Treatment decisions in children with asthma in a real-life clinical setting: the Swiss Paediatric Airway Cohort (SPAC)

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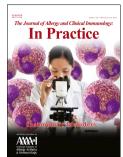
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#### 49 ABSTRACT (250 words)

Background: Asthma treatment should be modified according to symptom control and
future risk, but there is scarce data on what drives treatment adjustments in routine tertiary
care.

53 **Objective:** We studied factors that drive asthma treatment adjustment in paediatric
54 outpatient clinics.

Methods: We did a cross-sectional analysis of the Swiss Paediatric Airway Cohort (SPAC), a 55 clinical cohort of 0–16-year-old children seen by paediatric pulmonologists. We collected 56 57 information on diagnosis, treatment, lung function and Fractional exhaled Nitric Oxide (FeNO) from hospital records; and on symptoms, sociodemographic and environmental 58 59 factors from a parental questionnaire. We used reported symptoms to classify asthma 60 control and categorised treatment following the 2020 GINA guidelines. We used multivariable logistic regression to study factors associated with treatment adjustment 61 (step-up or down vs. no change). 62 63 **Results:** We included 551 children diagnosed with asthma (mean age 10 years, 37% female). At the clinical visit, most children were prescribed GINA Step 3 (35%). Compared to pre-visit 64

treatment, 252 (47%) children remained on the same step, 227 (42%) were stepped-up and

58 (11%) were stepped-down. Female sex (aOR 1.61, 95% CI 1.05-2.47), poor asthma control

67 (3.08, 1.72-5.54), and a lower Forced Expiratory Volume in the first second (FEV<sub>1</sub>) Z-score

68 (0.70, 0.56-0.86 per 1 Z-score increase) were independently associated with treatment step-

69 up, and low FeNO (2.34, 1.23-4.45) with treatment step-down, with a marked heterogeneity

70 between clinics.

71 Conclusion: In this tertiary care real-life study, we identified main drivers for asthma

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- 72 treatment adjustment. These findings may help improve both asthma management
- 73 guidelines and clinical practice.
- 74

#### 75 Highlights box

- 76 1. What is already known about this topic? 77 Asthma guidelines recommend modifying asthma treatment according to symptom control and future risk of exacerbations or medication side effects. However, we know little about 78 79 what drives paediatric pulmonologists to adjust treatment in children with asthma. (35/35 words) 80 2. What does this article add to our knowledge? 81 In this tertiary care real-life study, we found that lung function and asthma control played a 82 key role for treatment step-up, and Fractional exhaled Nitric Oxide (FeNO) for stepping-83 down, with a marked heterogeneity between clinics. (35/35 words) 84 85 86 3. How does this study impact current management guidelines? Understanding how asthma guidelines are followed in routine care may help to improve 87 88 both recommendations and clinical practice. (18/35 words) 89 90 Key words: asthma management, children, clinical practice 91
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- 93

- 94 List of Abbreviations
- 95 aOR: Adjusted odds ratio
- 96 BMI: Body Mass Index
- 97 CI: Confidence interval
- 98 FeNO: Fractional exhaled Nitric Oxide
- 99 FEV<sub>1</sub>: Forced Expiratory Volume in the first second
- 100 FVC: Forced Vital Capacity
- 101 GINA: Global Initiative for Asthma
- 102 ICS: Inhaled corticosteroids
- 103 LABA: Long-acting beta agonists
- 104 NICE: National Institute for Health and Care Excellence
- 105 OR: Odds ratio
- 106 SABA: Short-acting beta agonist
- 107 SD: Standard deviation
- 108 sIgE: Allergen specific IgE
- 109 SPT: Skin prick test
- 110 SPAC: Swiss Paediatric Airway Cohort
- 111
- 112
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#### 115 **INTRODUCTION**

Asthma treatment should be regularly adjusted to maintain a good control of symptoms 116 117 with the minimum treatment possible (1-3). Asthma guidelines stratify treatment in steps, 118 with increasing intensity, and advise to base treatment decisions on daily symptom control and future risk of exacerbations or medication side effects (1-3). Stepping up treatment 119 120 may improve daily symptom control and reduce future risk of exacerbations. Stepping 121 down treatment reduces costs and the risk of side effects associated with long-term use of anti-inflammatory treatment. However, other factors affect a doctor's management 122 123 decision, including test results, comorbidities, personal preferences, treatment adherence, and environmental exposures such as tobacco smoke or aeroallergens. Asthma guidelines 124 also recommend addressing these modifiable risk factors to reduce exacerbations and 125 126 improve asthma control (1-3).

