

# Psychometric properties of the Kessler psychological scales in a Swiss young-adult community sample indicate poor suitability for community screening for mental disorders

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## Abstract

**Aim:** The Kessler psychological distress scales (K10 and K6) are used as screening tools to assess psychological distress related to the likely presence of a mental disorder. Thus, we studied the psychometric properties of their German versions in a Swiss community sample to evaluate their potential usefulness to screen for mental disorders or relevant mental problems in the community and, relatedly, for low threshold transdiagnostic German-speaking services.

**Methods:** The sample consisted of 829 citizens of the Swiss canton Bern of age 19–43 years. K10/K6 were validated against mini-international neuropsychiatric interview (M.I.N.I.) diagnoses, questionnaires about health status and quality of life. Receiver operating characteristic (ROC) curve analyses were used to test for general discriminative ability and to select optimal cut-offs of the K10 and K6 for non-psychotic full-blown and subthreshold mental disorders.

**Results:** Cronbach's alphas were 0.81 (K10) and 0.70 (K6). ROC analyses indicated much lower optimal thresholds than earlier suggested; 10 for K10 and 6 for K6. At these thresholds, against M.I.N.I. diagnoses, Cohen's  $\kappa$  ( $\leq 0.173$ ) and correspondence rates ( $\leq 58.14\%$ ) were insufficient throughout. Values were higher at the earlier suggested threshold, yet, at the cost of sensitivity that was below 0.5 in all but three, and below 0.3 in all but six cases.

**Conclusions:** For the lack of sufficient validity and sensitivity, respectively, our findings suggest that both K10 and K6 would only be of limited use in a low-threshold transdiagnostic mental health service for young adults in Switzerland and likely other German-speaking countries.

## KEYWORDS

concurrent validity, general population, mental disorders, psychological distress, screening

Naweed Osman, Winnie S. Chow and Frauke Schultze-Lutter contributed equally to the work.

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## 1 | INTRODUCTION

Mental disorders are a main source of illness-related costs and burden, affecting 38% of the European population (Trautmann et al., 2016). Frequently, help for mental disorders is only sought when they have become severe and functionally disabling (Michel et al., 2018); this contributing to high rates of negative outcomes and high burden (Boerema et al., 2017; Trautmann et al., 2016). Consequently, from a clinical and public health perspective, an earlier detection and treatment of mental disorders is imperative (Trautmann et al., 2016). Therefore, valid, economical and easy-to-use screenings, which appropriately identify relevant mental problems/disorders in the community and primary health care, are of great public health importance (Michel, Schultze-Lutter, et al., 2014; Trautmann et al., 2016; Webb et al., 2016).

Today, the 10-item Kessler psychological distress scale (K10; Kessler et al., 2003) and its six-item short version (K6; Kessler et al., 2002) are frequently used for such a clinical screening as well as for monitoring of outcomes in primary mental health services (Cotton et al., 2021). In epidemiological research, K10/K6 are used to assess mental disorder caseness and non-specific psychological distress (Andrews & Slade, 2001; Cotton et al., 2021; Kessler et al., 2010). Since K10 was first developed with focus on disorders of the anxiety-depression spectrum, items mainly focus on signs of these (Kessler et al., 2003).

Good psychometric properties of K10/K6, including good validity for mental health problems/disorders, have been reported from several countries (Ferro, 2019; Batterham et al., 2016; Sampasa-Kanyinga et al., 2018; Stolk et al., 2014; Thelin et al., 2017). However, validity was mostly assessed against self-report questionnaires or fully-standardized lay-interviewer assessments and only rarely against clinical interviews conducted by mental health professionals (Furukawa et al., 2003; Sampasa-Kanyinga et al., 2018; Searle et al., 2017; Sunderland et al., 2011). Furthermore, despite their good research performance in epidemiological studies, inconsistent evidence for K6's/K10's cultural appropriateness in clinical settings, and a lack of clinical norms for different countries indicate the importance of further research into its use in clinical settings (Shon, 2020; Stolk et al., 2014). With regard to German-speaking countries, to the best of our knowledge, only one Austrian study has evaluated the psychometric properties of the German translation of K10 against the Brief Symptoms Inventory and State-Trait-Anxiety Inventory in a sample of psychotherapeutic outpatients and medical students (Giesinger et al., 2008). It found K10 to be a suitable measurement of unspecific psychological distress in clinical settings (Giesinger et al., 2008). A validation of the German K10/K6 against the gold-standard of clinician-assessed mental disorders in a less selected community sample, however, is still lacking.

Thus, we examined the psychometric properties of the German K10/K6 against clinician-assessed mental disorders in a young-adult community sample, thereby paying attention to the differential validity for different diagnostic categories. Given the scales' focus on depression and anxiety, we expected the best performance for depressive and anxiety disorders and problems.

