

# On the relationship between Nd isotopic composition and ocean overturning circulation in idealized freshwater discharge events

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Received 2 March 2012; revised 19 June 2012; accepted 20 June 2012; published 9 August 2012.

[1] Using a cost-efficient climate model, the effect of changes in overturning circulation on neodymium isotopic composition,  $\epsilon_{Nd}$ , is systematically examined for the first time. Idealized sequences of abrupt climate changes are induced by the application of periodic freshwater fluxes to the North Atlantic (NA) and the Southern Ocean (SO), thus mainly affecting either the formation of North Atlantic Deep Water (NADW) or Antarctic Bottom Water (AABW). Variations in  $\epsilon_{Nd}$  reflect weakening and strengthening of the formation of NADW and AABW, changes in  $\epsilon_{Nd}$  of end-members are relatively small. Relationships between  $\epsilon_{Nd}$  and the strength of NADW or AABW are more pronounced for AABW than for NADW. Atlantic patterns of variations in  $\epsilon_{Nd}$  systematically differ between NA and SO experiments. Additionally, the signature of changes in  $\epsilon_{Nd}$  in the Atlantic and the Pacific is alike in NA but opposite in SO experiments. Discrimination between NA and SO experiments is therefore possible based on the Atlantic pattern of variations in  $\epsilon_{Nd}$  and the contrariwise behavior of  $\epsilon_{Nd}$  in the Atlantic and the Pacific. In further experiments we examined the effect of variations in magnitudes of particle export fluxes. Within the examined range, and although settling particles represent the only sink of Nd, their effects on  $\epsilon_{Nd}$  are relatively small. Our results confirm the large potential of  $\epsilon_{Nd}$  as a paleocirculation tracer but also indicate its limitations of quantitative reconstructions of changes in the Atlantic Meridional Ocean Circulation.

**Citation:** Rempfer, J., T. F. Stocker, F. Joos, and J.-C. Dutay (2012), On the relationship between Nd isotopic composition and ocean overturning circulation in idealized freshwater discharge events, *Paleoceanography*, 27, PA3211, doi:10.1029/2012PA002312.

## 1. Introduction

[2] Reconstructions of past changes in ocean overturning circulation have been proposed from records of paleocirculation proxies that reflect the distribution of water masses or the rate of ocean circulation and that can be measured in sediment cores. For this reason, considerable work has been put into the investigation of the cycling of various elements, as well as elemental and isotope ratios (see Lynch-Stieglitz [2003] for an overview). However, an unequivocal reconstruction of changes in past overturning circulation is difficult, and still not even possible for the Last Glacial Maximum (LGM), a period of time for which a wide range of data is available [Lynch-Stieglitz *et al.*, 2007; Marchal and Curry,

2008; Hesse *et al.*, 2011]. A relatively novel and promising quasi-conservative tracer of water mass distribution and mixing is the isotopic composition of neodymium ( $\epsilon_{Nd}$ ) [von Blanckenburg, 1999; Burton and Vance, 2000; Frank, 2002].

[3] The purpose of this study is to systematically examine the effect of changes in overturning circulation on  $\epsilon_{Nd}$  in idealized sequences of abrupt climate change for the first time. The timing of the sequences is chosen to shed some light on the characteristics of  $\epsilon_{Nd}$  expected during Heinrich and Dansgaard-Oeschger (DO) events. This may contribute to a better knowledge of the effects of changes in the strength of the ocean overturning circulation of different magnitude and duration on  $\epsilon_{Nd}$ .

[4] The Nd isotopic ratio ( $^{143}Nd/^{144}Nd$ ) of a sample relative to the “bulk earth” standard value of 0.512638 [Jacobsen and Wasserburg, 1980] is reported as

$$\epsilon_{Nd} = \left( \frac{\left( \frac{^{143}Nd}{^{144}Nd} \right)_{sample}}{\left( \frac{^{143}Nd}{^{144}Nd} \right)_{std}} - 1 \right) \cdot 10^4. \quad (1)$$

Isotopes  $^{143}Nd$  and  $^{144}Nd$  have recently been included into a cost-efficient, dynamical ocean model of intermediate complexity and are simulated in reasonable agreement with available observations [Rempfer *et al.*, 2011].

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0883-8305/12/2012PA002312

[5] Past Nd isotopic composition of deep water masses is recorded reliably in manganese nodules [Albarède and Goldstein, 1992; Albarède et al., 1997], ferromanganese crusts [Piepgras et al., 1979; Piepgras and Wasserburg, 1980; Albarède and Goldstein, 1992; Rutberg et al., 2000], benthic foraminifera [Klevenz et al., 2008; Elmore et al., 2011], fish debris [Martin and Haley, 2000] and deep-sea coral aragonite [van de Flierdt et al., 2010]. In recent years, millennial-scale as well as glacial-interglacial variations in  $\epsilon_{Nd}$  extracted from such archives are increasingly being interpreted in terms of changes in the distribution of water masses [e.g., Rutberg et al., 2000; Piotrowski et al., 2004, 2005, 2008; Gutjahr et al., 2008; Piotrowski et al., 2009; Robinson and van de Flierdt, 2009; Gutjahr et al., 2010; Roberts et al., 2010; Gutjahr and Lippold, 2011].

[6] All these studies indicate the potential of  $\epsilon_{Nd}$  as a complementary tracer of past water mass mixing. However, some uncertainty is associated with Nd, complicating attempts to interpret variations in  $\epsilon_{Nd}$  simply due to changes in overturning circulation. First of all, considerable uncertainties are associated with the nature and magnitude of Nd-sources and sinks [e.g., Goldstein and Jacobsen, 1987; Jeandel et al., 1995; Tachikawa et al., 1999; Frank, 2002; Tachikawa et al., 2003; van de Flierdt et al., 2004; Lacan and Jeandel, 2005a; van de Flierdt et al., 2007; Arsouze et al., 2007; Siddall et al., 2008; Arsouze et al., 2009; Rempfer et al., 2011]. Though, an adequate representation of Nd sources and sinks is an important prerequisite for the simulation of present-day observations of dissolved Nd concentrations and  $\epsilon_{Nd}$  [Rempfer et al., 2011]. In addition, Tachikawa et al. [2003] indicated that changes in the magnitude and  $\epsilon_{Nd}$  of Nd sources over the years potentially affect  $\epsilon_{Nd}$  of deep water masses besides changes in water mass mixing. Naturally, the extent of such changes is difficult to constrain. J. Rempfer et al. (Sensitivity of Nd isotopic composition in seawater to changes in Nd sources and paleoceanographic implications, submitted to *Journal of Geophysical Research*, 2012) reconsidered these concerns and found that rather extreme changes in magnitude and  $\epsilon_{Nd}$  in Nd sources are necessary to cause a magnitude in variations in  $\epsilon_{Nd}$  as observed, e.g., on glacial-interglacial time-scales. Furthermore, the interpretation of variations in reconstructed  $\epsilon_{Nd}$  as changes in overturning circulation relies on the assumption that changes in the end-member composition can be neglected. Indeed,  $\epsilon_{Nd}$  in the North Atlantic seems to have been relatively stable during parts of the last 30 kyr [van de Flierdt et al., 2006] and during the last 5 glacial cycles [Foster et al., 2007], respectively. However, these studies were either restricted to short time periods [van de Flierdt et al., 2006] or rely on data with relatively low temporal resolution [Foster et al., 2007]. Moreover, Gutjahr et al. [2008] and Arsouze et al. [2008] indicated that during the LGM  $\epsilon_{Nd}$  of North Atlantic Deep Water (NADW, i.e., of Glacial North Atlantic Intermediate Water, GNAIW) may have been different. Finally, the paleoceanographic potential of  $\epsilon_{Nd}$  has not been examined in a comprehensive way in modeling studies, as these were restricted either by computational costs or by the chosen approach. That is, to date only one modeling study is available that is based on a relatively simple approach of restoring  $\epsilon_{Nd}$  at the continental margins [Arsouze et al., 2008]. This study aimed to examine the

