

Risk of COVID-19 Transmission Aboard Aircraft: An Epidemiological Analysis Based on the National Health Information Platform

Qiangqiang Guo , Jianjian Wang , Janne Estill , Hui Lan , Juanjuan Zhang , Shouyuan Wu , Jingwen Yao , Xuanchen Yan , Yaolong Chen

PII: \$1201-9712(22)00163-1

DOI: https://doi.org/10.1016/j.ijid.2022.03.024

Reference: IJID 6072

To appear in: International Journal of Infectious Diseases

Received date: 1 October 2021 Revised date: 23 February 2022 Accepted date: 15 March 2022

Please cite this article as: Qiangqiang Guo, Jianjian Wang, Janne Estill, Hui Lan, Juanjuan Zhang, Shouyuan Wu, Jingwen Yao, Xuanchen Yan, Yaolong Chen, Risk of COVID-19 Transmission Aboard Aircraft: An Epidemiological Analysis Based on the National Health Information Platform, *International Journal of Infectious Diseases* (2022), doi: https://doi.org/10.1016/j.ijid.2022.03.024

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2022 Published by Elsevier Ltd on behalf of International Society for Infectious Diseases. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/)

### Highlights

- COVID-19 may possibly be transmitted during a passenger flight.
- The majority of confirmed cases were seated in the middle rows of economy classes.
- The prevalence of COVID-19 did not differ among passengers in different seats.
- Passengers seated in the two rows ahead a confirmed case were at a higher risk.

**Title Page** 

Manuscript title: Risk of COVID-19 Transmission Aboard Aircraft: An

Epidemiological Analysis Based on the National Health Information Platform

**Authors:** Qiangqiang Guo a,b,c,d#, Jianjian Wang a,b,c,d#, Janne Estill e,f, Hui Lan a,b,c,d,

Juanjuan Zhang a,b,c,d, Shouyuan Wu a,b,c,d, Jingwen Yao g, Xuanchen Yan g\*, Yaolong

Chen a,b,c,d\*

# Qiangqiang Guo and Jianjian Wang contributed equally to this work.

\*Correspondence to: Yaolong Chen and Xuanchen Yan.

**Affiliations of all authors:** 

a. School of Public Health, Lanzhou University, Lanzhou, China

b. Lanzhou University Institute of Health Data Science, Lanzhou, China

c. Lanzhou University, an Affiliate of the Cochrane China Network, Lanzhou, China

d. WHO Collaborating Centre for Guideline Implementation and Knowledge

Translation, Lanzhou, China

e. Institute of Global Health, University of Geneva, Geneva, Switzerland

f. Institute of Mathematical Statistics and Actuarial Science, University of Bern, Bern,

Switzerland

g. Health Statistics Information Center of Health Commission of Gansu Province,

Lanzhou, China

Name and complete contact information for corresponding author:

Correspondence to: Yaolong Chen

Email: chevidence@lzu.edu.cn

Tel: +86 (0931)8912639

Address: No. 199, Donggang West Road, Chengguan District, Lanzhou, China

Correspondence to: Xuanchen Yan

2

Email: 170734394@qq.com

Tel: +86-(0931)4818220

Address: No. 220, Baiyin Road, Chengguan District, Lanzhou, China



Risk of COVID-19 Transmission Aboard Aircraft: An Epidemiological Analysis

**Based on the National Health Information Platform** 

**Abstract** 

**Objectives:** This study aims to investigate the risk of COVID-19 transmission on

aircraft.

**Methods:** We obtained data on all international flights to Lanzhou, China, from June 1

to August 1, 2020, through the Gansu Province National Health Information Platform

and the official website of the Gansu Provincial Center for Disease Control and

Prevention. Statistical analysis was then performed.

**Results:** Three international flights arrived in Lanzhou. The flights had a total of 700

passengers, of whom 405 (57.9%) were male and 80 (11.4%) were children below age

fourteen. Twenty-seven (3.9%) passengers were confirmed to have COVID-19.

Confirmed patients were primarily male (17, 65.4%) with a median age of 27.0 years.

The majority of confirmed cases were seated in the middle rows of the economy class,

or near public facility areas such as restrooms and galleys. The prevalence of COVID-

19 did not differ between passengers sitting on window, aisle or middle seats. Compared

with passengers sitting on the same row up to two rows behind a confirmed case,

passengers seated in the two rows ahead a confirmed case were at a slightly higher risk

of being infected.

**Conclusions:** COVID-19 may be transmitted during a passenger flight, although there

is still no direct evidence.

Key Words: Coronavirus Disease 2019 (COVID-19); Aircraft; Transmission; National

**Health Information Platform** 

4

## **Funding**

This study was supported by the 2021 Gansu Provincial Health Industry Research Project, called "Research on the Application of Evidence-based Health Decision-making Based on Big Data - the Practice of Precise Control of Inbound Persons under the Background of Epidemic Normalization" (grant number GSWSKY2021-046 to the Health Commission of Gansu Province). The aim of the project was to develop the scientific, efficient and rapid mechanism for the centralized isolation and screening of inbound personnel and related systems and processes based on data from multiple departments such as health, customs and airlines and guided by the principles and methods of evidence-based decision-making. But it didn't affect design, data collection, analysis, interpretation and writing of this study.

### **Ethical consideration**

Our data were obtained with a consent of the Health Commission of Gansu Province.

To protect privacy, no personal information of the passengers involved were disclosed to the researchers.

## **Conflict of Interest Disclosures**

There are no conflicts of interest to declare.

