

1 **Title**

2 **Corrective saccades in acute vestibular neuritis: studying the role of prediction using**
3 **automated passively-induced head impulses**

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27 ABSTRACT (250 words)

28 When the demands for visual stabilization during head rotations overwhelm the ability of the
29 vestibulo-ocular reflex (VOR) to produce compensatory eye movements, the brain produces
30 corrective saccades that bring gaze towards the fixation target, even without visual cues
31 (covert saccades). What triggers covert saccades and what might be the role of prediction in
32 their generation are unknown. We studied 14 subjects with acute vestibular neuritis. To
33 minimize variability of the stimulus, head impulses were imposed using a motorized torque
34 generator with the subject on a bite-bar. Predictable and unpredictable (timing, amplitude,
35 direction) stimuli were compared. Distributions of covert corrective saccade latencies were
36 analyzed with a 'LATER' (linear approach to threshold with ergodic rate) approach. On the
37 affected side, VOR gain was higher (0.47 ± 0.28 vs. 0.39 ± 0.22 , $p < 0.001$) with predictable
38 than unpredictable head impulses, and gaze error at the end of the head movement was less
39 (5.4 ± 3.3 vs 6.9 ± 3.3 deg $p < 0.001$). Analyzing trials with covert saccades, gaze error at
40 saccade end was significantly less with predictable than unpredictable head impulses (4.2
41 ± 2.8 vs 5.5 ± 3.2 deg, $p < 0.001$). Furthermore, covert corrective saccades occurred earlier
42 with predictable than unpredictable head impulses (140 ± 37 vs. 153 ± 37 ms $p < 0.001$). Using
43 a LATER analysis with reciprocit plots, we were able to divide covert corrective saccades
44 into two classes – early and late – with a break point in the range of 88-98ms. We
45 hypothesized two rise-to-threshold decision mechanisms for triggering early and late covert
46 corrective saccades, with the first being most engaged when stimuli are predictable.

47

48 **Key words:** Head impulse, acute vestibular neuritis, saccades, prediction, LATER analysis

49 **New & Noteworthy**

50 We successfully used a LATER (linear approach to threshold with ergodic rate) analysis of
51 the latencies of corrective saccades in patients with acute vestibular neuritis. We found two
52 types of covert saccades: Early (<90ms) and late (>90ms) covert saccades. Predictability lead
53 to an increase in VOR gain and a decrease in saccade latency.

54 INTRODUCTION

55 The angular vestibulo-ocular reflex (VOR) is mediated by a three neuron arc that stabilizes
56 gaze on a stationary target during head rotations by generating equal and opposite eye
57 rotations (1-4). If the peripheral vestibular system is impaired, or head acceleration or velocity
58 exceeds the capabilities of the vestibular system (5, 6), the VOR cannot generate the correct
59 response to maintain stable gaze. In this case, other mechanisms compensate for the deficient
60 VOR including corrective saccades that tend to bring the image of the target back to the
61 fovea. These saccades are triggered in the direction of the deficient eye movement both when
62 the head is still moving (covert saccades) and after head has stopped (overt saccades) (7-10).
63 The clinical head impulse test (HIT) assesses vestibular function with brisk, passive rotations
64 of the head in the plane of parallel semicircular canal pairs (11). With the bedside HIT
65 clinicians can usually detect overt corrective saccades after the head movement has stopped
66 but covert saccades, made while the head is rapidly rotating, are much harder to discern at the
67 bedside.

68 In the last years, the video head impulse test (vHIT) has become an invaluable quantitative
69 diagnostic tool to assess impaired vestibular function, for example, in patients with acute
70 vestibular neuritis, or to screen for vestibular strokes in the emergency department (ED) (12).
71 Using a videooculography (VOG) recording system with a gyroscope, and computer
72 algorithms, the gain (ratio of eye movement to head movement) of the VOR is calculated
73 (13), and both covert and overt catch-up saccades are identified (11, 14-16).

74 The mechanisms underlying the timing and the amplitude of covert saccades are unclear.
75 Previous studies have proposed triggers for covert saccades including 1) neck proprioceptive
76 signals (17, 18), 2) residual labyrinthine function, and 3) other cues (e.g., pressure from the
77 examiner's hands) that the head is about to or has just begun to rotate (19). Other studies have
78 shown that covert saccades are triggered earlier when head turns are active (20, 21) or, when

79 passive, if head motion is predictable (15, 22, 23). These findings suggest that corrective
80 saccades are preprogrammed in anticipation of uncompensated head motion.

81

82 The problems with most of these studies are that with head impulses imposed by hand the
83 ability to infer mechanisms is hindered because of trial-by-trial variability of the stimulus. In
84 previous attempts to standardize head- and body movements using computer controlled
85 rotational body *en bloc* impulses (crHIT) (18, 24), the stimuli had lower accelerations than
86 conventional head impulses, which did not challenge the capabilities of the VOR to the same
87 degree as higher-acceleration manual head impulses.

88

89 The main goal of our study was to determine how the brain estimates head velocity when
90 labyrinthine function is deficient or absent, and in turn, how that information is used to
91 generate corrective saccades. Here, we used an automated high acceleration HIT device
92 (aHIT) (25) to analyze corrective saccades in response to standardized passive head impulses
93 in patients with acute vestibular neuritis. Comparing responses to predictable versus
94 unpredictable head impulses, we measured the latencies of the first corrective saccades and
95 the gaze error at their beginning and end. To further focus on mechanisms underlying the
96 generation of non-visually guided corrective saccades (covert saccades) we applied the
97 LATER model (linear approach to threshold with ergodic rate, Carpenter and Williams (26)),
98 which has been used to infer mechanisms for triggering saccades to visual targets.

99

100 MATERIAL AND METHODS

101 *Patients with acute vestibular neuritis*

102 Patients with acute vestibular neuritis were enrolled as part of a prospective cross-sectional
103 study of patients seen in ED (DETECT—Dizziness Evaluation Tool for Emergent Clinical

104 Triage). We excluded patients younger than 18 years, if symptoms lasted < 24 h or if the
105 index ED visit was > 72 h after symptom onset. We also excluded patients with other
106 neurological symptoms or signs, new hearing symptoms, or with previous eye movement or
107 vestibular disorders. All patients with acute vestibular neuritis had caloric irrigations, video
108 head impulse tests (vHIT) and a delayed MRI (3-21d after symptom onset), which served as a
109 gold standard for excluding stroke. Patients with a negative MRI and either a pathological
110 HIT or pathological caloric test were diagnosed as acute vestibular neuritis. We also collected
111 information on age and gender.

112 *Experimental Paradigm*

113 Head impulses were performed using an automated device (aHIT™) (25) positioned on a
114 table in front of the seated subject and consisting of a silicone mouthpiece moving on a
115 curved track. Eye and head movements were recorded using an EyeSeeCam™ VOG system
116 with an integrated full inertial measurement unit (IMU) within the VOG infrared camera at a
117 sampling rate of 220Hz.

118 The patient was seated upright, and the height of the chair was adjusted so that the silicone
119 bite bar was at a comfortable level for the subject. The bite bar was also adjusted with a spirit
120 level to ensure that the axis of rotation was centered about the vertical axis of the subject's
121 head. During head rotations, the patient was asked to bite down on the bite bar firmly to
122 ensure transfer of forces from the motor. All head impulses were delivered in the horizontal
123 plane.

124 The aHIT™ device was programmed to deliver Gaussian motion profiles with peak angular
125 velocity of either 150°/s or 250°/s, both with peak acceleration 3000°/s², i.e., the maximum
126 acceleration deliverable by the device.

127 In a first step a predictable paradigm was performed with a predictable direction, velocity,
128 amplitude, and timing/cadence between each head impulse. We delivered 10 automated cycles

129 in the horizontal plane, with each cycle consisting of one impulse to the left and one to the
130 right. The first head impulse always started from the center to lateral position (centrifugal)
131 and the following impulse was from side-to-center (centripetal). Two different types of
132 predictable stimuli were used for each patient: one programmed to reach peak angular
133 velocities of 150°/sec with an amplitude of 10°, and the other peak angular velocities of
134 250°/sec, with an amplitude of 20°. The timing between the head impulses was constant at
135 1.2 s.