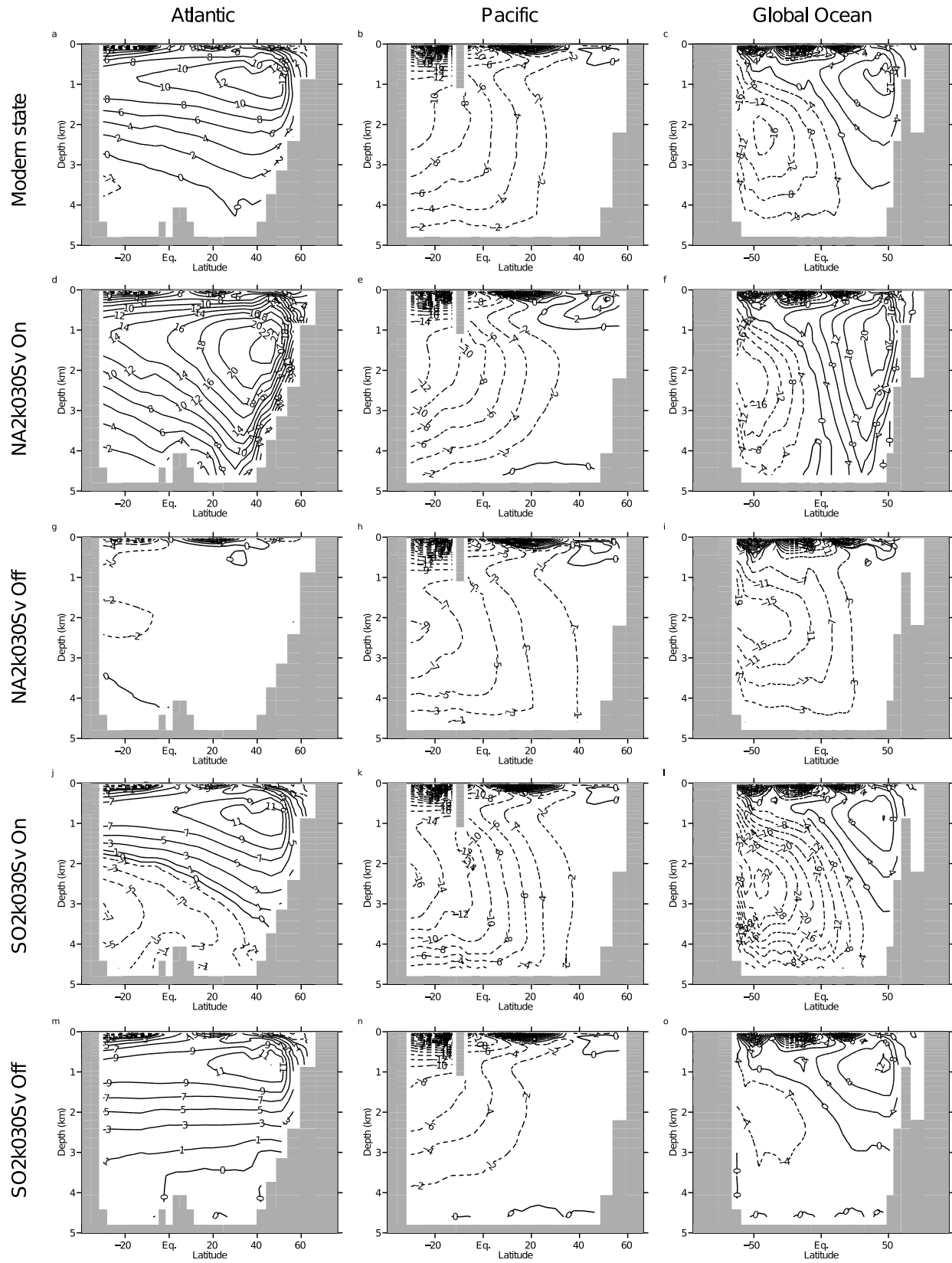
effects of changes in Meridional Overturning Circulation (MOC) during the LGM on  $\epsilon_{Nd}$ .

[7] Our comprehensive approach together with the high computational efficiency of the Bern3D model allows us to examine the paleoceanographic potential of  $\epsilon_{Nd}$  in a systematic way and it will therefore contribute to the interpretation of variations in reconstructed  $\epsilon_{Nd}$  as past changes in overturning circulation.