## 2 | METHODS

### 2.1 | Study design and procedure

The sample consisted of 839 adults of age 19–45 years with main residency in the Swiss Canton Bern, who were assessed between 06/2015 and 03/2018 as part of the second wave (response rate: 66.4%) of the random-sampling Bern epidemiological at-risk (BEAR) community study and, at follow-up, oversampled for lifetime mental problems in terms of symptoms related to a clinical high risk of psychosis (Schultze-Lutter et al., 2018, 2021). Eligibility criteria included participation in the first wave (response rate: 63.4%) and agreement to be re-contacted given at baseline (provided by 97.9%) (Appendix S1). Five respondents had no data on K10/6 due to study-conform early termination of the interview because of development of a psychotic disorder (Schultze-Lutter et al., 2021), and another five broke off the assessment. Thus, complete data sets of 829 cases were analysed (Table 1).

All participants provided informed verbal consent on the phone. The Ethics Committee of the University of Bern had approved the study.

### 2.2 | Assessments

Items of K10/K6 are answered on a five-point Likert scale (Table S1), their total scores ranging from 10–50 and 6–30, resp. (Andrews & Slade, 2001; Kessler et al., 2002). The interpretation of total scores varies with the purpose of administration and the setting as well as between studies and cultures, with K10  $\geq$  20 frequently being used as a threshold for a likely mental disorder (Table S2). In an extended version of K10, K10+ (Australian Government Department of Health, 2018), four add-on questions assess functioning and related factors (Table S1). We used the validated German translation by Giesinger et al. (2008).

Mental disorders were assessed by the mini international neuropsychiatric interview (M.I.N.I.), a brief semi-structured interview to reliably and validly assess mental disorders according to DSM-IV and ICD-10 (Lecrubier et al., 1997; Sheehan et al., 1997, 1998). The M.I.N.I. uses a two-step procedure: (1) screening questions and (2) full interview of disorders with affirmed screening question. Presence of any subthreshold mental problem that signals a need of professional assessment and, consequently, help-seeking was assumed when a screening question was affirmed (Alexander et al., 2008). For the recently reported low clinical relevance of specific phobia in the community when not accompanied by another mental disorder (Sancassiani et al., 2019), specific phobia, which was the most frequent mental disorder in our sample (11.8%), was not considered in the analyses.

Psychosocial functioning was estimated using the social and occupational functioning assessment scale (SOFAS; American Psychiatric Association, 1994) that has good psychometric properties incl. Good interrater-reliability and construct validity (Hilsenroth et al., 2000; Rybarczyk, 2011). SOFAS scores range from 0 (poor) to

**TABLE 1** Sociodemographic and clinical characteristics of participants with and without a K10 score  $\geq 20$ 

	Total sample (N = 829)	K10 < 20 (n = 787)	K10 $\geq 20$ (n = 42)	Statistics ( $\chi^2$ and U)
Age in years: M $\pm$ SD, median (range)	33.25 $\pm$ 7.77, 35 (19–45)	33.32 $\pm$ 7.73, 35 (19–45)	31.90 $\pm$ 8.58, 31.5 (19–44)	U = 15 151.0, p = .362
Female sex: n (%)	441 (53.2%)	412 (52.4%)	29 (69.0%)	$\chi^2_{(1)} = 4.465$ , p = .035
At least upper secondary education: n (%)	770 (92.9%)	732 (93.0%)	38 (90.5%)	$\chi^2_{(1)} = 0.388$ , p = .534
Currently employed/in training in normal, non-sheltered setting: n (%)	797 (96.1%)	764 (97.1%)	33 (78.6%)	$\chi^2_{(1)} = 36.796$ , p < .001
Any lifetime help-seeking for mental problems: n (%)	274 (33.1%)	242 (30.7%)	32 (76.2%)	$\chi^2_{(1)} = 37.207$ , p < .001
Any non-psychotic M.I.N.I. mental disorder: n (%)	43 (5.2%)	24 (3.0%)	19 (45.2%)	$\chi^2_{(1)} = 144.303$ , p < .001
Any non-psychotic M.I.N.I. mental problem: n (%)	268 (32.3%)	238 (30.2%)	30 (71.4%)	$\chi^2_{(1)} = 30.917$ , p < .001
SOFAS score: mean $\pm$ SD, median (range)	84.43 $\pm$ 7.44, 88 (35–100)	85.19 $\pm$ 6.04, 88 (35–100)	70.29 $\pm$ 14.24, 71.5 (35–90)	U = 5529.5, p < .001
SOFAS < 71: n (%)	58 (7.0%)	37 (4.7%)	21 (50.0%)	$\chi^2_{(1)} = 125.738$ , p < .001
EQ-5D score: mean $\pm$ SD, median (range)	5.35 $\pm$ 0.82, 5 (5–13)	5.31 $\pm$ 0.75, 5 (5–13)	6.19 $\pm$ 1.44, 6 (5–11)	U = 23 112.5, p < .001
Subjective estimate of overall health: mean $\pm$ SD, median (range)	83.80 $\pm$ 12.88, 85 (10–100)	84.56 $\pm$ 11.82, 85 (20–100)	69.45 $\pm$ 21.23, 77.5 (10–95)	U = 8486.5, p < .001
K10 score: mean $\pm$ SD, median (range)	12.53 $\pm$ 3.73, 11 (10–43)	11.90 $\pm$ 2.37, 11 (10–19)	24.33 $\pm$ 4.71, 23.5 (20–43)	U = 33 054.0, p < .001
K6 score: mean $\pm$ SD, median (range)	7.58 $\pm$ 2.37, 7 (6–27)	7.20 $\pm$ 1.59, 6 (6–14)	14.69 $\pm$ 3.11, 14.5 (11–27)	U = 32 830.5, p < .001
K6 $\geq 19$ : n (%)	4 (0.5%)	0 (0.0%)	4 (9.5%)	$\chi^2_{(1)} = 75.316$ , p < .001

