Viewpoint

The untapped potential of macrofossils in ancient plant DNA research

Summary

The rapid development of ancient DNA (aDNA) analysis in the last decades has induced a paradigm shift in ecology and evolution. Driven by a combination of breakthroughs in DNA isolation techniques, high-throughput sequencing and bioinformatics, ancient genome-scale data for a rapidly growing variety of taxa is now available, allowing researchers to directly observe demographic and evolutionary processes over time. However, the vast majority of palaeogenomic studies still focuses on human or animal remains. In this article, we make the case for a vast untapped resource of ancient plant material that is ideally suited for palaeogenomic analyses: Plant remains such as needles, leaves, wood, seeds or fruits that are deposited in natural archives, such as lake sediments, permafrost or even ice caves. Such plant remains are commonly found in large numbers and in stratigraphic sequence through time and have so far been used primarily to reconstruct past local species presences and abundances. However, they are also unique repositories of genetic information with the potential to revolutionize the fields of ecology and evolution by directly studying microevolutionary processes over time. Here, we give an overview of the current state-of-the-art, address important challenges, and highlight new research avenues to inspire future research.

Introduction

Over the last decades, the analysis of ancient DNA has evolved from the recovery of a few hundred base pairs (bp) of mitochondrial DNA from century-old historical samples (Higuchi *et al.*, 1984) to the sequencing of whole genomes at high coverage (Meyer *et al.*, 2012) and up to a million years old (van der Valk *et al.*, 2021). Both the number of ancient DNA studies and the number of taxa for which ancient genomic information is available has increased exponentially (Orlando *et al.*, 2021). This tremendous development has mainly been driven by the introduction of high-throughput sequencing (HTS), also referred to as next-generation sequencing (NGS; Goodwin *et al.*, 2016), in combination with breakthroughs in DNA isolation techniques (Meyer *et al.*, 2008; Dabney *et al.*, 2013; Schmid *et al.*, 2017; Lendvay *et al.*, 2018b; Rohland *et al.*, 2018). Palaeogenomic data allow researchers to directly observe demographic and evolutionary processes over time. This includes population expansions and declines (Lorenzen *et al.*, 2011), range shifts and migrations (Lipson *et al.*, 2017; Moreno-Mayar *et al.*, 2018), adaptation to environmental stressors (Marciniak & Perry, 2017; Sandoval-Castellanos *et al.*, 2017; Dehasque *et al.*, 2020), domestication processes (da Fonseca *et al.*, 2015; Scott *et al.*, 2019; Librado *et al.*, 2021), gene flow and hybridization (Sarkissian *et al.*, 2013; Schaefer *et al.*, 2016; van der Valk *et al.*, 2021), species extinctions (Lorenzen *et al.*, 2011; Dehasque *et al.*, 2021) and speciation (van der Valk *et al.*, 2021). The groundbreaking results of many ancient DNA studies have thus led to a paradigm shift in different fields such as archaeology, anthropology, ecology, and evolution.

Ancient DNA studies are based on two different approaches: extracting DNA from either ancient, preserved tissues as starting material (i.e., referred to as aDNA), or from ancient source material such as lake sediment, soil, permafrost, or