We know little about what drives doctors to adjust treatment in children with asthma (4, 127 128 5). Previous studies have reported that children who are younger, female, of black or Asian 129 ethnicity, whose mothers have a lower education level, and with a milder disease tend to 130 be prescribed lower treatment steps (6-9). However, most previous knowledge is based on 131 reported asthma treatment by the patient, family, or prescribing physicians (5, 7-9). To have a better understanding of what doctors prescribe in a real-life setting, we need to 132 133 look at treatment that has actually been prescribed. We used data from a clinical cohort embedded in routine care, to understand the factors that drive treatment adjustment in 134 paediatric respiratory outpatient clinics. 135

#### 137 METHODS

#### 138 Study design and setting

This is a cross-sectional study nested in the Swiss Paediatric Airway Cohort (SPAC, 139 140 registration number NCT03505216) that has already been described (10). Briefly, SPAC is a 141 clinical cohort study embedded in the routine care of 6 respiratory outpatient clinics in Switzerland: Aarau, Basel, Bern, Luzern, St. Gallen and Zurich. All children aged 0-16 years 142 seen at these clinics for respiratory problems such as wheeze, chronic cough, or exercise-143 144 induced symptoms were invited to participate. At recruitment, parents signed an informed consent form and completed a questionnaire, and we collected the clinical visit letter which 145 146 is sent by the paediatric pulmonologist to the referring doctor. The study was approved by 147 the Bern Cantonal Ethics Committee (Kantonale Ethikkomission Bern 2016-02176) and we followed STROBE guidelines to report our findings (11). 148

### 149 Participants

150 For the current analysis, we included children of any age who had participated in SPAC between 1<sup>st</sup> July 2017 and 31<sup>st</sup> July 2019 who: (1) received a diagnosis of asthma in the 151 outpatient clinic, (2) had signed the informed consent, and (3) completed the parental 152 questionnaire. We excluded children who completed the questionnaire more than 3 months 153 154 before the clinical visit, as children normally receive the appointment for the paediatric pulmonologist with the questionnaire, 1-3 months in advance. We excluded those who 155 156 completed the questionnaire 2 weeks or more after the visit, as treatment changes 157 prescribed at the visit might have modified the symptoms by then.

158

#### 160 Data sources and definitions of exposures

To select the possible drivers of treatment adjustment, first we included the factors that the 161 162 GINA 2019 guidelines recommend using to adjust treatment (12): symptom control, 163 modifiable risk factors, comorbidities and treatment adherence (Figure E1). As modifiable 164 risk factors we included lung function, exposure to tobacco smoke and allergen exposure if sensitised. For sensitisation we included allergy tests, and as comorbidities we included 165 eczema, hay fever and body weight, measured as the Body Mass Index (BMI). We also 166 167 included FeNO, which is still under debate whether it should be used to guide treatment in 168 children (13). Finally, we included factors that should not drive treatment, but that have 169 been previously associated with the prescribed step: age, sex, child's nationality as a proxy for ethnicity, mother's and father's education level and the clinic (6-9). 170 From the parental questionnaire, we collected information on respiratory symptoms, allergic 171 172 diseases (hay fever and eczema), family history of allergic diseases, living conditions and 173 sociodemographic data. Exposure to tobacco smoke was defined as either mother or father currently smoking. We used four respiratory symptoms in the last 12 months to classify 174 symptom control: any daily activity limitations, any night-time symptoms, any missed school-175 176 days and more than 3 attacks of wheezing. We classified symptom control into well (no symptoms), partly (1-2 symptoms present) or uncontrolled (3-4 present). 177 178 From the clinical visit letter and test reports we extracted information on body weight, 179 height, diagnosis, clinical history, physical examination, FeNO, spirometry, skin prick test 180 (SPT), allergen specific IgE (sIgE) and treatment adherence. We used weight and height to

calculate BMI Z-scores using references values from the World Health Organisation (14). We