Abbreviations: M.I.N.I., mini-international neuropsychiatric interview; SOFAS, social and occupational functioning assessment scale.

100 (excellent). The EQ-5D-3L questionnaire subjectively measures general health status (EuroQol Group, 1990). It consists of a descriptive (Table S3) and an evaluation part on which respondents rate their current overall health status on a scale from 0 = 'worst possible health' to 100 = 'best possible health'. Studies of the validity and reliability of the EQ-5D-3L reported good psychometric properties (Peasgood et al., 2012; Rabin & de Charro, 2001; Szende et al., 2007).

The brief multidimensional life satisfaction scale (BMLSS; Büsing et al., 2009) is a 10-item self-rating instrument for subjective satisfaction in five main and 10 single domains of life: intrinsic (myself, overall life), social (family life, friendships), external (work, residence area), perspective (financial situation, future prospects), and health (health situation, own abilities to cope with daily life). Each item is rated on a seven-point Likert-scale from 0 = 'terrible' to 6 = 'delighted'.

The questions of K10+, EQ-5D-3L and BMLSS were read to the interviewees, and answers were scored without answering follow-up questions or offering explanations, in order to stay close to their original self-rating format. Trained clinical psychologists carried out all assessments (Schultze-Lutter et al., 2018). Weekly supervision of all clinical interviews (including M.I.N.I.) was provided by CM and FSL to ensure high data quality.

## 2.3 | Statistical analysis

Persons with and without a likely mental disorder according to K10 were compared using  $\chi^2$  tests for categorical and Mann-Whitney U test for rank data (K10/K6, SOFAS, EQ-5D-3L, BMLSS) and non-normally distributed ratio data (age).

Internal consistency was examined by Cronbach's alpha. Convergent validity was tested against M.I.N.I., and discriminant (or divergent) validity against BMLSS domains (except health domain) and sum score of the four somatic items of the EQ-5D-3L. Validity was assessed by Cohen's  $\kappa$  correlations ( $\kappa$ ) for dichotomized variables, and intra-class correlation coefficients (ICC) for continuous variables using the one-way random-effects model from single measurement (ICC1,1) (Koo & Li, 2016). Prevalence indices (PI) were also calculated as  $\kappa$  tends to be underestimated in case of low- or high-prevalence outcomes; in which case correspondence rates (CR) give a better estimation (Burn & Weir, 2011). The global diagnostic accuracy of K10/K6 was examined by receiver-operating characteristic (ROC) analyses, whose areas under the curve (AUCs) were used to select optimal cut-offs. Thereby, emphasis was put on high sensitivity ( $\geq 70\%$ ) as the most important diagnostic feature of a screener (Michel, Schultze-

Lutter, et al., 2014) while keeping specificity as high as possible. Additionally, positive and negative likelihood ratios (LR+, LR-) were calculated as conjoint estimations of sensitivity and specificity, i.e., of a test's ability to rule in or rule out a disorder (Jaeschke et al., 1994). Table S4 reports evaluation guidelines for AUC, ICC,  $\kappa$  and LR+ and LR-.

Throughout, we used SPSS version 22.0. The level of statistical significance was set at  $p < .05$ . Disorders with 0%-prevalence were excluded from the analyses.

### 3 | RESULTS

#### 3.1 | Descriptive statistics

Mean K10/K6 scores were generally low (Figure 1), with only  $n = 42$  (5.1%) of interviewees scoring  $\geq 20$  on K10 and only  $n = 4$  (0.5%) scoring  $\geq 19$  on K6, in doing so, indicating some mental problems according to available interpretation guidelines (Table S1). While the prevalence of K10  $\geq 20$  was roughly in line with the prevalence of functional impairment ( $n = 58$ ; 7.0%) and non-psychotic mental disorders ( $n = 43$ ; 5.2%); the prevalence of mental problems in terms of an affirmed M.I.N.I. screening question ( $n = 268$ ; 32.3%) was clearly higher (Table 1). Persons with/without K10  $\geq 20$  did not differ in age and educational level but in all other sociodemographic and clinical variables (Table 1).

