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Influence of environmental temperature and heatwaves on surgical site infection after hip and knee arthroplasty: a nationwide study

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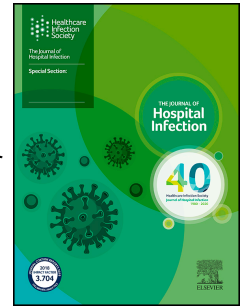
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1 **Title:** Influence of environmental temperature and heatwaves on surgical site infection after hip and knee
2 arthroplasty: a nationwide study
3

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20 **Keywords:** surgical site infection; temperature; season; heatwave; hip; knee; arthroplasty; prosthetic joint
21

22 Structured summary

23
24 Background: Previous studies reported higher incidence of surgical site infection (SSI) after procedures
25 performed in summer or with high temperatures. However, no study used detailed climate data to assess this
26 risk after hip and knee arthroplasty, and no study specifically investigated the role of heatwaves.

27
28 Aim: To assess the impact of higher environmental temperatures and heatwaves on SSI rates after hip and knee
29 arthroplasty.

30
31 Methods: Data on hip and knee arthroplasty procedures performed between 01/2013 - 09/2019 in hospitals
32 participating in the Swiss SSI surveillance were linked to climate data extracted from weather stations located
33 in their vicinity. The association between temperature, heatwaves and SSI was studied using mixed effects
34 logistic regression models fitted at the patient level. Poisson mixed models were fitted for both calendar year
35 and month of the year to investigate the SSI incidence trajectory over time.

36
37 Results: We included 116,981 procedures performed in 122 hospitals. Significantly higher SSI rates were
38 observed for procedures performed in the summertime (incidence rate ratio 1.39, 95% CI [1.20-1.60], $p < 0.001$;
39 reference: autumn) or in calendar months in which the mean temperature was above 20°C (reference 05-10°C;
40 odds ratio 1.59, 95% CI [1.27, 1.98] $p < 0.001$). We observed a slight but non-significant increase in the rate of
41 SSI during heatwaves (1.44% versus 1.01%, $p = 0.2$).

42
43 Conclusion: SSI rates after hip and knee replacement appear to increase with higher environmental
44 temperature. To establish if, and to what extent, heatwaves increase the risk of SSI, studies involving
45 geographical areas with larger variability in temperature are needed.

47 Introduction

48 Extreme climate events such as excessive heat and heatwaves are likely to become more frequent and more
49 intense with human activity-induced climate change [1]. These events are associated with increased mortality
50 and morbidity [2-4]. Recently, higher incidences of both community acquired [5-9] and healthcare-associated
51 (HAI) [10-13] infections have been reported to occur in warmer months. Surgical site infection (SSI) is the most
52 common and preventable HAI, and is associated with increased morbidity, mortality, and costs [14-16]. Risk
53 factors associated with SSI include, but are not limited to, advanced age, frailty, diabetes mellitus, and
54 complexity and length of surgery [17]. Recent reports showed a seasonal variation of SSI with higher incidence
55 in the summer or in periods with higher temperatures. This association was demonstrated for the most common
56 types of procedures [18-20], and specifically following spine surgery [21, 22], hip and knee arthroplasty [23] and
57 implant-based breast reconstruction [24].

58 In this study, we investigate the impact of environmental temperature (hereafter simply referred to as
59 “temperature” unless described otherwise) and heatwaves on the incidence of SSIs after elective hip and knee
60 arthroplasty in Switzerland, using a large and representative nationwide surveillance database and a
61 comprehensive network of meteorological stations. Our hypothesis was that the procedures performed in
62 periods with higher temperatures or during a heatwave would be correlated with an increased risk of SSI. Since
63 both the numbers of hip and knee replacement surgeries and heat-related extreme events are expected to
64 increase over the coming years [25], a deferral of procedures ahead of anticipated heatwaves might thus prevent
65 a relevant number of avoidable SSIs.

