



# Diagnosis of primary ciliary dyskinesia: discrepancy according to different algorithms

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## Shareable abstract (@ERSpublications)

There is no gold standard test for diagnosing PCD. The use of existing diagnostic algorithms leads to contradicting results in many patients (15% in this study). Thus, an updated and internationally harmonised diagnostic guideline is needed. <https://bit.ly/2U19Vvq>

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## Abstract

**Background** Diagnosis of primary ciliary dyskinesia (PCD) is challenging since there is no gold standard test. The European Respiratory (ERS) and American Thoracic (ATS) Societies developed evidence-based diagnostic guidelines with considerable differences.

**Objective** We aimed to compare the algorithms published by the ERS and the ATS with each other and with our own PCD-UNIBE algorithm in a clinical setting. Our algorithm is similar to the ERS algorithm with additional immunofluorescence staining. Agreement (Cohen's  $\kappa$ ) and concordance between the three algorithms were assessed in patients with suspicion of PCD referred to our diagnostic centre.

**Results** In 46 out of 54 patients (85%) the final diagnosis was concordant between all three algorithms (30 PCD negative, 16 PCD positive). In eight patients (15%) PCD diagnosis differed between the algorithms. Five patients (9%) were diagnosed as PCD only by the ATS, one (2%) only by the ERS and PCD-UNIBE, one (2%) only by the ATS and PCD-UNIBE, and one (2%) only by the PCD-UNIBE algorithm. Agreement was substantial between the ERS and the ATS ( $\kappa=0.72$ , 95% CI 0.53–0.92) and the ATS and the PCD-UNIBE ( $\kappa=0.73$ , 95% CI 0.53–0.92) and almost perfect between the ERS and the PCD-UNIBE algorithms ( $\kappa=0.92$ , 95% CI 0.80–1.00).

**Conclusion** The different diagnostic algorithms lead to a contradictory diagnosis in a considerable proportion of patients. Thus, an updated, internationally harmonised and standardised PCD diagnostic algorithm is needed to improve diagnostics for these discordant cases.

## Introduction

Primary ciliary dyskinesia (PCD) is a rare genetic disease with an incidence of 1:10 000 to 1:15 000 in Europe [1]. To date genetic variants in ~50 genes are known to cause PCD [2], mainly with defects in motile cilia of the respiratory epithelium and spermatic flagella [3]. This leads to phenotypic variability with situs inversus and infertility found frequently [4]. Clinical symptoms mostly start during the neonatal period including neonatal respiratory distress, chronic wet cough, perennial rhinosinusitis, recurrent respiratory tract infections leading to bronchiectasis and serous otitis media with hearing impairment [3, 4].

The diagnosis of PCD is challenging since there is no “gold standard” test [7, 8]. Currently, the diagnosis requires a combination of different investigations: nasal nitric oxide (nNO) flow measurement, ciliary



motion analysis by high-speed videomicroscopy (HSVM), ciliary (ultra)structure analysis by transmission electron microscopy (TEM) and immunofluorescence staining, and genetic analysis. Additionally, air-liquid-interface (ALI) cell cultures can be helpful to differentiate PCD from secondary dyskinesia [3, 5, 6]. However, these methods require high levels of expertise, are expensive and their availability varies among diagnostic centres even within a country.

Both the European Respiratory Society (ERS) in 2017 [5] and the American Thoracic Society (ATS) in 2018 [7] published guidelines suggesting diagnostic algorithms. However, these guidelines suggest different diagnostic approaches [8]. The evidence-based ERS guideline focuses on using nNO, HSVM, TEM and genetic testing and distinguishes four diagnostic categories: i) PCD positive (biallelic pathogenic or likely pathogenic variants in a PCD-associated gene or hallmark TEM defect); ii) PCD highly likely (suggestive HSVM and low nNO); iii) PCD highly unlikely; and iv) inconclusive (“consider additional testing and recall patients for testing as new methods become available”) [5, 9]. In contrast, the ATS consensus statement focuses on nNO measurement as primary analysis, followed by genetic panel testing (>12 genes) and TEM. Final categories for this algorithm are: (i) PCD diagnosed and (ii) PCD not diagnosed. Both algorithms have been compared theoretically [8, 10, 11], but a direct comparison using clinical data has not been done yet. In our study, we thus aimed to compare final diagnoses according to these two existing PCD diagnostic algorithms and compare them to the outcome according to the diagnostic algorithm used at our PCD-UNIBE diagnostic centre in a clinical setting using patients’ data.

## Methods

### Study design

This study compares the outcome of PCD diagnostic testing according to three different diagnostic algorithms – the ERS, the ATS and our centre’s (PCD-UNIBE) algorithm (figure 1). We included all patients referred to our comprehensive PCD-UNIBE diagnostic centre at the University Children’s Hospital, Inselspital Bern, Switzerland between January 2018 and December 2020 with sufficient data to allow for a conclusive decision on PCD diagnosis based on all three algorithms. Specifically, we included only patients with detailed data on clinical symptoms (all categories of the ATS algorithm), nNO of sufficient quality, and HSVM and immunofluorescence results (not performed for one patient since ciliary beating pattern in HSVM was normal and the clinical suspicion was low). HSVM after cell culture was performed if the cells grew successfully; TEM and genetics were performed if required for a decision based on the PCD-UNIBE algorithm. The study was approved by the Ethics Committee of the Canton Bern, Switzerland (reference number 2018-02155). We obtained written informed consent from all participants or their parents.

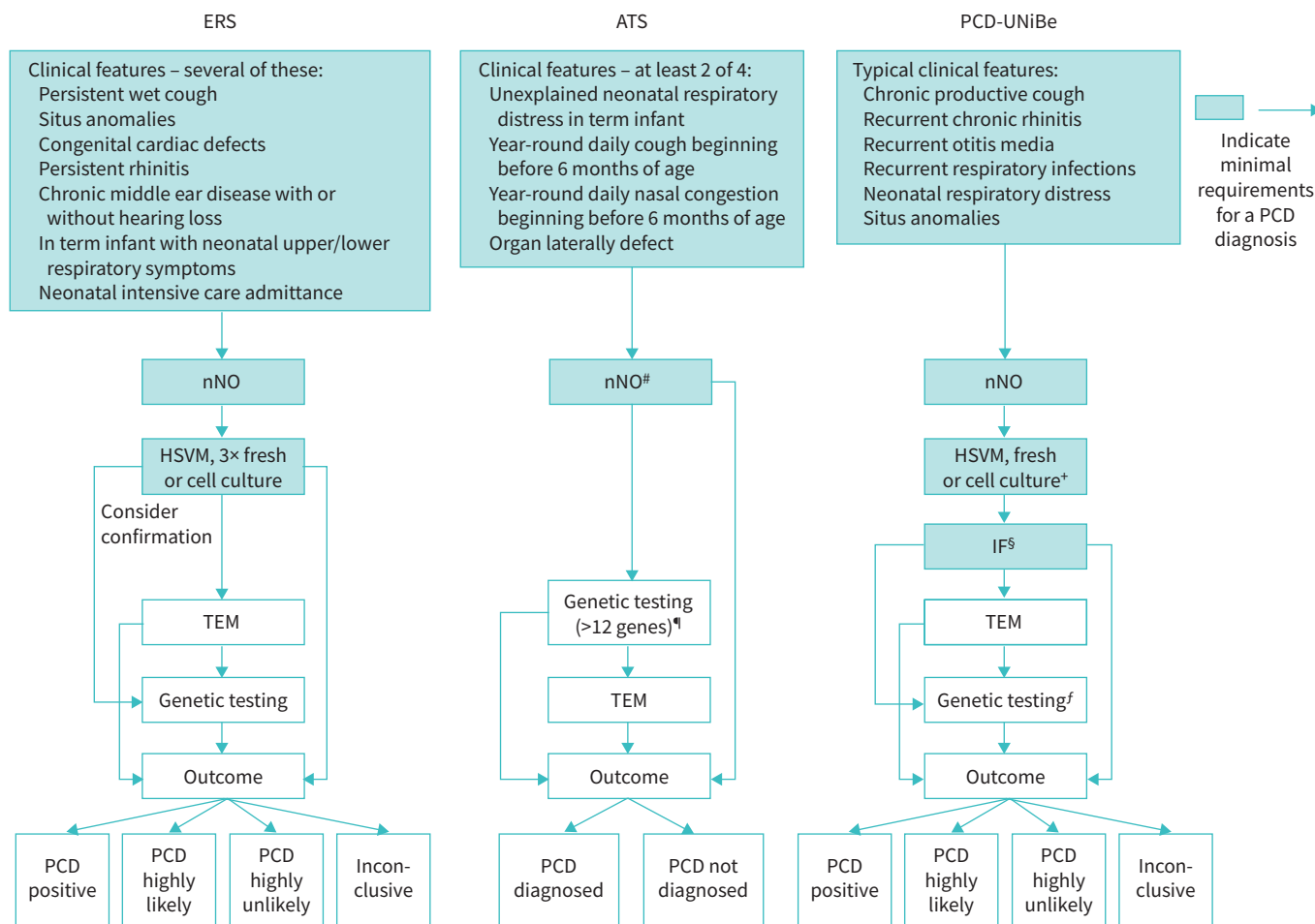
### Diagnostic algorithm of the PCD-UNIBE centre

