Swiss Medical Weekly

Formerly: Schweizerische Medizinische Wochenschrift An open access, online journal • www.smw.ch

Original article | Published 18 March 2022 | doi:10.4414/SMW.2022.w30137

Cite this as: Swiss Med Wkly. 2022;152:w30137

Bottom-up feedback to improve clinical teaching: validation of the Swiss System for Evaluation of Teaching Qualities (SwissSETQ)

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Summary

AIMS OF THE STUDY: Clinical teaching is essential in preparing trainees for independent practice. To improve teaching quality, clinical teachers should be provided with meaningful and reliable feedback from trainees (bottom-up feedback) based on up-to-date educational concepts. For this purpose, we designed a web-based instrument, "Swiss System for Evaluation of Teaching Qualities" (SwissSETQ), building on a well-established tool (SETQsmart) and expanding it with current graduate medical education concepts. This study aimed to validate the new instrument in the field of anaesthesiology training.

METHODS: Based on SETQsmart, we developed an online instrument (primarily including 34 items) with generic items to be used in all clinical disciplines. We integrated the recent educational frameworks of CanMEDS 2015 (Canadian Medical Educational Directives for Specialists), and of entrustable professional activities (EPAs). Newly included themes were "Interprofessionalism", "Patient centredness", "Patient safety", "Continuous professional development', and "Entrustment decisions". We ensured content validity by iterative discussion rounds between medical education specialists and clinical supervisors. Two think-aloud rounds with residents investigated the response process. Subsequently, the instrument was pilottested in the anaesthesia departments of four major teaching hospitals in Switzerland, involving 220 trainees and 120 faculty. We assessed the instrument's internal structure (to determine the factorial composition) using exploratory factor analysis, internal statistical consistency (by Cronbach's alpha as an estimate of reliability, regarding alpha >0.7 as acceptable, >0.8 as good, >0.9 as excellent), and inter-rater reliability (using generalisability

theory in order to assess the minimum number of ratings necessary for a valid feedback to one single supervisor).

RESULTS: Based on 185 complete ratings for 101 faculty, exploratory factor analysis revealed four factors explaining 72.3% of the variance (individual instruction 33.8%, evaluation of trainee performance 20.9%, teaching professionalism 12.8%; entrustment decisions 4.7%). Cronbach's alpha for the total score was 0.964. After factor analysis, we removed one item to arrive at 33 items for the final instrument. Generalisability studies yielded a minimum of five to six individual ratings to provide reliable feedback to one supervisor.

DISCUSSION: The SwissSETQ possesses high content validity and an "excellent" internal structure for integrating up-to-date graduate medical education concepts. Thereby, the tool allows reliable bottom-up feedback by trainees to support clinical teachers in improving their teaching. Transfer to disciplines other than anaesthesiology needs to be further explored.

ABBREVIATIONS

SETQsmart System for Evaluation of Teaching Qualities 'smart'
SwissSETQ Swiss System for Evaluation of Teaching Qualities
CanMEDS

Canadian Medical Educational Directives for Specialtists

EPA Entrustable Professional Activities

KMO test Kaiser-Meyer-Olkin test

SFDP-26 Stanford Faculty Development Program-26[item] instru-

ment

SEM Standard error of measurement

ANOVA Analysis of Variance

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Introduction

The quality of teaching in graduate medical education is crucial in preparing trainees for independent practice and future healthcare challenges [1]. One fundamental strategy to improve teaching competencies is to give teachers specific, reliable and meaningful feedback [2, 3], ideally provided by the recipients of the teaching (bottom-up feedback). The ultimate goal of this feedback is to support the development of teachers in the sense of assessment for learning [4].

An easy and (mostly) hierarchy-free way of providing such feedback is by using anonymous online questionnaires [5, 6]. Several instruments for this have been developed in the past, yet most instruments either did not include all aspects of clinical teaching or lacked a formal validation [7]. However, one instrument, SETQsmart (System for Evaluation of Teaching Qualities) [8], has become well established and has been extensively validated and updated over the years [9–11], in particular in anaesthesiology training [8]. SETQsmart has the additional advantage that it describes specific and observable teaching behaviours. Providing explicit information to clinical supervisors (who are not typically experts in education) makes it more likely that users will perceive the tool as useful and credible [12, 13].

SETQsmart was an updated version of the original SETQ instrument [9], which itself was built on the validated tool SFDP-26 (Stanford Faculty Development Program) [14, 15]. SETQsmart additionally included the CanMEDS (Canadian Medical Educational Directives for Specialtists) 2005 framework [16], the Accreditation Council for Graduate Medical Education principles [17], key propositions from the Lancet Report on the future training of the health care force [18], and the 'Teaching as a competency' framework [19]. It did not, however, incorporate two important recent developments, namely the principles of the Can-MEDS 2015 update [http://canmeds.royalcollege.ca/en/ framework] (which added the topics "Interprofessionalism", "Accountability for the continuity of care", "Patient safety", "Lifelong learning") and the concept of entrustment, conceptualised as entrustable professional activities (EPAs) [20]. EPAs help to delineate residents' learning paths [21], which we found especially valuable to incorporate in a bottom-up feedback tool, given the discrepancies between trainee and supervisor views on first-year EPAs that have recently been described [22].