66 Methods

67 Surveillance data: In 2009, a national SSI surveillance program was introduced by the Swiss National Center
68 for Infection Prevention (www.Swissnoso.ch) for surveillance, benchmarking and quality control purposes.
69 Participating hospitals are required to record data of three types of surgeries in a catalogue of five eligible areas
70 of surgery (abdominal surgery and bariatric procedures; cardiac surgery; hip and knee arthroplasty;
71 laminectomy; caesarean section and hysterectomy). As of 30 September 2019, 486,000 procedures have been
72 included. The definitions used in the surveillance program are based on the Centers for Disease Control and
73 Prevention (CDC) National Healthcare Safety Network (NHSN) criteria [26]. Patient follow-up is by telephone
74 interview, 30 days after the index procedure for all procedure types, and again after 1 year for those procedures
75 that included implants. The entire process has been described in detail elsewhere [27]. For this study, we
76 considered elective hip and knee arthroplasty among all inpatients in the period from 1 January 2013 to 30
77 September 2019. We focused on hip and knee arthroplasty because these procedures are mostly elective and
78 can generally be postponed if needed. Per this surveillance system's criteria, a procedure is considered
79 "elective" if it was the reason for a planned admission. Arthroplasties with presence of previously implanted
80 prosthetic material, those performed immediately after a fracture or within 30 days after an infiltration, and in
81 cases of pre-existing infection at the time of surgery as ascertained by chart review, were not included.
82 Variables collected in the surveillance and considered in this study included: patient age and sex; National
83 Nosocomial Infections Surveillance (NNIS) risk index (derived from *a.* American Society of Anesthesiologists
84 (ASA) physical status classification system, *b.* length of surgery and *c.* class of contamination); date of
85 procedure; timing of the first antibiotic prophylaxis; length of hospitalization and destination post-discharge; date
86 and classification of the SSI, causative microorganism (if cultures were obtained) and all-cause mortality.

87 Climate data: since 1864, climate data in Switzerland have been collected by the Swiss Federal Office of
88 Meteorology and Climatology (MeteoSwiss, www.meteoswiss.admin.ch) in dedicated weather stations of the
89 National Basic Climatological Network (Swiss NBCN). Throughout the study period (1 January 2013 to 30
90 September 2019), 67 dedicated weather stations that cover all major climatic regions of Switzerland have
91 continuously collected daily data on temperature (°C, min, max, and mean), sunlight (hours per day),
92 precipitation (mm/24 hours) and relative air humidity (% , min, max, and mean).

94 Definition of heatwave: The definition of heatwave varies across countries and organizations, and which
95 definition should be used in health outcomes research is still a matter of debate [28]. For our analysis, we used
96 the criteria currently employed by the Federal Office of Meteorology and Climatology (Meteoswiss), established

97 in collaboration with the Swiss Tropical and Public Health Institute (Swiss TPH). According to these criteria, a
98 heatwave is declared once the daily *mean* temperature is equal to or above 25°C (77°F) for at least three days
99 in a row [29].

100 Data merging: To link climate and surveillance data, the weather station nearest to the participating hospital was
101 selected (usually located in the same city), and its measurements integrated with the surveillance dataset.
102 Following the merging process, data were aggregated to calendar month to investigate the influence of the
103 average monthly temperature on the outcome. However, to investigate the primary endpoint (i.e. presence or
104 absence of a heatwave on the day of the procedure), we used the specific calendar day.

105 Statistical analyses: 30 day and 1 year SSI rate was the primary endpoint of this study, while the primary
106 exposure was the presence of a heatwave, as defined above, on the day of the procedure. Our main hypothesis
107 was that heatwave periods correlated with the SSI rate. The primary analysis compared the crude SSI rate for
108 procedures performed during heatwave periods versus non-heatwave periods using a chi-square test. Uni- and
109 multivariable mixed effects logistic regression models were then fitted at the patient level to estimate risk factors
110 for SSI (the dependent variable) with independent variables: presence or absence of a heatwave, mean
111 temperature (either as a continuous variable or as categories), sex, age, length of surgery, ScoreT (“overlong
112 operation”, i.e. the duration of surgery is above the 75th percentile of the benchmark for this particular surgery
113 across all captured procedures in Switzerland), ASA score, number of individual prophylactic antibiotic agents,
114 timing of first antibiotic prophylaxis, and hospital size. A random intercept effect was included for each hospital.
115 Analogously, unadjusted Poisson mixed models were also fitted for both calendar year during the study (2013-
116 2019) and month of the year (Jan-Dec, for all years) to investigate the SSI incidence trajectory over time. The
117 numerator for these models was the total number of SSIs and denominator the total number of procedures in
118 the respective month. Again, a random intercept for each hospital was included, with a cubic spline fitted for the
119 respective timescale (6 knots placed at equidistant points of the respective distribution). For this analysis, we
120 did not consider other meteorological parameters such as humidity. Humidity is indirectly accounted for by using
121 the mean average temperature to define heatwave (the primary exposure in our study) [29].