#### Description of the algorithm and definition of a positive PCD diagnosis

We developed a new diagnostic algorithm at the PCD-UNIBE diagnostic centre (figure 1 and figure 2) applied to all patients referred for PCD diagnosis. It is based on the ERS task force guideline for PCD diagnosis [5] and the international consensus guideline for reporting TEM results (BEAT-PCD TEM criteria) [12], additionally including immunofluorescence [13] and ALI cell culture as standard procedure for all brushing samples [14, 15]. Basic investigations are identical for each patient and include nasal brushing with HSVM (fresh and ALI) and immunofluorescence (preferably from ALI cell cultures). Depending on clinical presentation [16] and the results of the basic investigations, we additionally consider TEM and further immunofluorescence stainings. Genetic analysis is done for each patient with a high clinical suspicion, even if the results of the other methods were non-suggestive. An interdisciplinary diagnostic board including clinicians, diagnostic research specialists and lab technicians makes decisions on supplementary investigations.

Results concerning PCD diagnosis are interpreted as follows (figure 2): patients with a class 1 (hallmark) TEM defect and/or biallelic pathogenic or likely pathogenic variants in PCD-associated genes are diagnosed as “PCD positive” [5, 12]. Patients with a PCD-suggestive HSVM, a structural protein missing in immunofluorescence and/or a TEM class 2 defect result are given the diagnosis “PCD highly likely”. Patients with no suggestive findings in either method are classified as “PCD highly unlikely” and patients who refuse further needed testing or when further testing is not possible are classified “inconclusive”.

In our study, we consider the categories “PCD positive” and “PCD highly likely” of the ERS and the PCD-UNIBE algorithms and the category “PCD diagnosed” of the ATS algorithms as **confirmed PCD**. Clinically, all confirmed PCD cases are treated equally. Further, we consider the diagnostic outcome “PCD highly unlikely” of the ERS and the PCD-UNIBE algorithms and “PCD not diagnosed” of the ATS algorithm as **PCD excluded**, even though we are aware that PCD can never be formally excluded.



**FIGURE 1** Comparison of the three algorithms for PCD diagnosis. Adapted from the ERS [5] and the ATS [7] guidelines. Boxes and arrows marked in blue indicate minimal requirements for a diagnosis. <sup>#</sup>nNO can only be used if performed with a chemiluminescence device according to a standard protocol, provided the tested person is >5 years old and able to cooperate. A low nNO level should be repeated to ensure the low value is not due to a respiratory infection [7]. <sup>¶</sup>Testing for mutations in >12 disease-associated PCD genes, including deletion/duplication [7]. <sup>†</sup>Cell culture at the air-liquid interface (ALI). <sup>§</sup>Further investigations (HSVM, immunofluorescence and TEM) are always preferably done by analysing the material of the ALI cell culture. Fresh material is only used if the cell culture is not successful. <sup>‡</sup>Genetic analysis is performed according to newest research findings and the number of tested genes increases constantly. ATS: American Thoracic Society; ERS: European Respiratory Society; HSVM: high-speed videomicroscopy; IF: immunofluorescence staining; nNO: nasal nitric oxide; PCD: primary ciliary dyskinesia; PCD-UNIBe: comprehensive diagnostic centre at the University Children's Hospital, Inselspital Bern, Switzerland; TEM: transmission electron microscopy.

### Diagnostic methods

#### Nasal nitric oxide (nNO) measurement

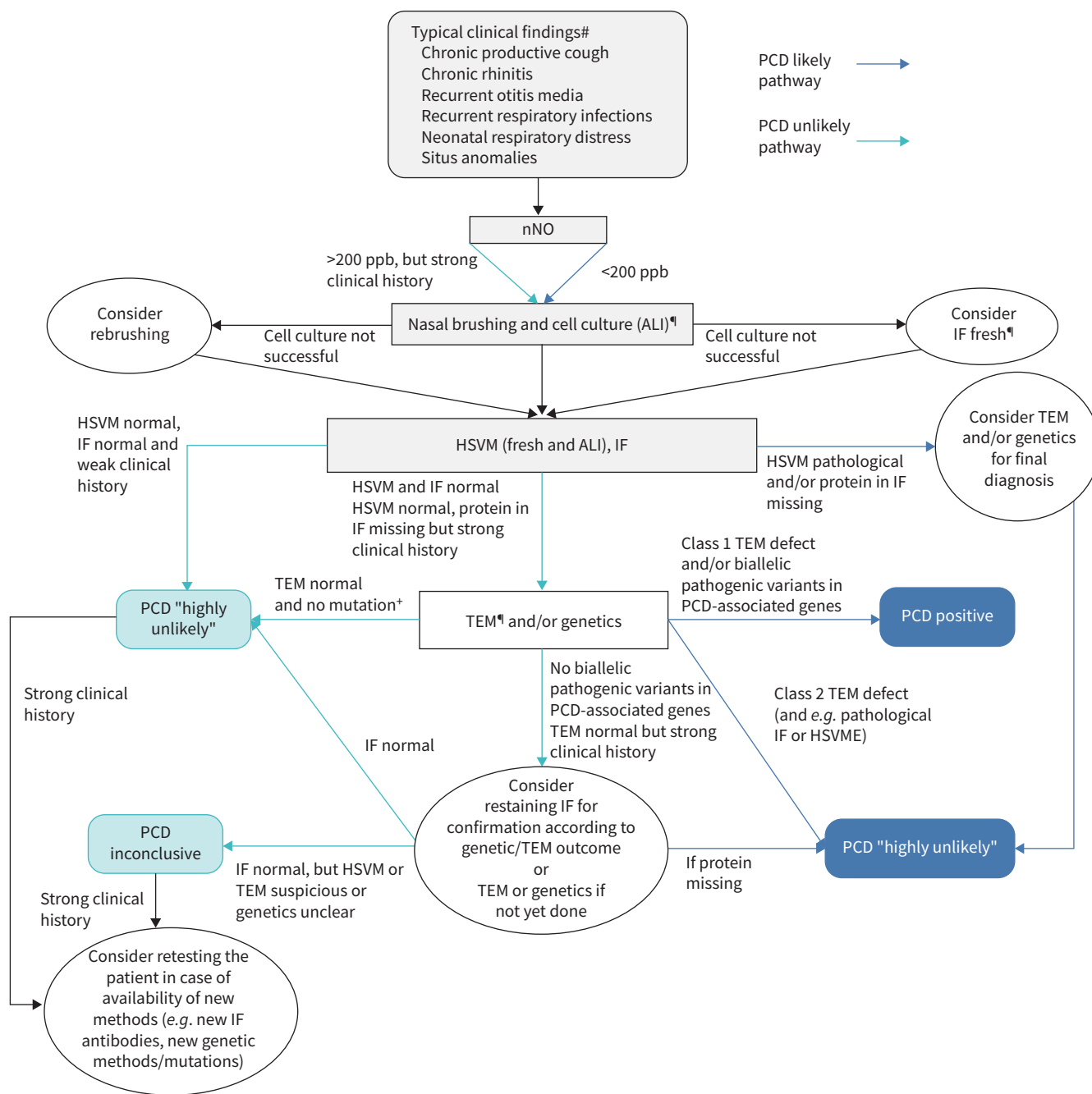
nNO is measured according to international standards [17] using the Analyzer CLD 88 sp (Eco Medics, Duernten, Switzerland). It is considered low for children older than 5 years if  $<77 \text{ nL} \cdot \text{min}^{-1}$  ( $<233 \text{ ppb}$  for our setting) [18–20].