ice (Box 1), which contains a mixture of tissue, cells, or extracellular DNA from a wide range of organisms (i.e., referred to as environmental DNA, or in the case of sediment *sed*aDNA). Currently, the vast majority of palaeogenomic studies still focuses on human (Rasmussen *et al.*, 2010; Meyer *et al.*, 2012; Moreno-Mayar *et al.*, 2018) or animal remains (van der Valk *et al.*, 2021; Librado *et al.*, 2021), where mineralized tissues such as bones and teeth may provide well-preserved aDNA. Those tissues mainly stem from archaeological sites or natural archives (e.g. permafrost, see Box 1) and allow an individual-based approach. In contrast, most studies focusing on plants so far have rather applied a metabarcoding approach from *sed*aDNA to reconstruct past floristic communities (Alsos *et al.*, 2016; Parducci *et al.*, 2017; Wang *et al.*, 2021). *Sed*aDNA studies can provide important information about the past presence, diversity and possibly also abundance of species that cannot be resolved by palaeoecological methods such as pollen analysis alone because of the latter's lower taxonomic resolution (Parducci *et al.*, 2017). A metabarcoding approach uses specific primers (e.g. targeting the chloroplast *trn*L P6 loop in plants; Taberlet *et al.*, 2007) that amplify short DNA fragments known to harbor a high sequence variability among species (but low variation at the within-species level) that can then be sequenced using HTS and compared to a reference database (Alsos *et al.*, 2016; Parducci *et al.*, 2017; Wang *et al.*, 2021). The advantage here is obviously that a multitude of taxa can be identified from a single sediment sample. Another option for the analysis of *sed*aDNA samples is to use shotgun metagenomics, i.e. sequencing billions of reads from a single sediment sample and then using dedicated bioinformatic analyses to align them with publicly available sequence databases and/or newly established reference libraries to identify the species present (Pedersen *et al.*, 2016; Parducci *et al.*, 2017; Armbrecht *et al.*, 2021). However, the efficiency of the method is limited by the fraction of the reads that can be assigned to the target species due to the lack of reference genomes available and the high amounts of microbial non-target DNA. An advantage of shotgun sequencing compared to metabarcoding is that aDNA can be authenticated based on characteristic patterns of post-mortem DNA damage, particularly deaminated cytosine residues (Hofreiter *et al.*, 2001)—although these patterns must be corrected bioinformatically to avoid accounting for artefactual variation. However, it is at least theoretically possible, albeit complex, to sequence the genetic information from individuals or reconstruct entire genomes (see Kurland *et al.*, 2019; Guirao-Rico & González, 2021, for a discussion on *Pool seq* approaches). Nevertheless, so far there are no studies that have reconstructed the entire genome of ancient plants from environmental DNA and studies that have applied an individual-based approach to ancient plant material are rare. Whereas a few have for instance used archaeobotanical remains to study domestication processes (da Fonseca *et al.*, 2015; Estrada *et al.*, 2018; Scott *et al.*, 2019), others used plant remains or pollen from natural archives (Parducci *et al.*, 2005; Schmid *et al.*, 2017; Wagner *et al.*, 2018; Lendvay *et al.*, 2018a) or herbarium collections (Bieker & Martin, 2018; Kistler *et al.*, 2020) to reconstruct population dynamics or genetic diversity. One reason for the scarcity of individual-based plant aDNA studies focusing on natural populations is that endogenous DNA content in soft tissue such as plant remains is relatively low due to high amounts of contaminant microbial DNA and/or high levels of DNA degradation (Green & Speller, 2017). Also, many plant remains found in an archaeological context are charred remains that rarely contain exploitable amounts of endogenous DNA (Nistelberger *et al.*, 2016).

Survival of plant aDNA in waterlogged sediments

The preservation of biological remains depends on an array of processes and conditions that involve physical, chemical and biological agents (Behrensmeyer *et al.*, 2000). Such processes can affect the DNA of waterlogged tissues at different steps of taphonomy: during the transport to and within the aquatic environment, at the sediment–water interface, and after burial in the sediment. In the pre-burial environment, DNA may be degraded primarily by microbes and intracellular nucleases, while these processes may stabilize after burial in the sediment due to anoxic conditions. In these instances, hydrolytic processes, particularly DNA depurination leading to single-strand breaks (Lindahl, 1993), may further limit the time over which DNA remains intact in a tissue. However, it has been suggested that depurination of DNA preserved in waterlogged sediments proceeds at slower rates than theoretically predicted or estimated for terrestrial environments (Corinaldesi *et al.*, 2008).

An increasing number of studies indicates that waterlogged plant remains represent a rich source of aDNA sequences. Waterlogged seeds (Kistler *et al.*, 2015; Wales *et al.*, 2016; Ramos-Madrigal *et al.*, 2019), fruit fragments (Kistler *et al.*, 2014), needles (Schmid *et al.*, 2017) and wood (Wagner *et al.*, 2018; Danusevičius *et al.*, 2021) have all been shown to yield aDNA suitable for chloroplast or nuclear genome-scale analyses (Table 1). As expected for aDNA, the recovered DNA was degraded to small average size (< 95 bp) and, when analyzed, characterized by an increased occurrence of purines (adenine and guanosine residues) before strand breaks, putatively due to DNA depurination (Briggs *et al.*, 2007). Moreover, an increased frequency of cytosine-to-thymine misincorporations close to the ends of the DNA fragments was observed, due to deamination of cytosine residues that occur primarily in the single-stranded DNA overhangs (Brotherton *et al.*, 2007; Table 1). Such characteristic damage patterns can in turn also be used to authenticate aDNA (Hofreiter *et al.*, 2001; Jónsson *et al.*, 2013). The most detailed information about plant aDNA preservation is available for waterlogged wood of European white oaks (Wagner *et al.*, 2018). Using wood retrieved from lake sediment, marine silt, clay, and peat, it was found that the DNA fragment size was linearly correlated with thermal age, a measure combining the age of the specimen with average temperatures since deposition (Smith *et al.*, 2003). However, the data indicated that other factors in addition to depurination may contribute to DNA fragmentation (Wagner *et al.*, 2018). Furthermore, it was observed that all millennia-old wood samples with moderate-to-high endogenous oak DNA contents (>1%–16.5% of total DNA reads), with the remaining DNA originating from microbes, were retrieved from wood samples embedded in calcareous lake sediments, suggesting that such sediments could represent particularly promising environments for the preservation of aDNA in wood.