Thus, we designed an instrument accommodating these developments to the needs of contemporary graduate medical training in Switzerland. We thoroughly revised SETQsmart by integrating items from the CanMEDS 2015 and the EPA frameworks, while also re-wording items for better application in the Swiss context, as well as removing some items to prevent further inflation of the instrument. The aim of this paper is to introduce SwissSETQ, and to validate the new instrument in the field of anaesthesiology training.

Materials and methods

Ethical approval

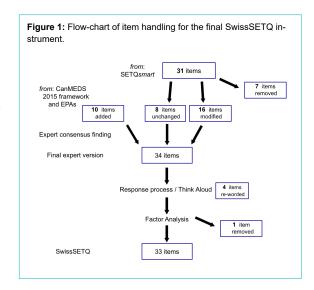
The study was granted exemption by the Ethics Committee of the Canton of Zurich as the study type did not fall under the Swiss Human Research Act (BASEC-Nr. Req-2019-00874).

In this section we first describe the development of the instrument followed by the procedures used for validation. The development process of the instrument is shown in figure 1. The manuscript adheres to the Standards for QUality Improvement Reporting Excellence in Education (SQUIRE-EDU) guidelines [23] as part of the Enhancing the Quality of and Transparency of Health Research (EQUATOR) network for the reporting of studies [24].

Development of the instrument

To start from a solid factual basis, we used the well-established SETQsmart instrument [8]. SETQsmart encompasses 28 items across 7 domains of teaching quality: (1) creating a positive learning climate, (2) displaying a professional attitude toward residents, (3) evaluation of residents' knowledge and skills, (4) feedback to residents, (5) learner centredness, (6) professionalism' and (7) role modelling. SETQsmart also provides one additional item for global performance and open questions on strengths and on suggestions for teacher improvement. For SETQsmart, high content validity and excellent psychometric properties had been demonstrated (with Cronbach's alphas above 0.95 for the entire intrstrument and above 0.80 for the subscales) [8, 11].

After translating the SETQsmart questionnaire into German (EvG, APM), an interdisciplinary group of medical education researchers, clinical supervisors and programme directors (APM, MPZ, RS, RT, JBr, SH, RG) revised the content of the instrument. The process followed a nonformalised consensus technique including online collaboration, face-to-face discussions and two large group face-to-face meetings. The final version was approved by consensus of the whole group. To account for the residents' developmental goals, outlined by the CanMEDS 2015 framework [25], we incorporated the concept of entrustable professional activities (EPAs) [26]. EPAs coher-



ently delineate residents' learning paths [21] and link these paths to supervisors' entrustment decisions [27].

In addition to including CanMEDS 2015 and EPAs, a key goal in the revision was to strengthen the formative purpose of the instrument. Whereas the existing items of SETQsmart had mainly described teaching behaviour we wanted to provide more concrete guidance for supervisors and therefore introduced items characterising the desired teaching content (e.g., "speak-up strategies", item Prof 1, table 2). Items deemed unnecessary or redundant were removed or aggregated to avoid further inflating the original instrument. We agreed to tolerate a 10% increase in items. Finally, we changed the item wording into first-person questions in order to make the questionnaire more specific to the individual perspective of the trainees, ideally enhancing their engagement in the answers. The versions of the instrument were discussed in depth by the expert group after each of two rounds of iteration until final agreement.

In the next step, we presented the final expert version to future users by conducting two "think-aloud" rounds with residents in different years of training at the four centres ('response process' [28]). The aim was to ensure proper understanding of the items and the appropriateness of wording for the Swiss-German context. While the residents worked through the questionnaire they were encouraged to speak out aloud what came to their minds. Their comments were discussed subsequently together with suggestions for improvements. The feedback from the thinkalouds was used to refine the final version for pilot testing. The resulting instrument for pilot testing encompassed 34 items. Compared with the original SETQsmart questionnaire, this version included 8 unchanged items, 16 modified items and 10 new items, and 7 items were removed (see table 1, for details see supplemental files 1 and 2 in the appendix). New items addressed the topics/themes "Communication with patients and relatives", "Team communication", "Dealing with errors (one's own and those of others)+", "Interdisciplinary and interprofessional collaboration", "Ethics and future health system developments", and "Entrustment decisions".

Analysis of statistical validity

We assessed (a) the internal structure (factorial composition) of the instrument by exploratory factor analysis, (b) the internal statistical consistency (using Cronbach's alpha, omega total and greatest lower bound as measures of reliability), and (c) the inter-rater reliability to assess the minimum number of ratings necessary for a valid feedback to one single supervisor using a generalisabilitys study (G study) followed by a decision study (D study).