## **Manuscript Text**

### Introduction

The routes of transmission of the Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), the pathogen of the Coronavirus Disease 2019 (COVID-19), remains still partly unclear (*Yang and Duan G, 2020*). According to the present knowledge (*Chinese Thoracic Society and Chinese Association of Chest Physicians, 2021*), the main routes of transmission of COVID-19 are respiratory droplets from close contacts and aerosols in confined spaces (*Rabaan et al., 2021; Schijven et al., 2021*). There is no evidence to exclude the possibility of other routes of transmission, such as gastrointestinal tract transmission (*Jiao et al., 2021*). In addition, COVID-19 is an emerging infectious disease, and despite the recent vaccination efforts the majority of the world's population is still susceptible to infection. As of 25 July 2021, World Health Organization (WHO) reports over 194 million cases of COVID-19 causing 4.0 million deaths, which demonstrates the magnitude of the burden of this pandemic (*World Health Organization, 2021a*)

The global volume of passenger air traffic has been rapidly increasing over the past years. According to the Statistical Bulletin of Civil Aviation Industry Development, almost 5.0 million passenger flights took off in 2019 in China, an increase of 5.8% compared with 2018 (*Civil Aviation Administration of China, 2021a*). Although the aviation industry was deeply affected by the COVID-19 in 2020, 4.5 million passenger flights were still carried in 2020 (*Civil Aviation Administration of China, 2021b*). There have been many outbreaks of airborne diseases, such as tuberculosis, Severe Acute Respiratory Syndrome (SARS), Middle East Respiratory Syndrome (MERS) and Influenza A (H1N1), during flights (*Mangili et al., 2016*). Pang et al. (*Pang et al., 2021*)

found that the risk of COVID-19 transmission on an aircraft was low, even with infectious persons onboard. Khatib et al. (Khatib et al., 2020) considered that in-flight transmission of SARS-CoV-2 was a real risk. COVID-19 transmission within the aircraft depended on durations of the flights, contact points, and proximity and movement of passengers. Rosca et al. divided the duration of the flight into short, medium and long flights, with a low or a high number of secondary cases. That is, the risk of transmission did not necessarily increase with the duration of the flight (Rosca et al., 2021). Hu et al. found that travel time among domestic airplane passengers departing from Wuhan, China ranged from 1.1 to 4.3 hours. On average, the upper bound of attack rates (AR) increased from 0.7% to 1.2% when the co-travel time increased from 2.0 hours to 3.3 hours, while the lower bound estimates of AR increased from a relatively low risk (0.0%) within 1.5 hours to 0.4% for a 3.3 hours co-travel duration. But there was no significant difference between the estimated upper and lower bounds of ARs (*Hu et al.*, 2021). The assumption that the risk of transmission increases with the length of flight due to higher exposure needs further investigation. Therefore, we intend to explore the risk of transmission of COVID-19 on aircraft, especially relationship between the risk of transmission and the length of flight, based on the Gansu Province National Health Information Platform.

### **Methods**

### Research subjects

We obtained the passenger information data for all international flights to Lanzhou, China from June 1 to August 1, 2020, from the Gansu Province National Health Information Platform (*Yan et al.*, 2021). The following variables were extracted for all passengers: age, nationality, gender, native place, flight number, seat number, origin,

destination, source city, the duration of the flight, temperature, place of transshipment, nucleic acid test results, the date of entry, the date of diagnosis, the date to release the management of confirmed cases. All passengers were tested for nucleic acid after disembarkation and transferred directly to the hospital if a positive result was found, or to the quarantine site in Lanzhou New Area if the result was negative. In most cases, each person was accommodated in a separate quarantine room, in cases of passengers who were incapacitated. After arrival, passengers were tested for nucleic acid (once a day) for the first three days, and on the 12th day and the 13th day. Additional tests were taken if required by the person's condition. After completing 14 days of quarantine in Lanzhou New Area, the passengers could return to their place of residence for a further 14 days of home quarantine. Nucleic acid testing would be carried out twice during the home quarantine by the local Centers of Disease Control and Prevention (CDC) staff. During the initial 14-day quarantine period, if symptoms or a positive nucleic acid test result occurred, the person was transferred to a designated hospital (Gansu Provincial Centre for Disease Control and Prevention, 2021). The flow chart of the entry process by air is shown in Supplementary Figure 1.

We extracted data on the confirmed COVID-19 cases from the official website of the Gansu Provincial Center for Disease Control and Prevention, including the following variables: age, nationality, gender, clinical classification, symptom. Case definition of COVID-19 followed the diagnostic criteria in the "Diagnosis and treatment plan for COVID-19 (Version 7)" issued by the National Health Commission of the People's Republic of China (National Health Commission of the People's Republic of China, 2021). A case was confirmed as COVID-19 if it met at least one of the following conditions: (1) positivity for SARS-CoV-2 nucleic acid by real-time fluorescence Reverse Transcription-Polymerase Chain Reaction (RT-PCR); (2) viral gene

sequencing results that are highly homologous to the known sequences of SARS-CoV-2; or (3) positivity for serum SARS-CoV-2-specific IgM and IgG antibodies, or 4-fold or more elevation of serum novel coronavirus-specific IgG antibodies from negative to positive or in the recovery phase compared with the acute phase. We excluded cases that did not meet the above criteria. Clinical classification was also based on the "Diagnosis and treatment plan for COVID-19 (Version 7)" (National Health Commission of the People's Republic of China, 2021), with the following four stages: (1) Light: The clinical symptoms were mild, and there was no sign of pneumonia on imaging; (2) Ordinary: The patient had fever, respiratory tract and other symptoms, and imaging signs of pneumonia; (3) Severe: The patient met at least one of the following conditions: (1) Shortness of breath with respiratory rate greater than or equal to 30 breaths/min; (2) At rest, means oxygen saturation ≤93%; (3) Partial arterial oxygen concentration/inspired oxygen faction (PaO2/FiO2) ≤300mmHg (1mmHg=0.133kPa); and (4) Critical: The patient met at least one of the following conditions: (1) Respiratory failure, mechanical ventilation was required; (2) Shock; (3) Other organ failure needing intensive care unit monitoring.