Challenges of plant aDNA analysis

Despite indications about favorable environmental conditions for the preservation of aDNA in plant macrofossils, it is currently not possible to predict the suitability of samples for genome-scale aDNA analyses. In palaeogenomic studies it is common to initially screen samples to select the most promising material for further analyses, for instance using PCR-based assays (Wales *et al.*, 2012; Lendvay *et al.*, 2018b). However, if all DNA of a sample is fragmented to the point that none of the markers will amplify, the assay cannot provide any guidance, because such samples are not necessarily devoid of endogenous DNA. Additional challenges of plant palaeogenomics lie in practical aspects such as the often small size of specimens, plant compounds that inhibit downstream enzymatic reactions, and contamination with modern DNA. Fortunately, there is a fast-growing number of tools available to address these challenges, such as

pretreatment of plant remains, dedicated extraction protocols for ultra-short aDNA fragments, targeted sequencing of endogenous aDNA using hybridization-capture approaches, post-mortem deamination correction, or mapping shotgun-sequenced libraries to ever increasing numbers of reference genomes.

The fragmented, low-quality, and fragile nature of plant aDNA requires strict protocols to recover generally low quantities of degraded DNA and minimize contamination from modern sources (Latorre *et al.*, 2020; Kistler *et al.*, 2020). Thus, all extraction and pre-amplification steps should be performed in dedicated aDNA laboratories to avoid and/or identify sources of contamination (Kistler *et al.*, 2020). Different methods have been developed that optimize the recovery and processing of the short and damaged plant aDNA fragments (Latorre *et al.*, 2020). However, there is currently no standard protocol for DNA extraction from ancient plant remains, largely due to the diversity of plant taxa and tissue types recovered in ancient deposits (Lendvay *et al.*, 2018a). Therefore, pilot studies are often required to identify the best practice for a given set of samples (Kistler *et al.*, 2020). Most plant tissues are rich in polysaccharides and polyphenols, and waterlogged plant remains can also contain humic acids derived from sediment (Kistler *et al.*, 2020). All these molecules tend to co-extract with DNA and can act as inhibitors for downstream enzymatic reactions (Kistler, 2012). Several methods have been developed for extracting DNA from modern plant material, aiming to maximize the DNA yield and simultaneously reduce inhibitors. Most of these protocols include either sodium dodecyl sulfate (SDS) or cetyl-trimethyl ammonium bromide (CTAB) as detergents in the extraction buffer. The anionic SDS is used to precipitate polysaccharides and proteins (Dellaporta *et al.*, 1983). Likewise, the cationic CTAB is capable of precipitating polysaccharides (Doyle & Doyle, 1987). In a comparative study it was shown that extraction with SDS yields higher DNA amounts from ancient and historical plant remains than extraction with CTAB (Wales *et al.*, 2014). Indeed, the majority of plant aDNA studies conducted so far have used SDS-based extraction buffers (see Table 2 in Pont *et al.*, 2019), in some cases (e.g. Gutaker *et al.*, 2017; Schmid *et al.*, 2017) in combination with N-phenacylthiazolium bromide (PTB), an agent that cleaves glucose-derived protein crosslinks and thus can help to release DNA from protein–DNA complexes (Poinar *et al.*, 1998). Extraction of aDNA from herbarium specimens with PTB and SDS was found to decrease the average DNA fragment length when compared with CTAB (Gutaker *et al.*, 2017). Additionally, silica-based DNA purification techniques allow the efficient recovery of short DNA fragments (Rohland *et al.*, 2018), and by adjusting chaotropic salt concentrations of the binding buffer, fragments as short as 35 bp (Dabney *et al.*, 2013) or even shorter ($\geq$ 25 bp; Glocke & Meyer, 2017) can be retained.

After extraction and purification, aDNA molecules must be converted into sequencing libraries, which requires the addition of individual barcodes and platform-specific sequencing adapters to each DNA molecule (Goodwin *et al.*, 2016). Library preparation should also be optimized for degraded DNA. In comparison to double stranded, single-stranded library preparation techniques minimize the loss of short DNA fragments and those with single-stranded breaks, thereby increasing the number of library molecules that can be retrieved from highly degraded DNA. Recent studies show fast and inexpensive, single-stranded library preparation methods (Troll *et al.*, 2019) even optimized for aDNA (Tin *et al.*, 2014; Kapp *et al.*, 2021).