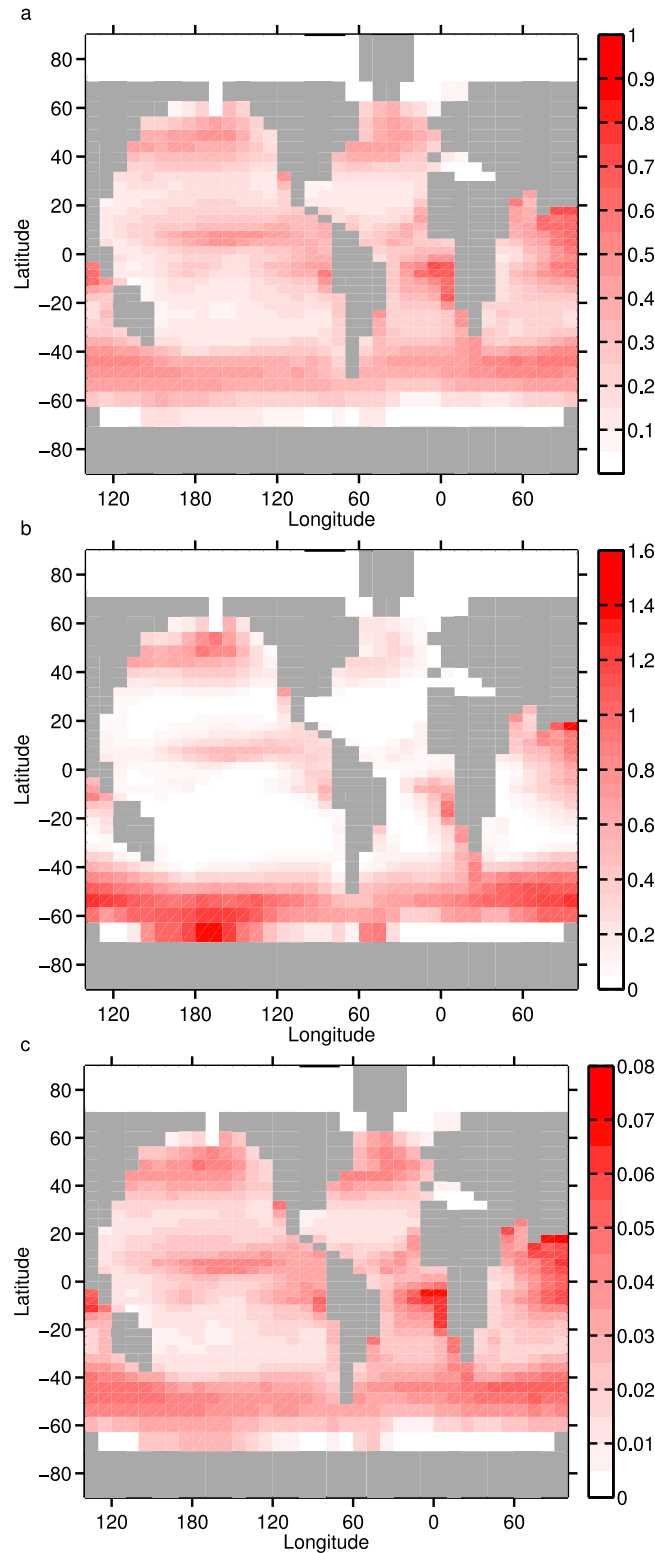
[8] In order to examine the sensitivity of  $\epsilon_{Nd}$  in seawater to transient changes in overturning circulation, different periods and amplitudes of the forcing are investigated (section 4). Besides, we evaluate potential effects of variations in particle fluxes on variations in  $\epsilon_{Nd}$  (section 5). Further information that may be derived from variations in  $\epsilon_{Nd}$  in sediment cores, such as changes in the rate and the origin of changes in the overturning circulation, is discussed in section 6. Finally, we summarize our findings and draw some conclusions regarding the paleoceanographic potential of  $\epsilon_{Nd}$  (section 7).

## 2. Methods

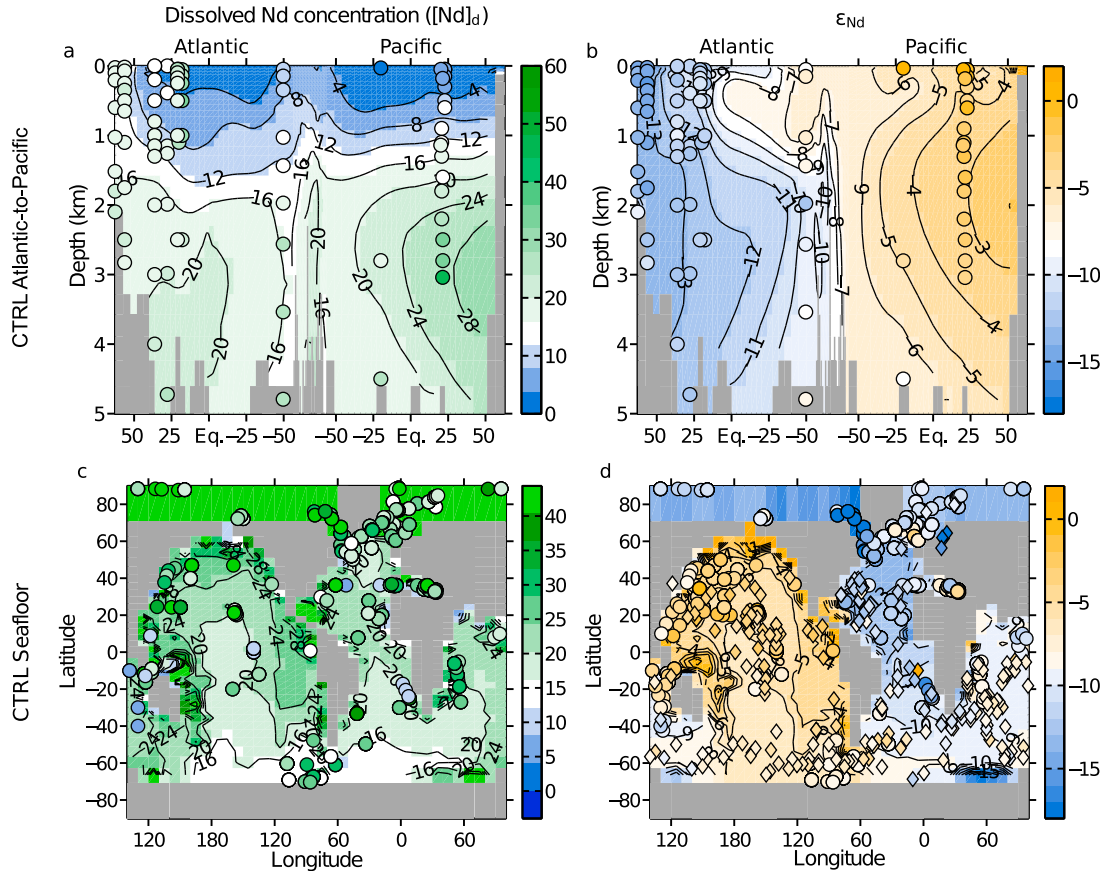
[9] For our simulations we use the Bern3D ocean model of intermediate complexity [Müller et al., 2006], coupled to an energy balance model [Ritz et al., 2011a, 2011b]. The ocean model is based on the three-dimensional frictional geostrophic balance model of Edwards and Marsh [2005]. Resolution in the horizontal is  $36 \times 36$  grid cells, equidistant in longitude and in the sine of latitude. Spacing of the 32 depth layers is logarithmic, i.e., the thickness increases with depth from 39 m in the uppermost to 397 m in the bottom layer. The Bern3D model is computationally very efficient and thus well suited for long-term paleoclimate simulations [Ritz et al., 2011a] as well as for sensitivity studies [e.g., Tschumi et al., 2008; Parekh et al., 2008; Rempfer et al., 2011; Ritz et al., 2011b]. The model contains a biogeochemical module, in which particle export fluxes of calcite ( $\text{CaCO}_3$ ), opal, and Particulate Organic Carbon (POC) are calculated from prognostic equations using P, Si, and Fe as limiting nutrients (see Parekh et al. [2008] and Tschumi et al. [2008] for a detailed description). Neodymium isotopes  $^{143}\text{Nd}$  and  $^{144}\text{Nd}$ , which we are focusing on in this study, have recently been included, and a detailed description of the approach is given in a previous study [Rempfer et al., 2011]. Since the publication of this study, an error was found in the module which affected the calculation of the global mean concentration of  $\text{CaCO}_3$ , opal, and POC (indicated in Rempfer et al. [2011, Table 2]). This error however does not affect the main conclusions of Rempfer et al. [2011]. Updated values of global mean particle concentrations are  $3.3 \cdot 10^{-6} \text{ kg m}^{-3}$  for POC,  $1.6 \cdot 10^{-6} \text{ kg m}^{-3}$  for  $\text{CaCO}_3$ , and  $5.9 \cdot 10^{-6} \text{ kg m}^{-3}$  for opal. For the purpose of this study we couple the ocean model to an energy-moisture balance model permitting transient simulations. Resulting annual mean Atlantic Meridional Overturning Circulation (AMOC), Pacific Meridional Overturning Circulation (PMOC) and global MOC are shown in Figure 1. Note that the wind-driven mixed layer, i.e., depths shallower than 400 m, is not taken into account for the calculation of AMOC, PMOC, and global MOC. Furthermore, note that in the following the minimum of the global MOC, i.e., deep overturning in the Southern Ocean (SOMOC), is referred to as  $\psi_{\text{SOMOC}}$ . In this set up simulated pattern and