136 In a second step, an unpredictable paradigm was used, in which the examiner triggered
137 automated head impulses, always starting from the center position random in direction (right
138 left), timing (range of 2-5 seconds) and with two pairs of fixed speed and amplitude. We used
139 a random sequence generator for test condition and order.

140

141 *Data Analysis*

142 Data was processed using custom-made Matlab software that identified head and eye
143 movements on individual trials. A first interactive screening of responses selected only those
144 trials in which there were no artifacts on the eye position trace including contaminating eye
145 blinks, noise due to failed pupil detection/segmentation by the recording software,
146 anticipatory eye movements and slippage of the goggles as indicated by the eyes moving
147 before the initiation of the head movement (27, 28). About 20% of trials were discarded at
148 this stage. Corrective saccades were then automatically detected on the high-pass filtered eye
149 velocity trace based on the start (s) and end (e) points when eye velocity rose above or fell
150 below, respectively, 10°/s. Identification of corrective saccades was confirmed by the
151 investigators for each trial. Signal processing was as previously described by Colagiorgio & al
152 (22). The threshold for detecting the onset of head movement was lowered to 5 deg/s given
153 the robotic nature of the stimulator. The gain of the VOR response was calculated as the mean

154 of the ratio between horizontal eye velocity and horizontal head velocity computed over the
155 time interval between the times of peak acceleration and peak velocity of the head.

156 We calculated the gaze error defined as the difference between head position and eye position
157 at the beginning of the first corrective saccade, and the total error, for which the saccade must
158 compensate, which was defined as the difference between head position at the end of the
159 saccade and eye position at the beginning of the saccade. In addition, we calculated the gaze
160 error at the end (zero-crossing) of each head impulse. We defined ‘covert saccades’ as those
161 corrective saccades that began before the first zero-crossing of head velocity after the onset of
162 the head impulse. All corrective saccades beginning after the zero-crossing were considered
163 ‘overt’.

164 The distributions of latencies of covert corrective saccades were interpreted using the LATER
165 model of response time (29), which hypothesizes that the reciprocal of latency in motor
166 responses follows a Gaussian distribution. It considers decision-making processes as a build-
167 up of activity towards a threshold of confidence, triggering a response, e.g., a saccade, when
168 such a level is reached (τ). The model considers the prior probability of a response-evoking
169 stimulus as the starting point S_0 of such build-up of activity, which will occur with a rate r that
170 is drawn from a Gaussian distribution with mean μ and standard deviation σ . We opted for the
171 LATER model because it has been shown to succinctly and quantitatively account for saccade
172 reaction time data in a range of saccade behavioral and decision making tasks (e.g. reading,
173 optokinetic responses, anti saccades, prosaccades, etc). Furthermore it does so with few
174 parameters i.e. , the mean and variance of the rate of rise of the activity leading to a response
175 (30, 31). We hypothesized that the variability in the latency of corrective saccades in response
176 to head impulses could be interpreted using this model considering two competing processes
177 with build-up rates drawn from two different distributions, one responsible for triggering
178 covert saccades and the other triggering overt saccades. The data is presented on a reciprob

179 plot in which the cumulative distribution of saccade latencies is drawn on a probit scale (y-
180 axis) as a function of saccade latency represented on a reciprocal time axis. The reciprobit
181 data was then fit with two straight lines, as for visually driven saccades in [26], using a least
182 mean squares algorithm.

183 *Ethics*

184 The institutional review board approved this study (KEK#047/14; approval date 04/24/2014).
185 All patients gave written informed consent.

186

187 RESULTS

188

189 *Stimulus Characteristics*

190 We performed 1067 predictable and 1126 unpredictable head impulses on 15 patients with
191 acute vestibular neuritis using the aHIT device. Due to procedural errors, patient #1 had only
192 unpredictable head impulses, while patient #6 had only predictable ones. Their data was
193 included in all population level analyses. After the first screening of eye and head movements
194 we accepted 477 predictable and 606 unpredictable head impulses. Pooling data from all
195 subjects, fast head impulses, programmed at 250 deg/s peak head velocity, achieved a peak
196 head velocity of 245 ± 34 deg/s and lasted 190 ± 10 ms, while slow head impulses, programmed
197 at 150 deg/s achieved 163 ± 23 deg/s and lasted 130 ± 20 ms.

198 The durations of fast and slow impulses were not statistically different between predictable
199 and unpredictable trials. Peak head velocities of slow impulses were not statistically different
200 between predictable and unpredictable trials. Peak head velocities of fast impulses were
201 slightly different with predictable trials being 240 ± 20 deg/s and unpredictable trials 249 ± 26
202 deg/s ($p < 0.01$). A more detailed analysis looking at individual subjects highlighted a few
203 patients who had more variability of peak head velocity, possibly due to fluctuating stiffness

204 of the neck muscles, or some decoupling of the head from the bite bar. The intra-subject
205 variability of peak head velocity, expressed in terms of its standard deviation in the different
206 paradigms, ranged between 2.5 and 19.4 deg/s.

207

208 *Responses to head impulses*

209 Considering all subjects, the VOR gain in response to head impulses to the pathological side
210 was greater with predictable 0.47 ± 0.28 than unpredictable 0.39 ± 0.22 stimuli ($p < 0.001$).

211 There was no statistical difference in VOR gain toward the healthy side comparing the
212 response to predictable (0.87 ± 0.11) versus unpredictable (0.85 ± 0.12) head impulses.

213 Covert corrective saccades were present in 63.5% of responses to predictable and in 51.5% of
214 responses to unpredictable head impulses. Figure 1 illustrates two examples of head- and eye
215 velocity profiles from the fast predictable and unpredictable head impulse stimuli in two
216 representative subjects. With prediction, covert corrective saccades occurred earlier and their
217 amplitudes were higher (Fig. 1).

218

219 *Gaze Error*

220 Gaze error at the end of the head movement (head velocity crossing zero) was 5.4 ± 3.3 degrees
221 with predictable and 6.9 ± 3.3 degrees with unpredictable head impulses ($p < 0.001$) (Fig. 2).

222 Including both overt and covert corrective saccades at the end of the first corrective saccade
223 gaze error was 3.6 ± 2.7 degrees in predictable and 4.1 ± 3.2 degrees in unpredictable head
224 impulses ($p = 0.066$) (Fig. 3, right hand panel). Considering only covert corrective saccades the
225 gaze error *at the end* of the first saccade was 4.2 ± 2.8 degrees with predictable and 5.5 ± 3.2
226 degrees with unpredictable head impulses ($p < 0.001$) (Fig. 3, left hand panel). The gaze error
227 *at the beginning* of the first corrective saccade was 6.0 ± 4.3 degrees with predictable and
228 7.4 ± 4.3 with unpredictable head impulses ($p < 0.001$) (Fig. 4, right hand panel). When
229 considering only covert corrective saccades the gaze error at the beginning of the first

230 corrective saccade was 6.7 ± 4.5 with predictable and 8.7 ± 4.2 with unpredictable head
231 impulses ($p < 0.001$) (Fig. 4, left hand panel).

232

233 *Corrective saccades: Latencies and distribution*

234 Considering all first corrective saccades, for head impulses toward the affected side the
235 latency was 159 ± 48 ms with predictable and 180 ± 52 ms with unpredictable head impulses
236 ($p < 0.001$) (Fig. 5, right hand panel). Considering only covert corrective saccades, for head
237 impulses toward the affected side the latency was 140 ± 37 ms in predictable head impulses
238 and 153 ± 37 ms in unpredictable ones ($p < 0.001$) (Fig. 5, left hand panel). For head impulses
239 toward the healthy side, considering all first corrective saccades, latencies were 262 ± 95 ms
240 and 250 ± 89 ms, for predictable and unpredictable head impulses, respectively, which were
241 not significantly different ($p = 0.52$).

242

243 *LATER analysis and model simulation*

244 The reciprobbit plot presenting the LATER analysis of latencies of covert corrective saccades
245 toward the pathological side shows two distributions for responses to both predictable and
246 unpredictable head impulses (Fig. 6), with earlier covert saccades represented by the
247 shallower line and later covert saccades by the steeper line. The break point in the fit of
248 latencies was at 86 ms for unpredictable impulses and at 97 ms for predictable head impulses.
249 Based on the data shown in Fig. 5, for predictable stimuli the mean saccade latency of early
250 covert saccades was 64 ± 13 ms (range 36 and 81 ms) and for late covert saccades 115 ± 18 ms
251 (range 86 and 159 ms). For unpredictable stimuli, the mean saccade latency of early covert
252 saccades was 80 ± 19 ms (range 36 and 95 ms) and for late covert saccades 124 ± 17 ms (range
253 100 and 195 ms).

