disease and 349 elevated MBW outcomes, compared with healthy children. It is to be expected that effect sizes and confidence intervals of MBW outcomes in such studies will change. 350 351 This also means that reference values or upper limits of normality generated using 352 the Exhalyzer D device will change(21). Previously collected results from ongoing studies will need to be recalculated in order for them to be interpretable alongsidevalues obtained using this correction.

355 However, while the impact of the sensor error has effects which are difficult to predict 356 on the level of individual measurements, the impact on MBW outcomes on a large 357 enough number of files appears more systematic. Whether or not results from 358 previous studies are affected can only reliably be elucidated by re-analysis of raw 359 data. Notably, the impact of the error on outcomes is dependent on their magnitude, 360 therefore the correction is likely to influence outcomes from individuals with lung 361 disease more than healthy controls. Even during the retrospective re-analysis within 362 this study, we observed a change in significance in FRC differences (when 363 normalized by body weight) between healthy children and children with CF (Table E5 364 in online supplement). In addition, overestimated values of LCI may have influenced 365 individual eligibility to enter clinical trials. Re-analysis of MBW measurements used in clinical trials where drug approval was or is based on affected N₂MBW data should 366 367 be prioritized.

368 Sensor correction impact on tissue nitrogen

369 It has been hypothesized that towards the end of a N_2MBW test the concentration of 370 N_2 in the lungs drops so low that a noticeable amount of N_2 diffuses from the body 371 into the lungs(25, 34). Recent lung modelling work suggests that this N₂ diffusion is 372 related to local ventilation/perfusion mismatch(35). The results of this current study 373 suggest that the impact of tissue N₂ diffusion is significantly lower than previously 374 estimated. Even if no N_2 diffused into the lungs, the Exhalyzer D would still measure 375 end-expiratory (CO₂ around 5%) concentrations of N_2 of about 0.88%, which would 376 significantly perturb estimates of tissue nitrogen. The sensor correction functions

introduced in this paper would therefore reduce a substantial part of the observedtissue nitrogen in measurements performed with the Exhalyzer D.

379 Strengths and limitations

Through detailed understanding of the underlying signal processing of the Exhalyzer D we were able to characterize the precise impact of an observed error in gas sensors on the clinical outcomes LCI and FRC. The findings from the technical gases were confirmed by measurements using a mass spectrometer. Using these data, we were able to estimate the impact of the measurement error and develop an appropriate correction function.

386 The main limitation of this study is the fact that we only had a finite number of gas 387 samples with finite precisions to test the sensors. We chose a selection of gas 388 concentrations from our range of interest which would exhibit cross-sensitivity effects 389 but could ultimately not cover the entire range of concentration combinations in MBW 390 measurements using technical gases. However, the phase of the measurement 391 where sensor accuracy is the most relevant for accurate MBW outcomes is the end 392 of test, whereby the mass spectrometry measurements allowed us to describe the 393 sensor error with high certainty.

394 Outlook

In the process of conducting the research for this paper, we contacted the manufacturer for information regarding their sensor configurations and questions regarding sensor settings and signal processing. The have incorporated the correction described in this manuscript into the signal processing of the new software version of Spiroware 3.3.1, which has since been released.

400 Conclusion

An error in the cross sensitivity correction between the oxygen and carbon dioxide gas sensors of the Exhalyzer D device leads to an overestimation of FRC and LCI. Correction of this error is possible but needs to be applied breath-by-breath by reanalyzing the measurements in an updated version of the Spiroware analysis software.

406 **ACKNOWLEDGMENTS**

407 The authors thank Daniel Oberli and Ruedi Isler (Eco Medics AG, Switzerland) for 408 confirming the sensor error after we detected and informed them about it. We also 409 thank Daniel Oberli and Ruedi Isler for working with us to finalize the correction 410 equation based on the technical gas measurements performed by us and the mass 411 spectrometry measurements performed by them, which have now been implemented 412 into the commercial software. We thank all our patients and study participants as well 413 as their families for allowing their MBW data to be used for research. In addition, we 414 thank the lab technicians Sandra Lüscher, Bettina Vessaz, Sharon Krattinger, and 415 Gisela Wirz for their contribution by performing MBW measurements. Special thanks 416 go to the physicians from our department.