122 All analyses were performed with R (version 4.0.2). P values < 0.05 were considered statistically significant. The
123 analysis is in compliance with the STROBE guidelines for observational studies [30].

124 Ethics statement: As the analysis has been performed on anonymized non-genetic surveillance data, ethical
125 consent was not required according to the Swiss law for research on humans (Article 33, Paragraph 2, Human
126 Research Act).

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127 Results

128 During the study period, 116,981 procedures of elective hip and knee arthroplasty were included from 122
129 hospitals, located in proximity of 46 weather stations; with 1.02% (N=1190) of them complicated by SSI. Overall,
130 975 (0.8%) procedures were performed during a heatwave. Baseline characteristics of patients with and without
131 SSI are listed in Supplementary Table A1. Supplementary Figure A1 illustrates the average monthly temperature
132 recorded in the climate stations linked to the hospitals. In terms of the primary analysis, we observed a non-
133 significant increase in the rate of SSI during heatwaves, compared to other times (1.44% versus 1.01%, $p=0.2$).
134 Table 1 displays the crude number of procedures and the rates of SSI, stratified by five mean monthly
135 temperature intervals. About 7% of the procedures were performed on days of a given calendar month in which
136 that month's temperature was above 20°C. A significant increase of the SSI rate was observed in this group
137 (reference 05-10°C; OR 1.59, 95% CI [1.27, 1.98] $p<0.001$). Of note, in a subset of patients with a BMI ≤ 25
138 kg/m² this association disappeared (OR 1.05, 95% CI [0.51, 2.01], $p=0.9$, with 5-10°C as a reference). Moreover,
139 when the microbiology information was available (in about 50% of SSIs), an increased odds ratio of SSI to be
140 caused by Gram-negative bacteria (as opposed to Gram-positive bacteria) was observed for mean temperatures
141 above 16°C (reference 05-10°C; OR 2.85, 95% CI [1.41, 6.07], $p=0.005$ for the group interval 16-20°C and OR
142 3.10, 95% CI [1.37, 7.21], $p=0.007$ for the group interval $>20^\circ\text{C}$). A sub-analysis showed that SSI after hip
143 arthroplasty drove this increase (OR 3.42, 95% CI [1.55, 8.23], $p=0.003$ for the group interval 16-20°C and OR
144 3.89, 95% CI [1.59, 10.0], $p=0.004$ for the group interval $>20^\circ\text{C}$). After knee arthroplasty, no difference was
145 found (Supplementary Table A2 and Supplementary Table A3).

146 Figure 1 plots temporal trends in the incidence of SSI (as the number of episodes per 1000 procedures)
147 alongside aggregated monthly temperature levels (in °C). In *Panel A*, the seasonal fluctuation of SSI rates
148 reveals a degree of correlation with the peaks of maximum temperature, although summertime was not always
149 associated with higher incidence. *Panel B* plots monthly incidence and mean monthly temperature indicating a
150 general trend of higher incidence of SSI with higher temperatures. Table 2 summarizes estimated risk factors
151 for SSI from fitted uni- and multivariable logistic regression models. In the multivariable adjusted model, male
152 sex, hip arthroplasty (versus knee), ASA level ≥ 3 and prolonged length of surgery were all significantly
153 associated with an increased risk of SSI. Heatwaves were not associated with increased odds of an SSI
154 (adjusted OR 1.4, 95% CI [0.9-2.22], $p=0.12$). The Poisson model revealed that procedures performed in the
155 summer were at higher risk of developing SSI than if performed in other seasons (IRR 1.39, 95% CI [1.20-1.60],
156 $p<0.001$).