254 Based on a model from Noorani and Carpenter (30), which posits two competing saccade
255 triggering circuits (Figure 7), we simulated the overall distribution of covert saccade latencies

256 during both predictable and unpredictable head impulses. The model has a “MAIN unit” and
257 an “EARLY unit” (See Figure 7). To simulate the response to unpredictable impulses model
258 parameters for the MAIN unit were threshold $\tau=1$, a mean rate $\mu=9$ and standard deviation
259 $\sigma=1.1$, which made decisions in an average of $\tau/\mu=0.11$ seconds. The EARLY unit of the
260 model had a threshold $\tau_E=1.2$, a mean rate $\mu_E=0$ and standard deviation $\sigma_E=9.2$. To simulate
261 the responses to predictable head impulses, only one change was necessary; the threshold of
262 the EARLY unit was decreased to $\tau'_E=0.9$ (Fig. 7). The shifting point between the two
263 distributions of early and late covert saccades latencies was fit at 85 ms for unpredictable head
264 impulses and at 96 ms for the predictable ones.

265

266 DISCUSSION

267 In this study we investigated the VOR in patients with an acute vestibular neuritis
268 emphasizing the mechanisms affecting the triggering of corrective saccades and reducing how
269 far the eye is off target (gaze error) during the movement of the head and when the head stops
270 moving (here defined when head velocity crosses zero). Our results support a central role of
271 prediction in the trigger mechanism and reducing gaze error. As previous studies have shown,
272 when head impulses are predictable, a higher proportion of corrective saccades are covert
273 (15). Moreover, both the gaze error at the end of the first corrective saccade and the gaze error
274 at the end of the head movement were less when head impulses were predictable. This finding
275 suggests that knowing the direction of head motion is advantageous for the VOR in patients
276 with unilateral loss of function.

277 *The influence of prediction on the gain of the VOR*

278 On the affected side with *predictable* head impulses, the VOR gain was significantly higher
279 than with *unpredictable* head impulses. On the other hand, there were no significant differences
280 in corrective saccade metrics or VOR gain for head impulses to the healthy side. In previous

281 studies that showed a difference in VOR gain with prediction, the patients either had chronic
282 vestibular hypofunction (32) or made active head impulses (20, 33). Previous studies on the
283 interaural translational VOR (tVOR) also showed that expectation had a strong effect on both
284 the gain of the VOR response and on the latency of the first corrective saccade, which were
285 respectively higher and lower, respectively, in predictable vs unpredictable trials (34). On the
286 other hand, in a study using passive head impulses in patients after removal of their vestibular
287 schwannomas, the VOR gains were not larger with predictable than with unpredictable
288 impulses (23). Note that in this study, which used manual head impulses and therefore with
289 more stimulus variation compared with motorized head impulses, a different testing paradigm
290 had been used (inward versus outward head impulses). A recent study used the SHIMP
291 (suppression head impulse paradigm) paradigm on healthy subjects, in which the subject
292 fixates upon a target that is rotating with the head, and must make corrective saccades to bring
293 the eyes back to the target after being taken away by the intact VOR (35). Using the SHIMP
294 paradigm with predictable and unpredictable cues, there were higher VOR gains for inward,
295 toward the center, head impulses (35). For the predictable testing paradigm, however, we did
296 not distinguish inward from outward head impulses since the head was moving from center to
297 lateral and vice versa.

298

299 *Corrective saccade latency and prediction*

300 Corrective saccade latency was significantly greater with unpredictable head impulses, which
301 accords with previous studies in which latencies of corrective saccades following inward
302 (presumed predictable) head impulses were lower than those generated during outward (more
303 unpredictable) head impulses after acute unilateral vestibular nerve deafferentation (15).
304 A decreased latency of corrective saccades was also reported with active (self-generated) head
305 impulses (36). Iwasaki et al. studied whole body impulses (rotation *en bloc*) in patients with
306 unilateral or bilateral vestibular dysfunction and patients showed more overt saccades than

307 patients tested with conventional head-on body head impulses, however, the proportion of
308 covert saccades remained unchanged (18). A tighter clustering of corrective saccades in
309 response to head impulses, which was also present in some of our patients (Fig. 1) has also
310 been reported with predictive stimuli (35), active head movements or in the presence of light
311 (37). We also cannot exclude any quick phase suppression effects during the early phase of a
312 head impulse (0-60ms), which can be seen, for example, with quick phases of post-rotatory
313 induced nystagmus (38).

314

315 *Saccade accuracy and prediction*

316 We observed a significantly smaller gaze error with predictable than with unpredictable head
317 impulses for both gaze errors at the end of the head impulse (Fig. 2) and gaze errors at the end
318 of the first covert corrective saccade (Fig 3) . These findings suggest that the knowledge of
319 the expected direction and/or speed of head movement during head impulse tests gives the
320 ocular motor system an advantage when using saccades to overcome deficiencies in the VOR.

321

322 *Vestibular (covert) saccades versus visually induced saccades*

323 Relatively little is known about covert corrective saccades, triggered by nonvisual stimuli,
324 compared to saccades induced to visual stimuli. Our study shows that with both predictable
325 and unpredictable head impulses there may be a proportion of covert saccades having
326 latencies below 90ms, i.e., corrective saccades may be triggered earlier with vestibular than
327 with visual stimuli (26). Using the LATER analysis model (26), we analyzed the distributions
328 of the reaction times of covert saccades in analogy to the short-latency visually-induced
329 saccades with the head still. Saccades in response to visual targets include express saccades
330 (short latencies ~90ms) elicited with a visual gap stimulus, (fixation target is extinguished
331 before the new visual target appears) (39), longer-latency visually induced saccades with the
332 head still, for example to an overlap stimulus (fixation target remains on after the new visual

333 target appears) and predictive, anticipatory saccades which are generated by expectation of
334 the appearance of a target (40). Carpenter et al (31) distinguished three types of visually
335 induced saccades with the head still within the short latency region: express saccades, early
336 saccades and late saccades. While express saccades show a bimodal reaction time distribution
337 with a LATER analysis (two slopes separated by one flat, horizontal slope (31)), in our study,
338 early, non-visually induced covert saccades form a subpopulation of saccades lying on a
339 shallow slope in a reciprobbit plot (figure 6A, right panel) that is distinct from the steeper slope
340 characterizing later covert saccades.

341

342 Similarly, our LATER analysis showed two separate distributions of the latencies of covert
343 saccades in both conditions (predictable and unpredictable head impulses), which are not
344 immediately evident in a cursory view of saccade latency histograms. There was a main
345 distribution for saccades occurring later than about 90ms (late covert saccades) and an early
346 one for those occurring earlier (<90ms) (early covert saccades, Fig.6). To account for these
347 distinct distributions we propose two rise-to-threshold decision mechanisms for triggering
348 saccades in this time frame. One mechanism triggers most corrective saccades, which
349 occurred toward the end of the time window. The other mechanism triggers the earliest
350 saccades (< 90ms after the head movement begins). Terms such as ‘covert’ or ‘overt’
351 saccades are derived from clinically performed HITs and describe the ability of the physician
352 to discern saccades. This qualitative terminology, however, might be better supplanted when
353 quantitative eye movement recordings are available. They allow for a quantitative
354 classification of corrective saccades based on timing relative to the parameters of the head
355 movement. Saccades occurring <90ms after the head impulse onset might be called “early
356 covert” saccades.

357

358 *Initiation and triggers of early corrective saccades*

359 We found a significantly smaller gaze error with predictable head impulses at the onset of the
360 first corrective saccades (Fig. 4). We did not find a constant threshold or cluster of gaze errors
361 as a triggering cue for initiation of corrective saccades. Naturally, the lower saccade latencies
362 with predictable head impulses led to smaller gaze errors at saccade onset because the gaze
363 position error increases over time during head impulses in patients with a deficient VOR.
364 Thus, we suggest that the covert saccades are not triggered by a fixed threshold based on the
365 estimated gaze error, but other factors are also important (prediction, other sensory cues, and
366 inherent variability (noise) in threshold triggering mechanisms).