417

418 **GRANTS, GIFTS, EQUIPMENT, DRUGS**

Eco Medics AG (Duernten, Switzerland) provided a research version of their
commercial software Spiroware 3.3, provided information on signal processing
algorithms, and helped with the acquisition of mass spectrometry measurements.

This project was funded by the Swiss National Science Foundation, Grant Nr.
182719 (P. Latzin) and 168173 (K. Ramsey).

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558 FIGURES

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Figure 1: N_2 error as a function of N_2 in the gas mixtures. The N_2 error here is the 560 561 absolute difference between measured $N_{\rm 2}$ and reference $N_{\rm 2}$ as a function of 562 reference N₂ in the gas mixtures. Dashed curves represent the combined fits through 563 the errors of the individual gas sensor errors, for selected concentrations of CO₂. 564 Dotted vertical line indicates the end of test condition. The color shading indicates the 565 reference CO₂ concentrations of the gas mixtures. Dots represent mean of 6 566 measurements (triplicates on 2 devices) performed with 12 technical gas mixtures as 567 reference (CO₂: 0%, 2.5%, 7.5%, O₂: 30%, 60%, 90%, Rest, N₂: Rest), triangles 568 represent mean of 3 mass spectrometry reference measurements of 7 mixtures at 569 the end of test condition (N₂: 2%, CO₂: 0%, 1%, 2%, 3%, 4%, 5%, 6%, O₂: Rest). Error bars represent SD of measurements for each mixture. For an overview of the 570 571 gas mixtures see OLS (Technical gases and Table 2).

Figure 2: Illustration of the effect of the sensor correction on the N_2 signal and 572 573 consequently on the end of test in an example MBW measurement. Traced in gray is the signal output of the standard signal processing, the corrected signal is shown in 574 575 black. Vertical dashed lines represent the end of test for the original standard and corrected measurement respectively. The dashed horizontal line corresponds to 576 $1/40^{th}$ of the initial N_2 concentration (end of test, ca. 2% $N_2).$ Dashed vertical lines 577 578 represent the original end of test (end of test condition reached in standard 579 processing) and new end of test (end of test condition reached in corrected processing). (A) Time course of N_2 throughout a standard MBW measurement. (B) 580 581 Zoom into the critical period of end of test determination. In this example the test 582 ends 5 breaths earlier in the corrected measurement compared to standard.

583 Figure 3: Observed absolute error between reference and measured gas concentrations. (dots: mean of error of one gas mixture, error bars: +/- SD of error). 584 Curves represent a two parameter quadratic polynomial fitted through the error 585 values (see OLS for details), represented here as dashed curves for given CO_2 586 587 concentrations. Dots represent mean of 6 measurements (triplicates on 2 devices) performed with 12 technical gas mixtures as reference (CO₂: 0%, 2.5%, 7.5%, O₂: 588 589 30%, 60%, 90%, Rest, N₂: Rest), triangles represent mean of 3 mass spectrometry 590 reference measurements of 7 mixtures at the end of test condition (N₂: 2%, CO₂: 0%, 591 1%, 2%, 3%, 4%, 5%, 6%, O₂: Rest). Error bars represent SD of measurements for 592 each mixture. For an overview of the gas mixtures see OLS (Technical gases and 593 Table 2). (A) Absolute O_2 error as a function of O_2 and CO_2 concentration, (B) 594 Absolute CO₂ error as a function of O₂ and CO₂ concentration.