#### Nasal brushing and further use of the nasal epithelial cells

We obtain nasal epithelial cells by performing minimal invasive nasal brushings using interdental brushes (IDB-G50 3 mm, Top Caredent, Zurich, Switzerland; elongated by attaching a 200- $\mu\text{L}$  pipette tip with parafilm). Cells are washed down from the brushes and used for further investigations as described below.

#### High-speed videomicroscopy

Epithelial cells of fresh brushings and of ALI cell cultures are recorded in sealed imaging chambers (Grace Bio-Labs CoverWell™, GBL635051-40EA, Sigma-Aldrich, Buchs, Switzerland) on an inverted transmitted light microscope (Olympus IX73) equipped with a high-speed C-MOS camera (FLIR 3.2 MP Mono Grasshopper3 USB 3.0 Camera, Sony IMX252 chip). We usually record 10 fields of view (side



**FIGURE 2** Diagnostic algorithm of the PCD-UNIBE diagnostic centre at the University Children’s Hospital, Inselspital Bern, Switzerland. Explanation of figures: rectangular boxes: investigations, oval boxes: decisions/considerations, round-edged rectangular boxes: results/outcome. The grey boxes indicate basic methods which are used for each patient being referred to PCD-UNIBE for PCD diagnostics. #As a clinical screening, the PICADAR-Score [16] may be useful. ¶Further investigations (HSVM, IF and TEM) are always preferably done using material obtained from ALI cell cultures. Consider rebrushing if cell culture is not successful (especially for TEM); if rebrushing is not possible, fresh material is used. †If other results suggest PCD, we recommend further investigations, e.g. RNA analysis or array-based comparative genomic hybridisation (array-CGH). ALI: air-liquid interface; HSVM: high-speed videomicroscopy; IF: immunofluorescence staining; nNO: nasal nitric oxide; PCD: primary ciliary dyskinesia; PCD-UNIBE: comprehensive diagnostic centre at the University Children’s Hospital, Inselspital Bern, Switzerland; TEM: transmission electron microscopy.

views and at least one top view) (bright field, 40× magnification, 300 frames per second, 640×480 pixels, 2 s) at room temperature (23–25°C). Ciliary beating pattern (CBP), beating amplitude, intracellular and intercellular beating coordination, and ciliary beating frequency are analysed based on previously proposed criteria [21, 22].

#### *Immunofluorescence staining*

Immunofluorescence stainings are performed following a procedure derived from published protocols [13, 14, 23]. Our standard panel includes the staining for DNAH5, GAS8 and RSPH9 proteins. Upon suspicion of incomplete DNAH5 staining, we also stain for DNAH9 and DNAH11. In case of a specific CBP in the HSVM, we stain for other proteins (*e.g.* RSPH1, RSPH4a and RSPH3 in case of rotating cilia, DNAH11 in case of stiff beating with reduced amplitude or DNAH9 in case of distal stiffness). We cannot cover all relevant proteins, but our standard panel includes the proteins affected by the most prevalent mutations [13, 24]. For details of the staining protocols (including all available proteins), see supplementary material.

#### *Transmission electron microscopy*

Samples are processed according to standard protocols (see supplementary material for details). TEM analysis and reporting follows the BEAT-PCD TEM criteria [12] with additional discrimination between proximal and distal localisation.

#### *ALI cell culture*

Nasal epithelial cells of all patients are cultured using the PneumaCult media (Stemcell Technologies, Saint-Egrève, France) following the manufacturer's instruction with some adaptations partially published previously [15] (for details see supplementary material).

#### *Genetic analysis*

For genetic analysis patients' blood samples (collected in EDTA tubes) were sent to genetic testing centres (Molecular and Genetic Diagnostic Lab, University Hospital Geneva or Human Genetics, Inselspital, Bern University Hospital, Switzerland) to check for genetic variants in up to 46 PCD-associated genes by whole exome sequencing (next-generation sequencing) (details on tested genes are given in supplementary tables S1 and S3).

#### *Statistics*

We compared the ERS with the ATS algorithm, and both with our own PCD-UNIBE algorithm concerning agreement and concordance on PCD diagnosis. Therefore, we used Cohen's  $\kappa$  to assess interrater agreement of the three algorithms [25], and we described concordance for the three algorithms using a Venn diagram [26]. The analyses were done using Stata™ (Stata Statistical Software: Release 14; StataCorp LP, College Station, TX, USA).

## **Results**

### *Study population*

We included 54 patients referred to our PCD-UNIBE centre from 10 Swiss hospitals between January 2018 and December 2020. For all of them we had sufficient information to define the diagnostic outcome based on all three investigated algorithms. 24 patients (44%) were diagnosed with PCD based on at least one of the three algorithms (table 1 for concordant cases, table 2 for discordant cases, supplementary table S1 for detailed information of all cases). 30 patients were not diagnosed with PCD according to all three algorithms (supplementary table S3). nNO measurement was performed in 46, HSVM in 54, immunofluorescence in 53, TEM in 30 and genetics in 32 of 54 patients. Supplementary table S4 summarises the performed investigations.

### *Diagnostic outcome*

We compared the diagnostic outcome between the ERS, the ATS and the PCD-UNIBE algorithms for all patients. Out of 54 patients, 46 had a concordant outcome according to all three algorithms: 16 patients with confirmed PCD (table 1) and 30 patients with excluded PCD (supplementary table S3). We found different outcomes for eight out of the 54 patients (15%) (table 2). Low nNO levels led to a PCD diagnosis for five patients (patients 15–19) according to the ATS algorithm only. Patient 14 was diagnosed with PCD according to the ERS and the PCD-UNIBE (suggestive HSVM with rotating cilia after cell culture) (Supplementary videos S1 and S2), but not according to the ATS algorithm (normal nNO measurement and non-diagnostic TEM defect, refusing of genetic testing). Patient 9 was diagnosed according to the PCD-UNIBE (missing DNAH9 in immunofluorescence, figure 3) and the ATS (low nNO), but not the ERS algorithm (normal TEM, inconclusive HSVM, non-diagnostic genetics). Lastly, patient 4 was diagnosed according to the PCD-UNIBE (missing DNAH9 in immunofluorescence (figure 3), class 2 TEM defect (figure 4)), but neither according to the ERS nor the ATS algorithm (inconclusive HSVM, non-diagnostic TEM and genetics).