For assessing the internal structure, the instrument was tested between 1 January and 30 March 2020 in the anaesthesia departments of four major teaching hospitals in Switzerland (Bern University Hospital "Inselspital", Cantonal Hospital of Lucerne, Cantonal Hospital of Winterthur, University Hospital Zurich). The instrument was distributed to all 220 trainees of the participating institutions at the time of starting the study. All 120 clinical supervisors (faculty) who had responsibility for trainees at these institutions could be provided with feedback. All trainees received an email invitation with an anonymous web-link to the online questionnaire. Participants were provided with information about the nature of the study prior to filling out the questionnaire. The trainees' task was to rate the teaching quality of the clinical teachers they had worked with. Each item was rated on a seven-point Likert scale ("fully agree", "agree", "partly agree", "neutral", "partly disagree", "disagree", "fully disagree"). Participation was voluntary, and two reminders were sent over a period of four weeks. The ratings were collected via a webbased data collection platform (Survey Monkey, Palo Alto, CA, USA) and subsequently allocated to individual teachers. Teachers were de-identified by using a number code.

Data safety

The data collected were protected by an individual access secured by a password, and was accessible exclusively to the two principal investigators (APM, JBr). All information that could have identified individual supervisors was coded before data processing.

Statistical analysis

To confirm that a factor analysis was justified for our given data set, we performed a Kaiser-Meyer-Olkin (KMO) test. The test score can take values from 0 to 1 and should

Table 1:
Overview of altered items within SwisSETQ, compared with SETQsmart.

Domain	SETQ smart	Left un- changed ¹	Added	Modified	Removed	Swiss SETQ
Learning climate / supporting learning ²	6	2	_	3	1	5
Professional (positive ²) attitudes towards the learner	4	2	1	-	2	3
Learner centredness / supervision tailored to trainee's needs ²	4	_	2	4	_	6
Evaluation of residents' (trainees'2) knowledge and skills	4	_	3	3	1	6
Feedback to residents/trainees ²	4	1	_	3	_	4
Professional practice management	3	_	4	3	_	7
Role modelling	3	3	-	-	-	3
Overall rating	1	_	_	_	1	_
Open questions	2	_	_	_	2	_
Total	31	8	10	16	7	34

¹ Item left unchanged, except forchanging to first-person question

² Title of domain in the SwissSETQ intrument

exceed 0.8 to be well acceptable [29]. After having confirmed suitability for factor analysis, we used Bartlett's test to verify that variances were equal across the sample (assuming a p-value below 0.01 as statistically significant).

Exploratory factor analysis was performed with all 34 items measured on 185 occasions. The factor analysis used the Kaiser criterion (which suggests dropping all components with *eigenvalues* below 1.0, i.e., if less variance than one single variable is explained). Subsequently, we performed reliability analyses for the total factor score as well as for the items forming the single factors found.

To assess the internal consistency of the instrument and its factors we calculated Cronbach's alpha (with values of >0.7 regarded as acceptable, >0.8 as good, and >0.9 as excellent). However, Cronbach's alpha tends to underestimate the degree of internal consistency owing to the potentially skewed distribution of the answers in the individual items [30]. Thus, we also report two alternative measures, "omega total" and "greatest lower bound" to the reliability of the test (GLB) [30]. The values derived from the two tests are interpreted in a similar fashion to Cronbach's alpha. As a further point, we compared the total scores of the instrument between the four institutions for potential differences by means of a one-way ANOVA (analysis of variance).

To investigate the inter-rater reliability for the instrument generalisability theory was used. In generalisability theory two different types of studies are commonly distinguished: G studies and D studies. In a G study the amount of variance associated with the different facets (factors) being examined is quantified according to the data at hand. Based on the data of the G study, a consecutive D study yields information about how to alter the protocol in order to achieve optimal reliability (G coefficient). Here, a G study was performed and the G coefficient was calculated. Based on the result of the G study, the subsequent D study was used to estimate the minimum number of ratings necessary to provide reliable feedback to a single supervisor [31]. A G coefficient above 0.75 was considered sufficient and above 0.8 desirable. The analyses were performed at the question level for supervisors who had received three or more evaluations. For the G study, the total variance of the total score was decomposed into components associated with supervisor (s) and trainees (t) nested (:) within supervisors (s), and crossed (×) with the items (i); supervisors served as the object of measurement and items were set as fixed facet. This (t:s) x i design allows the variance component of two sources to be estimated: (a) the differences between supervisors (object of measurement) and (b) the differences between trainees nested within the judgements on supervisors [32, 33]. In a D study, the reliability indices (G coefficient) and standard error of measurement (SEM) are reported as a function of the number of trainee ratings per supervisor.

Statistical analyses were performed with SPSS for Windows version 26 (IBM, Armonk, NY, USA). The statistical computing language R [34] and variance components for generalisability analysis were calculated using G_String A Windows Wrapper for urGENOVA [35].

Results

We present the results on the validity of the instrument based on three sources (according to Cook and Beckman) [28]: content validity, response process and internal structure (including exploratory factor analysis, internal consistency, and generalisability analysis). We present an overview of the flow of numbers of ratings in figure 2.