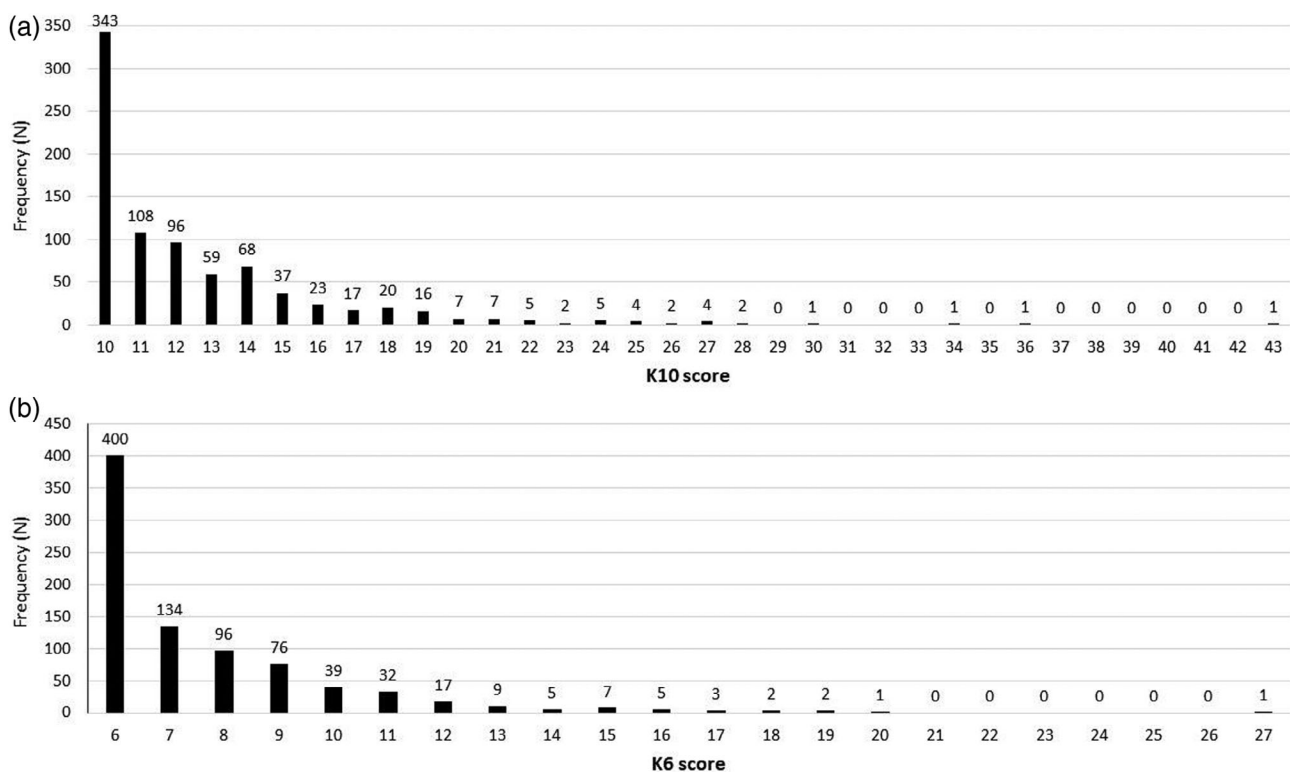
#### 3.2 | Internal consistency

Cronbach's alpha was 0.81 for K10 and 0.70 for K6, indicating good and satisfactory internal consistency, respectively (Bland & Altman, 1997).

#### 3.3 | General discriminative ability and optimal cut-offs

The general ability of K10/K6 to discriminate between individuals with and without mental problems was insufficient (AUC = 0.650) but excellent (AUC = 0.822) for mental disorders (Table 2). Mood disorders/problems exhibited acceptable AUCs (0.782; 0.774); anxiety disorders had an excellent AUC (0.869); while AUCs for anxiety problems were insufficient (Table 2). AUCs were highest for the infrequent somatoform (0.892) and eating disorders (0.991) (Table 2).

Mostly, the optimal cut-off points to rate mental disorders and problems were  $>10$  for K10 ( $n = 486$ , 58.6%) and  $>6$  for K6 ( $n = 429$ , 51.7%) (Table 2, Table S5). At these thresholds, most sensitivities were between 60% and 90% with specificities  $>40\%$  (Table 2, Table S5). Positive LR+ indicated at most 'small and rarely important increases in pre-test-probability' of mental disorder and problems ( $1.03 \leq LR+ \leq 1.71$ ) with some exceptions in rare disorders, while negative LR- generally performed better, sometimes even decreasing the pre-test probability to a large and often conclusive degree



**FIGURE 1** Distribution of (a) K10 scores and (b) K6 scores in the sample ( $N = 829$ ). High scores never reached in the samples are not given

(LR $-$  < 0.1) (Table 2). Overall, the K10 performed slightly better than the K6 (Table 2, Table S5).