**TABLE 1** Patients with diagnosed PCD and concordant outcome according to all three guidelines (more details in supplementary table S1)

Patient number (lab ID)	Age at referral years	Sex	Clinical features	nNO <sup>a</sup> nL·min <sup>-1</sup> ·s <sup>-1</sup>	HSVM fresh (more details in supplementary table S1)	HSVM ALI (more details in supplementary table S1)	IF (more details in supplementary table S1)	TEM BEAT-PCD classification [12]	Genetics ACMG-classification [27]	Costs <sup>a</sup> EUR	Outcome ATS	Outcome ERS	Outcome PCD-UNIBE	Main tools leading to diagnosis
1 (264)	5.0	f	Chronic wet cough Recurrent serous otitis media Recurrent <i>Haemophilus influenzae</i> infections Less symptoms on antibiotics	214 <sup>a</sup>	Inconclusive	Suspicious for PCD	<b>DNAL11 missing or strongly reduced (ALI)</b>	IDA defect & tubular disorganisation >50% (ALI) <b>class 1 defect, diagnostic for PCD</b>	No likely pathogenic or pathogenic variant in 43 genes tested (2020), <b>negative</b>	7855	<b>PCD diagnosed</b> hallmark (class 1) TEM defect	<b>PCD positive</b> HSVM repeatedly suggestive hallmark (class 1) TEM defect	<b>PCD positive</b> HSVM suggestive class 1 TEM defect	Clinics <b>TEM</b> cell culture
2 (290)	17.4	f	Recurrent rhinitis Rhinopolyps Recurrent infections with <i>Haemophilus influenzae</i> Serous otitis media	2 0.5 1.6	<b>High evidence for PCD</b>	<b>High evidence for PCD</b>	<b>DNAH11 repeatedly missing (ALI)</b>	Non-diagnostic (ALI)	Monoallelic <i>DNAH11</i> mutation, <b>non-diagnostic</b> , classified likely pathogenic (4) if biallelic	6855	<b>PCD diagnosed</b> suggestive clinics nNO repeatedly low	<b>PCD highly likely</b> nNO repeatedly low HSVM repeatedly suggestive	<b>PCD highly likely</b> nNO repeatedly low HSVM suggestive IF with DNAH11 missing	Clinics low nNO <b>HSVM</b> cell culture <b>IF</b>
3 (284)	15.0	m	Situs inversus totalis Chronic rhinitis Chronic wet cough	40 40	<b>High evidence for PCD</b>	<b>High evidence for PCD</b>	<b>DNAH11 missing (ALI)</b>	Non-diagnostic (fresh & ALI)	Biallelic <i>DNAH11</i> mutation, <b>non-diagnostic</b> , classified unknown significance (3)	7855	<b>PCD diagnosed</b> suggestive clinics nNO repeatedly low	<b>PCD highly likely</b> nNO repeatedly low HSVM repeatedly suggestive	<b>PCD highly likely</b> HSVM suggestive IF with DNAH11 missing	Clinics <b>HSVM</b> cell culture <b>IF</b>
5 (266)	15.0	m	Serous otitis media Chronic rhinitis Recurrent low nNO	21 6 10 9.5 <sup>+</sup>	<b>High evidence for PCD</b>	<b>High evidence for PCD</b>	<b>DNAH11 missing (ALI)</b>	Non-diagnostic (fresh & ALI)	<b>Biallelic DNAH11 mutation</b> , compound heterozygous, diagnostic, classified pathogenic (5) & likely pathogenic (4)	7908	<b>PCD diagnosed</b> suggestive clinics nNO repeatedly low genetics	<b>PCD highly likely</b> nNO repeatedly low HSVM repeatedly suggestive genetics	<b>PCD highly likely</b> HSVM suggestive IF with DNAH11 missing genetics	Clinics low nNO <b>HSVM</b> cell culture <b>IF</b> genetics

Continued

TABLE 1 Continued

Patient number (lab ID)	Age at referral years	Sex	Clinical features	nNO <sup>#</sup> nL·min <sup>-1</sup> ·s <sup>-1</sup>	HSVM fresh (more details in supplementary table S1)	HSVM ALI (more details in supplementary table S1)	IF (more details in supplementary table S1)	TEM BEAT-PCD classification [12]	Genetics ACMG-classification [27]	Costs <sup>¶¶</sup> EUR	Outcome ATS	Outcome ERS	Outcome PCD-UNIBE	Main tools leading to diagnosis
6 (267)	1.4	m	Situs inversus totalis Chronic rhinitis	4.5 <sup>*,+,§,f</sup>	Inconclusive	High evidence for PCD	DNAH11 missing (fresh)	Non-diagnostic	Biallelic <i>DNAH11</i> mutation, diagnostic, classified pathogenic (5)	5855	PCD diagnosed (nNO low) confirmed genetics	PCD positive HSVM suggestive confirmed genetics	PCD positive HSVM suggestive IF with DNAH11 missing confirmed genetics	Clinics HSVM cell culture IF genetics
7 (298)	2.8	m	Situs inversus totalis NRDS Nasal secretion Productive cough	5 29.5 <sup>¶,+</sup>	PCD likely	Cell culture not successful	Inconclusive	-	Biallelic <i>DNAH5</i> mutation, diagnostic, classified pathogenic (5)	3925	PCD diagnosed nNO repeatedly low <sup>¶</sup> confirmed genetics	PCD positive HSVM suggestive confirmed genetics	PCD positive HSVM suggestive confirmed genetics	Clinics genetics
8 (318)	16.9	f	Situs inversus totalis Chronic wet cough	205 163	PCD likely	High evidence for PCD	DNAH11 missing (ALI)	-	Biallelic <i>DNAH11</i> mutation, diagnostic, classified pathogenic (5) & likely pathogenic (4)	4855	PCD diagnosed suggestive clinics confirmed genetics	PCD positive suggestive clinics HSVM repeatedly suggestive confirmed genetics	PCD positive suggestive clinics HSVM IF with DNAH11 missing confirmed genetics	Clinics IF cell culture genetics
10 (338)	14.1	f	Chronic purulent cough Chronic rhinitis obstructive & restrictive ventilation disorder NRDS Positive family history (mother 11, brother 12)	7 <sup>+,§</sup>	High evidence for PCD	High evidence for PCD	DNAH5 completely missing (fresh and ALI)	ODA & IDA missing >50% (ALI) class 1 defect, diagnostic for PCD	-	2877	PCD diagnosed nNO low hallmark (class 1) TEM defect	PCD positive nNO low HSVM repeatedly suggestive hallmark (class 1) TEM defect	PCD positive HSVM suggestive class 1 TEM defect IF with DNAH5 missing	HSVM cell culture IF TEM
11 (339)	42.4	f	Chronic purulent cough Situs inversus totalis Bronchiectasis (mother of patients 10 & 12)	-	Inconclusive	High evidence for PCD	DNAH5 completely missing (fresh and ALI)	ODA & IDA missing >50% (ALI) class 1 defect, diagnostic for PCD	-	2802	PCD diagnosed hallmark (class 1) TEM defect	PCD positive HSVM repeatedly suggestive hallmark (class 1) TEM defect	PCD positive HSVM suggestive class 1 TEM defect IF with DNAH5 missing	HSVM cell culture IF TEM