Ancient DNA libraries often contain <1% endogenous DNA, with the majority of sequencing capacity taken up by DNA from other sources such as microorganisms. A way to overcome this limitation is to enrich the libraries using hybridization probes prior to sequencing (Carpenter *et al.*, 2013). These methods use either commercially synthesized

probes (e.g. Ali *et al.*, 2016; Ramos-Madrigal *et al.*, 2019), which can be costly, or benchtop produced hybridization probes (Suchan *et al.*, 2016; Schmid *et al.*, 2017). Plant genomes are generally complex, containing 10–80% non-coding repeated elements (Metcalfe & Casane, 2013), and can thus be very large such as in conifers (Nystedt *et al.*, 2013; Mosca *et al.*, 2019). Libraries could be enriched for chloroplast genomes (Meucci *et al.*, 2021; Schulte *et al.*, 2021) or exome (protein-coding) sequences, using probes generated from mRNA (Schmid *et al.*, 2017; Toussaint *et al.*, 2021), which may significantly reduce sequencing costs. Last but not least, bioinformatic suites have made it possible to apply the whole set of post-sequencing analytical steps in a glance (Schubert *et al.*, 2014; Fellows Yates *et al.*, 2021), including corrections for post-mortem damage (Jónsson *et al.*, 2013) and incorporating uncertainty in the genotype calling for low coverage sequence data (Nielsen *et al.*, 2011). For a whole review on the downstream aDNA bioinformatic analyses, see Orlando *et al.* (2021).

Applications of aDNA analyses based on plant macrofossils

Reconstructing postglacial range shifts using a multi-site approach
Ongoing and future climate change is expected to lead to widespread range shifts of plant species that are tracking their current climatic niche (Parmesan & Yohe, 2003; Steinbauer *et al.*, 2018). The unprecedented rate of change is raising the question if the dispersal capacity of plants is sufficient to keep up with the rising temperatures. Some scientists have even argued that species might need "assisted migration" to prevent their local extinction (McLachlan *et al.*, 2007; Aitken & Bemmels, 2016; Dauphin *et al.*, 2021). Species migration rates have either been inferred by estimating the species' dispersal capacity, e.g. by directly or indirectly determining seed dispersal distances, or by tracking the first establishment of a species at different sites in response to past climatic changes using pollen and macrofossil analyses (Pearson, 2006; Feurdean *et al.*, 2013; Birks, 2019). Palaeoecological techniques have also been applied to estimate expansion pathways from refugial locations during the last Ice Age, sometimes in combination with ecological niche modelling and phylogenetic data that provide information about past geographic isolation and location of refugia (Gavin *et al.*, 2014). However, these approaches commonly rely on the location of source populations from the main refugia and may ignore secondary or cryptic refugia, which could significantly alter effective species dispersal rates (Birks, 2019). Additionally, palaeoecological approaches alone are not able to resolve population-level dynamics due to intrinsic taxonomic constraints that do not allow the identification of within-species lineages. This makes it impossible to track species range shifts in detail. Phylogeographic approaches, on the other hand, rely on present-day genetic variation only and are therefore not able to identify cryptic lineages that became extinct in the past. It is, however, possible to infer past demographic changes and migration patterns from extant populations using demographic inference (Marchi *et al.*, 2021).

Macrofossils deposited in natural archives not only allow to determine and date the local population establishment, e.g. in response to past climate warming, but the genetic information preserved within such remains also provides crucial information about the relationship among populations. By analyzing aDNA from the first populations that established around a network of sites and inferring the degree of relationship among them, the expansion of a population can be tracked with unprecedented detail (Fig. 3a). The analysis of aDNA also allows identifying populations that became extinct during the Holocene and/or might have originated from previously unknown ("cryptic") refugia. The identification of refugial populations is important to calculate expansion rates more precisely

and to understand the processes involved in species survival under adverse climatic conditions. Indeed, a study identifying *sed*aDNA of Scots pine and Norway spruce in the lake sediment of an ice-free potential refugium from Northern Scandinavia during the last glacial maximum, as well as the Early Holocene presence of a rare mitochondrial haplotype, point to the persistence of trees in Northern Scandinavia during the last Ice Age (Parducci *et al.*, 2012), even though this interpretation immediately aroused criticism (Birks *et al.*, 2012). Moreover, a more recent study also based on *sed*aDNA could not fully confirm nor reject the findings, because the low presence of spruce and pine DNA was not distinguishable from background contamination (Alsos *et al.*, 2020). Unfortunately, the metabarcoding approach used here erases the signature of deamination patterns potentially present in the original DNA template, which could have represented evidence for ancient DNA.