### 3.4 | Convergent validity

Testing the accuracy of the K10 and K6 cut-offs suggested by Andrews and Slade (2001) and Kessler et al. (2003) (Table 3) against the M.I.N.I. results indicated poor convergent validity (Table 3) as most  $\kappa$  indicated no to minimal agreement (Table S4). This was against the background of unfavourable PIs. Thus, expectantly, ranging from 52.35% to 99.40%, CRs indicated better convergent validity. However, in particular for K6, sensitivities were small, rarely >40% (Table 3).

Using the lower cut-offs of the ROC analyses (Table 4), the convergent validity decreased, with values of CRs of 41.50–58.14%, and of  $\kappa$  of <0.001–0.177 (Table 4).

To assess the convergent validity of the K10+'s first additional question on the frequency of affirmed problems, responses were dichotomized: answers indicating lesser or similar presence of these feelings as well as absence of any such feelings were coded '0' ( $n = 660$ , 79.6%), and answers indicating an increase in these feelings were coded '1' ( $n = 169$ , 20.4%).  $\kappa$  and CR were 0.208 and 81.42% for any mental disorder, and 0.265 and 70.93% for any mental problem. Thus, the first additional question of the K10+ had a LR $+$  of 3.79 and a LR $-$  of 0.40 for non-psychotic mental disorders (sensitivity = 0.67; specificity = 0.82), and a LR $+$  of 2.88 and LR $-$  of 0.73 for non-psychotic mental problems (sensitivity = 0.37; specificity = 0.87).

### 3.5 | Discriminant validity

ICCs between the sum of the first four items of EQ-5D-3 L on somatic problems and K10/K6 sum scores were  $-0.678$  ( $p = 1.0$ ) and  $-0.407$  ( $p = 1.0$ ), respectively. ICCs between the sum scores of K10/K6, and the sum score of the four non-health-related BMLSS domains were  $-0.942$  ( $p = 1.0$ ) for K10 and  $-0.964$  ( $p = 1.0$ ) for K6. These results indicated poor discriminant validity of both Kessler scales.

## 4 | DISCUSSION

To enhance the early transdiagnostic detection of mental disorders at community and primary care level, easy-to-use screenings of good psychometric properties are required (Michel, Schultze-Lutter, et al., 2014; Trautmann et al., 2016; Webb et al., 2016). One suggested screener is the originally English K10 and its short-version, K6 (Cotton et al., 2021). Yet, validity of K10/K6 was reported to strongly depend on subtleties of wording and, thus, to vary between languages and countries (Stolk et al., 2014). Therefore, using clinician-assessment of the M.I.N.I. as a gold standard assessment for the first time in a German-speaking country, we examined the diagnostic validity of the German translation of K10/K6 for non-psychotic mental

disorders/problems. Overall, both scales showed poor discriminant validity, and failed to either sufficiently rule in or to sufficiently rule out mental disorders and relevant mental problems; this depending on the chosen threshold. Since their validity might be higher in clinical samples, i.e., among persons seeking help for mental problems, we reran the analyses separately for participants with and without lifetime help-seeking for mental disorders at points-of-contact other than family and friends (see Tables S6–S8). Although, agreement was slightly better in help-seekers, in which the K10 cut-off suggested by Andrews and Slade (2001) demonstrated weak agreement between the K10 at for mental disorders ( $\kappa = 0.483$ ), mood problems ( $\kappa = 0.465$ ) and anxiety disorders ( $\kappa = 0.456$ ), sensitivities for these were still poor ( $\leq 57\%$ ). Thus, our results discourage from using K10/K6 to screen transdiagnostically for mental disorders in the community or in community-based low-threshold adult services in German-speaking countries. On the whole, this was also true for disorders/problems related to depression and anxiety for whose assessment K10/K6 had been developed and for that good validity had been reported in earlier studies (Cairney et al., 2007; Ferro, 2019; Furukawa et al., 2003; Kessler et al., 2002, 2003; Sakurai et al., 2011; Sampasa-Kanyinga et al., 2018). Only the additional question of the K10+ on frequency was partly exhibiting acceptable diagnostic accuracy and validity in terms of CR.