**Figure 1.** Annual mean meridional overturning circulation in the Atlantic, the Pacific and the Global ocean for (a-c) the CTRL, as well as for on- and off-states for experiments (d-i) NA2k030Sv and (j-o) SO2k030Sv (see Table 2 for further details). Solid lines indicate positive (clockwise) circulation, dashed lines indicate negative (counterclockwise) circulation. Contour interval is 2 Sv ( $10^6 \text{ m}^3 \text{ s}^{-1}$ ) in Atlantic and Pacific, and 4 Sv in the Global ocean cases, respectively.



**Figure 2.** Global maps of steady state export production of (a)  $\text{CaCO}_3$  (mol C m<sup>-2</sup> yr<sup>-1</sup>), (b) opal (mol Si m<sup>-2</sup> yr<sup>-1</sup>), and (c) POC (mol C m<sup>-2</sup> yr<sup>-1</sup>). Globally integrated fluxes are 1.16 Gt C yr<sup>-1</sup>, 101 Tmol Si yr<sup>-1</sup>, and 13 Gt C yr<sup>-1</sup>, respectively, and are comparable to available estimates [Sarmiento and Gruber, 2006].



**Figure 3.** (a, c)  $[Nd]_d$  ( $\text{pmol kg}^{-1}$ ) and (b, d)  $\epsilon_{Nd}$  obtained with the CTRL, in vertical sections (top) along a track from the Atlantic to the Pacific (the course of the track is indicated in *Rempfer et al.* [2011, Figure 1a]) and (bottom) at the seafloor. Observations are superimposed as colored circles, using the same color scale (references are given in section 2). Concentrations up to  $28 \text{ pmol kg}^{-1}$  are indicated as contours at an interval of  $4 \text{ pmol kg}^{-1}$ .

strength of the meridional overturning circulation (Figures 1a–1c) as well as patterns and magnitude of particle export fields of  $\text{CaCO}_3$ , opal and POC (Figure 2) slightly differ from those in *Rempfer et al.* [2011]. As changes in overturning and in particle export fields affect the efficiency and the spatial pattern of the sink of Nd it was necessary to retune the Nd-module. We therefore repeated the calibration procedure of *Rempfer et al.* [2011] and minimized cost-functions for dissolved Nd concentration ( $[Nd]_d$ ) as well

as  $\epsilon_{Nd}$  (not shown). A recent compilation of observations of  $[Nd]_d$  and  $\epsilon_{Nd}$  by Francois Lacan was downloaded from the Internet ([http://www.legos.obs-mip.fr/fr/equip/geomar/results/database\\_may06.xls](http://www.legos.obs-mip.fr/fr/equip/geomar/results/database_may06.xls)). The compilation includes observations of  $[Nd]_d$  and  $\epsilon_{Nd}$  of *Piepgas and Wasserburg* [1980, 1982, 1983], *Stordal and Wasserburg* [1986], *Piepgas and Wasserburg* [1987], *Piepgas and Jacobsen* [1988], *Spivack and Wasserburg* [1988], *Bertram and Elderfield* [1993], *Jeandel* [1993], *Henry et al.* [1994], *Shimizu et al.*

**Table 1.** Comparison of Characteristic Numbers of the CTRL Nd Parametrization of *Rempfer et al.* [2011] and the Parametrization Used in This Study<sup>a</sup>

| Experiment        | $[Nd]_p/[Nd]_d$ (1) | $f_{bs}$ ( $\text{g Nd yr}^{-1}$ ) | $I$ ( $\text{g Nd}$ ) | $\tau_{Nd}$ (years) | $J_{[Nd]_d}$ ( $\text{pmol kg}^{-1}$ ) | $J_{\epsilon_{Nd}}$ ( $\epsilon_{Nd}(1)$ ) |
|-------------------|---------------------|------------------------------------|-----------------------|---------------------|--|--|
| CTRL <sup>b</sup> | 0.001               | $5.5 \cdot 10^9$                   | $4.2 \cdot 10^{12}$   | 700                 | 9                                      | 1.66                                       |
| CTRL <sup>c</sup> | 0.0014              | $4.5 \cdot 10^9$                   | $3.6 \cdot 10^{12}$   | 720                 | 11                                     | 1.55                                       |

<sup>a</sup> $[Nd]_p/[Nd]_d$  is the ratio between particle-associated and dissolved Nd,  $f_{bs}$  is the magnitude of the boundary source,  $I$  is the global ocean Nd inventory, and  $\tau_{Nd}$  is the mean residence time of Nd in the ocean (defined as the ratio of  $I$  and total sources  $f_{tot}$ , i.e.,  $\tau_{Nd} = I/f_{tot}$ ).  $J_{[Nd]_d}$  and  $J_{\epsilon_{Nd}}$  indicate global mean average deviations of simulated from observed  $[Nd]_d$  and  $\epsilon_{Nd}$ , respectively, and are calculated following *Rempfer et al.* [2011, equation 15].

<sup>b</sup>*Rempfer et al.* [2011].

<sup>c</sup>This study.