157 Discussion

158 Our nationwide surveillance study demonstrated seasonal variation in SSI rates after hip and knee arthroplasty,
159 with increased incidence during the summer and with higher environmental temperatures at the time of the
160 procedure, as previously observed for this type of surgery [23] as well as non-orthopaedic procedures [19, 20].
161 A unique aspect of our study is that we used data collected in a capillary network of weather stations located in
162 the vicinity of the participating hospitals, a methodology that - to the best of our knowledge - has never been
163 used to specifically investigate the impact of climate factors on SSI after hip and knee arthroplasty. As hip and
164 knee arthroplasties are usually elective procedures, postponing them during heatwaves (also a partially
165 predictable event), could have practical implications for infection prevention measures and SSI rates. Using our
166 categorization of heatwaves, defined as a minimum of three days with mean temperatures of at least 25°C
167 (77°F), we did not find an association between heatwaves and SSI. However, this might be related to the
168 heatwave definition we used, and could also be explained by the fact that, in our latitudes, the temperatures
169 recorded during a typical summer differ only marginally from those recorded during heatwaves.

170 The underlying pathophysiological mechanism behind the increase in SSIs with warmer temperatures is not well
171 understood. Changes in the skin microbiome with warmer and more humid environments have been
172 hypothesized to be drivers [31]. In our study, no association between temperature and SSI was found in the
173 group of patients with a BMI of less than 25 kg/m², which might, to some extent, corroborate this hypothesis. A
174 decrease in sweat glands and sweat secretions in elderly patients could partially explain lower SSI rates in
175 populations observed in some studies [24] (including ours, where SSI rates were lower among patients aged 65
176 years and older). Among culture-positive infections, SSIs were predominantly caused by Gram-positive bacteria;
177 however, increased rates of SSI after hip (but not knee) arthroplasty due to Gram-negative bacteria were
178 associated with higher temperatures, as previously observed in a study on several types of surgical procedures
179 [32]. This could be explained by the vicinity to the groin and anal region. Of note, a metagenomics study showed
180 that the skin microbiome remains overall stable over time, despite exposure to variable conditions in the external
181 environment [33], but variability was more pronounced at moist sites (such as the groin region). Perioperative
182 hypothermia may increase [34] and preoperative warming may lower [35] the risk of SSI, while a post-operative
183 local warming had no effect in a single-blinded randomized controlled trial [36]. Current guidelines recommend
184 maintaining normothermia in the perioperative period [16, 37], which is (albeit not captured by this surveillance)
185 standard across the institutions participating in our study, where the temperature in operation rooms is pre-set,
186 controlled and immediately re-established in case of disruption. Therefore, since operating room temperature is
187 a near-constant, the temperature outside the operating room is likely to be much more relevant, as, for instance

188 in hospital rooms with no conditioned air or in the immediate postoperative period spent outside of healthcare
189 facilities. Of note, in Switzerland air conditioning systems are rarely installed outside operating rooms and
190 intensive care units, which makes it difficult to cool these patient rooms in the summer or during heatwaves. Our
191 results suggest that procedures performed in the summer and with higher environmental temperature are
192 associated with higher rates of SSI. However, we could not demonstrate a significant impact of heatwaves,
193 arguably due to the limited number of procedures performed during a heatwave, as per the definition used here.
194 Moreover, heatwaves are extreme events that usually occur during periods with higher baseline temperature
195 (themselves independently associated with higher SSI rates). This may mask their true influence in the analysis.
196 To better differentiate the risk attributable to the heatwave from the underlying risk increase, a larger variation
197 between temperature extremes and warm season temperatures is needed.

198 Given the expected increase of both elective arthroplasty surgeries and heat-related extreme events in the near
199 future, it is critical to assess the appropriateness of procedures performed on particularly hot days and
200 heatwaves. Further studies addressing this subject are therefore needed and may have to involve geographical
201 areas with larger variability in temperatures.