367

368 *What do we learn from the LATER MODEL and reciprobbit plots?*

369 The LATER model (linear approach to threshold with ergodic rate) was first introduced by
370 Carpenter and Williams (26) in order to study distribution of reaction times of saccades in
371 response to a visual stimulus (41-44). They described a simple stochastic model to account for
372 both the random and systematic variation in the saccade latency. When plotted as
373 conventional frequency histogram, the distribution of saccade latencies to the sudden
374 appearance of a new visual target is skewed (26). Thus, when plotted using a reciprocal scale
375 for latency, the distribution becomes relatively symmetrical and Gaussian (Fig. 6, left panel).
376 By plotting our data on a probit scale (y-axis, in effect an inverse error function
377 transformation) and the covert saccade latency on a reciprocal scale (x-axis), we obtained a
378 reciprobbit plot which we fitted using two straight lines (Figure 6A, right panel) similar to
379 those proposed by Carpenter & al. (1995). Thus, the LATER model may be also suitable for
380 analysis of early, nonvisual “covert” corrective saccades generated when the VOR is
381 deficient.

382 In our study, the cumulative probability of early covert saccades in the reciprobbit plots was
383 higher with predictable head impulses. Thus this approach provides a way to quantify the
384 effects of various cues, including predictability, that have been previously shown to improve

385 VOR performance with not only a reduction and clustering of the corrective saccades
386 latencies but also a decrease in gaze error at the end of the first corrective saccades. In the
387 case of predictability, important factors might include prior knowledge and expectation based
388 on timing, direction, movement profile (velocity, size of excursion), and eventually resulting
389 in internally generated movement commands.

390 Interestingly, the distribution of latencies of covert saccades' on the reciprobbit plot showed a
391 similar pattern to the short-latency saccades that occur with visual targets (26). Thus, we
392 hypothesize that nonvisual corrective saccades during head impulses may be initiated by
393 competing stochastic decision mechanisms for signal processing in the brain based on the
394 different available cues, and that the winning mechanism, i.e., the one reaching the threshold
395 sooner, determines when the brain should initiate a corrective saccade. These stochastic
396 mechanisms are important, since sensory input signals are noisy (biological noise) and the
397 brain needs to make an inference about the actual performed head movement and the needed
398 eye correction. We simulated our results with a two decision mechanisms LATER model
399 suggesting that with prediction the threshold for generating early covert saccades is reduced; a
400 change that reflects greater confidence in the predictive mechanism that triggers the earliest
401 corrections. In a Bayesian sense, the threshold was considered a prior and was adjusted
402 accordingly based on stimulus predictability.

403 What might be the anatomical substrate for these circuits that trigger non-visual early and late
404 covert saccades? In analogy with visually guided saccades, it may be that direct subcortical,
405 mechanisms, perhaps mediated by short-latency visual drives to the superior colliculus
406 account for early visually-guided or even 'express' saccades. The cerebellum, too, has been
407 implicated in the generation of express saccades since asymmetrical lesions of the dorsal
408 vermis abolish express saccades in one direction (45). Saccade (quick phase) related activity
409 for vestibular nystagmus has also been recorded in so-called burster driver neurons in the

410 medulla (46). How these various circuits relate to generating covert saccades remains to be
411 discovered.

412

413 *Limitations of the study*

414 We used an automated head impulse device in the attempt to provide a more reproducible
415 vestibular stimulus and so minimize some of the variability of stimulus parameters that might
416 confound the interpretation of corrective saccade timing and amplitude in patients with a
417 deficient VOR. A standardized HIT technique is important when analyzing corrective
418 saccades since gaze error and saccade latency are functions of head velocity and acceleration.
419 Accordingly, variability of the stimulus adds to inherent variability (noise) of the VOR
420 response, which could make statistical inferences more difficult, especially when the number
421 of trials is limited (10). Furthermore, nonlinearities in the ability of an impaired labyrinth to
422 transduce head velocity could make statistical inferences more difficult with a more variable
423 stimulus. Even by using the automated head impulse test, we could not eliminate all
424 variability of head movements because of various factors including neck muscle tension and
425 imperfect coupling of the head to the bite bar. Our results also may not generalize to all
426 patients with loss of vestibular function. We did not study patients with bilateral vestibular
427 loss nor patients with chronic vestibular hypofunction. And there was certainly variability in
428 the degree of vestibular loss when our patients were tested, and the time after onset of illness
429 to time of testing was not the same for all patients allowing recovery and adaptation to take
430 place to different degrees in different patients. Examining larger numbers of patients with
431 more trials might allow a better way to test our model. In addition, we did not use a
432 paradigm, such as *en bloc* rotations, to analyze the influence of neck proprioceptive cues on
433 the VOR response. Other factors that should be considered in future studies including
434 increasing the randomness of the stimulus (more than two velocities, more than two
435 amplitudes, different timings, etc).

436 CONCLUSIONS

437 In patients with acute vestibular neuritis, we used an automated system to impose
438 standardized passive head impulses and showed that predictability decreases the latency of
439 corrective saccades, and increases VOR gain. With predictable head impulses the gaze
440 position error after the first corrective saccade, and at the end of the head impulse, is reduced
441 improving the function of the VOR. Using a 'LATER' analysis of distributions of latencies of
442 corrective saccades we were able to see more clearly the patterns shown by covert saccades.
443 We found two subtypes of covert saccades: early (<90ms) and late (>90ms). We propose two
444 rise-to-threshold stochastic decision mechanisms for triggering early and late covert
445 corrective saccades before new visual information becomes available in patients with
446 vestibular hypofunction. Predictability primarily impacts the early mechanism, producing
447 more early covert corrective saccades.

448 The challenge for further studies is to discover the circuits that generate covert corrective
449 saccades and the mechanisms by which they are influenced by prediction.

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452 Science Foundation #320030_173081.

453

454

455 **Figure legends**

456 Fig. 1. Example of recordings in two representative patients (A and B) using the automated
457 HIT device showing fast head impulses and the corresponding eye movements (inverted for
458 comparison). Panel A shows responses to predictable head impulses with covert saccades
459 occurring earlier compared to unpredictable head impulses (Panel B). Panel C shows more
460 covert corrective saccades cluster more with predictable than unpredictable head impulses
461 (Panel D). Note the lower VOR gain with unpredictable head impulses for both patients.

462

463 Fig. 2 Box plot of Gaze Error when *the head stops* considering only *covert saccades* after
464 predictable (Pred) vs. unpredictable (Unpred) head impulses in patients with acute vestibular
465 neuritis. Left: The gaze error in head impulses to the affected side is significantly higher when
466 these are unpredictable. Right: The gaze error in head impulses to the healthy side is also
467 significantly higher when these are unpredictable.

468

469 Fig. 3, left: Box plot of Gaze Error at *the end of covert corrective* saccades after predictable
470 (Pred) vs. unpredictable (Unpred) head impulses in patients with acute vestibular neuritis. The
471 gaze error in head impulses to the affected side is significantly higher when head impulses are
472 unpredictable. Right: Box plot of gaze error at *the end of the first saccade*, considering all
473 trials with a corrective saccade after predictable (Pred) vs. unpredictable (Unpred) automated
474 head impulses. The gaze error between predictable and unpredictable head impulses to the
475 affected side is not significantly different.

476

477 Fig. 4. Left: Box-plot of Gaze Error at the onset of covert saccades in predictable (Pred) vs.
478 unpredictable (Unpred) head impulses. The gaze error at the end of the saccade was
479 significantly higher in unpredictable head impulses to the affected side. Right: Box plot of
480 gaze error at the *onset of the first saccade in* predictable (Predict) vs. unpredictable
481 (Unpredict) automated head impulses. The analysis shows that the gaze error between
482 predictable and unpredictable head impulses to the affected side is significantly different.

483 Fig. 5. Left: Box-plot of all *first covert corrective* saccade latencies in predictable (Pred) vs.
484 unpredictable (Unpred) head impulses. Saccade latency was significantly higher in
485 unpredictable head impulses to the affected side. Right: Box plot of corrective saccade latency
486 considering *the first corrective saccade in* predictable (Predict) vs. unpredictable (Unpredict)

487 automated head impulses. The analysis shows that the gaze error between predictable and
488 unpredictable head impulses to the affected side is significantly different.