182 classified FeNO into 'high' using each clinics' limits of normality because they used different

devices, as described previously (15). The FeNO cut-offs varied from 10ppb when using an 183 184 online method to 20-25ppb when using an offline method. We also tested FeNO as a 185 continuous variable (log-transformed) and divided into 4 equally distributed groups. We transformed Forced Expiratory Volume in the first second ( $FEV_1$ ) and Forced Vital Capacity 186 187 (FVC) into Z-scores using Global Lung Initiative standards (16). We estimated  $FEV_1$  increase after a short-acting beta agonist (SABA) using the formula (post-  $FEV_1$  - pre-  $FEV_1$  / pre-  $FEV_1$ ) 188 x 100%, and defined a 12% increase or more as 'bronchodilator reversibility' (12). SPT was 189 defined as positive if the mean wheal size for any allergen was 3mm or more, and sIgE if 190 levels were 0.35 kU/L or more. We defined 'positive allergy tests' as having either a positive 191 192 SPT or a positive sIgE. Treatment adherence was classified as 'good' or 'poor' as reported in the clinical visit letter by the treating doctor, or as 'not reported' when it was not 193 mentioned. No objective measurements were used for treatment adherence assessment, 194 195 and we defined as 'poor' when the doctor reported that the child did not take all the prescribed doses. We did not collect information on treatment action plans as these were 196 not routinely used, were not standardised across centres and were handed over directly to 197 198 the families.

#### 199 Data sources and definitions of outcomes

We collected the information on the previous and current treatment prescribed from the clinical visit letter. We then classified it into steps according to the GINA 2020 asthma guidelines (Table E1) (12). Treatments not recommended by GINA 2020 guidelines were classified as 'other'. We then used the information on previous and current asthma treatment to define the treatment decision as 'step-up' (increased the treatment intensity), 'step-down' (decreased the treatment intensity) or 'no change' (remained the same). We also classified as 'step up' children that were started on asthma treatment for the first time, as 'step-down' those that were prescribed to stop treatment completely and as 'no change'
those that changed the specific drug prescribed for preference reasons but remained on the
same step.

#### 210 Statistical Analysis

211 We studied which factors drive asthma treatment adjustment using two multivariable logistic regression models: one comparing 'step-down' to 'no change' and one comparing 212 213 'step-up' to 'no change'. We excluded the children who had no information about their 214 previous asthma treatment (before the clinical visit, N= 14). We selected the variables in the final model using Collett's method (17) to produce a final list of non-collinear predictors, 215 216 based on their influence on the other variables' coefficients and a p-value threshold of 0.2. 217 We forced age, sex and clinic into the model when possible. We performed the analysis when there were at least 500 children with asthma recruited, to allow for 10 events per non-218 219 collinear predictor for each treatment adjustment (18), assuming that at least 20% of cases 220 would either step-up or down.

221 We performed multiple imputation for variables with missing values using the "mi"(19) 222 procedure in Stata with 20 iterations. The imputation models included all variables selected 223 and the outcome of interest (20). We presented the results of the multivariable regression 224 models using multiple imputation in the main results. We performed two sensitivity 225 analyses. First, we did a complete-case analysis, restricting to children who had information 226 on all predictors, as some of the missing values were not missing at random. This was 227 especially the case for spirometry and FeNO that were missing when the treating doctor 228 decided not to perform them, or when they could not be performed. The number of available data for each variable is included in Table 1. Second, we excluded children under 6 229 years of age as the management of these children may vary from school-aged children. We 230

used STATA 15 to perform the statistical analysis and reported the Odds Ratios (OR) with
their 95% confidence intervals (CI).