Content validity

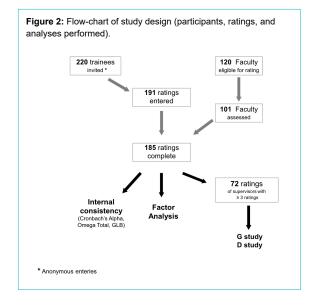
Using an extensively validated instrument [8] as a starting point we ensured basic content validity. Adding content from the well-founded CanMEDS framework [25, 36–38] further enhanced validity, even more as it has been shown to be easily understood by clinical teachers without background in medical education [39]. Finally, use of the EPA framework [26] strengthens content validity, as it represents the natural developmental paths towards independent clinical practice [40].

Response process

Think-alouds with residents led to the rewording of four items (out of 34) and provided the basis for removing one item (LK_5) from the final instrument (the removal of this item was further supported by its low communality in the factor analysis). The number of incomplete ratings in the pilot study was 8 out of 193 (4.1%), reflecting appropriate user friendliness.

Factor analysis

Overall, 185 fully completed ratings for 101 clinical teachers were included into statistical analysis. The number of ratings per supervisor ranged from 1 to 9 (average 2), 16 supervisors received 3 or more ratings. For this data set, the suitability for factorial analysis was confirmed by the Kaiser-Meyer-Olkin (KMO) test (p = 0.944) and Bartlett's test (p <0.001). The exploratory factor analysis identified four factors that explained 72.3% of the total variance: "Individual instruction" (33.8%), "Evaluation of trainee performance" (20.9%), "Teaching professionalism" (12.8%), and "Entrustment decisions" (4.7%). We found double factor loading of eight items (table 2: items LK 4,



LK_5, LF_2, Eval_6, FB_1, Prof_1, Prof_2, Prof_5), and a communality below 0.6 for four items (items LK_4, LK_5, LF_5, Eval_6). Consequently, we re-worded one item (LK_4), and removed a second (LK_5). We accepted double factor loading for the remaining seven items, as we found them important in providing formative feedback to

supervisors. Factor loadings on the final orthogonally rotated component matrix are shown in table 2.

Comparing the total scores between the four institutions did not reveal any statistically significant differences (1-way-ANOVA: 3.181 = 0.706; p = 0.550).

Table 2: Items of the SwissSETQ instrument with communalities and factor loadings of the rotated component matrix.

	Communalities	Rotated component matrix factors		tors	
		1 *	2 *	3 *	4 *
Supporting learning					
LK_1 encourages me to actively participate in discussions	0.765	0.812			
_K_2 encourages me to bring up unclear points / problems	0.844	0.841			
LK_3 motivates me for further learning	0.679	0.672		0.362	
_K_4 motivates me to keep up with the current literature	0.576	0.346	0.414	0.532	
LK_5 prepares him-/herself well for teaching presentations and talks **	0.456	0.425	0.500		
Positive attitude towards trainees					
PH_1 actively listens to me	0.850	0.857			
PH_2 behaves respectfully towards me	0.831	0.898			
PH_3 demands reasonable efforts from me (to a realistic extent)	0.761	0.811			
Supervision tailored to trainee's needs					
LF_1 sets clear learning goals for my learning activities	0.755	0.438	0.688		
LF_2 adjusts the learning goals to my (learning) needs	0.692	0.516	0.614		
LF_3 gives too much responsibility to me (in relation to my abilities)	0.843				0.918
LF_4 gives too little responsibility to me (in relation to my abilities)	0.762	370			0.778
LF_5 cares for adaequate supervision	0.561	0.629	0.315		
LF_6 teaches an appropriate balance between self-care and the needs of patients (e.g., adequate work breaks, or providing emergency care just before end of shift)	0.656	0.745			
Evaluation of trainees' knowledge and skills (including communication)	1		'	'	_
Eval_1 regularly evaluates my content knowledge	0.795		0.810	0.313	
Eval 2 regularly evaluates my analytical competencies	0.757		0.792	0.323	
Eval 3 regularly evaluates my practical skills	0.624	0.360	0.635		
Eval 4 regularly evaluates my communication skills with patients/family members	0.635		0.740		
Eval_5 regularly evaluates my communication skills within the team (interprofessional/interdisciplinary)	0.689		0.780		
Eval_6 regularly performs high quality workplace-based assessments with me (e.g., Mini-CEX, DOPS, etc.)	0.546	0.425	0.594		
Feedback for trainees	•		•		'
FB_1 provides regular feedback	0.657	0.523	0.557		
FB_2 provides constructive feedback	0.789	0.784	0.377		
FB_3 explains and substantiates his/her feedback for me	0.701	0.694	0.429		
FB_4 determines the next steps for learning, together with me	0.776	0.453	0.739		
Professional practice management					
Prof_1 teaches me how to deal with self-committed mistakes	0.694	0.580	0.434	0.410	
Prof_2 teaches me how to improve the culture of dealing with errors (e.g., «Speak- Up»-techniques)	0.680	0.573	0.388	0.448	
Prof_3 teaches the principles of interprofessional/interdisciplinary collaboration to me	0.794	0.690		0.498	
Prof_4 raises my awareness of the ethical aspects of patient care	0.706	0.391		0.698	
Prof_5 teaches me the organizational aspects of patient care	0.713	0.478		0.655	
Prof_6 raises my awareness of the economic aspects of patient care (e.g., "choosing wisely")	0.725		0.337	0.763	
Prof_7 raises my awareness of future challenges of the health care system	0.726		0.317	0.761	
Role modelling					
Vorb_1 is a role model for me as a supervisor / teacher	0.901	0.856	0.315		
Vorb_2 Is a role model to me as a physician	0.862	0.818	0.301	0.302	
Vorb 3 is a role model to me as a person	0.774	0.812			