Interestingly, our cut-offs for K10/K6 were much lower than the ones earlier generated for K10 by Andrews and Slade (2001), and for K6 by Kessler et al. (2003). This might be due to different rates of mental disorders. Our point-prevalence of 5.2% was lower than the 13.1% prevalence reported by Andrews and Slade (2001) and the 23.1% unweighted 12-months prevalence rate reported by Kessler et al. (2003). Yet, already at the cut-offs suggested by these earlier studies (Andrews & Slade, 2001; Kessler et al., 2003), sensitivities were lower than specificities (K10: 66% vs. 96%, and K6: 36% vs. 96%). These discrepancies between specificity and sensitivity were enlarged in our sample (K10: 40% vs. 98%, and K6: 5% vs. 100%), indicating a poor ability to identify true positive cases by these earlier suggested cut-offs in our sample. Additionally, differences in cut-offs likely resulted from the different emphasis put on sensitivity and specificity. While these were balanced by Andrews and Slade (2001), and Kessler et al. (2003), we had put emphasis on sensitivity, because a screener—generally a first step in diagnosis—should not miss positive cases (i.e., possess excellent sensitivity), while ruling out as many negative cases as possible (Michel, Schultze-Lutter, et al., 2014).

Cultural impacts that lower affirmation on the items of the Kessler scales (Stolk et al., 2014) might be another reason for their poorer performance in our study. Significant variations in the form and symptomatic expression of mood and anxiety disorders, including obsessive compulsive and posttraumatic stress disorders, and somatization disorders were described across cultures (Kirmayer & Ryder, 2016). Thus, the selection of items in US populations assessed in the 1990s (Kessler et al., 2002) and their verbatim translation into German (Giesinger et al., 2008) may have only insufficiently reflected the subjective experiences of distress by mental disorders in the Swiss interviewees 20 years later. In view of other cultural adaptations of

**TABLE 2** Diagnostic accuracy of the K10 and K6 at optimal cut-offs in identifying people of the community with any mental disorders, any disorders of a main diagnostic category or any mental problems related to a main diagnostic category ( $N = 829$ )

Presence of ...	$n$ (%) of any disorders/ any problem	Kessler psychological distress scale—K10				Kessler psychological distress scale—K6							
		Optimal cut-off	AUC <sup>a</sup>	Sensitivity	Specificity	LR <sup>+</sup> <sup>b</sup>	LR <sup>-</sup> <sup>b</sup>	Optimal cut-off	AUC <sup>a</sup>	Sensitivity	Specificity	LR <sup>+</sup> <sup>b</sup>	LR <sup>-</sup> <sup>b</sup>
Non-psychotic axis-I disorders	43 (5.2%)	10	0.822***	0.91	0.43	1.59	0.22	6	0.790***	0.84	0.50	1.67	0.33
Non-psychotic axis-I problems	268 (32.3%)	10	0.650***	0.73	0.48	1.41	0.56	6	0.635***	0.65	0.55	1.44	0.63
Mood disorders	20 (2.4%)	10	0.782***	0.85	0.42	1.47	0.36	6	0.734***	0.75	0.49	1.46	0.51
Mood problems	67 (8.1%)	10	0.774***	0.87	0.44	1.54	0.31	6	0.751***	0.81	0.51	1.64	0.38
Anxiety disorders	29 (3.5%)	10	0.869***	0.93	0.43	1.62	0.16	6	0.864***	0.93	0.50	1.85	0.14
Anxiety problems	160 (19.3%)	10	0.663***	0.76	0.45	1.38	0.54	6	0.646***	0.68	0.52	1.42	0.61
Obsessive-compulsive disorder	4 (0.5%)	10	0.709	0.75	0.42	1.28	0.60	6	0.605	0.50	0.48	0.97	1.04
Obsessive-compulsive problems	30 (3.6%)	12	0.709	0.75	0.66	2.22	0.38	11	0.605	0.50	0.94	8.20	0.53
Obsessive-compulsive problems	30 (3.6%)	10	0.705***	0.80	0.42	1.38	0.47	6	0.663**	0.70	0.49	1.37	0.61
Posttraumatic stress disorder	1 (0.1%)	10	0.479	1.00	0.41	1.71	0.00	6	0.563	1.00	0.48	1.93	0.00
Posttraumatic stress problems	25 (3.0%)	10	0.646*	0.80	0.42	1.38	0.48	6	0.602	0.64	0.49	1.25	0.74
Somatiform disorders	5 (0.6%)	10	0.892**	1.00	0.42	1.71	0.00	6	0.901**	1.00	0.49	1.94	0.00
Somatiform problems	78 (9.4%)	12	0.892**	1.00	0.66	2.98	0.00	7	0.901**	1.00	0.65	2.84	0.00
Eating disorders	1 (0.1%)	10	0.622***	0.77	0.43	1.36	0.53	6	0.628***	0.73	0.51	1.48	0.53
Eating problems	26 (3.1%)	10	0.991	1.00	0.41	1.71	0.00	6	0.992	1.00	0.48	1.93	0.00
Substance misuse/dependence	19 (2.3%)	26	0.991	1.00	0.99	90.91	0.00	16	0.992	1.00	0.99	100.00	0.00
Substance misuse/dependence	19 (2.3%)	10	0.674**	0.81	0.42	1.40	0.46	6	0.679**	0.77	0.49	1.51	0.47
Substance misuse/dependence	19 (2.3%)	10	0.679**	0.79	0.42	1.36	0.50	6	0.669*	0.74	0.49	1.44	0.54

Abbreviations: AUC, areas under the curve; LR, likelihood ratio; ROC, receiver operating characteristic.