**Table 2.** Acronyms for Freshwater Experiments<sup>a</sup>

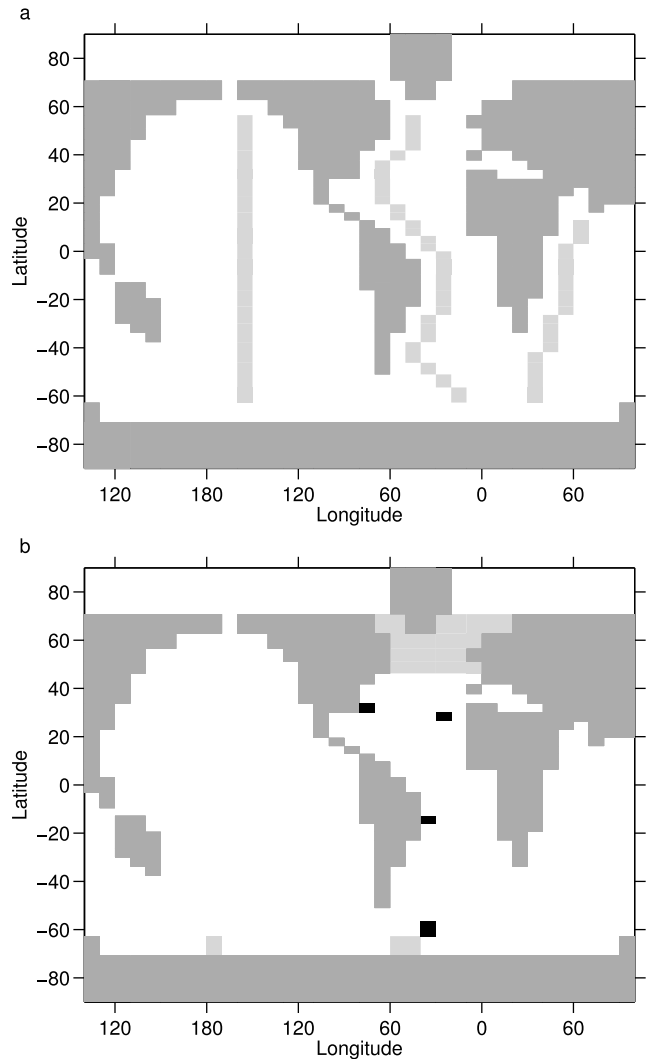
| Experiment | $T_{Fw}$ | $Fw$    | Fw-Region |
|------------|----------|---------|-----------|
| NA04k005Sv | 0.4 kyr  | 0.05 Sv | NA        |
| NA1k005Sv  | 1 kyr    | 0.05 Sv | NA        |
| NA2k005Sv  | 2 kyr    | 0.05 Sv | NA        |
| NA20k005Sv | 20 kyr   | 0.05 Sv | NA        |
| NA04k030Sv | 0.4 kyr  | 0.3 Sv  | NA        |
| NA1k030Sv  | 1 kyr    | 0.3 Sv  | NA        |
| NA2k030Sv  | 2 kyr    | 0.3 Sv  | NA        |
| NA20k030Sv | 20 kyr   | 0.3 Sv  | NA        |
| SO04k005Sv | 0.4 kyr  | 0.05 Sv | SO        |
| SO1k005Sv  | 1 kyr    | 0.05 Sv | SO        |
| SO2k005Sv  | 2 kyr    | 0.05 Sv | SO        |
| SO20k005Sv | 20 kyr   | 0.05 Sv | SO        |
| SO04k030Sv | 0.4 kyr  | 0.3 Sv  | SO        |
| SO1k030Sv  | 1 kyr    | 0.3 Sv  | SO        |
| SO2k030Sv  | 2 kyr    | 0.3 Sv  | SO        |
| SO20k030Sv | 20 kyr   | 0.3 Sv  | SO        |

<sup>a</sup>Freshwater fluxes of different duration ( $T_{Fw}$ ) and magnitude ( $Fw$ ) are applied in the North Atlantic (basinwide between 45 and 70°N, NA) and in the Ross and Weddell Sea areas of the model (170–180°W 63–71°S, and 40–60°W 63–71°S, SO). These regions are indicated as light grey areas in Figure 4b.

[1994], Jeandel *et al.* [1998], Tachikawa *et al.* [1999], Amakawa *et al.* [2000], Lacan and Jeandel [2001], Amakawa *et al.* [2004], Vance *et al.* [2004], Tachikawa *et al.* [2004], Lacan and Jeandel [2004a, 2004b, 2004c, 2005a, 2005b], Dahlqvist *et al.* [2005], Andersson *et al.* [2008], Amakawa *et al.* [2009], Godfrey *et al.* [2009], Zimmermann *et al.* [2009a, 2009b], Porcelli *et al.* [2009], and Rickli *et al.* [2009, 2010]. Further observations of Elderfield and Greaves [1982], Greaves *et al.* [1999], and Carter *et al.* [2012] were added to the compilation. As Nd enters the ocean at continental margins at depths shallower than 3000 m, we excluded observations of  $[Nd]_d$  and  $\epsilon_{Nd}$  located in grid cells adjacent to this source from the parameterization procedure. Compared to the study of Rempfer *et al.* [2011]  $[Nd]_d$  and  $\epsilon_{Nd}$  are simulated in reasonable agreement with observations if the parameterization of the reversible scavenging is increased by 40% ( $[Nd]_p/[Nd]_d = 0.0014$ ) and the magnitude of the boundary source is reduced by  $\approx 18\%$  ( $f_{bs} = 4.5 \cdot 10^9$  g Nd yr<sup>-1</sup>, Figure 3, in the following denoted as CTRL). Further characteristic numbers of the CTRL Nd parameterization of Rempfer *et al.* [2011] and of this study are given in Table 1.

### 3. Overview of Experiments

[10] In order to examine the effect of changes in MOC, e.g., during idealized sequences of abrupt change on  $\epsilon_{Nd}$  at the seafloor, we applied periodic varying freshwater fluxes of different amplitude ( $Fw$ ) and periodicity ( $T_{Fw}$ , Table 2) to the North Atlantic (NA experiments) and to the Southern Ocean (SO experiments). In NA experiments perturbations were applied between 45 and 70°N, in SO experiments they were applied to the Ross and Weddell Sea areas of the model (170–180°W, 63–71°S and 40–60°W, 63–71°S respectively, see also Figure 4b). The time pattern of the freshwater-fluxes is triangular in each experiment, i.e. freshwater-fluxes vary linearly between  $+Fw$  and  $-Fw$  and increase from 0 to  $1/4 \cdot T_{Fw}$ . Predominantly but not exclusively, positive/negative freshwater-fluxes lead to a weakening/strengthening of the formation of deep-water masses in the corresponding



**Figure 4.** (a) Tracks of Hovmöller plots through the Atlantic, the Indian, and the Pacific oceans as shown in Figures 8 and 11. (b) Black: Sites in the Northwest Atlantic (30–34°N, 70–80°W), the Northeast Atlantic (26–30°N, 20–30°W), the South Atlantic (13–16°S, 30–40°S), and the Southern Ocean (30–40°W, 56–63°S) from which time series are shown in Figures 13 and 14. Light grey: Areas where freshwater-fluxes are applied in the North Atlantic (NA experiments) and in Ross and Weddell Sea areas of the model (SO experiments).