Tracking changes in genetic diversity through time

Genetic diversity is one of the fundamental components of biodiversity and an important prerequisite for adaptation to changing environmental conditions. It is therefore crucial for preserving species and maintaining ecosystem resilience. The effects of demographic processes such as range shifts or population declines on genetic diversity have been intensely investigated theoretically (Pauls *et al.*, 2013; Dauphin *et al.*, 2021), but empirical studies, especially with long-lived organisms such as trees, are rare and are unable to resolve the impacts of climate change over several generations (Pluess, 2011; Lesser *et al.*, 2013; Elleouet & Aitken, 2019). Recently, there has been a lot of concern about the effect of population declines on the genetic diversity of many species. However, there is virtually no baseline to compare present vs. ancient levels of genetic diversity (but see Leigh *et al.*, 2019; Gauthier *et al.*, 2020).

Plant aDNA studies based on macrofossils would allow to reconstruct changes in the genetic diversity of a species over extended time periods as required for long-lived organisms such as trees (Fig. 3b). In contrast to herbarium collections, which are also used as an important resource of past genetic diversity (Bieker & Martin, 2018; Lopez *et al.*, 2020), natural archives go beyond the historical period of human-driven impacts on ecosystems, thereby providing information from truly natural populations. In long-lived organisms such as trees, extant populations can also be used to study allele frequency changes over several generations (Dauphin *et al.*, 2021). By using plant remains deposited in natural archives, such analyses can be extended over much longer time-periods (e.g. Schmid *et al.*, 2017; Fig. 3b). Neutral population genetic processes can be tracked by using aDNA given that allelic frequencies at the population scale are directly impacted by demographic events such as population expansions and declines, gene flow from neighboring populations or random loss of certain alleles due to genetic drift. A better understanding of the effects of demographic processes on genetic diversity would help us to make more accurate predictions about future changes in genetic diversity.

Testing the adaptive potential of plants to climate change

It is still an open question whether (or to what extent and at what speed) plants can genetically adapt to rapid climatic changes (Birks, 2019). However, this knowledge is crucial in assessing the impact of future climate change on the vegetation. It is clear that species can adapt to local environmental conditions through natural selection, resulting in distinct phenotypes, but the pace at which such processes occur is still debated. The novel research field of landscape genomics aims to identify genes that are associated with certain environmental conditions and result in the expression of respective phenotypes that convey higher fitness (Sork *et al.*, 2013). Adaptive loci are either identified by genome-

wide association studies (GWAS) that link adaptive genes to associated phenotypic traits (Bragg *et al.*, 2015) or by environmental association analysis (EAA), also termed genotype–environment associations (GEA), that is based on correlations between genetic variants with environmental conditions (Rellstab *et al.*, 2015). In a recent article by Napier *et al.*(2020), the authors argue that both GWAS and EAA can also be applied to aDNA, thereby testing if plants were able to adapt to past climatic changes. Similarly, a recent study linking genomic information of adult and juvenile Swiss stone pine (*Pinus cembra*) age cohorts in the Alps with environmental data indicates that environment-driven allele frequency changes over centuries are small, which suggests that such long-lived species may not be able to adapt fast enough, potentially resulting in epigenetically-mediated acclimation rather than adaptation, or to local extinction (Dauphin *et al.*, 2021).

Expanding the temporal scale of plant species adaptation to changing environments, one may compare the genetic information preserved within macrofossils from time periods with marked climatic changes (Fig. 3c). For example, the transition from the Younger Dryas cold period to the current Holocene interglacial ca. 11,700 years ago in Europe is considered a close analogue to the current climate warming regarding the rate of climate change, with temperatures rising 2–4°C within less than a century (Heiri *et al.*, 2014). By comparing the allele frequencies of putatively adaptive loci between populations growing before and after the climate warming at the same site and comparing this change to the situation in extant populations, it would be possible to better estimate the adaptive potential of a species.

Conclusions and outlook

Climate change will have profound impacts on plant distribution and abundance as well as associated ecosystem services and functioning. Analyzing plant aDNA from macrofossils deposited in natural archives has the potential to assess the effects of past rapid climate change on plant species at the genetic level. This will ultimately allow better predictions about the effects of future climate change on the abundance, distribution, adaptive potential and genetic diversity of plants.

With ever more ancient genetic information available, it will also be possible to test and validate population genetic models. Such palaeo-validated models can then be used in turn to make detailed predictions about future changes in genetic diversity. This approach of comparing model output with palaeo-data is standard procedure for climate as well as vegetation models, but is not very common for population genetic models, at least for long-lived organisms over long time scales. Palaeogenetic information from individual species (aDNA) could also be combined with data from multi-taxon approaches based on *sed*aDNA (Dussex *et al.*, 2021).

Overall, we believe that the proposed framework has the potential to fundamentally improve our understanding of population genetic processes, by opening a window into the past and allowing us to retrospectively track genetic changes over time.