## Statistical analysis

We used SPSS 26.0 to conduct statistical analysis. Variables expected to be normally distributed were expressed as means ± standard deviations, and other variables as medians with interquartile ranges (IQR). We used Student's t test for normally distributed variables, and Mann-Whitney U test for other variables. Categories variables (e.g., SARS-CoV-2 prevalence, gender, adults/children) were expressed by rate or composition ratio, and differences between rate or composition ratio were analyzed using Chi-square test or, when not applicable, Fisher's exact probability

method. We were unable to distinguish between index cases and secondary cases because the chain of transmission of cases could not be traced. Therefore, the primary outcome indicator was the period prevalence, i.e., the number of confirmed cases on a particular flight during the observation period of 14 days divided by the number of all passengers of the same flight.

The WHO declared that passengers seated in the same row or a maximum of two rows ahead or behind and in the same block (i.e. no aisle in between) of each confirmed patient were considered close contacts (World Health Organization, 2009b). Therefore, in order to explore the possible transmission risk on the aircraft, we performed an analysis where we divided the seats near each confirmed case into three groups: the two rows ahead, the same row, and the two rows behind the case. We considered only seats within the same block i.e. seats not separated by an aisle with the index patient, and calculated the prevalence at each three row groups (excluding the index patient). We performed this analysis for each confirmed case as an index patient separately, and then calculated the overall prevalence of the three row groups for each flight (Supplementary Figure 2). In order to explore the period prevalence of passengers in different seating positions, we divided the seats on the aircraft into three categories, namely window seats, middle seats and aisle seats, and calculated the prevalence for each of these categories. In all prevalence calculations, empty seats were excluded from the denominator.

## Results

Three international flights arrived in Lanzhou City, Gansu Province, China from June to August 2020: flight MU7792 from Riyadh, flight MU7790 from Jeddah, and flight CA608 from Moscow, including a total 700 passengers, of whom 27 were confirmed

to have COVID-19. We were able to extract the details of all except one confirmed case. After treatment, all 27 confirmed cases with the information available were eventually cured and discharged without a single death. Details are shown in *Table 1*.

## Flight 1

On June 15, 2020, 253 Chinese passengers entered from Riyadh, Saudi Arabia to Lanzhou, China. The duration of the flight was nine hours. Of the passengers, 152 (60.1%) were male; 41 (16.2%) were children below the age 14; the median age was 31 years old (IQR, 24.0-37.0). A total of 20 passengers on the flight were intermittently diagnosed to be infected with SARS-CoV-2, but for one of the cases, detailed information could not be obtained. The seating positions of all passengers are shown in Figure 1. There were three family clusters of infection, meaning confirmed cases from the same household who were seated close together. Besides, most of the confirmed patients were located in the middle: there were three confirmed cases in rows 35 and 36, and four cases in rows 61 and 62. The remaining confirmed patients were scattered in rows 26, 27, 32, 33, 34, 38, 39, 64, and 70. The prevalence rates were 4.8% [95% CI (0.7%, 10.3%)] among passengers seated on the window seats (seats with suffixes A and L), 15.5% [95% CI (5.9%, 25.1%)] on the middle seats (seats with suffixes F and G), and 5.6% [95% CI (1.5%, 9.8%)] on the aisle seats (seats with suffixes C, D, H and J) (P=0.054). The prevalence rates were 16.7% [95% CI (9.5%, 27.2%)] in the two rows ahead each confirmed case; 14.0% [95% CI (5.8%, 28.6%)] in the same row with a confirmed case; and 10.7% [95% CI (6.0%, 17.9%)] in the two rows behind each confirmed case (P=0.465).

### Flight 2

On June 23, 2020, a plane with 254 passengers flew from Jeddah, Saudi Arabia, to

Lanzhou China. The duration of the flight was nine hours. The median age of passengers was 28 years old (IQR, 22.0-37.0), the majority (n=169, 66.5%) were male, and 37 (14.6%) passengers were children below age 14. There were four confirmed cases, all from different households. The prevalence on the window seats (seats with suffixes A and L) was 0, on the middle seats (seats with suffixes F and G) 3.4% [95%CI (1.4%, 8.1%)], and the on the aisle seats (seats with suffixes C, D, H and J) 1.6% [95%CI (0.6%, 3.8%)] (*P*=0.268). In the two rows ahead each confirmed case, the prevalence was 4.5% [95%CI (0.2%, 24.9%)], in the same row 0, and in the two rows behind 4.2% [95%CI (0.2%, 23.1%)] (*P*=1.000).

## Flight 3

On July 4, 2020, 193 passengers arrived on the flight from Moscow, Russia, to Lanzhou, China. The duration of the flight was seven hours. Eighty-four (43.5%) passengers were male, and the median age was 23 years old (IQR, 21.0-25.0). Two (1.0%) were children below age 14. There were three confirmed cases, all from separate households. The prevalence rates were 2.3% [95% CI (2.3%, 6.9%)] in the window seats (seats with suffixes A and L), 1.6% [95% CI (1.6%, 4.8%)] in the middle group (seats with suffixes B, E and K) and 1.1% [95% CI (1.1%, 3.4%)] in the aisle group (seats with suffixes C, D, H and J) (*P*=1.000). There were no other confirmed cases around the seats of the three confirmed patients.

### **Characteristics of confirmed cases**

The 26 confirmed cases with full data available had a median age of 27.0 years, and most (n=17, 65.4%) were male (*Table 2*). The symptoms of patients were mild, and the main symptoms were throat discomfort (n=12, 46.2%), followed by fatigue (n=6, 23.1%), low fever (n=5, 19.2%) and cough (n=5, 19.2%). Some uncommon symptoms,

such as high fever, nasal congestion, runny nose and headache, were also observed. Twelve patients (46.2%) had no fever, and five patients (19.2%) were asymptomatic. Fourteen cases were classified as light and 12 cases as ordinary.