**Table 3.** Acronyms for Experiments Where Particle Export Fluxes of the CTRL Experiment Were Scaled by Factors 1/2, 1, and 2 in the North Atlantic and the Southern Ocean<sup>a</sup>

| Experiment  | Scaling Factor | Scaling Region |
|-------------|----------------|----------------|
| NATLEXPFL05 | 0.5            | North Atlantic |
| NATLEXPFL10 | 1.0            | North Atlantic |
| NATLEXPFL20 | 2.0            | North Atlantic |
| SOCEXPFL05  | 0.5            | Southern Ocean |
| SOCEXPFL10  | 1.0            | Southern Ocean |
| SOCEXPFL20  | 2.0            | Southern Ocean |

<sup>a</sup>Freshwater-fluxes ( $T_{Fw} = 2$  kyr;  $Fw = 0.3$  Sv) were applied in the North Atlantic and the Ross and Weddell Sea areas of the model, thus corresponding to experiments NA2k030Sv and SO2k030Sv, respectively.

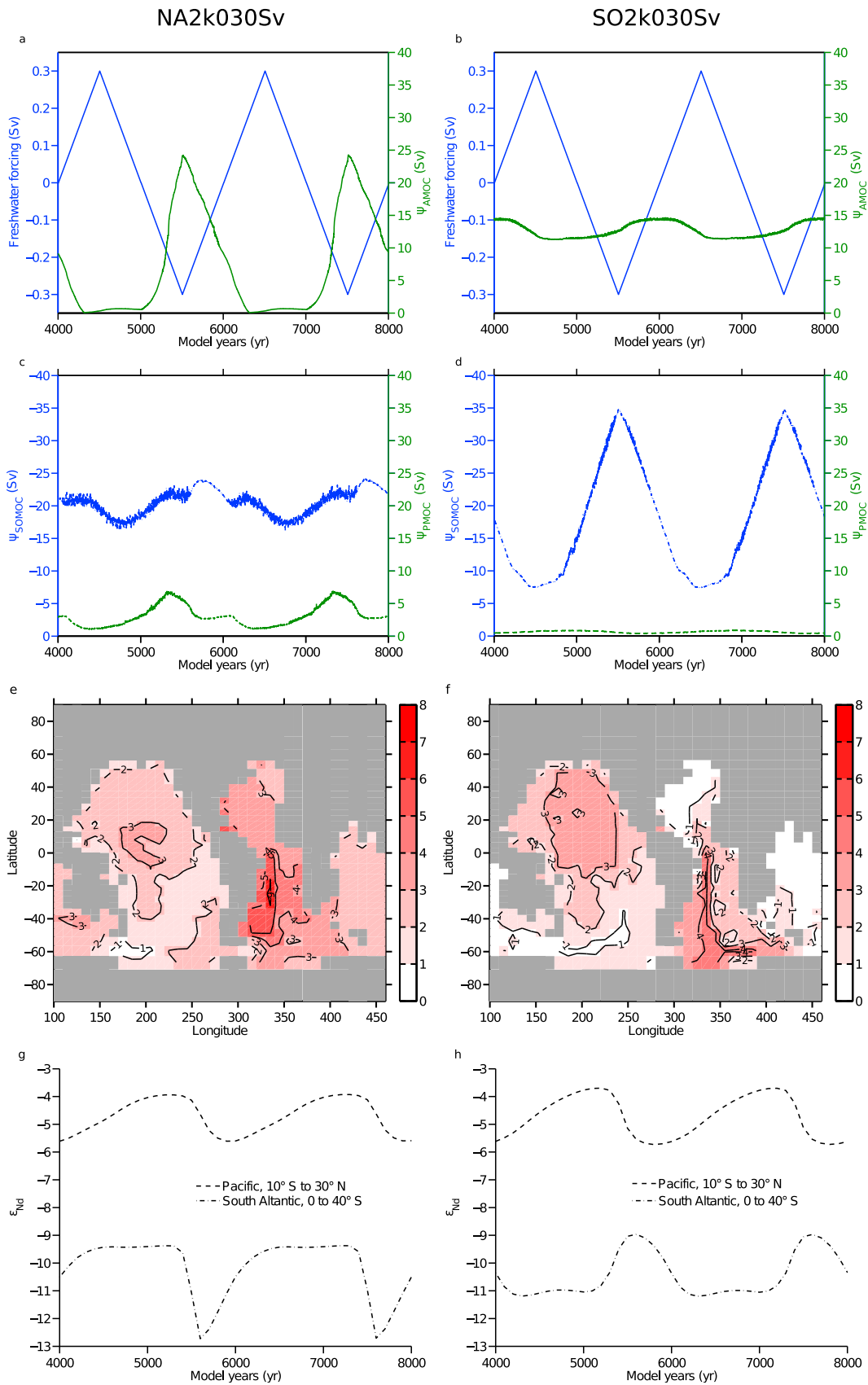


Figure 5

region, i.e., in the formation of NADW and Antarctic Bottom Water (AABW). In general, patterns of changes in experiments where  $F_w = 0.1$  and  $0.2$  Sv are intermediate between experiments where  $F_w = 0.05$  and  $0.3$  Sv. In NA experiments we decrease the freshwater flux corrections that are applied to match observed atmospheric moisture transport from the Atlantic to the Indo-Pacific from the standard value of  $0.34$  Sv [Ritz *et al.*, 2011b] to  $0.17$  Sv. Reducing this moisture transport allows us to keep variations in maximum AMOC ( $\psi_{AMOC}$ ) within a reasonable range [Ganachaud and Wunsch, 2000; Talley *et al.*, 2003]. Leaving the moisture transport unchanged would require a larger amount of freshwater for a shut down of the formation of NADW and would lead to stronger AMOC during on-states.

[11] In addition to a general evaluation of how well  $\epsilon_{Nd}$  reflects changes in overturning strength, the wide range in the formation of NADW and AABW, resulting from our freshwater experiments, allows us to examine whether  $\epsilon_{Nd}$  can be used to differentiate between a weakening and a cessation of the formation of NADW and AABW, respectively. Moreover, the application of freshwater-fluxes either to the North Atlantic or the Southern Ocean, largely affects the formation of one single water mass only. This makes it possible to evaluate whether inferences on the origin of changes in ocean circulation can be derived from the corresponding large-scale pattern of  $\epsilon_{Nd}$  at the seafloor.

[12] In our model export fluxes of biogenic particles opal,  $\text{CaCO}_3$ , and POC are calculated from prognostic equations and therefore vary, e.g., with changes in ocean circulation. The magnitude of particle export fluxes affects the magnitude of the sink of Nd and thus its mean residence time ( $\tau_{Nd}$ ), which in turn affects the water mass property of  $\epsilon_{Nd}$  [Rempfer *et al.*, 2011]. To evaluate the effect of changes in the magnitude of export fluxes on  $\epsilon_{Nd}$ , we perform additional experiments where we scale particle fields of opal,  $\text{CaCO}_3$ , and POC from the CTRL by factors 0.5, 1 and 2 (see Table 3 for a description of experiments).