233

#### 234 **RESULTS**

235 Among 2561 children invited, 1537 agreed to participate and completed the parental 236 questionnaire (Figure 1). 551 were diagnosed with asthma and included in the study. 203 (37%) were female, mean age was 10 years (SD 3.5), 147 (28%) were well controlled and 159 237 238 (30%) had more than 3 asthma exacerbations during the previous year (Table 1). Most children (488, 93%) were referred for suspected asthma or wheeze, of whom 50 (10%) were 239 referred because of difficulties in attaining good asthma control or to ask for guidance with 240 241 the treatment (data not shown). Other referral reasons were allergic rhinoconjunctivitis (10, 242 2%), recurrent respiratory infections (5, 1%), exercise induced symptoms (4, 1%), chronic 243 cough (3, 1%), and other reasons (13, 2%). Adherence was mentioned in less than a half of 244 the clinical visit letters. An allergy test was performed in 216 children and was positive in 148 245 (69%), FeNO was measured in 512 and in 264 (52%) defined as high, and lung function tests were done in 436, with a mean  $FEV_1$  Z-score of -0.54 and a mean  $FEV_1/FVC$  of 0.84. 246 247 At the clinical visit, 80% of the children were prescribed inhaled corticosteroids (ICS), and 248 half of these were combined with long-acting beta agonists (LABA) (Table E2). Most children 249 were prescribed Step 3 (194, 35%), followed by Step 4 (115, 21%), Step 1 (108, 20%), Step 2 250 (91, 17%), with only 6 (1%) on Step 5, a further 17 (3%) were prescribed no treatment and 20 251 (4%) were prescribed treatment not included in the GINA 2019 recommendations for the 252 child's age (Figure 2). Of the 537 children that had information on their previous treatment, 253 most had no change in their treatment intensity at the clinical visit (252, 47%), 227 (42%)

254 were stepped-up and 58 (11%) were stepped down (Table 1 and Figure 2). Children on a 255 previous step 1 or 4 were mostly not changed, while children on a previous step 2 were 256 mostly stepped up, and children on step 3 were mostly not changed or stepped up (Figure 2). Children with a worse asthma control were more frequently stepped-up and were also 257 258 more frequently prescribed a higher asthma treatment step (Figure 3). 259 Factors associated with stepping down treatment were clinic (Centre C had a higher odd of stepping down than Centre A, aOR 2.62, 95% CI 1.10-6.22) and a low FeNO (aOR 2.34, 95% CI 260 1.23-4.45) (Figure 4a and Table E3). All other factors were not associated with stepping 261 262 down treatment (Table E3). 263 Factors associated with stepping up treatment were female sex (aOR 1.61, 95% CI 1.05-264 2.47), clinic (Centre B had a higher odd of stepping up than Centre A, aOR 1.93, 95% CI 1.09-3.43) a poor asthma control (vs. well controlled, aOR 3.08, 95% CI 1.72-5.54), and a lower 265 266 FEV<sub>1</sub> Z-score (aOR 0.70, 95%CI 0.56-0.86 per 1 Z-score increase) (Figure 4b and Table E4). In 267 the univariable analysis, of the 4 items used to define asthma control, having had more than 3 wheezing attacks in the last 12 months, was the most strongly associated to stepping up 268 269 (aOR 2.73, 95% CI 1.81-4.12) (Table E4). All other factors were not associated to stepping up 270 treatment, including FeNO both as a continuous (log-transformed) or dichotomous (high vs. 271 low) variable (Table E4). The complete-case analysis (Tables E5 & E6) resulted in some slight differences in the odds 272

ratios of the centres, but the direction of the associations remained the same and most
results did not vary, especially not the associations with lung function and FeNO. Also, the
second sensitivity analysis, where we excluded children under 6 years old, showed very
similar findings as the main analysis including all age groups (Tables E7 & E8). To highlight,

the effect of female sex did vary slightly in the sensitivity analyses. It was significantly

associated to treatment step-down in the complete case analysis with (aOR 2.02, 95% CI
1.06-3.86) and without (aOR 2.04, 95% CI 1.03-4.03) children under 6 years old, while this
was not the case in the main analysis. For treatment step-up, female sex was less strongly
associated in the complete case analysis.

282

#### 283 **DISCUSSION**

In this cross-sectional study embedded in routine care, we found that 47% of children with asthma seen at paediatric respiratory outpatient clinics in Switzerland did not have their treatment modified, 42% were stepped up and only 11% were stepped down. Most children were prescribed a step 3 (35%) or 4 (21%) at the respiratory outpatient clinic. The main drivers for treatment step-up were female sex, uncontrolled asthma and a lower FEV<sub>1</sub>. The main driver for treatment step-down was a low FeNO. There was marked heterogeneity between clinics, with some clinics more prone to stepping up, and others to stepping down.

#### 291 Strengths and limitations

292 This study presents the real-life prescribing practices of paediatric pulmonologists for children with asthma in Switzerland. It is a large multicentre study with a heterogeneous 293 294 sample of children with different degrees of asthma severity and control. We also had 295 information on many different respiratory symptoms collected in a standardised way through a questionnaire, as well as objective tests results and information from the treating 296 paediatric pulmonologist such as the child's adherence to treatment. However, this study 297 298 also has limitations. First, as it is embedded in routine care, objective tests were only 299 performed based on the doctor's indication resulting in some missing data and hampering 300 the possibility of studying tests that are not frequently performed such as blood eosinophils.