Most of the confirmed cases were seated in the middle of the economy class, and they were in the wing position (rows 32-35). Enclosed public facilities areas were the focus areas of infection, such as rows 61 and 62 of Flight 1 (which were close to the bathroom). Furthermore, there were three family clusters. The median interval time between the date of arrival in Lanzhou, China and the date of diagnosis was 1.5 days (IQR, 1.0-7.0). The median interval between the beginning date of physical release management (ending hospital isolation management) and the date of diagnosis was 12.5 days (IQR, 6.5-15.0), and the median interval time from the formal deisolation management (ending home isolation management) to the date of diagnosis was 26.5 days (IQR, 20.5-29.0). The distribution of the time to release the management of confirmed cases is shown in *Figure 2*. The information about the management of six patients was missing.

#### **Discussion**

As far as we know, this is the first comprehensive analysis of aircraft seats during the outbreak of COVID-19. We found that most of the confirmed cases were in the middle of the economy class, around the wing position of the aircraft. Infections were clustered also around bathrooms, galleys and other public facilities, such as 61 and 62 rows of Flight 1. The prevalence did not seem to differ between window, middle and aisle seats. The prevalence was higher for passengers seated in the two rows ahead the confirmed case compared to those seated in the same row or two rows behind.

#### Aircraft and transmission

Most of the studies so far have shown that the main routes of transmission of COVID-19 are respiratory droplets, aerosols ere and close contact transmission (*Expert Group on Prevention and Control of Coronavirus Disease 2019 Chinese Preventive Medicine Association, 2020; Pombal et al., 2020; Sommerstein et al., 2020)*. The risk of respiratory transmission is heightened when people move around each other, especially in cases when they are in frequent contact with other people, such as in public transport. However, some studies have claimed that on aero planes the air quality of the cabin is good (*Walkinshaw et al., 2020*), and the risk of transmitting respiratory virus on the aircraft is extremely low (*Pombal et al., 2020; Mangili and Gendreau, 2005*). Studies show that SARS (*Olsen et al., 2003*), H1N1 (*Kim et al., 2010; Baker et al., 2010*), MERS (*Regan et al., 2016; Gardner et al., 2016*) and other respiratory viruses have been spread during flights. The basic reproduction number (R<sub>0</sub>) of SARS-CoV-2 has been estimated to be between 1.8 and 3.6, which is higher than that of SARS, MERS and influenza viruses. This indicates that COVID-19 seemed to be more likely to cause transmission (*Petersen et al., 2020*).

In the three flights of our study, 7.5%, 1.6%, and 1.5% of the patients were found to be infected, respectively. In other studies, Chen et al. (*Chen et al.*, 2020a) found the prevalence of 4.8% among passengers of a flight from Singapore to Hangzhou, China, and Fan et al. (*Fan et al.*, 2020) found a prevalence of 11.9% among 311 overseas Chinese evacuated from Iran to Gansu Province, China. Khanh et al. (*Khanh et al.*, 2020) found a 7.4% prevalence of COVID-19 on a direct flight from London, the United Kingdom to Hanoi, Vietnam. In our study, the prevalence of COVID-19 was lower. There may be several reasons: (1) The incidence of COVID-19 in the origin location (Saudi Arabia, Russia) at the time of the flight was not high. In Saudi Arabia, the number of new confirmed cases was 121.5 per 100,000 over 14 days on 15 June

and 160.1 per 100,000 over 14 days on 23 June. In the Russian Federation, the number of new confirmed cases was 66.7 per 100,000 over 14 days on 4 July; (2) In the flights included in our study, passengers were required to wear masks during the flight (Chu et al., 2020); (3) The destination of the flight was Lanzhou, China. Since 18 February, 2020, there have been no new confirmed cases of indigenous COVID-19 in Gansu Province, which is located in the Northwest China and has positively managed to control the epidemic; (4) The strictness of the quarantine could have an impact on the prevention and control of diseases. The passengers of all three flights were Chinese nationals who were repatriated by flights chartered by the Chinese government and were not screened for symptoms or nucleic acid prior to boarding. However, the higher prevalence in Flight 1 may be the high number of family clusters in Flight 1. Regarding the duration of the flight, Flight 1 and Flight 2 were both nine hours. Their departed country was the Saudi Arabia. Their total passengers were almost the same (253 vs. 254). The epidemic situation of the Flight 2 was even more critical than the epidemic situation of the Flight 1. However, the Flight 1 had a higher prevalence rate than the Flight 2 (7.5% vs. 1.6%). Therefore, we presume that there was (were) the index case(s) on Flight 1, which caused the spread of the disease. The high number of family clusters have exacerbated the rapid spread of the disease. This could provide the indirect evidence that the transmission of the COVID-19 occurred during the Flight 1.

### **Seating and transmission**

The present study found no evidence for an association between the prevalence of infection and being seated on window, aisle or middle seats. This is in line with the results of Foxwell et al (*Foxwell et al., 2011*). However, both Flight 1 and Flight 2 showed that the period prevalence rate of passengers in the middle was relatively high, while Foxwell et al. found that the risk of infection in the aisle location was higher

through the investigation of the spread of H1N1 on international flights in May 2009 (*Foxwell et al.*, 2011). There are several possible reasons for the different results. In the flights of our study, most of the family clusters appear in the middle position (such as family B and family C in Flight 1); the middle seats are densely distributed, which may increase the risk of infection (four seats side-by-side in the middle seats of Flights 1 and 2); and the location close to the aisle has more chances of contact with passengers, so the risk of infection may be higher. In addition, it may also be related to the infectivity of different viruses, aircraft types, and protective measures for passengers.

We also found that public facility areas such as restrooms and galleys were focal areas of infection. For example, rows 61 and 62 near restrooms in Flight 1 showed a relatively high number of cases, which was consistent with the findings of Chen et al. (Chen et al., 2020a). In addition, infected individuals were also common in the seats around the position of the wings, such as rows 32 to 35 in Flight 1, which may be related to the operation of the aircraft engine, air filtration system or conditioning system, but there is no evidence for this so far. We further explored the differences prevalence of infection of sitting in the two rows ahead, the same row, and the two rows behind of a confirmed case. The results showed that the prevalence of infection was higher for passengers seated ahead two-rows. This can be probably explained that cabin air enters from the top and exits the cabin down near the floor. And air enters and leaves the cabin mainly in or near the same-row of seats, with a low probability of flow in the front and rear rows (Pombal et al., 2020). However, when people move around in the cabin, the airflow changes accordingly. The air flow in the cabin can also have different effects on the navigation route of the aircraft, especially in non-smooth conditions such as takeoff, landing, and turbulence. Therefore, we suggest recording the flight process in detail in order to provide a basis for research on transmission dynamics.