[13] As  $\epsilon_{Nd}$  in sediment records has been reported to reflect  $\epsilon_{Nd}$  in bottom water [e.g., Piepgras and Wasserburg, 1980; Frank, 2002] we present results of  $\epsilon_{Nd}$  from lowermost grid cells. In our approach Nd enters the ocean across the sediment-water interface between the sea surface and 3000 m depth [Rempfer *et al.*, 2011] and we therefore focus on results from depths greater than 3000 m.

#### 4. Freshwater Experiments: Variations in MOC Strength and $\epsilon_{Nd}$

[14] Meridional Overturning Circulation below the wind driven mixed layer is represented by a stream function which can take positive and negative values (Figures 1a–1o). A positive (negative) stream function is referred to as a clockwise (counterclockwise) overturning circulation.

[15] In the modern steady state (CTRL) a clockwise overturning cell in the North Atlantic, with a strength of about  $14$  Sv, is associated with the formation of NADW. About  $1$  Sv of AABW is advected northward below the tongue of NADW via counterclockwise overturning in the deep South Atlantic (Figure 1a). A southern and a northern cell can also be distinguished in the Pacific. However, in contrast to the North Atlantic, almost no deep convection takes place in the North Pacific and large parts of the basin are filled with southern source water (i.e., AABW, Figure 1b). AABW is formed in the Southern Ocean and is then advected northward into the different basins (Figure 1c).

[16] On and off-states for experiments NA2k030Sv and SO2k030Sv are shown in Figures 1d–1o as representative examples.

[17] During NA2k030Sv on-state clockwise overturning in the Atlantic is stronger than in the CTRL ( $22$  instead of  $13$  Sv) and the Atlantic basin is largely filled by NADW. Accordingly, no AABW is advected northward from the Southern Ocean (Figure 1d).

[18] Compared to the Atlantic, changes are less pronounced in the Pacific Ocean. Northward advection of southern source water, associated with counterclockwise circulation in the deep Pacific, is stronger by about  $1$  Sv and some intermediate water is formed in the North Pacific (Figure 1e).

[19] Overturning in the deep Southern Ocean is hardly affected by the changes in the North Atlantic (Figure 1f).

[20] During NA2k030Sv off-state overturning is sluggish in the Atlantic basin. No NADW is formed in the North Atlantic and hence no northern source water is advected southward (Figures 1g and 1i). Instead, southern source water fills large parts of the basin (Figure 1g).

[21] Compared to the Atlantic Ocean and similar in magnitude to the on-state, changes are less pronounced in the Pacific, where northward advection of southern source water is weaker than in the CTRL by about  $1$  Sv (Figure 1h).

[22] During SO2k030Sv on-state clockwise overturning in the North Atlantic is slightly weaker than in the CTRL ( $11$  Sv instead of  $13$  Sv, Figure 1j). At the same time, overturning is considerably stronger in the Southern Ocean (Figure 1l) from where about  $7$  Sv of southern source water are advected northward in the Atlantic basin. Due to the increase in the formation of AABW, a larger fraction of the Atlantic basin is filled by this water mass, and NADW is confined to shallower depths (Figure 1j).

[23] The increased formation of AABW can also be observed in the Pacific Ocean, where compared to the CTRL, advection of southern source water to the north is increased by about  $6$  Sv (Figure 1k).

[24] During SO2k030Sv off-state the formation of AABW almost ceases (Figure 1o) and no southern source water is advected northward in the Atlantic (Figure 1m). Clockwise

**Figure 5.** Exemplary results from experiments (left) NA2k030Sv and (right) SO2k030Sv (see Table 2 for further details). (a, b) Freshwater fluxes (blue) and resulting changes in  $\psi_{AMOC}$  (green), (c, d)  $\psi_{PMOC}$  (green) and  $\psi_{SOMOC}$  (blue). Note that the direction of y-axes that indicate  $\psi_{SOMOC}$  is reverse. Areas where freshwater-fluxes are applied are indicated in light grey in Figure 4b. (e, f) Global map of absolute differences between maximum and minimum  $\epsilon_{Nd}$  ( $\Delta\epsilon_{Nd}$ ). (g, h) Spatially averaged time series from the North Pacific and the Southern Ocean indicating the effect of changes in  $\psi_{AMOC}$  and  $\psi_{SOMOC}$  on  $\epsilon_{Nd}$  in the corresponding region (see details on panels).