202 Our study has some limitations. First, our national data may not be generalizable to other countries, especially
203 where air conditioning systems are standard on hospital wards. The association between increased temperature
204 and SSI, however, has been observed globally, and we therefore expect our conclusions to be generalizable at
205 least to some extent. Second, we used a definition of heatwave that may differ from definitions used in other
206 countries and in other health outcomes research. This highlights the need for a universal and standardized
207 definition of heatwave in exposure studies. Third, the distances between hospitals and weather station were not
208 available. However, due to the closely-knit network of the weather stations in Switzerland and the fact that the
209 vast majority of them are located in the same city as the hospital, this should not strongly influence our findings.
210 Fourth, due to the surveillance nature of this study, we could not identify possibly relevant confounders such as
211 the temperature inside a given hospital or in rehabilitation facilities, as well as the presence of air conditioning
212 systems; and lastly, some relevant data, such as microbiological data and relevant patient-level risk factors were
213 incomplete or missing.

216 Conclusions:

217 SSI rates after hip and knee replacement increase with higher environmental temperature. To establish if, and
218 to which extent, heatwaves increase the risk of SSI, studies involving geographical areas with larger variability
219 in temperatures are needed.

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Other statements

Ethics statement: As the analysis has been performed on anonymized non-genetic surveillance data, ethical consent was not required according to the Swiss law for research on humans (Article 33, Paragraph 2, Human Research Act).

Data availability: The datasets generated during and/or analysed during the current study are not publicly available but are available from the corresponding author on reasonable request.

Authors' contributions: LD, AA and JM conceived the study. LD cleaned and, under AA's supervision, analysed the data. AA analysed the data. LF provided the data from MeteoSwiss and double-checked the validation results LD wrote the first draft of the manuscript. AA, LF, JB, PJ, NT, AW and JM substantially contributed to the interpretation of the data and provided critical inputs to the manuscript. All authors read and approved the final manuscript.

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Table 1 Outcome according to mean environmental temperature, in °C, stratified by five average monthly temperature intervals

Temperature (°C)	<5	05-10	11-15	16-20	>20	overall
crude numbers						
Interventions (N/%)	36502 / 31%	25608 / 22%	23100 / 20%	23086 / 20%	8685 / 7%	116981
SSI (N/%)	354 / 30%	231 / 19%	234 / 20%	247 / 10%	124 / 10%	1190
OR, (95% CI) p-value	1.08 [0.91, 1.27] p=0.4	1.00 Reference	1.12 [0.94, 1.35] p=0.2	1.19 [0.99, 1.98] p=0.06	1.59 [1.27, 1.98] p<0.001	
with pathogen	171	119	114	124	62	590
gram-positive	147	107	97	94	46	491
gram-negative	24	12	17	30	16	99
Rates per 1000 OP						
SSI rates	9.7	9.0	10.1	10.7	14.3	10.2
with pathogen	4.7	4.6	4.9	5.4	7.1	5.0
gram-positive	4.0	4.2	4.2	4.1	5.3	4.2
gram-negative	0.7	0.4	0.7	1.3	1.8	0.8
GN-SSI OR, (95% CI) p-value	1.46 [0.71, 3.13] p=0.3	1.00 Reference	1.56 [0.72, 3.51] p=0.3	2.85 [1.41, 6.07] p=0.005	3.10 [1.37, 7.21] p=0.007	

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Legend: OR: odds ratio; CI: confidence interval; intervention; GN; gram negative

Since the average maximum temperature over the whole study period was 9.4 °C, the interval 05-10°C was chosen as the reference

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Table 2 Estimated risk factors for SSI from the fitted uni- and multivariable logistic regression models.