Author contributions

CSchwörer, NA, FG and CSperisen designed the research, CSchwörer and ML wrote a first draft and all authors contributed to the final manuscript.

Data availability statement

Data sharing not applicable to this article as no datasets were generated or analysed during the current study

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Tables and Figures

Study	Species	Tissue	Age range (ka cal. BP)	Number of sites	Endogenous DNA content	Average read length	Method	Target	DNA damage
Kistler *et. al.* (2014)	*Lagenaria siceraria*	Fruit fragment (gourd rind)	10.2–9.8	1	NA	63 bp	Target enrichment	Large single-copy (LSC) region of the plastid genome	NA
Wales *et. al.* (2014)	*Vitis vinifera*	Seed	1.35–1.25	1	NA	NA	PCR	Plant *rbcL* marker (138 bp)	NA
Kistler *et. al.* (2015)	*Cucurbita* spp.	Seed	Holocene	1	0.08–0.76%[1]	NA	Target enrichment	Plastid genome	Deamination
Wales *et. al.* (2016)	*Vitis vinifera*	Seed	3.2–0.45	21	1.4%[2]	59.1–86.3 bp[1]	Target enrichment and shotgun	Plastid genome	Deamination
Lendvay *et. al.* (2018b)	*Pinus sylvestris*	Wood	13.9–13.0	1	NA	NA	PCR	Plastid *trn*L region (84 bp) and *trn*F region (109 bp)	Deamination
Schmid *et. al.* (2017)	*Abies alba*	Needle	7.2–5.8	1	0.01–0.33%	NA	Target enrichment	Nuclear exome, complexity reduced	Deamination
Wagner *et. al.* (2018)	*Quercus robur/petraea*	Wood	9.8–0.55	26	0–16.5%	≤ 95 bp	Shotgun	Part of plastid genome	Deamination & depurination
Ramos-	*Vitis vinifera*	Seed	2.46–0.75	9	0–33.5%	58.1–77.3	Target	Set of nuclear genes	Deamination

				bp[1]	enrichment
Madrigal *et.* *al.* (2019)					and shotgun

Table 1. Recovery of aDNA using High Throughput Sequencing (HTS) from waterlogged plant remains.

NA: Not analyzed

[1]Values after target enrichment

[2]Value for one sample

Figure 1: (a) Lakes are natural archives that can conserve plant material such as leaves (e.g. *Dryas octopetala*; left inset), seeds (e.g. winged *Betula* fruits and catkin scale; middle inset) and needles (e.g. *Abies alba*; right inset), as well as endogenous genetic information for millennia. Lake sediment archives are especially suited for plant aDNA studies, because they can contain numerous macrofossils that are deposited in stratigraphic order over time (b). Other natural archives that represent valuable sources for plant aDNA studies are (c) ice caves, (d) fluvial or landslide deposits, and (e) permafrost soils.

Figure 2: Past changes in the genetic diversity of *Abies alba* populations in response to the decline and subsequent recovery of population size around Lago di Origlio, Southern Switzerland (Tinner *et al.*, 1999; Schmid *et al.*, 2017). (a) Anthropogenic disturbance caused a drastic decline in *A. alba* populations during the period 6.5–6.2 ka cal. BP, as reflected in pollen percentages. Individual *A. alba* needles from selected time periods (orange squares; *n* number of needles) have been used for aDNA analysis. (b) The genetic analysis revealed a significantly lower observed heterozygosity during the period of population decline at 6.5–6.2 ka cal. BP (letters above bars refer to statistically significant differences). However, the absence of significant changes in allelic richness after population recovery at 6.2–5.8 ka cal. BP indicates that genetic diversity was able to recover. (c) Since there was no genetic differentiation between the populations growing before (7.2–6.6 ka cal. BP) and after population recovery (6.2–5.8 ka cal. BP), this process was most likely driven by internal recruitment (H1) and not external recruitment (H2; Schmid *et al.*, 2017).

Figure 3: Applications of plant aDNA analyses at different temporal and spatial scales illustrated with hypothetical examples. (a) Postglacial recolonization patterns of plant species (red, orange and blue arrows) from refugial locations (red, orange and blue DNA double helices) can be reconstructed in detail, when the timing of population establishment is combined with aDNA analysis of the first macrofossils at several sites (stars). Such patterns might differ from present-day phylogeographic analyses (filled pie charts) due to subsequent range expansions and admixtures. This approach would also allow to identify cryptic populations or lineages that became extinct during the Holocene (pink star) and greatly improve the precision of estimated population expansion rates. (b) By analyzing aDNA from plant macrofossils deposited in stratigraphic order, changes in haplotype diversity (pink, orange and blue bars) as well as genetic diversity in response to demographic changes (as indicated by pollen percentages) can be reconstructed in detail, using population genetic indices such as allelic richness (A_r; red line) or expected heterozygosity (H_{exp}; blue line). In this hypothetical example, the extinction of the cryptic lineage (pink bars) can also be observed in more detail. (c) Comparing genetic information, studying putatively adaptive loci, from populations before (blue double helix) and after rapid past climate change (red double helix), such as the transition from the Younger Dryas cold period to the Early Holocene (as indicated by independent climate reconstructions, e.g. Heiri *et al.*, 2014), would potentially allow to test if plant species were able to adapt to rapid climate change and may again do so in the future.