489 Fig. 6A: The LATER based analysis of *covert corrective saccades* in patients with vestibular
490 neuritis after predictable and unpredictable head impulse tests: Left, frequency histogram (x-
491 axis: Latency; y-axis: Frequency of saccades); right, reciprobbit plot: note that the cumulative
492 probability of early saccades in the reciprobbit plots was higher with predictable head
493 impulses. Fig. 6B: Simulation of a two decision mechanisms LATER model in the
494 unpredictable (blue) and predictable (red) conditions. Left panel: distribution of saccade
495 latencies predicted by the model in the two conditions. Right panel: reciprobbit plot of
496 simulated latency data and their fit. Parameters for unpredictable head impulses: Main unit
497 with $\tau=1$, $\mu=9$, $\sigma=1.1$ and Early unit $\tau_E=1.2$, $\mu_E=0$, $\sigma_E=9.2$. Parameters for predictable head
498 impulses: Main unit with $\tau=1$, $\mu=9$, $\sigma=1.1$ and Early unit $\tau_E=0.9$, $\mu_E=0$, $\sigma_E=9.2$.

499

500

501 Fig. 7. Scheme of a two competing saccade triggering mechanisms model: a “Main unit” with
502 parameters μ , σ and τ operates in parallel with a ‘maverick’ Early unit, whose parameters are
503 $\mu_E = 0$, σ_E , and τ_E . Whichever unit reaches threshold first triggers the response. In both
504 mechanisms the decision signal (blue) starts from an initial level $S_0=0$.

505 To simulate the response to unpredictable impulses model parameters for the Main unit were
506 threshold $\tau=1$, a mean rate $\mu=9$ and standard deviation $\sigma=1.1$, which made decisions in an
507 average of $\tau/\mu=0.11$ seconds. The Early unit of the model had a threshold $\tau_E=1.2$, a mean rate
508 $\mu=0$ and standard deviation $\sigma_E=9.2$. To simulate the responses to predictable head impulses,
509 only one change was necessary; the threshold of the Early unit was decreased to $\tau'_E=0.9$.

510 **References**

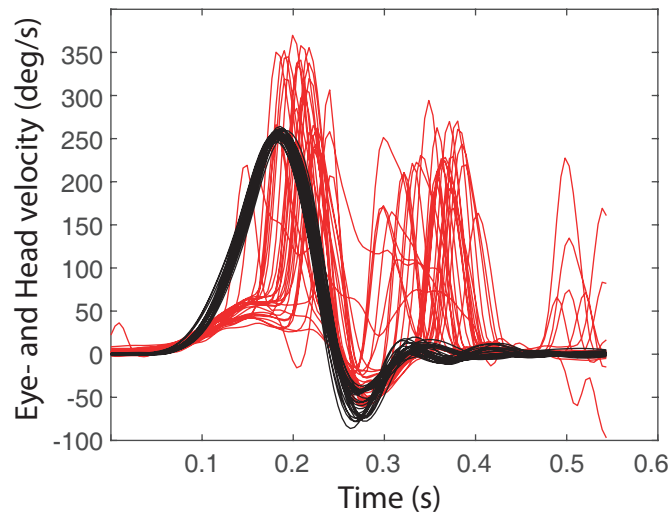
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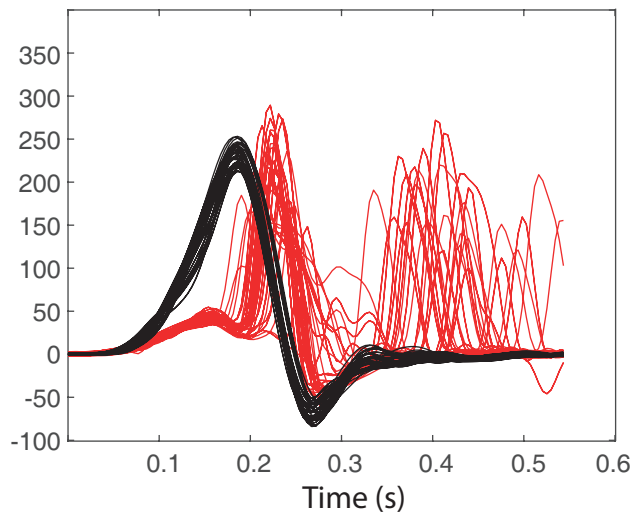
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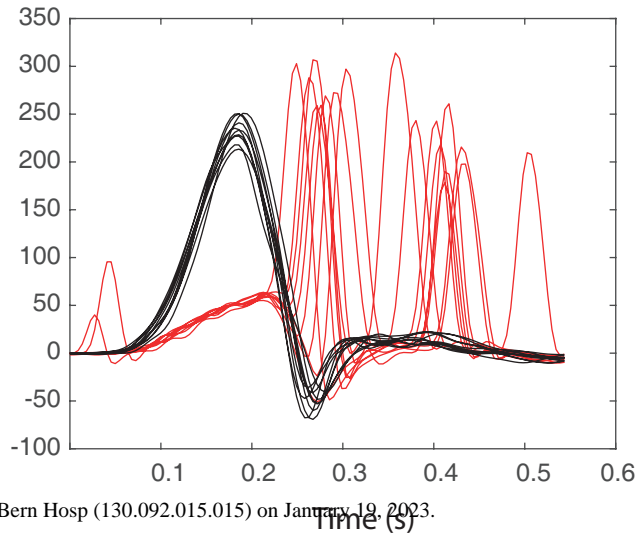
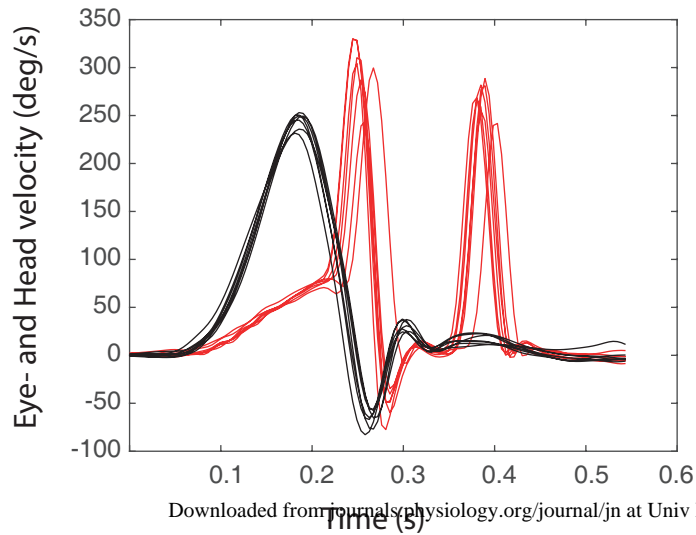
Predictable HIT