Continued

TABLE 1 Continued

Patient number (lab ID)	Age at referral years	Sex	Clinical features	nNO <sup>#</sup> nL·min <sup>-1</sup> ·q	HSVM fresh (more details in supplementary table S1)	HSVM ALI (more details in supplementary table S1)	IF (more details in supplementary table S1)	TEM BEAT-PCD classification [12]	Genetics ACMG-classification [27]	Costs <sup>¶¶</sup> EUR	Outcome ATS	Outcome ERS	Outcome PCD-UNIBE	Main tools leading to diagnosis
12 (354)	9.6	m	Chronic purulent cough Chronic rhinitis Positive family history (mother 11, sister 10)	7 <sup>*,5</sup>	High evidence for PCD	Cell culture not successful	Inconclusive	ODA & IDA missing >50% (fresh) <b>class 1 defect, diagnostic for PCD</b>	-	2925	PCD diagnosed nNO low hallmark (class 1) TEM defect	PCD positive nNO low HSVM repeatedly suggestive hallmark (class 1) TEM defect	PCD positive HSVM suggestive class 1 TEM defect	HSVM TEM
13 (343)	17.4	m	Chronic wet cough Chronic rhinitis and nasal obstruction Positive family history	5 9 2 <sup>+</sup>	PCD likely	PCD likely	All proteins present <sup>##</sup>	-	<b>Biallelic <i>HYDIN</i> mutation, diagnostic, classified pathogenic (5)</b>	4855	PCD diagnosed nNO repeatedly low confirmed genetics	PCD positive nNO low HSVM repeatedly suggestive confirmed genetics	PCD positive nNO low HSVM suggestive confirmed genetics	Clinics nNO genetics
20 (346)	66.0	f	Situs inversus totalis Positive family history (sister of 21, 22 & 23) Chronic rhinitis Chronic wet cough Nasal polyposis Recurrent sinusitis Bronchiectasis Shortness of breath	9 <sup>*,5</sup>	High evidence for PCD	High evidence for PCD	<b>DNAH11 missing (ALI)</b>	Non-diagnostic	<b>Biallelic <i>DNAH11</i> mutation, diagnostic, classified likely pathogenic (4)</b>	5855	PCD diagnosed suggestive clinics nNO low confirmed genetics	PCD positive nNO low HSVM repeatedly suggestive confirmed genetics	PCD positive nNO low HSVM suggestive IF with DNAH11 missing confirmed genetics	HSVM cell culture IF genetics
21 (347)	65.0	f	Positive family history (sister of 20, 22 & 23) Chronic rhinitis Chronic cough Subfertility	9 <sup>*,5</sup>	High evidence for PCD	High evidence for PCD	<b>DNAH11 missing (ALI)</b>	Non-diagnostic (ALI)	<b>Biallelic <i>DNAH11</i> mutation, diagnostic, classified likely pathogenic (4)</b>	5855	PCD diagnosed suggestive clinics nNO low confirmed genetics	PCD positive nNO low HSVM repeatedly suggestive confirmed genetics	PCD positive nNO low HSVM suggestive IF with DNAH11 missing confirmed genetics	HSVM cell culture IF genetics

Continued



TABLE 1 Continued

Patient number (lab ID)	Age at referral years	Sex	Clinical features	nNO <sup>#</sup> nL·min <sup>-1</sup> <sup>¶</sup>	HSVM fresh (more details in supplementary table S1)	HSVM ALI (more details in supplementary table S1)	IF (more details in supplementary table S1)	TEM BEAT-PCD classification [12]	Genetics ACMG-classification [27]	Costs <sup>¶¶</sup> EUR	Outcome ATS	Outcome ERS	Outcome PCD-UNIBE	Main tools leading to diagnosis
22 (348)	67.8	m	Positive family history (sister of 20, 21 & 23) Chronic wet cough Nasal polyposis Chronic rhinitis	1 <sup>*,5</sup>	High evidence for PCD	High evidence for PCD	<b>DNAH11 missing (ALI)</b>	Non-diagnostic (ALI)	<b>Biallelic DNAH11 mutation</b> , diagnostic, classified likely pathogenic (4)	5855	<b>PCD diagnosed</b> suggestive clinics nNO low confirmed genetics	<b>PCD positive</b> nNO low HSVM repeatedly suggestive confirmed genetics	<b>PCD positive</b> nNO low HSVM suggestive IF with DNAH11 missing confirmed genetics	HSVM cell culture IF genetics
23 (349)	68.9	f	Positive family history (sister of 20, 21 & 22) Chronic rhinitis Chronic wet cough	5.5 <sup>*,5</sup>	High evidence for PCD	High evidence for PCD	<b>DNAH11 missing (ALI)</b>	Non-diagnostic (ALI)	<b>Biallelic DNAH11 mutation</b> , diagnostic, classified likely pathogenic (4)	5855	<b>PCD diagnosed</b> suggestive clinics nNO low confirmed genetics	<b>PCD positive</b> nNO low HSVM repeatedly suggestive confirmed genetics	<b>PCD positive</b> nNO low HSVM suggestive IF with DNAH11 missing confirmed genetics	HSVM cell culture IF genetics
24 (359)	0.1	f	Situs inversus totalis NRDS Chronic nasal secretion Chronic productive cough	<1 <sup>*,5</sup> □	High evidence for PCD	High evidence for PCD	<b>DNAH5, DNAH9, DNAH11, DNAI1, DNAI2 missing (ALI)</b>	-	Not yet performed, brother with diagnostic, biallelic <i>DNAH5</i> -mutation	2014	<b>PCD diagnosed</b> suggestive clinics (nNO low) genetics unclear (brother with confirmed mutation)	<b>PCD highly likely</b> HSVM suggestive	<b>PCD highly likely</b> HSVM suggestive IF with proteins missing	HSVM cell culture IF (genetics)

Bold text indicates results leading to a diagnosis of PCD and the final diagnosis. ACMG: American College of Medical Genetics and Genomics; ALI: air-liquid interface; ATS: American Thoracic Society; Array-CGH: array-based comparative genomic hybridisation; ERS: European Respiratory Society; f: female; HSVM: high-speed videomicroscopy; IDA: inner dynein arm; IF: immunofluorescence labelling; m: male; nNO: nasal nitric oxide; NRDS: neonatal respiratory distress syndrome; ODA: outer dynein arm; PCD: primary ciliary dyskinesia; PCD-UNIBE: comprehensive diagnostic centre at the University Children's Hospital, Inselspital Bern, Switzerland; TEM: transmission electron microscopy. <sup>#</sup>These are mean values for nNO for the right and left side. The unit for nNO results at our centre is parts per billion (ppb). To obtain values in nL·min<sup>-1</sup> the formula (ppb) × sampling flow rate (0.33 mL·min<sup>-1</sup> for Ecomedics Analyzer CLD 88 sp) was used as proposed in LEIGH *et al.* [18]. <sup>¶</sup>: child <5 years old. <sup>\*</sup>: cystic fibrosis not excluded by sweat test or genetic testing. <sup>5</sup>: single nNO measurement. <sup>‡</sup>: nNO measurement during rhinitis. <sup>##</sup>: DNAH5, GAS8, RSPH9 and DNAH11 stained. <sup>¶¶</sup>: remark about the costs: the costs were estimated based on costs that are billed for each method performed (for costs of each method see supplementary table S2 and figure S1). The costs may be higher than in other studies; however, we have to consider that prices and salaries are usually higher in Switzerland compared to other countries.