301 However, for the included measurements, such as lung function and FeNO, only few children 302 had missing data and the findings were comparable in the analysis using multiple imputation 303 for missing data and the complete-case analysis. This was also the case for tests that depend 304 on the clinical history such as allergy tests, performed in 40% of the children for the specific clinical visit. Second, the questionnaire that the families completed for the study was not 305 306 disclosed to the treating paediatric pulmonologists who based their decisions on treatment 307 adjustment on their own specific questions and the answers they obtained during the visit. These may have differed from the answers we obtained through the questionnaire. Third, 308 the results are only representative for the German-speaking region of Switzerland. Fourth, 309 310 the small proportion of children that were stepped down limited the number of factors that we could include in the multivariable model. Most children were referred back to their 311 312 paediatrician once their asthma was well controlled, and it will be the paediatrician who will 313 step-down the treatment following the paediatric pulmonologist's recommendations.

314 Findings in relation to previous studies

Little is known about factors that drive paediatric pulmonologists to adjust asthma 315 316 treatment. Two studies have investigated drivers of treatment adjustment among asthma 317 specialists, but only for adult patients (4, 21). Others assessed which information paediatricians used to assign asthma treatment in primary care (5, 22). Okelo et al. used case 318 319 vignettes (a short written summary of a case) of children with asthma to assess this. They 320 found that asthma control, recent hospitalisation for asthma and an asthma that bothered the parents were the main drivers for both stepping up and stepping down among 321 paediatricians (5). Wheezing on examination also guided treatment step-up, while symptom 322 323 stability guided treatment step-down (5). These factors are related to daily symptom control 324 and the risk of severe attacks (previous hospitalisation). In our study, we found that asthma

325 control was an important driver for stepping-up in children, but not for stepping down. Case 326 vignettes allow to control for unmeasured confounders, but they do not study actual 327 practice. Also, the authors did not assess the effect of other factors such as the child's treatment adherence, objective tests results, age, sex, or the parent's education level (5). 328 329 Yawn et al. used clinical records to assess factors associated with asthma treatment 330 adjustment in primary care (22). They found that stepping down was much rarer than stepping up and that the most common reason for stepping up was an asthma exacerbation 331 (22). Asthma exacerbations during the previous year were included in our asthma control 332 333 definition, and when looking at each item separately in the univariable analysis, number of 334 exacerbations was the strongest item associated with treatment step-up. Asthma guidelines recommend assessing adherence before adjusting treatment. Several 335 336 studies reported poor adherence to controller treatment as a frequent barrier to obtain adequate symptom control in children (23-25). In our study, adherence was not associated 337 338 with stepping up or down, but adherence was only mentioned in 48% of the clinical visit letters. There was no evidence that treatment was affected by socio-economic factors such 339 340 as the child's nationality or parents' education. We found that treatment of girls was more 341 often changed than boys, though the association was not consistent across the different 342 analysis. This could reflect an inadequate management of girls with asthma in primary care, 343 with girls being both under and overtreated compared to boys with the same degree of 344 symptom control. Previously, a population-based study reported that girls might be 345 undertreated in Switzerland (26).

Biomarkers such as FEV<sub>1</sub> and FeNO played an important role in treatment adjustment
decisions. FeNO values have been reported to drive treatment adjustment in adult patients
(27, 28). We found that paediatric pulmonologists used FeNO to step-down treatment but

349 not to step-up. In adults, a recent meta-analysis found that the use of FeNO to guide 350 treatment step-down reduced ICS use without increasing the risk of exacerbations (29), but 351 further research is needed. In children, the role of FeNO to monitor asthma and adjust treatment is controversial. The recent NICE guidelines(3) and the GINA guidelines(1) do not 352 353 recommend FeNO-guided treatment, though it may reduce exacerbation rates(13). Despite 354 this, FeNO is often measured, as it may be a helpful surrogate of eosinophilic airway inflammation in otherwise asymptomatic children at risk of asthma attacks when reducing 355 ICS dose (30). However, it remains important to use clinical and anamnestic information, 356 such as symptom control, to guide treatment step-down and not FeNO alone. In our study, a 357 358 lower FEV<sub>1</sub> was the most important driver of treatment step-up but did not affect treatment step-down. Also in a US study, spirometry results influenced management decisions of 359 360 paediatric pulmonologists in 15% of the evaluated visits, contributing to stepping-up 75% of 361 these (31). According to asthma guidelines, lung function should be regularly assessed to adjust treatment(1-3), because poor lung function has been associated with a greater risk of 362 363 asthma exacerbations(32-34) and for persistent airflow limitation(35-37).