Characteristic N (%) / median [IQR] Endpoint = SSI (0/1)	Univariable			Multivariable*		
	Odds ratio	95% CI	p-value	Adjusted Odds ratio	95% CI	p-value
Main exposure:						
Heatwave						
no	1 (reference)					
yes	1.4	[0.9, 2.2]	0.12	1.4	[0.9, 2.2]	0.12
Mean temperature (per 5 degrees C)	1.1	[1.0, 1.1]	0.06	nE		
Monthly mean Temperature (C°)				-		
<5	1.1	[0.9, 1.3]	0.4			
5 – 10	(reference)					
11 – 15	1.1	[0.9, 1.4]	0.2			
16 – 20	1.2	[1.0, 2.0]	0.06			
>21	1.6	[1.3, 2.0]	<0.001			
Sex – female	0.7	[0.6, 0.8]	<0.001	0.7	[0.6, 0.8]	<0.001
Age (10 year steps)	1.0	[0.9, 1.0]	0.1			
Age >65	0.9	[0.8, 1.0]	0.03	0.8	[0.7, 0.9]	0.03
Age >75	1.0	[0.9, 1.1]	0.8			
Procedure Type						
- Hip	1 (reference)					
- Knee	0.7	[0.6, 0.9]	0.006	0.7	[0.6, 0.8]	<0.001
Duration of procedure (per 30 minutes longer)	1.1	[1.1, 1.1]	<0.001	1.0	[1.0, 1.1]	0.02
Overlong operation (ScoreT)	1.7	[1.4, 2.1]	<0.001	1.6	[1.3, 2.0]	<0.001
ASA levels						
1/2	1 (reference)					
3/4/5	1.8	[1.5, 2.1]	<0.001	1.9	[1.5, 2.2]	<0.001
Number of prophylactic antibiotics given				-		
None	1 (reference)					
1	1.3	[0.5, 3.5]	0.7			
2	0.8	[0.2, 2.8]	0.7			
>3	1.2	[0.4, 3.6]	0.7			
Timing of first antibiotic (30 minute steps)	1.0	[1.0, 1.1]	0.3	-		
Hospital size (beds)						
<200	1 (reference)					
200-499	1.1	[0.8, 1.7]	0.5	1.1	[0.8, 1.5]	0.7
500+	1.7	[1.3, 2.2]	<0.001	1.4	[1.1, 1.7]	0.01

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Legend: Heatwave was defined as a period in which the mean temperature was equal or above 25°C for at 3 days or more.

ASA: American Society of Anesthesiology score; nE: not estimated (colinear with heatwave);

*variables were included via forwards selection then backwards deletion with p<0.1 as inclusion criteria

Table 1: Outcome according to mean environmental temperature, in °C, stratified by five average monthly temperature intervals

Temperature (°C)	<5	05-10	11-15	16-20	>20	overall
<i>crude numbers</i>						
Interventions (N/%)	36502 / 31%	25608 / 22%	23100 / 20%	23086 / 20%	8685 / 7%	116981
SSI (N/%)	354 / 30%	231 / 19%	234 / 20%	247 / 10%	124 / 10%	1190
OR, (95% CI)	1.08 [0.91, 1.27]	1.00	1.12 [0.94, 1.35]	1.19 [0.99, 1.98]	1.59 [1.27, 1.98]	
p-value	p=0.4	Reference	p=0.2	p=0.06	p<0.001	
with pathogen	171	119	114	124	62	590
gram-positive	147	107	97	94	46	491
gram-negative	24	12	17	30	16	99
<i>Rates per 1000 OP</i>						
SSI rates	9.7	9.0	10.1	10.7	14.3	10.2
with pathogen	4.7	4.6	4.9	5.4	7.1	5.0
gram-positive	4.0	4.2	4.2	4.1	5.3	4.2
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p-value	p=0.3	Reference	p=0.3	p=0.005	p=0.007	

Legend: OR: odds ratio; CI: confidence interval; intervention; GN; gram negative

Since the average maximum temperature over the whole study period was 9.4 °C, the interval 05-10°C was chosen as the reference

Table 2. Estimated risk factors for SSI from the fitted uni- and multivariable logistic regression models.