TABLE 2 Patients with discordant outcome (more details in supplementary table S1)

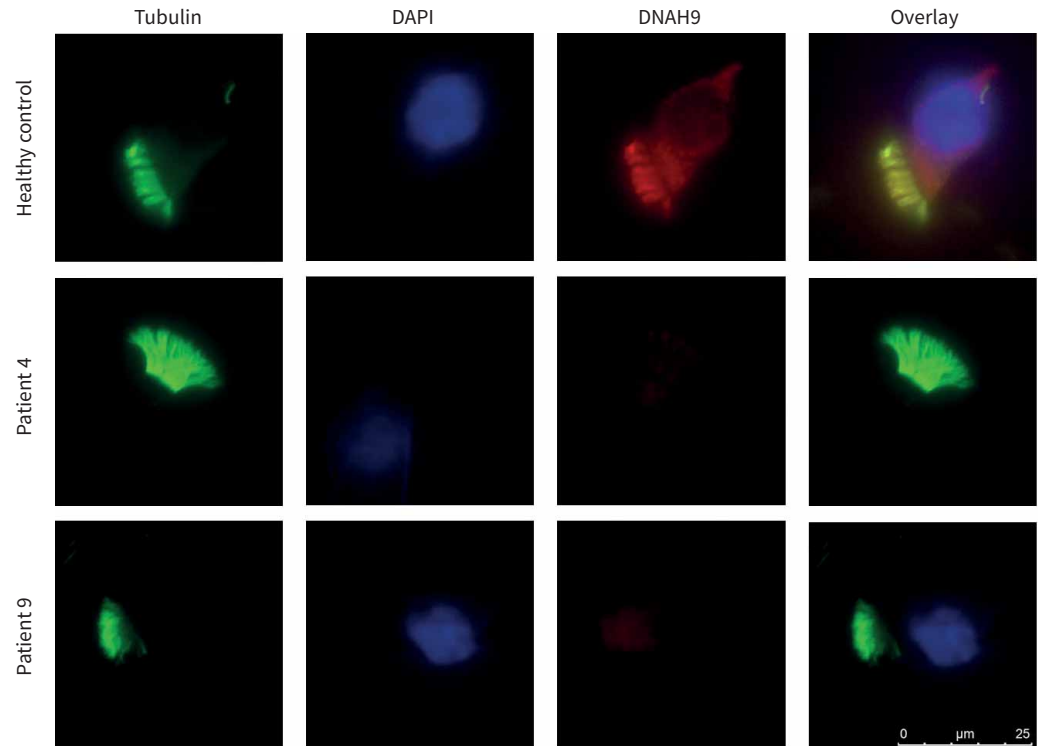
Patient number (lab ID)	Age at referral years	Sex	Clinical features	nNO nL·min <sup>-1</sup> #	HSVM fresh (more details in supplementary table S1)	HSVM ALI (more details in supplementary table S1)	IF	TEM BEAT-PCD-TEM [12] classification	Genetics ACMG-classification [27]	Costs <sup>f</sup> EUR	Outcome ATS	Outcome ERS	Outcome PCD-UNIBE	Main tools leading to diagnosis
4 (272)	6.6	M	Bronchiectasis Chronic wet cough Sister with similar symptoms	175	No evidence for PCD	No evidence for PCD	<b>DNAH9 missing (ALI)</b>	ODA & IDA Defect <50% (ALI) <b>class 2 defect, PCD likely</b>	Two <i>DNAH9</i> variants <u>in-cis</u> , <b>non-diagnostic</b>	5908	<b>PCD not diagnosed</b> nNO normal Genetics non-diagnostic No hallmark TEM defect	<b>PCD highly unlikely</b> No hallmark TEM defect Genetics of unknown significance	<b>PCD highly likely</b> <i>Class 2 TEM defect</i> <i>IF with DNAH9 missing</i>	Clinics TEM cell culture IF
9 (323)	65.1	M	Bronchiectasis Chronic wet cough Recurrent respiratory tract infections	14 <sup>¶</sup>	High evidence for PCD	Inconclusive	<b>DNAH9 repeatedly completely missing (ALI)</b>	Non-diagnostic	No likely pathogenic or pathogenic variant found in 42 genes tested (2020)	6908	<b>PCD diagnosed</b> Suggestive clinics nNO low (single measurement)	<b>PCD highly unlikely</b> <i>nNO low HSVM inconclusive TEM non-diagnostic Genetics negative</i>	<b>PCD highly likely</b> nNO low HSVM inconclusive IF with DNAH9 missing	nNO cell culture IF
14 (361)	23.6	F	Chronic productive cough Chronic rhinitis	152	Inconclusive	<b>High evidence for PCD</b>	All proteins present	Non-diagnostic (fresh)	Refused by patient	3855	<b>PCD not diagnosed</b> nNO normal TEM non-diagnostic (genetics to be done)	<b>PCD highly likely</b> HSVM repeatedly suggestive	<b>PCD highly likely</b> HSVM suggestive	HSVM cell culture
15 (253)	9.2	m	PICADAR 6 chronic wet cough Chronic rhinitis Cardiac malformation (pulmonary & tricuspid atresia) Recurrent otitis media Immune deficiency	20 9 <sup>*</sup>	No evidence for PCD	No evidence for PCD	All proteins present	-	-	1908	<b>PCD diagnosed</b> Suggestive clinics nNO repeatedly low	<b>PCD highly unlikely</b> HSVM fresh & ALI normal	<b>PCD highly unlikely</b> HSVM fresh & ALI normal IF without protein missing	nNO
16 (285)	15.6	m	Chronic purulent cough Chronic rhinitis Premature birth Obstructive sleep apnoea	7.5 <sup>§</sup> 7	PCD likely	No evidence for PCD	DNAH9 inconclusive (ALI) inconclusive	Non-diagnostic (ALI)	No likely pathogenic or pathogenic variant found in 43 genes tested (2020)	6249	<b>PCD diagnosed</b> Suggestive clinics nNO repeatedly low	<b>PCD highly unlikely</b> HSVM ALI normal TEM non-diagnostic Genetics negative	<b>PCD highly unlikely</b> HSVM ALI normal TEM non-diagnostic Genetics negative	nNO

Continued

TABLE 2 Continued

Patient number (lab ID)	Age at referral years	Sex	Clinical features	nNO nL·min <sup>-1</sup> #	HSVM fresh (more details in supplementary table S1)	HSVM ALI (more details in supplementary table S1)	IF	TEM BEAT-PCD-TEM [12] classification	Genetics ACMG-classification [27]	Costs <sup>f</sup> EUR	Outcome ATS	Outcome ERS	Outcome PCD-UNIBE	Main tools leading to diagnosis
17 (294)	5.0	f	Chronic wet cough Recurrent respiratory tract infections Bronchiectasis	<b>11</b> <b>12</b>	No evidence for PCD	No evidence for PCD	No evidence for PCD	-	No likely pathogenic or pathogenic variant found in 41 genes tested (2019)	4930	<b>PCD diagnosed</b> Suggestive clinics nNO repeatedly low	<b>PCD highly unlikely</b> HSVM normal Genetics negative	<b>PCD highly unlikely</b> HSVM normal IF without protein missing Genetics negative	nNO
18 (295)	5.1	f	Chronic cough Recurrent respiratory tract infections Recurrent otitis media	<b>5</b> <sup>¶</sup>	Inconclusive	No evidence for PCD	All proteins present	-	-	1877	<b>PCD diagnosed</b> Suggestive clinics nNO low (single measurement)	<b>PCD highly unlikely</b> HSVM normal	<b>PCD highly unlikely</b> HSVM normal IF without protein missing	nNO
19 (319)	6.5	m	Premature birth NRDS & intensive care admittance Chronic rhinitis Chronic cough Recurrent bronchitis Bronchopulmonary dysplasia OSAS	<b>6.5</b> <sup>§</sup> <b>41</b>	No evidence for PCD	Inconclusive	No evidence for PCD	Non-diagnostic (ALI)	No likely pathogenic or pathogenic variant associated with PCD found in 6688 genes tested (2020)	5855	<b>PCD diagnosed</b> Suggestive clinics nNO repeatedly low	<b>PCD highly unlikely</b> HSVM normal TEM non-diagnostic	<b>PCD highly unlikely</b> HSVM normal TEM non-diagnostic IF without protein missing	nNO