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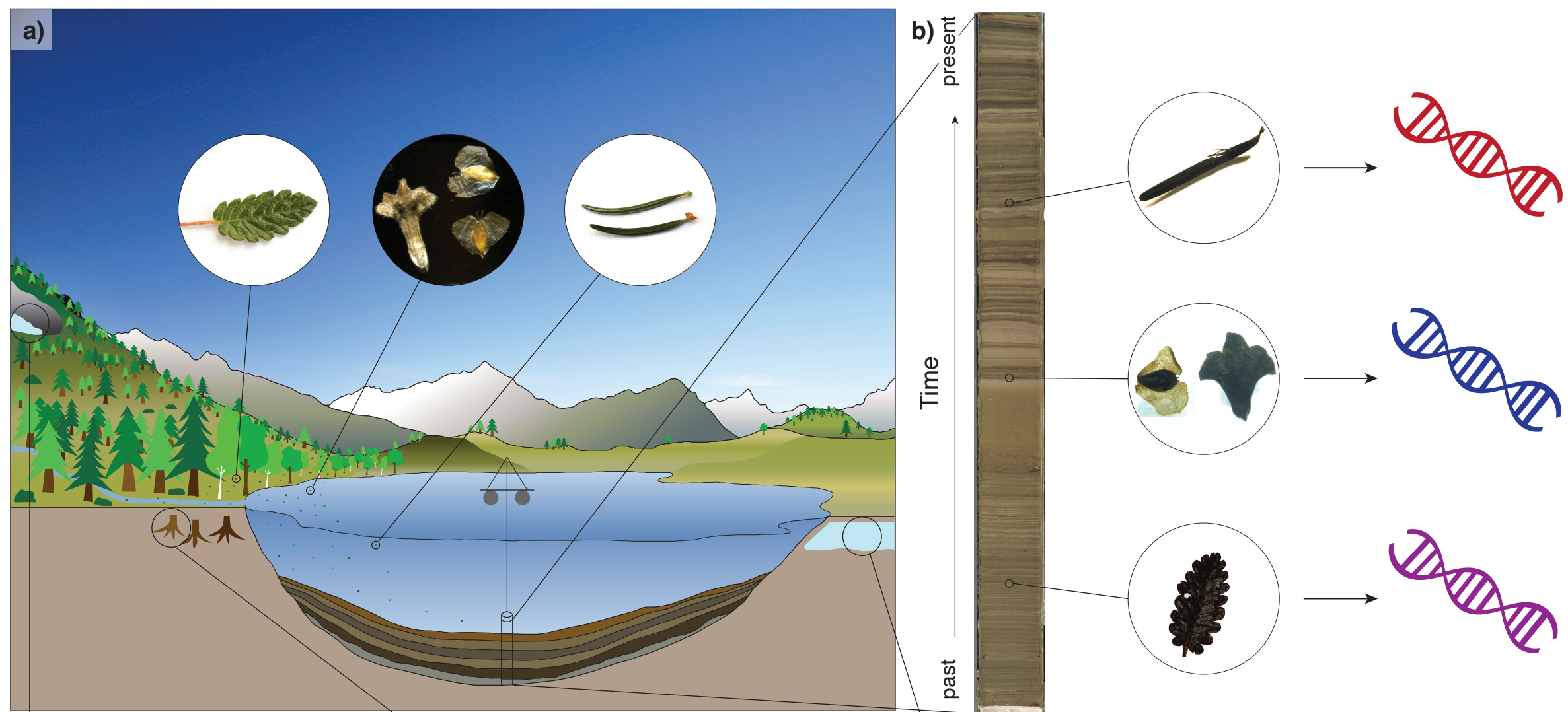
BOX 1: Natural archives as a treasure trove of genetic information