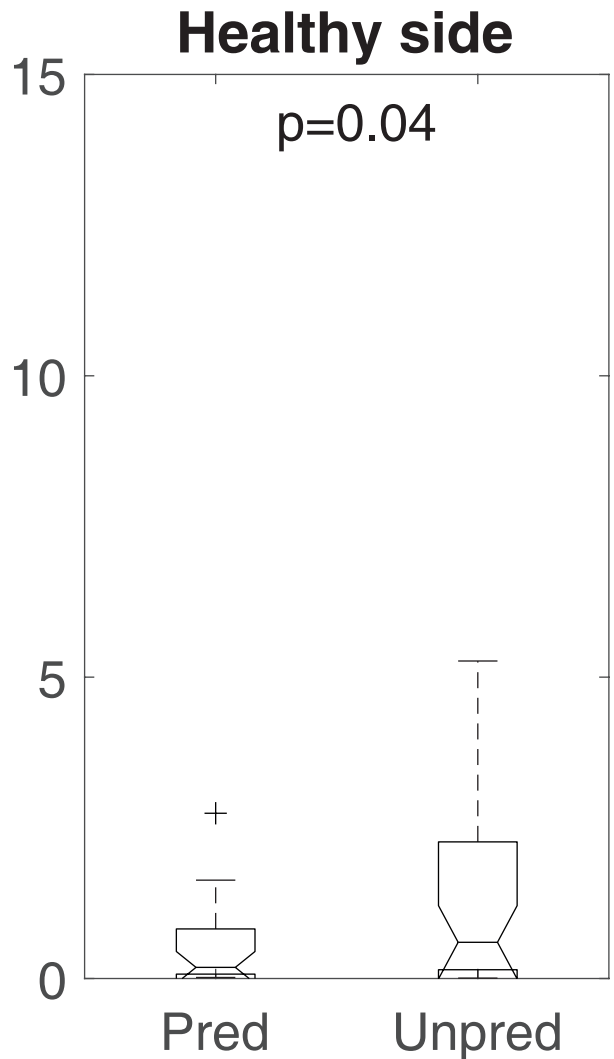
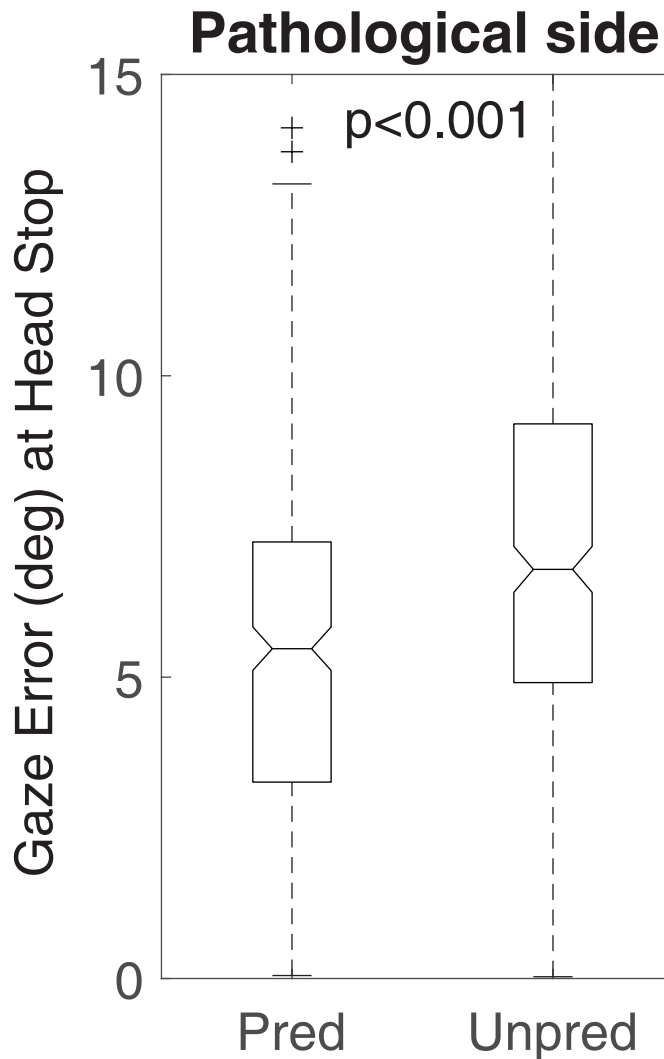
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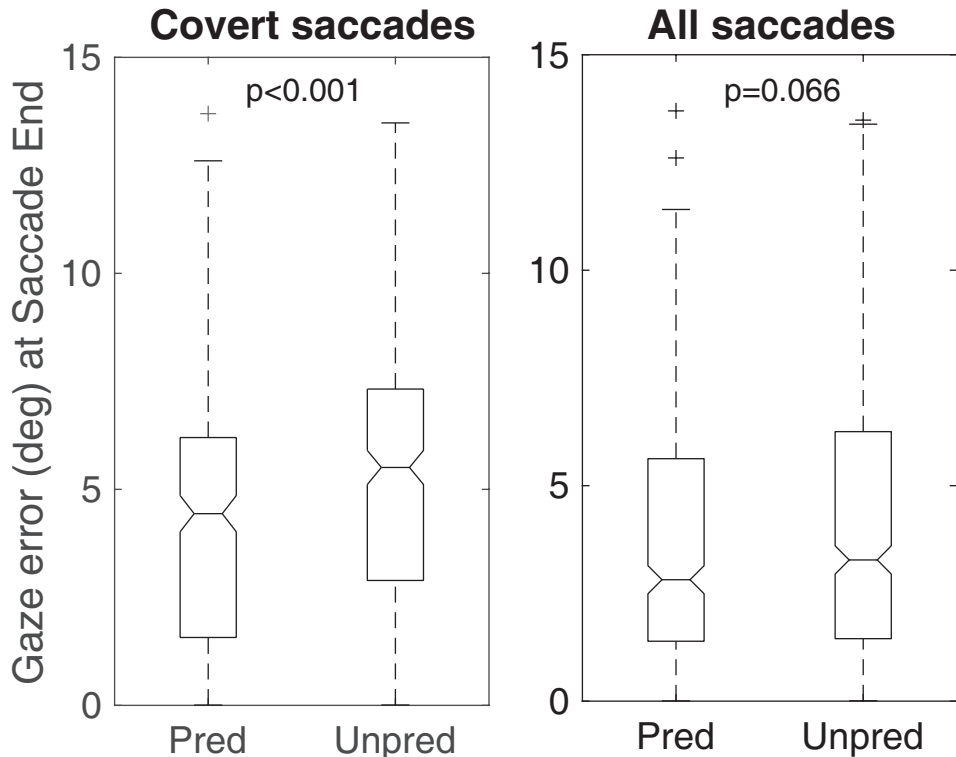


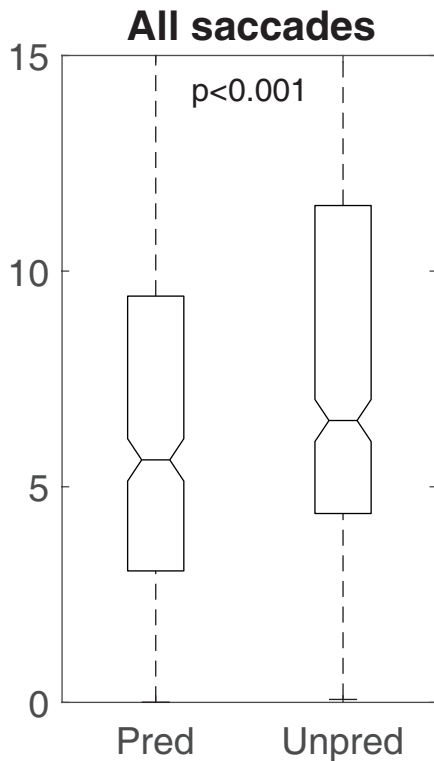
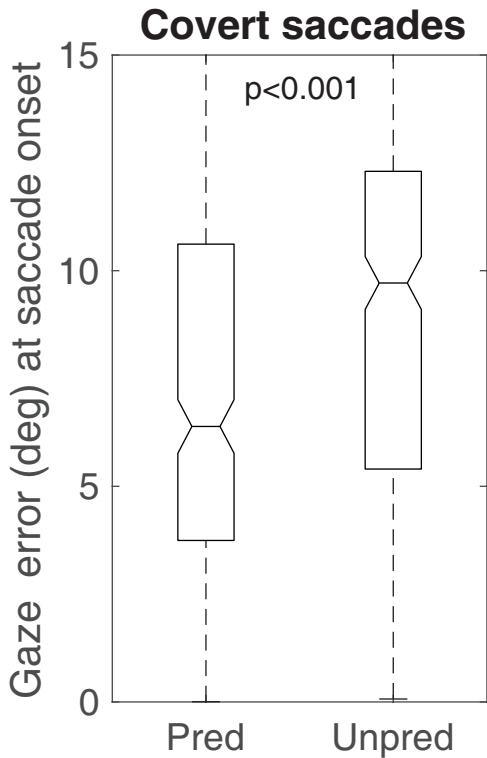
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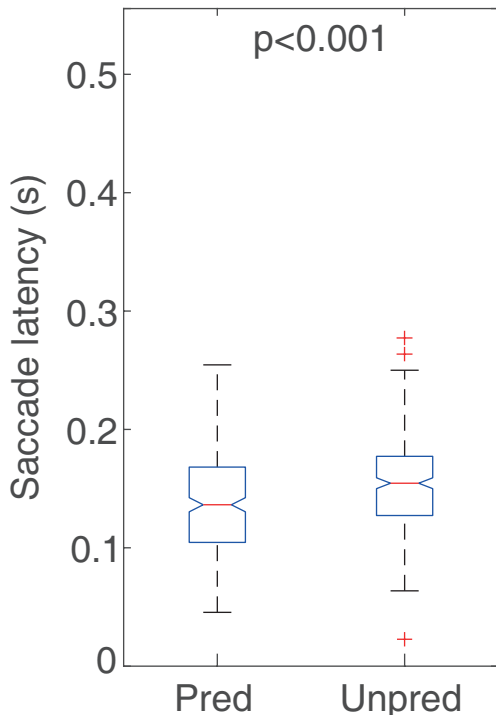
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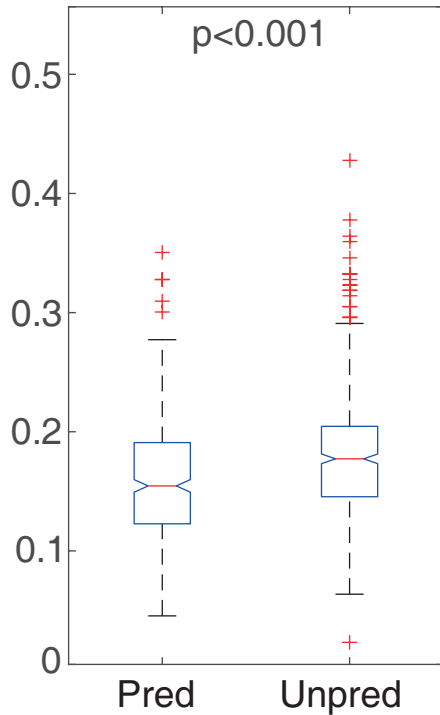