## **Symptoms**

Most studies showed that fever, cough, and fatigue were the main symptoms of COVID-19 (*Zhang et al.*, 2020; *Chen et al.*, 2020b). The main symptom of SARS were fever, cough, and shortness of breath in flight (*Vogt et al.*, 2006), while for H1N1 they were cough, fever, headache, runny nose, and diarrhea (*Foxwell et al.*, 2011; *Baker et al.*, 2010). It was known that asymptomatic SARS-CoV-2 infected individuals could transmit the virus, and nucleic acid detection of patients is essential. Our study showed the symptoms were mild, with only 19.2% of COVID-19 patients presenting a low fever. However, 46.2% patients had a body temperature below 37.7° C, and a few patients had no symptoms despite having positive nucleic acid test results. For COVID-19 transmission in asymptomatic infected individuals in flight, Bae et al. (*Bae et al.*, 2020) suggested that temperature testing and symptom screening alone before air travel will not completely block the possibility of coronavirus transmission. We recommended that passengers should be tested for nucleic acid before flight. Testing could be offered before take-off to reduce the likelihood of cross infection by passengers.

## **Limitations and Strengths**

Our study has several advantages. First, the data was obtained from the National Health Information Platform of Gansu Province, and we conducted a comprehensive analysis of the seating arrangements during the flight, which is the first study for this purpose. Second, we analyzed some potential factors related transmission risk and conditions of COVID-19 in flight, to provide a scientific basis for mitigating the risk of infectious disease transmission during transportation.

Our study also has some limitations. First, we were unable to obtain information on passengers' activities before and during the flight, including whether they had contact

with suspected or confirmed patients, whether they drank, ate or talked (Wang et al., 2022) during the flight, whether they used the restroom, or whether they wore masks all times during the flight. Second, we conducted the relevant analysis through retrospective data collection, so no surface swab sampling, molecular tests or air sampling were performed in the aircraft cabins or passenger seats, there is no direct evidence that transmission occurred during the flight. Third, the data on flights was so small that it was impossible to analyze the relationship between the risk of transmission and the length of flight. Our next step will be to collect more data to carry out the relevant analysis. Finally, it was not possible to interview the passengers concerned to determine whether they were index cases, so we were unable to distinguish between index cases and secondary cases because the chain of transmission of cases could not be traced. The passengers who became positive for COVID-19 during the quarantine period could be in the incubation period and got the infection from their departed countries or maybe infected from the positive cases in the aircraft, which was also the problem with previous studies (Yang et al., 2020; Chen et al., 2020; Khanh et al., 2020). So the main indicator we used, the period prevalence, can only give insights about the risk of transmission, but not confirm whether onward transmission actually happened during the flight.

### **Suggestions**

According to the results, we make the following suggestions for flights during a pandemic: (1) Before taking flight, passengers must provide a certificate of negative nucleic acid test result or a vaccination or a recovery certificate and do not have symptoms, to further avoid the risk of the spread of infectious diseases on the plane; (2) Airlines should try not to arrange seats near public facilities, and at least one empty seat should be left between groups if possible; (3) Passengers should minimize their

activities before and during the flight, and always take protective measures such as wearing masks (especially those near to public facilities or in the middle positions). In addition, it is recommended to adopt this scheme for epidemic prevention and control in other confined spaces such as movie theaters, restaurants and other public vehicles such as buses, trains and metros.

### **Conclusion**

In conclusion, this study can provide the indirect evidence of SARS-CoV-2 transmission occurring during a flight, explore the relationship between passengers' seats and prevalence in detail. There are on few evidence-based guidelines for COVID-19 air travel, so it is recommended to carry out more relevant studies (especially performing the molecular tests or genomic sequencing for environmental samples of airplanes or passenger seats) and develop reasonable and feasible health policies or guidelines to reduce the risk of the spread of COVID-19 and other airborne infectious diseases on airplanes in the pandemic to respond to the future ones.

# **Table Captions**

Table 1: Summary of basic characteristics of three flights

**Table 2**: Summary of the details of individual cases in a flight-associated outbreak of COVID-19

## **Figure Legends**

Figure 1: Passenger seating diagram on Flight 1

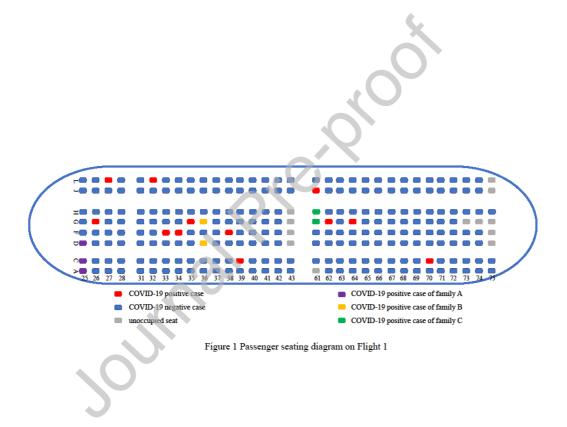


Figure 2: Numbers of COVID-19 cases over time

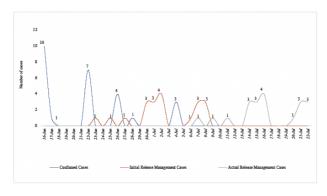


Figure 2 Numbers of COVID-19 cases over time

## **Supplementary Materials**

**Supplementary Figure 1**: Epidemic prevention management process for inbound personnel

Supplementary Figure 2: Passenger seating diagram on flight

### **Funding**

This study was supported by the 2021 Gansu Provincial Health Industry Research Project, called "Research on the Application of Evidence-based Health Decision-making Based on Big Data - the Practice of Precise Control of Inbound Persons under the Background of Epidemic Normalization" (grant number GSWSKY2021-046 to the Health Commission of Gansu Province). The aim of the project was to develop the scientific, efficient and rapid mechanism for the centralized isolation and screening of inbound personnel and related systems and processes based on data from multiple departments such as health, customs and airlines and guided by the principles and methods of evidence-based decision-making. But it didn't affect design, data collection, analysis, interpretation and writing of this study.