Factor loadings of items incorprated in a factor (and used for measurement of internal consistency) are highlighted in bold with grey background (bold italics, if factor loading was below 0.6); factor denomination and legend of item codes, see below.

Legend of item codes: LK_1 to LK_5: Lernklima (learning climate); PH_1 to PH_3: Professionelle Haltung gegenüber Weiterbildungs-asssitent/in (professional attitude towards trainees); LF_1 to LF_6: Lernförderliche Haltung (learner centredness); Eval_1 to Eval_6: Evaluation der Leistung (evaluation of trainees); FB_1 to FB_4: Feedback (feedback); Prof_1 to Prof_7: Professionalität (teaching professionalism); Vorb_1 to Vorb_3: Vorbildfunktion (role modelling)

^{*} Factor 1: Individual instruction; factor 2: Evaluation of trainee's performance; factor 3: Teaching professionalism; factor 4: Entrustment decisions

^{**} Item not included in the final instrument (removed, due to double factor loading, low communality, and feedback from the "response process" [think alouds])

Internal consistency

Internal consistency was calculated on the basis of the remaining 33 items (after factor analysis). Cronbach's alpha for the total scale was 0.964 (95% confidence interval [CI] 0.956–0.971), and omega total was 0.981 (95% CI 0.977–0.985) while the greater lower bound was 0.983 (no 95% CI). Subscales ranged from 0.718 to 0.974 for Cronbach's alpha and 0.718 to 0.982 for omega total. Further details are summarised in table 3 for both runs.

Inter-rater reliability

To analyse inter-rater reliability, a total of 72 ratings entered the analysis for the 16 supervisors who had received three or more ratings. Trainee ratings (t) were nested within supervisors (s) and crossed with the 33 items remaining after factor analysis (i). The G study revealed an inter-rater reliability of 0.746 with a mean of 3.93 ratings per supervisor. In the D study that followed, the inter-rater reliability coefficients were estimated for the number of ratings per clinical teacher. Table 4 shows the results of the G study and the D study. The D study revealed that three ratings were enough to reach a generalizability coefficient of roughly 0.7 and a minimum of five to six individual ratings was necessary to reliably assess one clinical teacher.

Discussion

In this paper, we present the new SwissSETQ instrument for providing bottom-up feedback to clinical teachers. The instrument integrates recent developments in graduate medical eduction into a well-established existing tool, and also strengthens the formative purpose of the tool. We found very good to excellent properties for all three sources of validity (according to Cook and Beckman) [28]: internal structure (including factorial composition, internal consistency, and inter-rater reliability), content validity, and response process.

Factorial analysis

KMO test and Bartlett's test revealed that exploratory factor analysis was well justified with a sample size of n = 185. The factorial analysis identified four factors that explained more than 70% of the total variance (Individual instruction; Evaluation of trainees; Teaching professionalism; Entrustment decisions). This stands in contrast to the six factors of SETQsmart. Our factor analysis showed that the newly introduced themes clearly changed the initial structure of SETQsmart, underlining the importance of statistically validating the new instrument. The difference in factors may be explained by the overlap of factor loading between the domains; in particular, factor 1 (Individual instruction) is related to the sections Supporting learning, Positive attitude towards the learner, and Supervision tailored to trainee's needs, as well as to Role modelling. Although factorial analysis identified these four factors,

Table 3:Measurements of internal consistency for total and factor scores.

				95% confidence int	erval
	No. Items	Statistic	Value	Lower bound	Upper bound
Total	33	Cronbach's alpha	0.964	0.956	0.971
		Omega total	0.981	0.977	0.985
		GLB *	0.983	-	-
Factor 1 **	16	Cronbach's alpha	0.974	0.968	0.979
		Omega total	0.982	0.978	0.986
		GLB *	0.977	-	-
Factor 2 **	10	Cronbach's alpha	0.938	0.924	0.951
		Omega total	0.957	0.947	0.966
		GLB *	0.963	-	-
Factor 3 **	5	Cronbach's alpha	0.883	0.854	0.908
		Omega total	0.908	0.885	0.927
		GLB *	0.911	-	-
Factor 4 **	2	Cronbach's alpha	0.718	0.623	0.789

GLB: greater lower bound

Table 4:G study and D study on inter-rater reliability.

	n	Inter-supervisor vari- ance	Rater variance within supervisor	G coefficient	SEM
G study	3.93	0.402	0.137	0.746	0.482
D study	2	0.402	0.269	0.600	0.605
	3		0.179	0.692	0.531
	4		0.134	0.750	0.478
	5		0.107	0.789	0.439
	6		0.090	0.818	0.408
	7		0.077	0.840	0.383

SEM: standard error of the measurement

^{*} The 95% confidence interval can only be displayed for Cronbach's Alpha and Omega Total.