#### 364 Implication for practice and future research

Asthma management guidelines should consider current prescribing practices of paediatric 365 366 pulmonologists when advising on asthma treatment adjustments. Recommendations 367 obtained from randomised controlled trials done on selected populations, under controlled 368 circumstances and high resources, may be difficult to implement in routine care. Also, doctors may start prescribing certain treatments before they are recommended in 369 guidelines, as it may take years to implement research findings into clinical guidelines. Real 370 371 prescribing patterns can only be assessed in observational clinical cohorts like SPAC. Our 372 study suggests that paediatric pulmonologists may apply study findings before they are

373 recommended in asthma guidelines, as they used FeNO to decide treatment step-down and had started prescribing ICS/LABA as needed before it was recommended for Step 1 in the 374 375 2019 GINA guidelines. Some recommendations from asthma guidelines may be difficult to 376 implement in clinical practice. This is the case with the assessment of treatment adherence, 377 which was rarely reported in the hospitals participating in SPAC. It would be desirable to 378 have less costly and broadly available smart inhalers to assess adherence easily and 379 accurately in children with asthma. Our study was done in tertiary care. We think that similar studies should also be done in primary care to investigate what drives general paediatricians 380 to adjust asthma treatment. Most children with asthma are managed in primary care, and 381 382 the factors driving treatment adjustment in primary care may differ. The marked heterogeneity between the participating clinics reflects relevant differences in clinical 383 384 practices. Standardising asthma treatment in children is important to assure an adequate 385 management. Updated national guidelines with the contribution of all paediatric pulmonology services may help in attaining a standardised and updated management. 386

387

#### 388 Conclusion

In conclusion, this national study conducted in a real-life setting found that lung function and level of asthma control play a key role in asthma treatment step-up while FeNO is currently the main driver of treatment step-down. Asthma treatment adjustment practices differed broadly between clinics. Understanding how asthma treatment is adjusted in routine care may help to improve recommendations and clinical practice.

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	Journal Pre-proof
417	Roles of the sponsors: The sponsor had no role in the design of the study, the collection,
418	analysis and interpretation of the data, the preparation of the manuscript, and in the
419	decision to submit the article for publication.
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#### 535 FIGURE LEGENDS

- 536 Figure 1: Flowchart of included participants
- 537 SPAC: Swiss Paediatric Airway Cohort

# Figure 2: Change in asthma treatment step after respiratory outpatient clinical visit for children participating in the SPAC study (N=537)

541 'Other' includes: Any dose ICS-LABA and short courses (4-6 weeks) of low dose ICS for children under 6 years old. As-needed

- ICS-LABA for children 6-11 years old. Green arrows indicate treatment step-down, blue arrows indicate no change and red
   arrows indicate step-up. The black arrow indicates change from Step 4 to 'Other', where we cannot assess if it is a step-up
   or step-down.
- **Figure 3:** Asthma treatment adjustment (A) and treatment step proposed (B) by paediatric
- 547 pulmonologists for children with different levels of asthma control at presentation (well, partly and 548 uncontrolled) (N=537)
- 549 'Other' includes: Any dose ICS-LABA and short courses (4-6 weeks) of low dose ICS for children under 6 years
  550 old. As-needed ICS-LABA for children 6-11 years old.