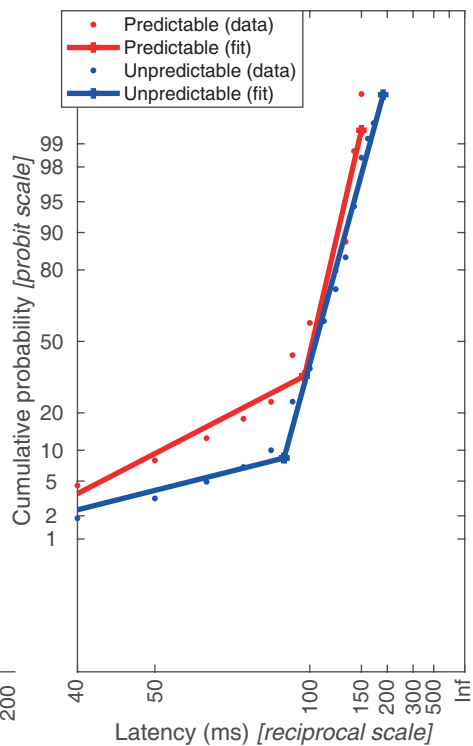
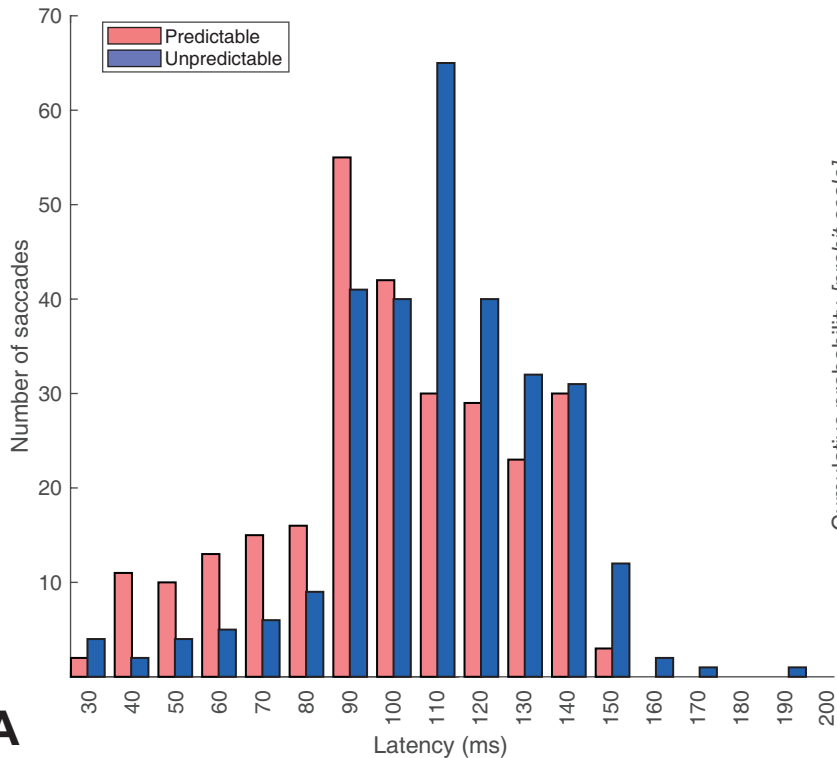
Covert saccades



All saccades

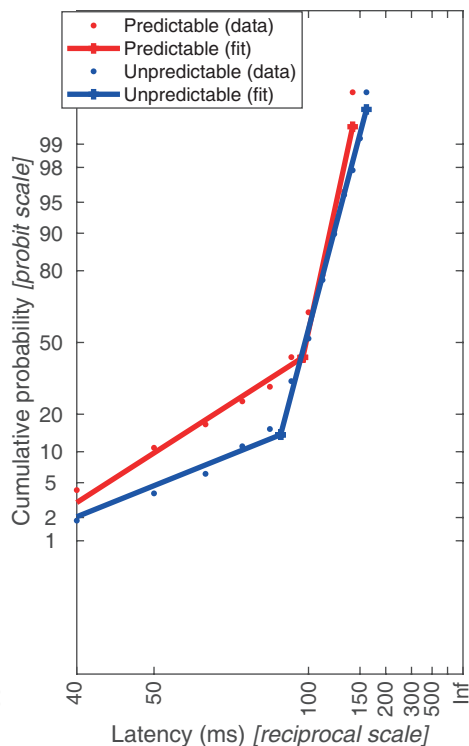
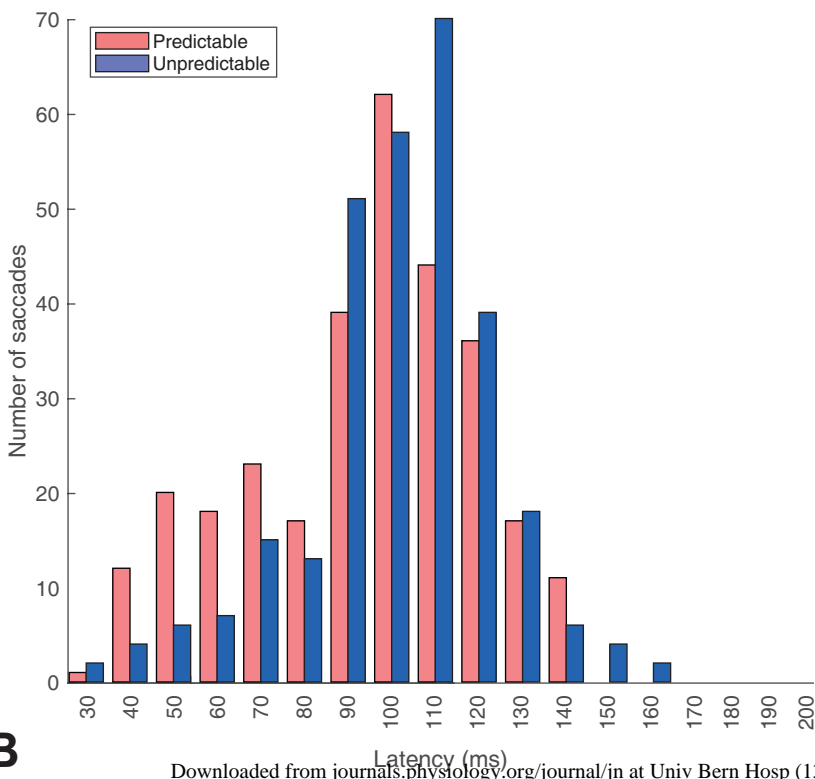