^{**} Factor 1: Individual instruction; factor 2: Evaluation of trainee's performance; factor 3: Teaching professionalism; factor 4: Entrustment decisions

we kept the seven thematic sections of the original Swiss-SETQsmart instrument to give the questionnaire a more organised structure.

Based on double factor loading and low communalities, we re-worded one item and removed another one. However, we accepted double factor loading for seven items because we found them important in providing formative feedback, and thereby in shaping teaching behaviour. In keeping these items, we prioritised the formative developmental aspect of the instrument even though some aspects of teaching quality may thus be statistically overrepresented

One remarkable finding of the factor analysis was that we could not find significant differences in the total scores of the instrument between the four institutions. Given the rather low sample sizes from the individual institutions, this consistency further reflects the excellent statistical properties of the instrument.

Internal consistency

All analyses of internal consistency showed excellent results. As expected, omega total and the greatest lower bound, both revealed higher values than Cronbach's alpha [30]. Internal consistency was further demonstrated by the fact that each subscale of the four factors from factorial analysis showed the same effect. The only low value we found was for the subscale, Entrustment decisions. This factor, however, was composed of only two items and is therefore unlikely to show high values.

Inter-rater reliability (generalisability analysis)

For inter-rater reliability, G study and D study analysis of 72 ratings for 16 supervisors revealed acceptable interrater reliability coefficients with a minimum of five to six individual ratings to reliably assess one supervisor. This favourable interrater reliability is not too surprising given that the underlying factors are well measured. This finding is also in line with results for similar instruments measuring teaching quality [8, 15]. In the eyes of clinical teachers, this will enhance the credibility of SwissSETQ as a reliable feedback tool. Because users seek credibility, we find it important to prove such sound statistical properties for instruments such as the SwissSETQ, even if it has been pointed out that such instruments should not be mistaken for summative assessments of teaching quality [40].

Content validity

The high content validity is underpinned by the foundation of the SwissSETQ in a well-validated preceding instrument [8] and by the widely applied and easily understood frameworks, CanMEDS 2015 [25, 39] and EPAs [26]. The two latter frameworks closely link the instrument to clinical practice and to the developmental goals of residents. Introduction of fundamental clinical concepts such as patient safety or interprofessionalism provides supervisors with explicit strategies to align their teaching with the needs of future health care. This connection is paramount for making the instrument useful to both the residents applying it and the supervisors receiving the feedback [22].

Response process

Think-alouds with residents supported the high quality of the tool, even before items were reworded. Still, more than 10% of the items were further improved by this process, thus underlining the value of refining such questionnaires through the input of future users. A further indication of a consistent response process was the very low portion of incomplete ratings in the pilot study, suggesting the questionnaires may have been completed with high engagement. However, to explore this question in depth a qualitative approach would be necessary.

Limitations

As the first and major limitation, the validation measures are explanatory only and do not compare the properties of the instrument with an established standard. A claim for advancement of this feedback instrument can only be based on the underpinning constructs of CanMEDS 2015 and EPAs.

Second, only one medical specialty was involved in this pilot. Application to other medical specialties remains to be established. Studies in this respect appear feasible since all items of SwissSETQ were formulated so as to be applicable in all clinical specialties. A third limitation may be seen in respect to the levels of teaching expertise of supervisors, which we were unable to assess. Values of the scales might be skewed according to variations in expertise. However, with 101 out of 120 supervisors we reached a high inclusion rate and therefore the distribution of expertise levels might not be too far away from real life. Similar to the supervisors, we have no data on the trainees' years of training (it has been shown that views on educational progress may vary by years of training [42]). However, the perhaps more prominent confounder is a selection bias due to voluntary participation. The current scale might be shifted towards more positive values, as trainees who participated may have chosen to rate higher-valued or favourite supervisors. Confirming the instrument in a setting without a self-selection bias is crucial.

Implications for practice

With the SwissSETQ instrument we provide a reliable bottom-up feedback instrument with sufficient credibility to supervisors. A reasonably low number of five to six ratings is sufficient for reliable ratings. Integrating recent concepts of graduate medical education (patient safety, patient centredness, interprofessionalism and entrustment decisions) aligns the instrument with the desired standards of health care in Switzerland. Finally, we strengthened the formative feedback component of that tool by explicitly describing concrete teaching content (such as "speak-up" techniques, or "dealing with errors"). Our study provides the necessary foundation to support application of this tool on a larger scale. The effects on teaching quality remain to be investigated.