Single discordant outcome highlighted in italic letters. Bold text indicates results leading to a diagnosis of PCD and the final diagnosis. ACMG: American College of Medical Genetics and Genomics; ALI: air-liquid interface; ATS: American Thoracic Society; Array-CGH: array-based comparative genomic hybridisation; ERS: European Respiratory Society; f: female; HSVM: high-speed videomicroscopy; IDA: inner dynein arm; IF: immunofluorescence labelling; m: male; nNO: nasal nitric oxide; NRDS: neonatal respiratory distress syndrome; ODA: outer dynein arm; PCD: primary ciliary dyskinesia; PCD-UNIBE: comprehensive diagnostic centre at the University Children's Hospital, Inselspital Bern, Switzerland; TEM: transmission electron microscopy. #: these are mean values for nNO for the right and left side. The unit for nNO results at our centre is parts per billion (ppb). To obtain values in nL·min<sup>-1</sup> the formula (ppb) × sampling flow rate (0.33 mL·min<sup>-1</sup> for Ecomedics Analyzer CLD 88 sp) was used as proposed in LEIGH *et al.* [18]. ¶: single nNO measurement. †: cystic fibrosis not excluded by sweat test or genetic testing. §: nNO measurement during rhinitis. <sup>f</sup>: remark about the costs: the costs were estimated based on costs that are billed for each method performed (for costs of each method see supplementary table S2 and figure S1). The costs may be higher than in other studies; however, we have to consider that prices and salaries are usually higher in Switzerland compared to other countries.



**FIGURE 3** DNAH9 immunofluorescence staining of patients 4 and 9. Tubulin (green) is used as a reference, since it is present along all side of the ciliary axoneme. Diamidino-2-phenylindole (DAPI, blue) marks the cell nuclei. In patient number 4 DAPI staining was not successful; however, this does not affect the interpretation of the results. The target protein DNAH9 (red) is expected to be present in the distal part of the axoneme and is completely absent for both patients. The overlay of all three stainings confirms missing DNAH9 in both patients.

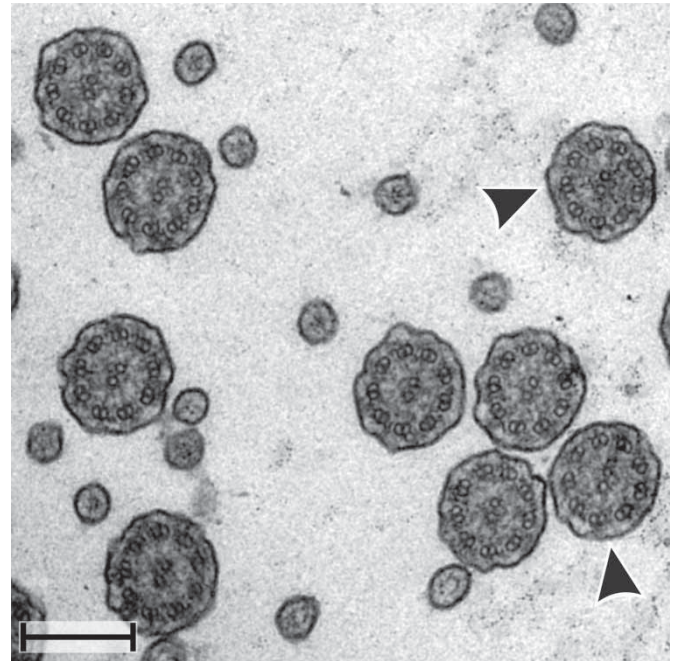
#### Agreement and concordance between the algorithms

While there was substantial *agreement* between the ERS and the ATS ( $\kappa=0.72$ , 95% CI 0.53–0.92) as well as between the ATS and the PCD-UNIBE algorithms ( $\kappa=0.73$ , 95% CI 0.53–0.92), the agreement between the ERS and the PCD-UNIBE algorithm was almost perfect ( $\kappa=0.92$ , 95% CI 0.80–1.00) (table 3). Figure 5 shows the concordance for the three algorithms for all cases diagnosed with PCD according to at least one algorithm.

#### Discussion

Out of 54 patients included in this study, we found concordant diagnostic outcome according to all three algorithms for 46 patients (85%, 30 without and 16 with PCD diagnosis) and discordant diagnostic outcome for eight patients. Thus, for 15% of the patients the diagnosis differed between algorithms. The agreement about the diagnosis was substantial for the ERS and the ATS as well as for the ATS and the PCD-UNIBE algorithms, and it was almost perfect for the ERS and the PCD-UNIBE algorithms.

With our finding of 15% of discordant diagnostic outcomes, we can confirm the already previously discussed theoretical differences between the ERS and the ATS algorithms [8, 10, 11], based on data from a real-life setting. The five cases (patients 15–19) that were only diagnosed based on reduced nNO according to the ATS algorithm support the doubts that nNO as sole investigation can diagnose PCD [2, 10, 28–30]. nNO has a sensitivity and specificity of >95% compared with TEM or genetic testing when performed in children >5 years old with cystic fibrosis excluded [7, 18, 30]. However, a considerable risk for false negative [5, 10, 17] and false positive results [10, 17, 30, 31] remains. Besides the five cases diagnosed with PCD based on the ATS algorithm only (“false positive” compared with the ERS and PCD-UNIBE algorithms), we also found two patients with a “false negative” diagnosis based on the ATS algorithm (patients 4 and 14). Patient 14 was diagnosed by the ERS and PCD-UNIBE algorithms based on HSVM with rotating cilia (no PCD diagnosis according to ATS since normal nNO and TEM), while



**FIGURE 4** Transmission electron microscopy (TEM) image showing orthogonally sectioned cilia of patient 4. The axonemes at the arrowheads show shortened or missing outer (ODA, >4 of 9 affected) and inner (IDA, >6 of 9 affected) dynein arms. Scale bar: 250 nm. Numerical analysis according to the published BEAT-PCD TEM criteria [12] are: ODA missing: 26.7% (34.4% proximal, 18.3% distal), IDA missing: 19.2% (23.4% proximal, 12.4% distal). Microtubular disorganisation: 1.6% missing peripheral tubuli. Central complex defect: 1% missing central tubuli, transposition defect: 2.6%. Consistent ciliary orientation.

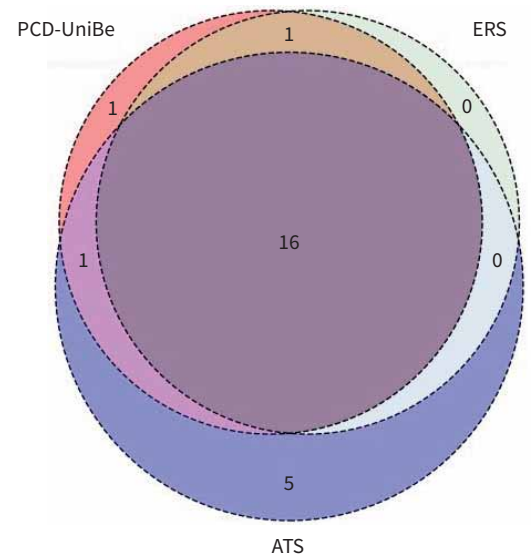
patient 4 was only diagnosed by the PCD-UNIBE (based on immunofluorescence and TEM), but not by the ERS or ATS algorithms. We therefore strongly support the suggestion of SHAPIRO *et al.* [30] to adapt the ATS algorithm and not use nNO as a sole investigation any longer.