Experimental data

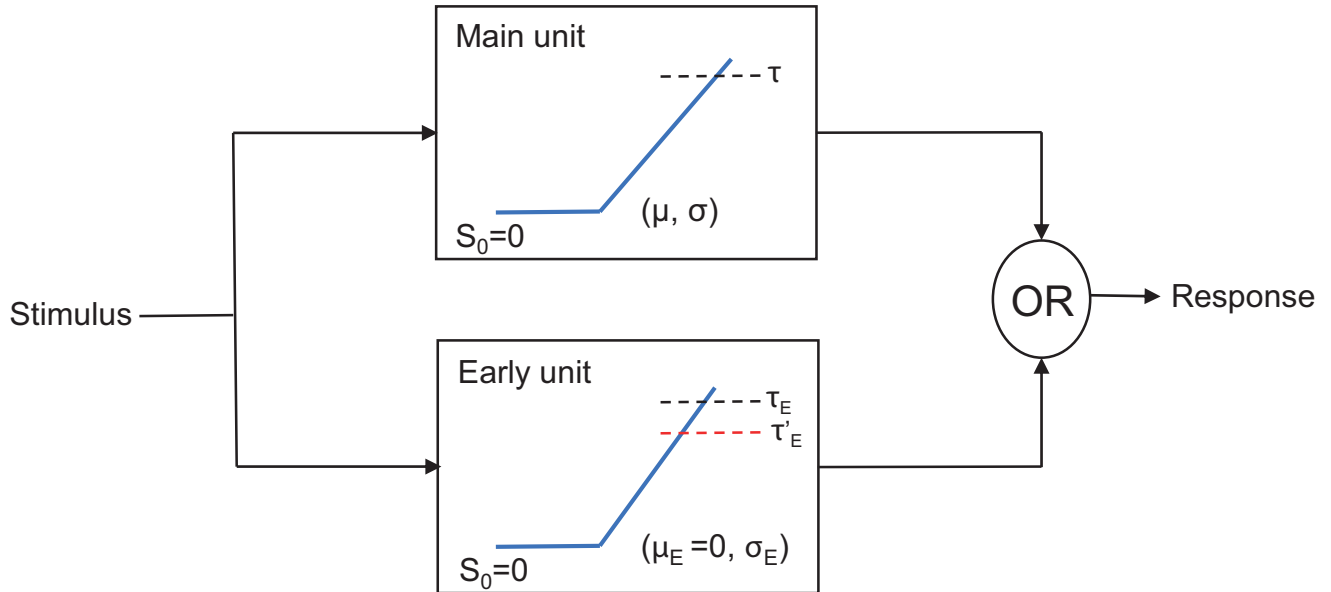


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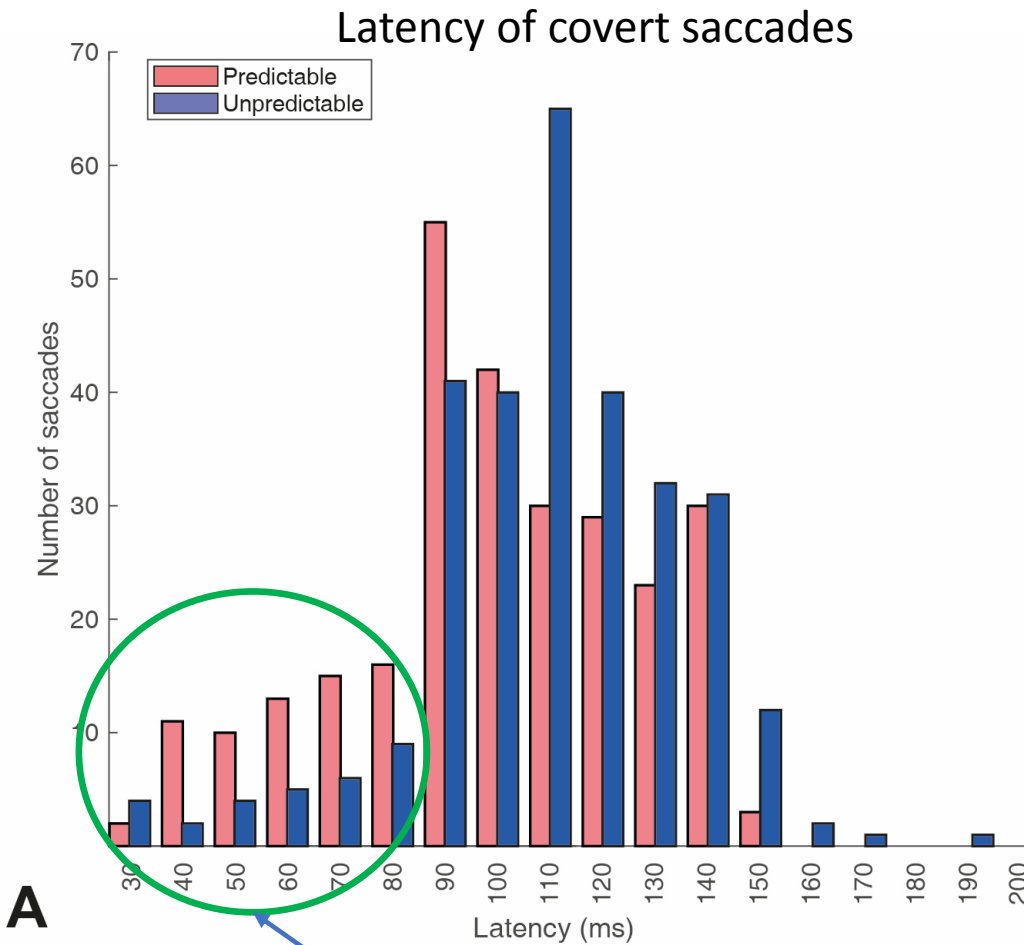
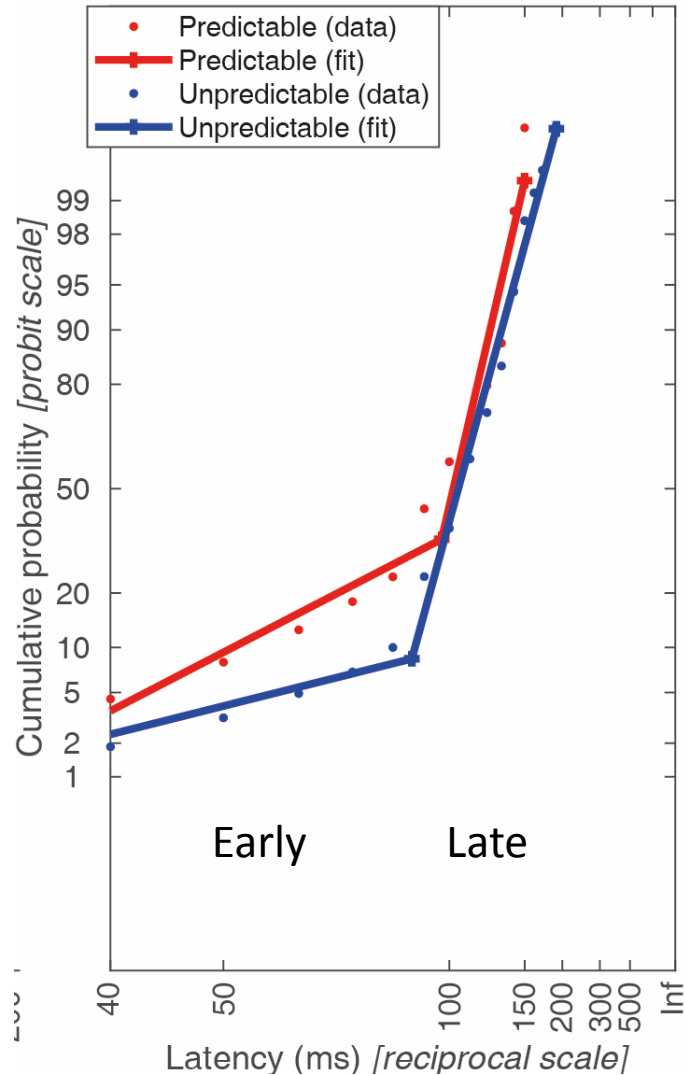
Model simulation



B



LATER analysis of covert saccades during predictable and unpredictable head impulses identifies two distributions for covert saccades in acute vestibular neuritis patients, produced by two competing decision mechanisms, which we called early and late covert saccades



A

Predictable head impulses show more early covert saccades than unpredictable ones.