Data sharing

The original, anonymised data can be provided upon reasonable request at the authors.

Financial disclosure

None (academic study)

Potential competing interests

All authors have completed and submitted the International Committee of Medical Journal Editors form for disclosure of potential conflicts of interest. No potential conflict of interest was disclosed.

Acknowledgements

We want to thank Prof. Elisabeth van Gessel (EvG), University Hospital Geneva, for her support when revising the SwisSETQ pilot version prior for content and wording as well as for providing a first translation of the SETOsmart instrument.

Individual contributions: JBr, APM, MPZ and SH were involved in the study design; DS was responsible for the statistical analysis in dialogue with JBr; APM, MPZ, RS, RT, JBr, SH, and RG contributed to the consensus finding process for the content of the instrument; APM, JBr, NS, RT, and JBe conducted the Think-Aloud sessions with trainees; JBr and APM prepared the first draft of the manuscript; all authors revised the manuscript and approved its final version.

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Appendix 1

Suppl. Table 1: Comparison of the original ETQ*smart* [Lombarts 2016] to the final SwissSETQ (pilot version); comments: 'no change '': except from changing to first person.

	SETQ smart			SwissSETQ (pilot version)
	Item	comments		Item
Learning climate			Su	pporting learning
1	Encourages residents to participate actively in discussions	no change ¹	1	encourages me to actively participate in discussions
2	Stimulates residents to bring up problems	a more neutral expression	2	encourages me to bring up unclear points / problems
3	Motivates residents to study further	'study' appeared limited totheory / non-workplace	3	motivates me for further learning
4	Stimulates residents to keep up with the literature		4	motivates me, to keep up with the <u>current</u> literature
5	Prepares well for teaching presentations and talks	no change ¹	5	prepares well for teaching presentations and talks
6	Teaches residents the full spectrum of perioperative care	limited to operative settting; included in new items 18-20,25- 31		
Pro	ofessional attitude towards residents		Po	sitive attitude towards residents
7	Listens actively to residents	no change ¹	6	actively listens to me
8	Is respectful towards residents	no change ¹	7	behaves respectful towards me
9	Is easily approachable during on-calls	Included in items 11-13		
10	Is easily approachable for discussion during routine daytime work			
		regarding residency training asa two-way process	8	demands for my personal initiative (to a realistic extent)
Lea	Learner centeredness		Su	pervision tailored to trainee's needs
11	Clarifies learning goals for the learning session	,learning session' did not appear to capture all clinical situations	9	makes the learning goals for my learning activities clear to me
12	Matches residents' and supervisor's learning expectations	Resident might not know the supervisor's expectatoins	10	<u>adjusts</u> the learning goals <u>to my (learning)</u> <u>needs</u>
13	Provides residents with responsibility based on their abilities	To give feedback on the direction (too much/too little)	11	gives too much responsibility to me (in relation to my abilities)
		as above	12	gives too little responsibility to me (in relation to my abilities)
		SETQ <i>smart</i> items 9,10	13	takes care for a sufficient supervision of me
14	Teaches residents how to deal with competing personal/professional demands	make the item more concrete	14	teaches an appropriate balance between self-care and the needsof patient care (e.g. adequate working breaks, or to provide emergency care just before knocking-off time)

Eva skil	aluation of residents' knowledge and			Iluation of trainees' knowledge and skills		
15	Evaluates residents' specialty knowledge regularly	slightly modified	15	regularly evaluates my <u>content</u> knowledge		
16	Evaluates residents' analytical abilities regularly	slightly modified	16	regularly evaluates my analytical competencies		
17	Evaluates residents' application in daily practice regularly	Included in new items 18-20				
18	Evaluates residents' procedural skills regularly	to be understood better	17	regularly evaluates my <u>practical</u> skills		
		CanMEDS: 'communicator'	18	regularly evaluates my communication skills with patients/familymembers		
		CanMEDS 2015: ,interprofessionalis m'	19	regularly evaluates my communication skills within the team (interprofessional/interdisciplinary)		
		To stimulate formal assess-ments, avoiding leniency	20	regularly performs <u>high quality</u> <u>workplace based assessments</u> withme (e.g., Mini-CEX, DOPS, etc.)		
Fee	edback to residents		Fee	dback for trainees		
19	Gives positive feedback to residents		21	regularly provides me with feedback		
20	Gives corrective feedback to residents	slightly modified	22	provides me with <u>constructive</u> feedback		
21	Explains why residents are (in)correct	slightly modified	23	explains <u>and substantiates</u> his/her feedback for me		
22	Offers suggestions for improvement	complete feedback process	24	determines the next steps for learning together with me		
Pro	fessional practice management		Pro	Professional practice management		
23	Teaches residents how to deal with colleagues (attendingsand residents) with questionable or inappropriate practice	CanMEDS 2015: patient safe-ty; CanMEDS ,professional	25	teaches me, how to <u>deal with self-committed mistakes</u>		
		explicitly quotes 'Speak-Up'	26	teaches me, <u>how to improve the culture of</u> <u>dealing with errors (e.g.,</u> <u>«Speak-Up»-techniques)</u>		
		CanMEDS ,collaborator' / 2015: ,inter- professionalism'	27	teaches the principles of interprofessional / interdisciplinary collaboration to me		
		CanMEDS 'professional'	28	directs my awareness <u>towards ethical</u> <u>aspects of patient care</u>		
24	Teaches organizational aspects of perioperative practice	CanMEDS 'leader'; includesmore than operative care	29	teaches me organizational aspects of patient care		
25	Creates awareness of economic aspects of medical care	make the item more concrete	30	raises my awareness for economic aspects of <u>patient care (e.g.,</u> <u>«choosing wisely»)</u>		
		CanMEDS 'leader', 'professional'	31	raises my awareness towards future challenges of the health caresystem		
Rol	le modelling	•	Rol	e modelling		
26	Is a role model to me as a teacher/supervisor	no change	32	is a role model for me as a supervisor / teacher		
27	Is a role model to me as a physician	no change	33	Is a role model to me as a physician		
28	Is a role model to me as a person	no change	34	Is a role model to me as a person		
	erall teaching performance					
29 30 31	Overall, I rate this attending's/supervisor's overall teaching performance as // plus 2 open questions on strengths & suggestions for improvement	Not included				