- 552 Figure 4: Factors associated with stepping down (A) and stepping up (B) asthma treatment by
- 553 paediatric pulmonologists, using multiple imputation for missing values (N= 537)
- 554 BMI: Body Mass Index; FeNO: Fraction of exhaled nitric oxide; FEV1: Forced expiratory volume in the first second. \*Asthma
- control during the last 12 months as reported by parental questionnaire before the respiratory outpatient visit \*\*
- Adherence to treatment as reported in outpatient visit letter #: Definition of low FeNO depended on technique used and
- specified cut-offs for each centre 1: Adjusted for all the variables included in the multivariable model, 2: Adjusted for all the
   variables in the multivariable model and also mother's education

Table 1: Characteristics of children included in the study: whole population and stratified by asthma
 treatment modification at the respiratory outpatient visit

	All	Step-down	No change	Step-up
	N = 551	N= 58	N= 252	N= 22
Cosiodomographico	n (%)	n (%)	n (%)	n (%
Sociodemographics	202 (27)	25 (42)	01 (22)	00 (40
Female sex	203 (37)	25 (43)	81 (32)	90 (40
Age, years (mean, SD) Clinic	10.1 (3.5)	9.7 (3.2)	10.6 (3.5)	9.7 (3.3
	154 (28)	15 (26)	90 (22)	F.C. (2F
Centre A	154 (28) 149 (27)	15 (26) 17 (20)	80 (32)	56 (25
Centre B		17 (29)	47 (19)	77 (34
Centre C	93 (17) 58 (11)	2 (3)	15 (6)	29 (13
Centre D	58 (11)	14 (24)	28 (11)	15 (7
Centre E	93 (17)	7 (12)	58 (23)	28 (12
Centre F	49 (9)	3 (5)	23 (9)	23 (10
Swiss nationality	464 (84)	52 (90)	211 (84)	190 (83
Highest mother's education level (N=536)				
Primary	42 (8)	3 (5)	15 (6)	24 (11
Secondary	292 (55)	31 (55)	125 (51)	127 (57
Tertiary	202 (38)	22 (39)	104 (43)	71 (32
Highest father's education level (N=526)				
Primary	36 (7)	4 (7)	13 (5)	18 (8
Secondary	260 (49)	30 (54)	111 (46)	112 (52
Tertiary	239 (44)	22 (39)	117 (49)	86 (40
Exposure to tobacco smoke (N= 531)	172 (32)	21 (37)	65 (27)	82 (38
Comorbidities				
BMI Z Score (mean, SD) (N= 546)	0.26 (1.2)	0.39 (1.2)	0.23 (1.2)	0.26 (1.3
Eczema doctor's diagnosis (N= 493)	185 (38)	16 (33)	84 (37)	80 (39
Hay fever doctor's diagnosis (N= 542)	271 (50)	24 (42)	137 (55)	105 (47
Asthma characteristics				
Asthma control last 12 months* (N= 519)				
Well controlled	147 (28)	22 (42)	82 (35)	43 (20
Partly controlled	207 (40)	17 (33)	108 (46)	77 (36
Uncontrolled	165 (32)	13 (25)	47 (20)	96 (44
Exercise-induced respiratory symptoms (N=534)	375 (70)	39 (72)	165 (67)	160 (73
Adherence to asthma treatment** (N=531)				
Good	176 (33)	22 (41)	85 (35)	64 (29
Poor	81 (15)	7 (13)	47 (19)	26 (12
Not mentioned	274 (52)	25 (46)	110 (45)	133 (60
GINA treatment step prescribed at the visit <sup>\$</sup>	(- )	- ( - )	- ( - )	(
No treatment	17 (3)	3 (5)	10 (4)	
Step 1	108 (20)	16 (28)	77 (31)	13 (6
Step 2	91 (17)	13 (22)	39 (15)	39 (17
Step 2	194 (35)	16 (28)	77 (31)	98 (43
Step 4	115 (21)	6 (19)	38 (15)	67 (30
Step 5	6 (1)	1 (2)	5 (2)	5 (2
Other	20 (4)	3 (5)	5 (2) 6 (2)	5 (2
Diagnostic Tests	20 (4)	5 (5)	0(2)	5 (2
Positive allergy test <sup>&amp;</sup> (N= 216)	148 (69)	13 (65)	54 (70)	78 (69
High FeNO <sup>#</sup> (N= 512) $F(1.4 \times 10^{-12} \text{ cm}^{-12} $	264 (52)	16 (30)	116 (50)	125 (59
FEV1 Z score (mean, SD) (N=436)	-0.54 (1.2)	-0.20 (1.0)	-0.36 (1.2)	-0.80 (1.1
FEV1/FVC (mean, SD) (N=414) % FEV1 bronchodilator increase (mean, SD) (N=272)	0.84 (0.1) 9.3 (9.6)	0.86 (0.08) 6.1 (6.1)	0.84 (0.09) 7.6 (8.7)	0.83 (0.08 11.4 (10