### **Conflict of Interest Disclosures**

There are no conflicts of interest to declare.

### **Authors' contributions**

QG and JW contributed equally to this work. QG, JW, XY, YC designed the study. XY and JY collected the data. QG, HL, JZ and SW analyzed the data. QG and JW contributed to writing and editing. QG, JW, JE and YC contributed to modifying and reviewing. All authors have read and approved the manuscript.

## Acknowledgements

Not applicable.

### **Declaration of interests**

☑ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☐ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

### References

Bae S H, Shin H, Koo H Y, Lee SW, Yang JM, Yon DK. Asymptomatic transmission of SARS-CoV-2 on evacuation flight. Emerging infectious diseases, 2020, 26(11): 2705-2708. https://doi.org/10.3201/eid2611.203353

Baker M G, Thornley C N, Mills C, Roberts S, Perera S, Peters J, et al. Transmission of pandemic A/H1N1 2009 influenza on passenger aircraft: retrospective cohort study. BMJ, 2010, 340. https://doi.org/10.1136/bmj.c2424

Chen J, He H, Cheng W, Liu Y, Sun Z, Chai C, et al. Potential transmission of SARS-CoV-2 on a flight from Singapore to Hangzhou, China: an epidemiological investigation. Travel medicine and infectious disease, 2020a, 36: 101816. https://doi.org/10.1016/j.tmaid.2020.101816

Chen N, Zhou M, Dong X, Qu J, Gong F, Han Y, et al. Epidemiological and clinical characteristics of 99 cases of 2019 novel coronavirus pneumonia in Wuhan, China: a descriptive study. The Lancet, 2020b, 395(10223): 507-513. <a href="https://doi.org/10.1016/S0140-6736(20)30211-7">https://doi.org/10.1016/S0140-6736(20)30211-7</a>

Chinese Thoracic Society, Chinese Association of Chest Physicians. Guidelines for the diagnosis, treatment and prevention and control of Coronavirus Disease 2019 in Chinese adults. National Medical Journal of China. 2021,101(18):1293-1356. DOI: 10.3760/cma.j.cn112137-20210112-00090.

Chu D K, Akl E A, Duda S, Solo K, Yaacoub S, Schünemann HJ, et al. Physical distancing, face masks, and eye protection to prevent person-to-person transmission of SARS-CoV-2 and COVID-19: a systematic review and meta-analysis. The Lancet, 2020, 395(10242): 1973-1987. <a href="https://doi.org/10.1016/S0140-6736(20)31142-9">https://doi.org/10.1016/S0140-6736(20)31142-9</a>

Civil Aviation Administration of China. Statistical bulletin on the development of the

civil aviation industry in 2019. [cited 2021 Jul 291. http://www.caac.gov.cn/XXGK/XXGK/TJSJ/202006/P020200605630677965649.pdf Civil Aviation Administration of China. China Civil Aviation December 2020 Key Production **Indicators** Statistics. [cited 2021 Jul 29]. http://www.caac.gov.cn/XXGK/XXGK/TJSJ/202102/P020210220503535940242.pdf Expert Group on Prevention and Control of Coronavirus Disease 2019 Chinese Preventive Medicine Association. An update on the epidemiological characteristics of novel coronavirus pneumonia (COVID-19). Chinese Journal of Epidemiology. 2020,41(2):139-144. DOI: 10.3760/cma.j.issn.0254-6450.2020.02.002.

Fan J, Liu X, Shao G, Qi J, Li Y, Pan W, et al. The epidemiology of reverse transmission of COVID-19 in Gansu Province, China. Travel medicine and infectious disease, 2020, 37: 101741. <a href="https://doi.org/10.1016/j.tmaid.2020.101741">https://doi.org/10.1016/j.tmaid.2020.101741</a>

Foxwell A R, Roberts L, Lokuge K, Kelly P M. Transmission of influenza on international flights, May 2009. Emerging infectious diseases, 2011, 17(7): 1188-94. http://dx.doi.org/10.3201/eid1707.101135

Gansu Provincial Centre for Disease Control and Prevention. Closed-loop management of epidemic prevention for people entering Gansu from abroad "a clear picture". [cited 2021 Jul 29]. http://www.gscdc.net/index.php?s=news&c=show&id=1775

Gardner L M, Chughtai A A, MacIntyre C R. Risk of global spread of Middle East respiratory syndrome coronavirus (MERS-CoV) via the air transport network. Journal of travel medicine, 2016, 23(6): taw063. https://doi.org/10.1093/jtm/taw063

Hu M, Wang J, Lin H, Ruktanonchai CW, Xu C, Meng B, et al. Risk of SARS-CoV-2 Transmission among Air Passengers in China. Clinical infectious diseases, 2021, ciab836. DOI: 10.1093/cid/ciab836.

Jiao L, Li H, Xu J, M Yang, C Ma, J Li, et al. The gastrointestinal tract is an alternative

route for SARS-CoV-2 infection in a nonhuman primate model. Gastroenterology, 2021, 160(5): 1647-1661. https://doi.org/10.1053/j.gastro.2020.12.001

Khanh N C, Thai P Q, Quach H L, Thi N A H, Dinh P C, Duong T N, et al. Transmission of SARS-CoV 2 during long-haul flight. Emerging infectious diseases, 2020, 26(11): 2617-2624. <a href="https://doi.org/10.3201/eid2611.203299">https://doi.org/10.3201/eid2611.203299</a>

Khatib AN, Carvalho AM, Primavesi R, To K, Poirier V. Navigating the risks of flying during COVID-19: a review for safe air travel. Journal of travel medicine, 2020, 27(8): taaa212. DOI: 10.1093/jtm/taaa212.