Another important discussion within the PCD community has been the accuracy of HSVM for the PCD diagnostics [5, 7, 21, 29, 32, 33]. It has been shown that HSVM has good sensitivity and specificity to diagnose PCD when following standardised protocols [21] and has the advantage to provide a fast visualisation of the dyskinesia. In our study, the PCD diagnosis of four patients (patients 2, 3, 5 and 14) was mainly based on HSVM. For patients 3 and 5, genetics testing revealed biallelic mutations in the DNAH11 gene, but the clinical significance of the variants was unknown (according to the classification of the American College of Medical Genetics and Genomics (ACMG) [27]). For patient 2, only one heterozygote pathological variant in the DNAH11 gene was found. For all three patients with unclear DNAH11 mutations (all with a non-diagnostic TEM), the HSVM clearly showed a pathological beating pattern and led to the diagnosis of PCD. The fourth patient (patient 14) also had a non-diagnostic TEM but showed rotating cilia in the HSVM. Since we performed the HSVM with the fresh samples and with

**TABLE 3** Agreement between the different diagnostic algorithms assessed by  $\kappa$  statistics

	+ + <sup>#</sup>	+ - <sup>¶</sup>	- + <sup>*</sup>	- - <sup>§</sup>	Total agreement	$\kappa$	95% CI
ERS & ATS	16 (30%)	1 (2%)	6 (11%)	31 (57%)	47 (87%)	0.72	0.53–0.92
ERS & PCD-UNIBE	17 (31%)	0 (0%)	2 (4%)	35 (65%)	52 (96%)	0.92	0.80–1.00
ATS & PCD-UNIBE	17 (31%)	5 (9%)	2 (4%)	30 (56%)	47 (87%)	0.73	0.53–0.92

ERS: European Respiratory Society; ATS: American Thoracic Society; PCD-UNIBE: comprehensive diagnostic centre at the University Children's Hospital, Inselspital Bern, Switzerland. <sup>#</sup>: both algorithms diagnosed PCD. <sup>¶</sup>: the algorithm listed first diagnosed PCD; the one listed second diagnosed no PCD. <sup>\*</sup>: the algorithm listed first diagnosed no PCD; the one listed second diagnosed PCD. <sup>§</sup>: none of the algorithms diagnosed PCD.



**FIGURE 5** Venn diagram of patients with diagnosed primary ciliary dyskinesia (PCD) by the three different PCD diagnostic algorithms. Coloured circles represent the three different diagnostic algorithms. PCD-UNIbe algorithm (red); ERS algorithm (green); ATS algorithm (blue). Numbers listed represent patients with confirmed PCD; where circles overlap are common positive diagnosed PCD patients. 54 patients were included in the study and 30 were diagnosed without PCD by all three algorithms. This diagram shows the 24 cases that were diagnosed with PCD according to at least one algorithm. ATS: American Thoracic Society; ERS: European Respiratory Society.

the ALI cell culture or we repeat the nasal brushing at different time points, we can exclude secondary dyskinesia. Omitting HSV1 from the diagnostic algorithm may thus lead to false negative diagnoses in cases with non-diagnostic TEM or unclear genetic results [5, 10, 21]. However, we agree that HSV1 requires a high level of expertise and further standardisation of HSV1 protocols is urgently needed [5, 7, 21, 32, 33].

Immunofluorescence is not part of both the ERS and the ATS algorithm [5, 7]. However, immunofluorescence is very specific and can be especially helpful in cases with normal ciliary ultrastructure (e.g. DNAH11 mutations) [13, 34, 35] or only subtle defects (e.g. DNAH9 mutations) in TEM [13, 24, 35]. Furthermore, according to the BEAT-PCD TEM criteria [12] immunofluorescence can be used to confirm a class 2 TEM defect. In our study, immunofluorescence was a key test in 12 patients (patients 2–6, 8, 9, 20–24). Nine patients had missing DNAH11, two missing DNAH9 and one lacked several ODA proteins. In the cases with missing DNAH9, immunofluorescence was the most important method for diagnosing PCD. Considering the results of our study and taking into account that immunofluorescence requires less resources compared to TEM [36], we see many advantages of implementing immunofluorescence as part of the diagnostic workup and support SHOEMARK and colleagues [13] in their demand to include immunofluorescence in a future diagnostic algorithm.

In our study, 44% of the included cases were diagnosed with PCD. This is a very high ratio compared to other centres, which usually confirm PCD in roughly 10% of the investigated cases [16]. There are three main reasons for this high prevalence: 1) our centre started in 2018 with a comprehensive approach, and as a consequence several previously unclear, but highly suspicious, cases from before were initially worked up (e.g. patients 1, 2, 8, 14, 16, 20–23); 2) there were two PCD families with three and four members (patients 10–12 and 20–23), respectively, that also contributed to the high prevalence; and 3) we included only cases with sufficient data to allow a final diagnosis on PCD based on all three algorithms. In our daily diagnostic workup, we only perform as many tests as needed to decide on a diagnosis. This implicates that we do not have results for all methods for every patient. Especially TEM and genetics are, due to high costs, not carried out if a PCD diagnosis is highly unlikely based on our basic investigations. This resulted in a selection bias towards PCD positive cases. The fact that we did not perform all methods for every patient is a clear weakness of the study. For single cases, the result of an additional method could change the outcome according to some algorithms (e.g. if patient 14 would not have refused genetic

testing, a genetic mutation could have been identified and a PCD diagnosis according to the ATS guideline would be likely). Another weakness of the study is the small sample size. We could only include 54 patients, since we only had enough data for those to assess the diagnosis based on all algorithms. In order to address the cost issue in more detail, we calculated the costs for each case diagnosed with PCD (supplementary table S2). The costs for immunofluorescence and TEM reported here are higher than those previously reported [13]. The reasons are higher prices for consumables and higher salaries in Switzerland compared to other countries. Even though the diagnostics of PCD is quite costly, we are convinced that from a long-term perspective these costs, including the costs for the genetics, are justified with regards to a better understanding of the disease, to have the basics to develop causative and personalised treatments and to provide the patients with as much information about their specific disease as possible.

Although two consensus PCD diagnostic algorithms were recently published, diagnostics of PCD evolved quickly over the past few years, and most centres use their own updated and adapted diagnostic algorithm. In this study, we compared two published algorithms and our own (PCD-UNIBE). Our algorithm uses the most current diagnostic standards, including newer methods such as immunofluorescence and a standard use of ALI cell culture [3, 13, 37], and follows the recently published BEAT-PCD TEM criteria [12]. In our study, both immunofluorescence and ALI cell culture turned out to be crucial for many diagnoses. It is a clear strength of our study that we were able to assess the impact of including these recently developed procedures in our diagnostic algorithms by comparing our algorithm to currently existing guidelines. However, for those newer methods it will be important to also have international consensus standards. This is already in progress for immunofluorescence staining as part of BEAT-PCD [38] but is also needed for HSVM.

In 15% of the cases, the diagnostic outcome differed between the applied algorithms, leading to contradictory diagnoses for many patients. This may have consequences, for instance false positive PCD diagnoses can lead to psychological distress [39] and omission of specific treatments such as stem cell transplantation [30, 31]. Furthermore, it is very important for the patients to know their accurate diagnosis [39], and it can be crucial for claiming reimbursement of treatment costs by disability insurance (like in Switzerland). Finally, with regard to the real disease-altering treatment options currently being studied (*e.g.* CLEAN-PCD study, ClinicalTrials.gov Identifier: NCT02871778) it will be of great importance to know the underlying genetic mutations. Furthermore, with potential causative treatments in the future, we already now need to collect more data and gain a better understanding of the genotype–phenotype interactions. Besides the knowledge that some mutations (*e.g.* CCDC39/40) are associated with a more severe [40, 41] and some (*e.g.* DNAH9) with a milder [23, 42] disease course, we do not know a lot about differences in disease course based on the genotypes. PCD is a heterogeneous, genetic disease requiring complex diagnostics. Our study suggests that neither the ERS nor the ATS guidelines are sufficient for diagnosis; in some cases, extended investigations are needed. Our study shows that immunofluorescence and cell culture are important procedures in PCD diagnostics and should be additionally performed routinely. Diagnostic algorithms need to be continuously adapted according to the newest methods available. A single internationally accepted diagnostic algorithm would be an important step towards standardisation and facilitation of PCD diagnostics.

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