Appendix 2

SwissSETQ, deutsch-sprachig (Pilot-Version)

Meine Supervisorin, mein Supervisor (Bewertung auf Likert-Skala von 1-7)

Unterstützung

- ... ermöglicht es mir, mich aktiv in Diskussionen einzubringen
- ... ermöglicht es mir, Unklarheiten anzusprechen
- ... motiviert mich zu weiterführendem Lernen
- ... motiviert mich, mich über den aktuellen Stand der Literatur auf dem Laufenden zu halten
- ... bereitet sich selbst gut auf ihre/seine eigenen Präsentationen und Vorträge vorZusätzliche Kommentare

Haltung gegenüber AAs

- ... hört mir aufmerksam zu
- ... verhält sich mir gegenüber respektvoll
- ... fordert (in realistischem Umfang) meine Eigeninitiative einZusätzliche Kommentare

Anpassung der Supervision an die Bedürfnisse des/des AA

- ... gibt Lernziele für meine Ausbildungsaktivitäten deutlich vor
- ... passt die Lernziele an meine Bedürfnisse an
- ... überträgt mir zu viel Verantwortung in Relation zu meinen Fähigkeiten
- ... überträgt mir zu wenig Verantwortung in Relation zu meinen Fähigkeiten
- ... sorgt bei mir für eine ausreichende Supervision
- ... vermittelt eine gute Balance zwischen Selbstfürsorge und Patientenbedürfnissen (z.B. angemess-sene Arbeitspausen, oder Übernahme einer Notfallbehandlung kurz vor Arbeitsende)
 Zusätzliche Kommentare

Evaluation der Arbeitsleistung

- ... evaluiert regelmässig mein Fachwissen
- ... evaluiert regelmässig meine analytischen Fähigkeiten
- ... evaluiert regelmässig meine praktischen Fähigkeiten
- ... evaluiert regelmässig meine Kommunikation mit PatientInnen/Angehörigen
- ... evaluiert regelmässig meine Kommunikation innerhalb des Teams (interprofessionell/-disziplinär)
- ... führt regelmässig qualitativ hochwertige «arbeitsplatzbasierte Assessments» durch (z.B. Mini-CEX,DOCE, etc.)

Zusätzliche Kommentare

Feedback an AA

- ... gibt mir regelmässiges Feedback
- ... gibt mir konstruktives Feedback
- ... begründet ihr/sein Feedback
- ... legt mit mir zusammen die nächsten Schritte/Lernziele festZusätzliche Kommentare

Professioneller Umgang mit der Praxis

- ... lehrt mich, wie man mit selbstverursachten Fehlern umgeht
- ... lehrt mich, wie die Fehlerkultur verbessert werden kann (z.B. «Speak-Up»-Techniken)
- ... lehrt mich die Prinzipien guter interprofessioneller/interdisziplinärer Zusammenarbeit
- ... schärft in mir das Bewusstsein für ethische Aspekte in der Patienten-Versorgung
- ... lehrt mich organisatorische Aspekte bei der Patienten-Versorgung
- ... schärft in mir das Bewusstsein für wirtschaftliche Aspekte in der Patienten-Versorgung (z.B. «choosing wisely»)
- ... schärft in mir das Bewusstsein für zukünftige Herausforderungen im GesundheitswesenZusätzliche Kommentare

Rollenmodell / Vorbild

- ... ist für mich ein Vorbild als Ausbildnerin/Ausbildner bzw. Supervisor/in
- ... ist für mich ein Vorbild als Ärztin/Arzt
- ... ist für mich ein Vorbild als PersonZusätzliche Kommentare