581 SD: standard deviation; BMI: Body Mass Index; FeNO: Fraction of exhaled nitric oxide; FEV1: Forced expiratory volume in the first second;

582 FVC: Forced vital capacity; SABA: Short-acting beta-agonists; ICS: inhaled corticosteroids; LABA: long-acting beta-agonists; GINA: Global

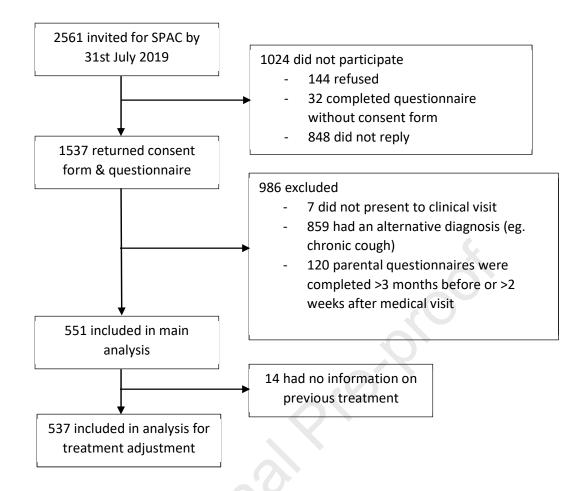
583 initiative for asthma. \*During the last 12 months before the respiratory outpatient visit \*\* Adherence to previous treatment as reported in

584 outpatient visit letter #: Definition of high FeNO depended on technique used and specified cut-offs for each centre: 10ppb when using an

- online method and 20-25ppb when using an offline method. \$ Specific treatment by age groups for each step described in Table E1. &:
- 586 Positive Skin prick test or allergen specific IgE for any allergen.

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### Figure 1: Flowchart of included participants



SPAC: Swiss Paediatric Airway Cohort Study

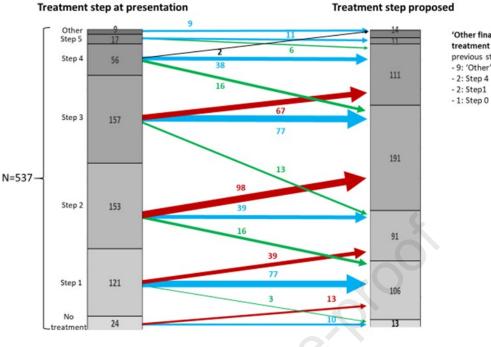
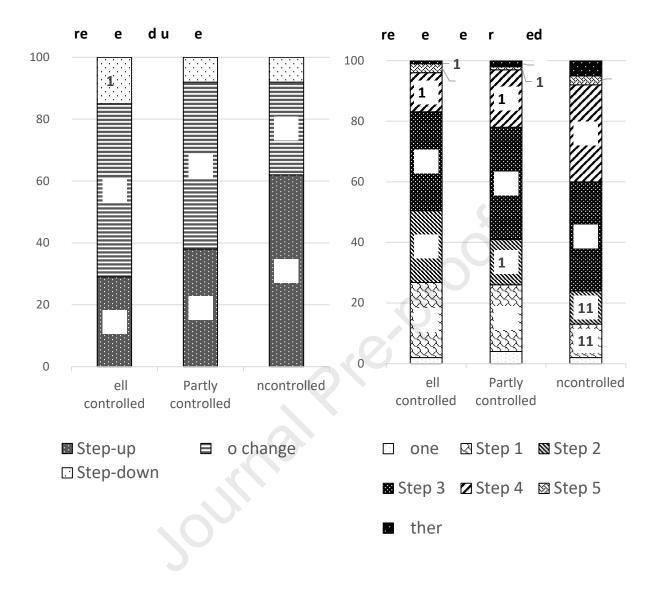




Figure 3: Asthma treatment adjustment (A) and treatment step proposed () by paediatric pulmonologists for children with different levels of asthma control at presentation (well partly and uncontrolled) (537)



ther includes: Any dose CS- A A and short courses (4-6 weeks) of low dose CS for children under 6 years old. As-needed CS- A A for children 6-11 years old.