\* $<.05$ .

\*\* $<.01$ .

\*\*\* $<.001$ .

<sup>a</sup>AUC, area under the ROC curve.

<sup>b</sup>LR, likelihood ratio; for interpretation of AUC and LRs see Table S4.

**TABLE 3** Agreement between K10/K6 scores dichotomized according to the cut-off scores suggested by Andrews and Slade (2001) for K10, and Kessler et al. (2003) for likely presence of a mental disorder of different M.I.N.I. diagnostic categories (N = 829)

	Correspondence rate (%)		Cohen's $\kappa$		Prevalence index (PI)		Sensitivity		Specificity		LR+		LR-	
	K10 $\geq$ 20	K6 $\geq$ 19	K10 $\geq$ 20	K6 $\geq$ 19	K10 $\geq$ 20	K6 $\geq$ 19	K10 $\geq$ 20	K6 $\geq$ 19	K10 $\geq$ 20	K6 $\geq$ 19	K10 $\geq$ 20	K6 $\geq$ 19	K10 $\geq$ 20	K6 $\geq$ 19
	94.33	95.30	0.417	0.163	0.897	0.943	0.40	0.05	0.98	1.00	17.17	0.00	0.62	0.95
Non-psychotic axis-I disorders														
Non-psychotic axis-I problems	69.84	68.15	0.116	0.020	0.626	0.672	0.10	0.01	0.98	1.00	6.06	0.00	0.92	0.99
Mood disorder	94.69	97.59	0.266	0.160	0.925	0.971	0.40	0.05	0.97	1.00	12.12	50.00	0.62	0.95
Mood problems	92.16	92.40	0.364	0.105	0.869	0.914	0.28	0.03	0.98	1.00	13.52	0.00	0.73	0.97
Anxiety disorders	95.05	96.98	0.398	0.236	0.914	0.960	0.48	0.07	0.97	1.00	18.58	0.00	0.53	0.93
Anxiety problems	81.91	81.18	0.193	0.040	0.756	0.802	0.14	0.01	0.98	1.00	8.00	0.00	0.87	0.99
Obsessive Compulsive disorder	94.93	99.03	0.079	-0.005	0.945	0.990	0.50	0.00	0.96	1.00	12.50	0.00	0.52	1.00
Obsessive Compulsive problems	93.00	96.38	0.159	-0.009	0.913	0.954	0.20	0.00	0.96	1.00	5.56	0.00	0.83	1.00
Posttraumatic stress disorder	94.81	99.40	-0.002	-0.002	0.948	0.994	0.00	0.00	0.96	1.00	0.00	0.00	1.04	1.00
Posttraumatic stress problems	92.40	96.50	0.023	-0.008	0.919	0.965	0.04	0.00	0.96	1.00	0.95	0.00	1.00	1.00
Somatiform disorder	95.05	99.40	0.118	0.441	0.943	0.989	0.60	0.40	0.96	1.00	15.38	0.00	0.42	0.60
Somatiform problems	86.97	90.59	0.037	0.400	0.855	0.901	0.05	0.03	0.96	1.00	1.24	0.00	0.99	0.97
Eating disorder	95.05	99.40	0.044	-0.002	0.948	0.994	1.00	0.00	0.96	1.00	24.39	0.00	0.00	1.00
Eating problems	93.00	96.86	0.113	0.126	0.918	0.964	0.19	0.08	0.96	1.00	5.19	0.00	0.84	0.92

Note: Evaluation guidelines for  $\kappa$  (see also Table S4): <0.20 = no; 0.21-0.39 = minimal; 0.40-0.59 = weak; and 0.60-0.79 = moderate agreement. Abbreviations: LR, likelihood ratio; M.I.N.I., mini-international neuropsychiatric interview.

**TABLE 4** Agreement between K10 and K6 (cut-off score of 10 and 6 based on ROC analyses) and M.I.N.I. ( $N = 829$ )

Presence of ...	Correspondence rate (%)		Cohen's $\kappa$ ( $k$ ) <sup>a</sup>		Prevalence index (PI) <sup>b</sup>	
	K10 $\geq$ 10	K6 $\geq$ 6	K10 $\geq$ 10	K6 $\geq$ 6	K10 $\geq$ 10	K6 $\geq$ 6
Non-psychotic axis-I disorders	45.60	51.75	0.058	0.064	0.362	0.431
Non-psychotic axis-I problems	56.33	58.14	0.177	0.173	0.090	0.159
Mood disorders	43.06	49.46	0.022	0.022	0.390	0.458
Mood problems	47.29	53.20	0.079	0.091	0.333	0.402
Anxiety disorders	44.39	51.27	0.042	0.056	0.379	0.448
Anxiety problems	51.27	55.25	0.119	0.124	0.221	0.290
Obsessive compulsive disorder	41.62	48.25	0.003	0.000	0.409	0.478
Obsessive compulsive problems	43.55	49.70	0.027	0.026	0.378	0.446
Posttraumatic stress disorder	41.50	48.37	0.002	0.002	0.413	0.481
Posttraumatic stress problems	43.18	49.10	0.022	0.014	0.384	0.452
Somatoform disorders	41.98	48.85	0.009	0.011	0.408	0.476
Somatoform problems	46.44	52.59	0.060	0.078	0.320	0.388
Eating disorder	41.50	48.37	0.002	0.002	0.413	0.481
Eating problems	43.31	49.94	0.024	0.031	0.382	0.451