Figure 4: Multiple-breath washout outcomes **(A)** Lung Clearance Index (LCI) and **(B)** functional residual capacity (FRC) after sensor correction (corrected) vs standard (standard; Spiroware 3.3) in healthy controls (HC) and patients with cystic fibrosis (CF). Solid black line indicates line of equality, dashed line represents a linear fit through the data points. **Figure 5**: Bland-Altman plot of the absolute difference (corrected – standard) of multiple-breath washout outcomes **(A)** Lung Clearance Index (LCI) in turnover [TO] and **(B)** functional residual capacity (FRC) in liter [L] of healthy controls (HC) and patients with cystic fibrosis (CF) due to sensor correction, plotted against the mean outcomes (mean of corrected and standard). **Figure 6**: Illustration of the effect of the sensor correction function on nitrogen measurement in the late phase of MBW tests. **(A)** Example of the equilibrium N_2 reached in a very long continued MBW measurement, displaying a greatly decreased N_2 -back-diffusion equilibrium (tissue nitrogen). **(B)** Corrected N_2 plotted against standard N_2 in conditions around the end of test condition (2% N_2).













Table 1

Condition		Si	gnal P	rocessi	N ₂ E	N ₂ Error		Contribution		
	S	tandar	d	C	orrecte	ted				
	[N ₂]	[CO ₂]	[O ₂]	[N ₂]	[CO ₂]	[O ₂]	abs	rel	[CO ₂]	[O ₂]
Original end of test [%]	2.00	5.00	93.0	1.10	5.12	93.8	0.90	82.4	13.5	86.5
New end of test [%]	2.88	4.88	92.2	2.00	5.00	93.0	0.88	44.1	13.3	86.7
No nitrogen [%]	0.88	4.88	94.2	0.00	5.00	95.0	0.88	-	-	-

Table 1: Specific examples of sensor impact on measurement of N_2 in three conditions of interest. The original end of test corresponds to a gas mixture that would be identified as the end of test in standard processing. The second condition corresponds to the new end of test after sensor correction. The third condition contains no real nitrogen. Standard concentrations denote concentrations measured in standard Spiroware 3.3 processing. Corrected concentrations correspond to concentrations after sensor correction is applied. N_2 error summarizes the absolute (abs) difference between N_2 in standard vs. corrected, as well as the relative (rel) error ((standard-corrected)/corrected). The relative contribution of each sensor in [%] to the total error in N2 concentration is listed under "Contribution".

Table 2

				Standar	ď		Correcte	ed			Differe	nce	
		n	mean	SD	p-value*	mean	SD	p-value*	mean	rel [%]	95%	CI [%]	p-value [†]
LCI [TO]	All	147	8.33	2.05		7.31	1.7		1.02	11.9	11.2	- 12.5	<0.001
	HC	85	7.12	0.51		6.30	0.4		0.82	11.3	10.6	- 12.1	<0.001
	CF	62	9.99	2.21		8.69	1.8		1.30	12.6	11.4	- 13.8	<0.001
	Difference		-2.87		<0.001	-2.38		<0.001					
FRC [L]	All	147	1.63	0.87		1.49	0.80		0.14	8.9	8.6	- 9.3	<0.001
	HC	85	1.87	0.95		1.73	0.89		0.14	7.9	7.6	- 8.1	<0.001
	CF	62	1.31	0.61		1.17	0.53		0.14	10.4	9.7	- 11.0	<0.001
	Difference		0.56		<0.001	0.56		<0.001					
CEV [L]	All	147	14.9	7.4		11.9	5.7		3.03	19.6	18.8	- 20.4	<0.001
	HC	85	14.6	6.7		12.0	5.6		2.67	18.2	17.4	- 19.0	<0.001
	CF	62	15.2	8.3		11.7	5.8		3.54	21.5	20.1	- 23.0	<0.001
	Difference		-0.58		0.6532	0.29		0.7601					

Table 2: Summary of the differences in Lung Clearance Index (LCI), functional residual capacity (FRC) and cumulative expired volume (CEV) between healthy controls (HC) and and patients with cystic fibrosis (CF) in the retrospective dataset before (standard) and after (corrected) the application of the sensor correction function. *unpaired t test; †paired t test. Bold print indicates statistical significance.