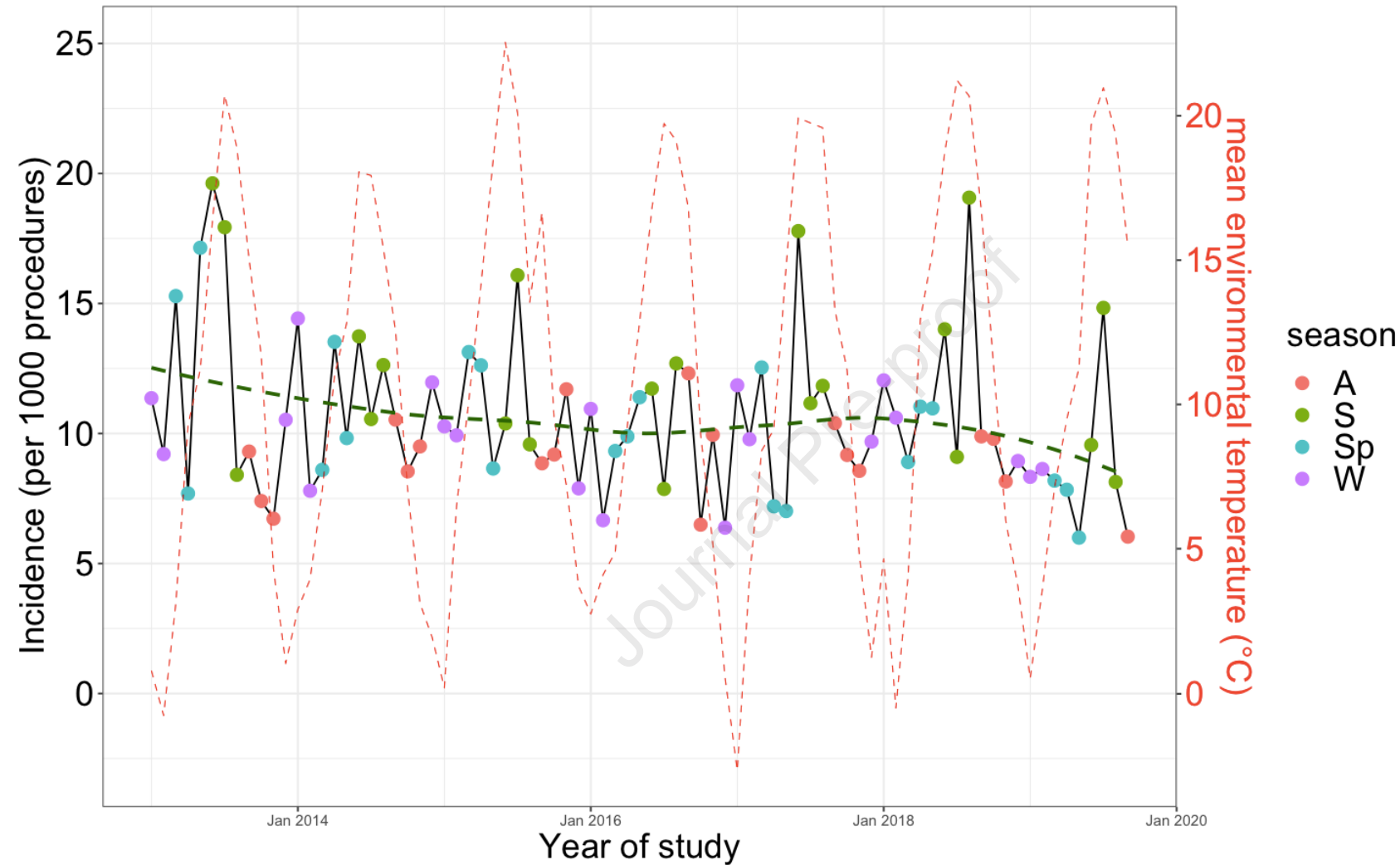
Characteristic N (%) / median [IQR]	Univariable			Multivariable*		
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Number of prophylactic antibiotics given						
None	1 (reference)					
1	1.3	[0.5, 3.5]	0.7			
2	0.8	[0.2, 2.8]	0.7			
>3	1.2	[0.4, 3.6]	0.7			
Timing of first antibiotic (30 minute steps)	1.0	[1.0, 1.1]	0.3	-		
Hospital size (beds)						
<200	1 (reference)					
200-499	1.1	[0.8, 1.7]	0.5	1.1	[0.8, 1.5]	0.7
500+	1.7	[1.3, 2.2]	<0.001	1.4	[1.1, 1.7]	0.01

Legend: Heatwave was defined as a period in which the mean temperature was equal or above 25°C for at 3 days or more.