Natural archives such as lake or mire sediments, fluvial and landslide deposits, ice caves, and permafrost can conserve biological remains for millennia, due to either anoxic conditions or low temperatures that prevent the decomposition of tissues (Birks, 2003; Jørgensen *et al.*, 2012; Leunda *et al.*, 2019; Fig. 1). Indeed, lake and mire archives have been regularly used in palaeoecology for a century to reconstruct past species abundances and vegetation composition, mostly based on the analysis of pollen (Birks, 2019). Besides pollen that is ubiquitous in such archives, also larger plant remains such as leaves, needles, bud scales, wood, seeds or fruits occur regularly and can often be determined to species level using morphological and anatomical characteristics. In contrast to pollen, which is readily dispersed by wind over large distances, such macrofossils commonly originate from the local vegetation around a site, thus more reliably indicating local species presence as compared to pollen. The same processes that prevent the decomposition of these macrofossils should also minimize the degradation of the DNA within the plant cells, making macrofossils a viable source for past genetic information. There are also other advantages: plant remains are commonly deposited in stratigraphic order and, depending on size, can be directly dated using radiocarbon dating, instead of relying on the dating of surrounding organic material. In many cases, there are numerous remains available from different individuals within a certain time period, which allows the quantitative reconstruction of past local species abundance. This also makes the genetic analysis of entire populations possible and allows comparing the past genetic composition with present-day and/or non-local populations. However, even though the potential of macrofossils as a source for aDNA has been recognized for more than a decade (Parducci & Petit, 2004; Gugerli *et al.*, 2005, 2013), only a handful of palaeogenomic studies based on macrofossils exist so far. One of the earliest such studies is from the Carpathians, where the authors analyzed chloroplast aDNA from subfossil *Picea abies* (Norway spruce) seeds and cone scales, as well as pollen, and found the same genetic haplotypes as in extant populations, indicating strong demographic stasis over millennial timescales (Magyari *et al.*, 2011). The authors could also show a decrease in genetic variability since the beginning of the Holocene, which could be associated with the repeated bottlenecks inferred from palaeoecological data. The results were recently confirmed by a follow-up study at the same site based on macrofossils alone, including needles, concluding that such remains are an invaluable repository for information on past population genetic dynamics (Lendvay *et al.*, 2018a). In the southern Alps, genome-scale aDNA data extracted from subfossil *Abies alba* (Silver fir) needles were used to infer changes in genetic variation between 7.2–5.8 ka cal. BP (calibrated years before present; Schmid *et al.*, 2017), when anthropogenic disturbance led to a drastic decrease in population size (Tinner et al., 1999). The aDNA analysis revealed a lowered observed heterozygosity during the palynologically inferred population decrease, which confirms the palaeoecological interpretation of population fragmentation in response to disturbance (Fig. 2). With a recovery of the estimated population size after 6.5 ka cal. BP, genetic variation also returned to pre-disturbance levels. The lack of genetic differentiation between the populations growing before and after the population decline indicates re-expansion of local trees in the study area (Schmid et al., 2017). Besides macrofossils preserved in lake sediments, plant aDNA has also been extracted from waterlogged, subfossil wood remains found in archaeological or sedimentological contexts (Lendvay *et al.*, 2018b; Wagner *et al.*, 2018). Such remains are widely used in dendroclimatology and -chronology to reconstruct past climatic conditions or precisely date wood remains based on tree-ring patterns (Büntgen *et al.*, 2011; Hafner *et al.*, 2021). In the most comprehensive study to date, 167 waterlogged wood remains from European white oaks have been analyzed

using HTS (Wagner *et al.*, 2018). Even though endogenous DNA content was mostly low (< 1% of total DNA reads), the comparison of ancient and extant chloroplast haplotypes indicates a continuous presence of local populations with limited changes in haplotype composition over millennia. Another recent study was able to taxonomically identify 13,000 year old pine trunks buried in clay as *Pinus sylvestris* using amplicon sequencing of chloroplast aDNA (Lendvay *et al.*, 2018b). Similarly, a study from Lithuania could link ancient haplotypes from 11,000 year old, submerged Scots pine stumps in the Baltic Sea with extant populations and refugia in the Balkan peninsula, based on mitochondrial DNA and nuclear microsatellites (Danusevičius *et al.*, 2021)

a)

b)

present

Time

past

Ice cave

Fluvial / landslide deposits

Permafrost

c)

d)

e)

a) Panel showing *Abies alba* pollen (%) versus Age (ka cal. BP) with sample windows labeled $n = 14$, $n = 6$, $n = 5$, $n = 23$.

b) Panel showing Observed heterozygosity (bars labeled a, c, b, a) and Allelic richness (bars labeled a, b, a, a) versus Age (ka cal. BP).

c) Diagram of internal recruitment (H1) and external recruitment (H2).

a)

b)

Pollen (%)

Haplotypes

A_r

H_{exp}

Time

c)

Macrofossil conc.

July tempterature (°C)

Bølling-Allerød   Younger Dryas   Early Holocene

Age (ka cal. BP)