Kim J H, Lee D H, Shin S S, Kang C, Kim J S, Jun B Y, et al. In-flight transmission of novel influenza A (H1N1). Epidemiology and health, 2010, 32. DOI: 10.4178/epih/e2010006.

Mangili A, Gendreau M A. Transmission of infectious diseases during commercial air travel. The Lancet, 2005, 365(9463): 989-996. <a href="https://doi.org/10.1016/S0140-6736(05)71089-8">https://doi.org/10.1016/S0140-6736(05)71089-8</a>

Mangili A, Vindenes T, Gendreau M. Infectious risks of air travel. Infections of Leisure, 2016: 333-344. https://doi.org/10.1128/9781555819231.ch17

National Health Commission of the People's Republic of China. Diagnosis and treatment plan for COVID-19 (Version 7). [cited 2021 Jul 29]. <a href="http://www.nhc.gov.cn/yzygj/s7653p/202003/46c9294a7dfe4cef80dc7f5912eb1989/fil">http://www.nhc.gov.cn/yzygj/s7653p/202003/46c9294a7dfe4cef80dc7f5912eb1989/fil</a> <a href="http://www.nhc.gov.cn/yzygj/s7653p/202003/46c9294a7dfe4cef80dc7f5912eb1989/fil">http://www.nhc.gov.cn/yzygj/s7653p/202003/46c9294a7dfe4cef80dc7f5912eb1989/fil</a> <a href="https://www.nhc.gov.cn/yzygj/s7653p/202003/46c9294a7dfe4cef80dc7f5912eb1989/fil">http://www.nhc.gov.cn/yzygj/s7653p/202003/46c9294a7dfe4cef80dc7f5912eb1989/fil</a>

Olsen S J, Chang H L, Cheung T Y Y, Tang A F Y, Fisk T L, Ooi S P L, et al. Transmission of the severe acute respiratory syndrome on aircraft. New England Journal of Medicine, 2003, 349(25): 2416-2422. DOI: 10.1056/NEJMoa031349.

Pang JK, Jones SP, Waite LL, Olson NA, Armstrong JW, Atmur RJ, et al. Probability and estimated risk of SARS-CoV-2 transmission in the air travel system. Travel

medicine and infectious disease, 2021, 43: 102133. DOI: 10.1016/j.tmaid.2021.102133.

Petersen E, Koopmans M, Go U, Hamer DH, Petrosillo N, Castelli F, et al. Comparing SARS-CoV-2 with SARS-CoV and influenza pandemics. The Lancet infectious diseases, 2020. https://doi.org/10.1016/S1473-3099(20)30484-9

Pombal R, Hosegood I, Powell D. Risk of COVID-19 during air travel. JAMA, 2020, 324(17): 1798-1798. DOI:10.1001/jama.2020.19108.

Rabaan A A, Al-Ahmed S H, Al-Malkey M K, Alsubki R, Ezzikouri S, Al-Hababi FH, et al. Airborne transmission of SARS-CoV-2 is the dominant route of transmission: droplets and aerosols. Infez Med, 2021, 29: 10-19. <a href="https://infezmed.it/media/journal/Vol\_29\_1\_2021\_2.pdf">https://infezmed.it/media/journal/Vol\_29\_1\_2021\_2.pdf</a>

Regan J J, Jungerman M R, Lippold S A, Washburn F, Roland E, Objio T, et al. Tracing airline travelers for a public health investigation: Middle East respiratory syndrome coronavirus (MERS-CoV) infection in the United States, 2014. Public Health Reports, 2016, 131(4): 552-559. https://doi.org/10.1177/0033354916662213

Rosca EC, Heneghan C, Spencer EA, Brassey J, Plüddemann A, Onakpoya IJ, et al. Transmission of SARS-CoV-2 associated with aircraft travel: a systematic review. Journal of travel medicine, 2021, 28(7): taab133. DOI: 10.1093/jtm/taab133.

Schijven J, Vermeulen L C, Swart A, Meijer A, Duizer E, de Roda Husman AM. Quantitative microbial risk assessment for airborne transmission of SARS-CoV-2 via breathing, speaking, singing, coughing, and sneezing. Environmental health perspectives, 2021, 129(4): 047002. https://doi.org/10.1289/EHP7886

Sommerstein R, Fux C A, Vuichard-Gysin D, Abbas M, Marschall J, Balmelli C, et al. Risk of SARS-CoV-2 transmission by aerosols, the rational use of masks, and protection of healthcare workers from COVID-19. Antimicrobial Resistance & Infection Control, 2020, 9(1): 1-8. https://doi.org/10.1186/s13756-020-00763-0

Vogt T M, Guerra M A, Flagg E W, Ksiazek TG, Lowther SA, Arguin PM. Risk of severe acute respiratory syndrome–associated coronavirus transmission aboard commercial aircraft. Journal of travel medicine, 2006, 13(5): 268-272. <a href="https://doi.org/10.1111/j.1708-8305.2006.00048.x">https://doi.org/10.1111/j.1708-8305.2006.00048.x</a>

Walkinshaw D S, ENG P. A Brief Introduction To Passenger Aircraft Cabin Air Quality.