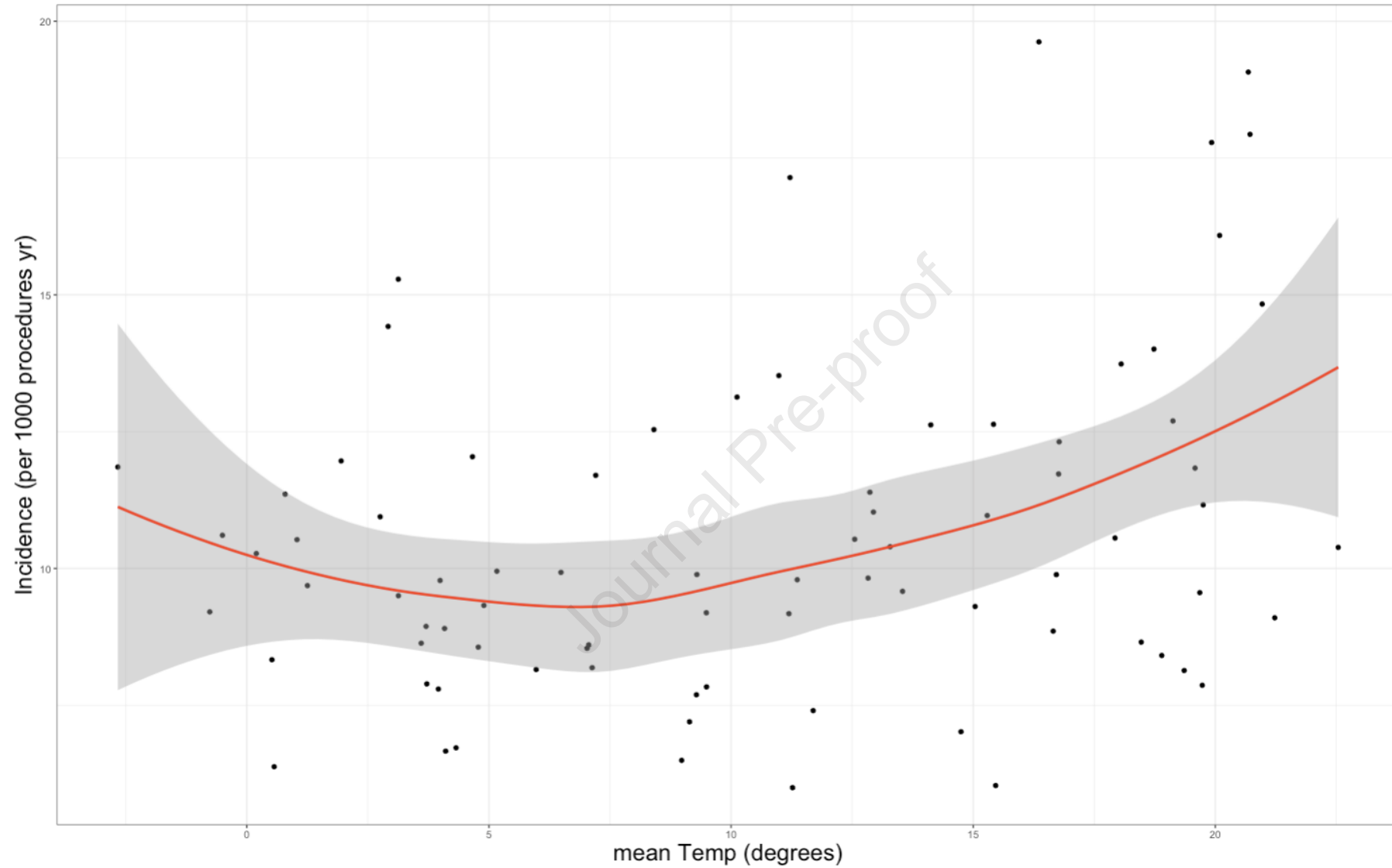
ASA: American Society of Anaesthesiology score; nE: not estimated (colinear with heatwave);

*variables were included via forwards selection then backwards deletion with p<0.1 as inclusion criteria

Figure 1: Surgical site infection after hip and knee arthroplasty: temporal trends in crude SSI incidence and maximum temperature



Panel A: Black solid line: crude incidence per 1000 person years; red dotted line maximum temperature in °C; green dashed line locally weighted smoothing (LOESS); A = autumn, Su = summer, Sp = spring, W = winter.



Panel B: Correlation plot between SSI incidence per month and environmental mean temperature (Celsius); red solid line locally weighted smoother (LOESS) with 95% confidence intervals (shaded grey); Pearson's correlation $\rho = 0.31$ (p -value = 0.04).