Note: Somatization disorder and Anorexia Nervosa were not reported and thus not considered here.

Abbreviations: M.I.N.I., mini-international neuropsychiatric interview; ROC, receiver operating characteristic.

<sup>a</sup>K10 Evaluation guidelines for Cohen's  $\kappa$  ( $K$ ):  $K \geq 0.81$  = excellent agreement;  $0.61$ – $0.80$  = substantial;  $0.41$ – $0.60$  = moderate;  $0.21$ – $0.40$  = fair;  $0.10$ – $0.20$  = slight;  $K < 0.10$  = no agreement.

<sup>b</sup>Prevalence index values between  $-1$  and  $1$  and is  $0$  when both responses are equally probable, (i.e., their prevalence is  $50\%$ ). A high prevalence index = a low prevalence rate; a low prevalence index = a high prevalence rate.

the Kessler scales (e.g., Brinckley et al., 2021; Hajebi et al., 2018), future qualitative studies might increase the psychometric properties of the Kessler scales in the Swiss community by changes in wording and/or item selection.

#### 4.1 | Limitations and strengths

The study may have some potential limitations. First, the Swiss community sample may not necessarily represent all German-speaking communities. However, Switzerland has one of the third largest community of German speakers. Second, psychotic disorders ( $n = 5$ ) were not included. Third, the point-prevalence of mental disorders ( $5.2\%$ ) was rather low, with only low rates of some diagnoses (mania, GAD, dysthymia, anorexia and bulimia nervosa), which, however, were comparable to the rates of these disorders in the community (Ritchie & Roser, 2018). Fourth, before conducting the BEAR study, the validity of telephone assessments against face-to-face assessments had only been assured for clinical high-risk symptoms/criteria (Michel, Schimmelmann, et al., 2014) but not examined for M.I.N.I. diagnoses. However, assessing mental disorders/symptoms over the phone has been considered sufficiently comparable to face-to-face interviews with added positive effect on the disclosure of personal/intimate data (Azad et al., 2021; Muskens et al., 2014; Smith et al., 2009; Zhang et al., 2017). Thus, the low prevalence rate of mental disorders is unlikely caused by the telephone assessment that, compared to face-to-face assessments, has to be considered a much more valid

assessment of mental disorders/problems than questionnaire assessments (Zhang et al., 2017) that were mainly used in studies on the Kessler scales' validity. Furthermore, the far higher frequency of mental problems ( $32.3\%$ ) did not substantially increase validity measures.

Despite the limitations, strengths of this study include validation of K6/10 against a reliable outcome, i.e., clinician-assessment of mental disorders and problems by M.I.N.I. as 'gold standard', the use of well-trained interviewers, the relatively large community sample size, the high response rate and the excellent representativeness of the baseline sample (Schultze-Lutter et al., 2018). Another strength links to the identification of cut-offs for both screeners and for estimation of the prevalence of mood and anxiety disorders. Lastly, besides using sensitivity, specificity, LRs were also calculated to offer additional useful diagnostic information to ensure a more accurate validation of screeners as each parameter offers a specific characteristic of the diagnostic test (Parikh et al., 2009).

## 5 | CONCLUSION

Given that integrated pre-clinical and primary care youth health services are becoming increasingly widespread around the world (Hetrick et al., 2017), reliable and valid screenings that accurately identify mental health problems/disorders are more important than ever to ensure effective and targeted intervention in high-risk populations. Our findings, however, suggest that K10/K6 should not be recommended to use as main screening tools without additional clinical assessment.



Although the focus of K10/K6 is mainly on depression and anxiety disorders, our findings illustrate that the screening performance for these most common mental disorders was nevertheless poor. The present findings speak to the vital need for validating a screening tool in both German-speaking community and clinical settings as symptoms might also be expressed differently between these. Further validation studies are required to conclude whether K10/K6 make an adequate initial screening tool in community settings, but also in very low-thresholds programs.

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## CONFLICT OF INTEREST

Drs. Chow, Michel, Meisenzahl and Schultze-Lutter, and Mr. Osman report no competing interests. Dr Schimmelmänn reports serving as a consultant and/or advisor to, or receiving honoraria from Takeda and Shire outside the reported work.

## DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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