Ashrae Journal, 2020: 12-16.

https://indoorair.ca/echo/pdf/Brief\_Intro\_To\_Passenger\_Aircraft\_Cabin\_Air\_Quality-

Walkinshaw-web.pdf

Wang W, Wang F, Lai D, Chen Q. Evaluation of SARS-COV-2 transmission and infection in airliner cabins. Indoor Air. 2022, 32(1): e12979. DOI: 10.1111/ina.12979. World Health Organization. Weekly epidemiological update on COVID-19 - 27 July 2021a. [cited 2021 Jul 29]. <a href="https://www.who.int/publications/m/item/weekly-epidemiological-update-on-covid-19---27-july-2021">https://www.who.int/publications/m/item/weekly-epidemiological-update-on-covid-19---27-july-2021</a>

World Health Organization. World Health Organization WHO technical advice for case management of influenza A (H1N1) in air transport. Geneva: The Organization; 2009b. Yan X, Wang J, Yao J, Estill J, Wu S, Lu J, et al. A cross-sectional study of the epidemic situation on COVID-19 in Gansu Province, China - a big data analysis of the national health information platform. BMC Infectious diseases, 2021, 21(1):1-7. DOI: 10.1186/s12879-020-05743-8.

Yang H, Duan G. Analysis on the epidemic factors for COVID-19. Chinese Journal of Preventive Medicine. 2020,54(6):608-613. DOI: 10.3760/cma.j.cn112150-20200227-00196.

Yang N, Shen Y, Shi C, Ma AHY, Zhang X, Jian X, et al. In-flight transmission cluster of COVID-19: a retrospective case series. Infectious diseases. 2020, 52(12):891-901. DOI: 10.1080/23744235.2020.1800814.

Zhang J, Dong X, Cao Y, Yuan Y, Yang Y, Yan Y, et al. Clinical characteristics of 140 patients infected with SARS-CoV-2 in Wuhan, China. Allergy, 2020, 75(7): 1730-1741. <a href="https://doi.org/10.1111/all.14238">https://doi.org/10.1111/all.14238</a>.

Table 1 Summary of basic characteristics of three flights

Flig	Date of	Origi	Destinat	Durati	Total		Male	Confir	Children*	
ht	Flight	n	ion	on of	passenger		[N (%)]	med		
No.				the	s			cases		
				flight	N	M(IQ		[N (%)]	N (%)	M(IQ
				(hour)		R)				R)
1	Jun.15,2	Riyad	Lanzhou	9	25	31(24.	152(60.	20(7.9%)	41(16.2	4(2.0-
	020	h			3	0-	1%)		%)	6.0)
						37.0)				
2	Jun.23,2	Jedda	Lanzhou	9	25	28(22.	169(66.	4(1.6%)	37(14.6	4(3.0-
	020	h			4	0-	5%)		%)	7.0)
						37.0)				
3	Jul.4,202	Mosc	Lanzhou	7	19	23(21.	84(43.5	3(1.6%)	2(1.0%	6(5.5-
	0	ow			3	0-	%)		)	6.5)
						25.0)				

<sup>\*</sup>The age of a child is defined as being under 14 years of age.

29

Table 2 Summary of the details of individual cases in a flight-associated outbreak of COVID-19

Number	Flight Gender	Age (year)	Clinical Classification	Symptom		Seat Number	Source City	Time from the Date of Entry to the Date of Diagnosis (day)
1	Male	4	Light	a	A	25C	Riyadh, Saudi Arabia	1
2	Female	5	Light	b	С	61G	Riyadh, Saudi Arabia	7
3	Female	8	Light	d	В	36G	Riyadh, Saudi Arabia	2
4	Female	9	Light	a	A	25D	Riyadh, Saudi Arabia	1
5	Male	24	Ordinary	aef	/	64G	Riyadh, Saudi Arabia	7
6	1 Male	24	Ordinary	b f	/	70C	Riyadh, Saudi Arabia	1
7	Male	25	Ordinary	a g h	/	34F	Riyadh, Saudi Arabia	1
8	Male	27	Light	b e	/	27L	Riyadh, Saudi Arabia	1
9	Male	27	Ordinary	b f	/	35G	Riyadh, Saudi Arabia	7
10	Male	28	Ordinary	i	/	62G	Riyadh, Saudi Arabia	1
11	Male	29	Light	b	/	33F	Riyadh, Saudi	7

							Arabia	
12	Male	29	Ordinary	a	/	38F	Riyadh, Saudi Arabia	7
13	Female	31	Light	d	С	61H	Riyadh, Saudi Arabia	7
14	Male	32	Ordinary	се	/	39C	Riyadh, Saudi Arabia	13
15	Female	36	Ordinary	a e	A	25A	Riyadh, Saudi Arabia	1
16	Female	37	Ordinary	a	В	36D	Riyadh, Saudi Arabia	1
17	Female	39	Ordinary	a	/	26G	Riyadh, Saudi Arabia	1
18	Male	51	Ordinary	a e	/	61J	Riyadh, Saudi Arabia	1
19	Female	52	Ordinary	c	/	32L	Riyadh, Saudi Arabia	7
20	Male	23	Light	d e	/	33G	Jeddah, Saudi Arabia	3
21	Male 2	26	Light	e	/	35F	Jeddah, Saudi Arabia	3
22	Male	34	Light	d e	/	32J	Jeddah, Saudi Arabia	3
23	Male	49	Light	d e	/	35C	Jeddah, Saudi Arabia	3

24		Male	18	Light	a e f	/	51E	Moscow, Russia	0
25	3	Female	19	Light	a d e	/	39Ј	Moscow, Russia	0
26		Male	22	Light	a e f	/	43L	Moscow, Russia	0

Notes: a. Body temperature is less than 37.3°C; b. Body temperature is between 37.3°C and 37.9°C; c. Body temperature is greater than or equal to 38°C; d. Fatigue; e. Throat discomfort; f. Cough; g.

Stuffed nose; h. Runny nose; i. Headache; /: No report.

#### **Graphical abstract**

#### Graphical Abstract

- COVID-19 may possibly be transmitted during a passenger flight.
- The majority of confirmed cases were seated in the middle rows of the economy class.
- The prevalence of COVID-19 did not differ between passengers sitting on window, aisle or middle seats.
- Compared with passengers sitting on the same row up to two rows behind a confirmed case, passengers seated in the two rows ahead a confirmed case were at a slightly higher risk of being infected.