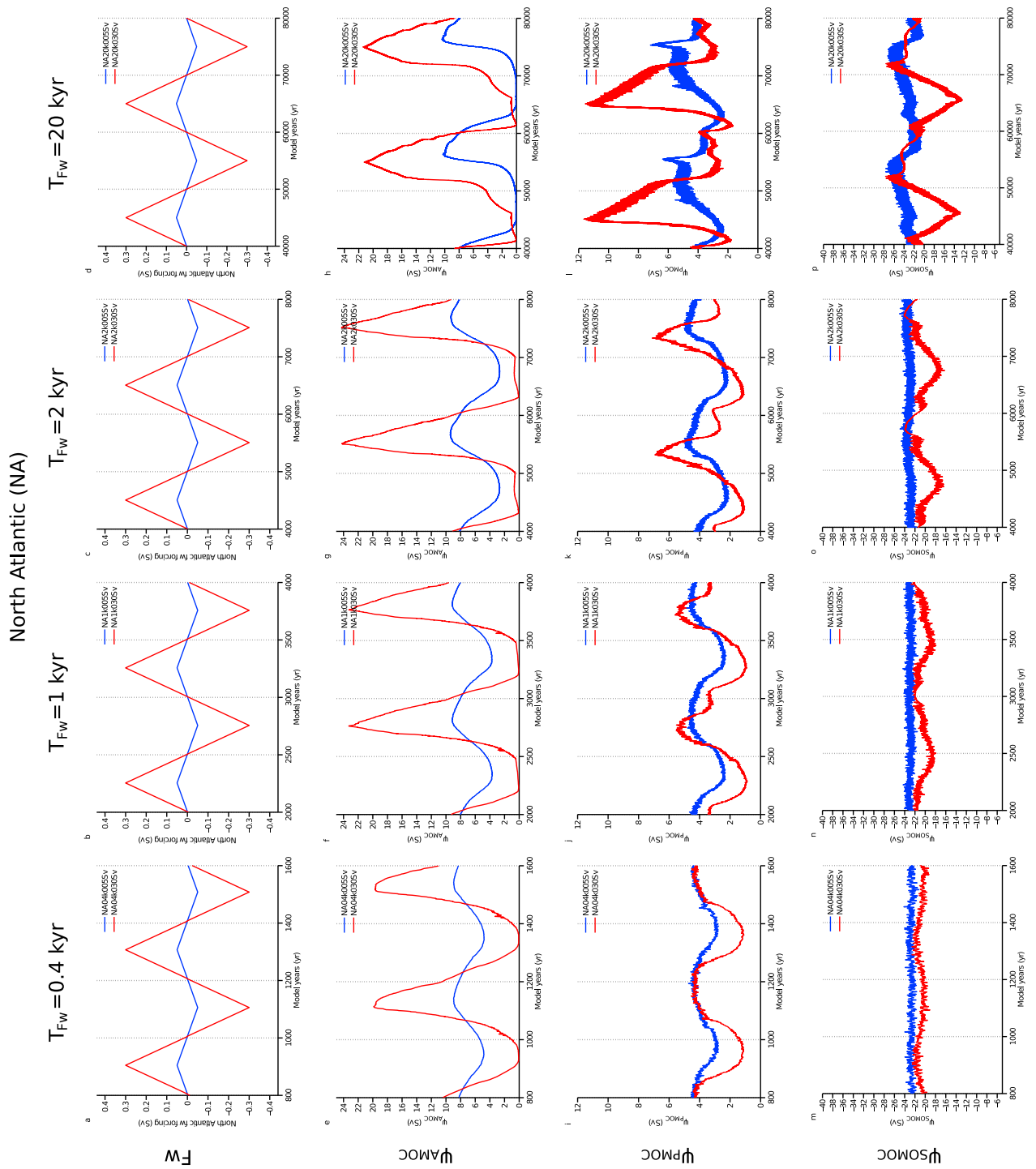


Figure 6

overturning in the North Atlantic is only slightly weaker than in the CTRL (11 Sv instead of 13 Sv) and as a result the basin is largely filled by northern source water (Figure 1j). Advection of southern source water to the north is also reduced in the Pacific Ocean (by about 2 Sv compared to the CTRL, Figure 1k).

[25] In the following, results of  $\epsilon_{Nd}$  are presented in Hovmöller plots along tracks through the Atlantic, the Indian, and the Pacific oceans. The corresponding tracks are indicated in Figure 4a. Moreover, time series of  $\epsilon_{Nd}$  are shown from selected sites in the Northwest Atlantic, the Northeast Atlantic, the South Atlantic and the Southern Ocean. Their locations are indicated in Figure 4b. Areas where freshwater-fluxes are applied in the NA and SO experiments are also indicated in Figure 4b.

[26] Before showing results from a number of experiments, and in order to better illustrate the effects of changes in overturning on  $\epsilon_{Nd}$ , we will explain two of the experiments, one of each NA and SO experiments, in greater detail (Figure 5). Applying periodically varying freshwater-pulses to the North Atlantic and the Ross and Weddell Sea areas of the model affects maximum AMOC ( $\psi_{AMOC}$ ), maximum PMOC ( $\psi_{PMOC}$ ) and the minimum global MOC ( $\psi_{SOMOC}$ ) to some extent (Figures 5a–5d). However, while in experiment NA2k030Sv major changes are confined to  $\psi_{AMOC}$ , changes are largest in  $\psi_{SOMOC}$  in experiment SO2k030Sv. Overall,  $\psi_{AMOC}$  and  $\psi_{SOMOC}$  are roughly in phase with freshwater-perturbations. Both become weaker when freshwater is added and stronger when freshwater is removed from the corresponding region in the North Atlantic and the Southern Ocean (Figures 5a and 5c and 5b and 5d).

[27] Global maps of maximum variations in  $\epsilon_{Nd}$  ( $\Delta\epsilon_{Nd}$ , i.e., the maximum variation in  $\epsilon_{Nd}$ :  $\epsilon_{Ndmax} - \epsilon_{Ndmin}$ ) indicate that  $\epsilon_{Nd}$  is affected throughout the global ocean and that variations in  $\Delta\epsilon_{Nd}$  are not globally uniform (Figures 5g and 5f). In experiment NA2k030Sv  $\Delta\epsilon_{Nd}$  is particularly large in the South Atlantic where main water masses alternate during on and off-states as well as along the western boundary in the North Atlantic where NADW is advected southward during on-states. Similarly, in experiment SO2k030Sv  $\Delta\epsilon_{Nd}$  is large in the South Atlantic. However,  $\Delta\epsilon_{Nd}$  is of similar magnitude in the Southern Ocean in the area where formation of AABW takes place and is smaller in the North Atlantic where changes in addition are largely confined to the eastern boundary.

[28] Spatially averaged time series from North Pacific and South Atlantic regions (10 to 50°N and from the equator to 40°S, respectively) show that  $\epsilon_{Nd}$  increases to more positive values due to a shutdown of the formation of NADW and drops to more negative values following a resumption of the formation of NADW (Figure 5g). On the other hand, the temporal evolution of  $\epsilon_{Nd}$  in the North Pacific and the South Atlantic is in antiphase in experiment SO2k030Sv (Figure 5h). In this case,  $\epsilon_{Nd}$  becomes more negative in the South Atlantic

and more positive in the central Pacific due to a reduction in  $\psi_{SOMOC}$ . Correspondingly,  $\epsilon_{Nd}$  increases to more positive values in the South Atlantic and decreases to more negative values in the central Pacific following an increase in  $\psi_{SOMOC}$ .

[29] Overall, global maps of  $\Delta\epsilon_{Nd}$  and spatially averaged time series of  $\epsilon_{Nd}$  indicate substantial differences between NA and SO experiments.

#### 4.1. Northern Perturbation

[30] Adding freshwater to the North Atlantic (NA experiments) leads to a reduction in  $\psi_{AMOC}$ , while removing freshwater leads to an increase (Figures 6e–6h). In NA experiments  $\psi_{AMOC}$  varies between about 5 and 9 Sv (for  $T_{Fw} = 0.4$  kyr and  $Fw = 0.05$  Sv), and between about 0 and 24 Sv (for  $T_{Fw} = 2$  kyr and  $Fw = 0.3$  Sv).  $\psi_{PMOC}$  varies between about 3 and 5 Sv (for  $T_{Fw} = 0.4$  kyr and  $Fw = 0.05$  Sv), and between about 2 and 12 Sv (for  $T_{Fw} = 20$  kyr and  $Fw = 0.3$  Sv). Variations in  $\psi_{PMOC}$  are in phase with variations in  $\psi_{AMOC}$  for  $T_{Fw} = 0.4, 1, 2$  kyr, but are in antiphase for  $T_{Fw} = 20$  kyr (Figures 6i–6l).  $\psi_{SOMOC}$  varies between about –22 and –24 Sv (for  $T_{Fw} = 0.4$  kyr and  $Fw = 0.05$  Sv) and between about –12 and –28 Sv (for  $T_{Fw} = 20$  kyr and  $Fw = 0.3$  Sv, Figures 6m–6p). While smallest variations in general are associated with  $T_{Fw} = 0.4$  kyr and  $Fw = 0.05$  Sv, largest variations are not necessarily associated with experiments where  $T_{Fw} = 20$  kyr, but rather with experiments where  $T_{Fw} = 2$  kyr. This is probably due to long-term basin-scale adjustments in the former case.

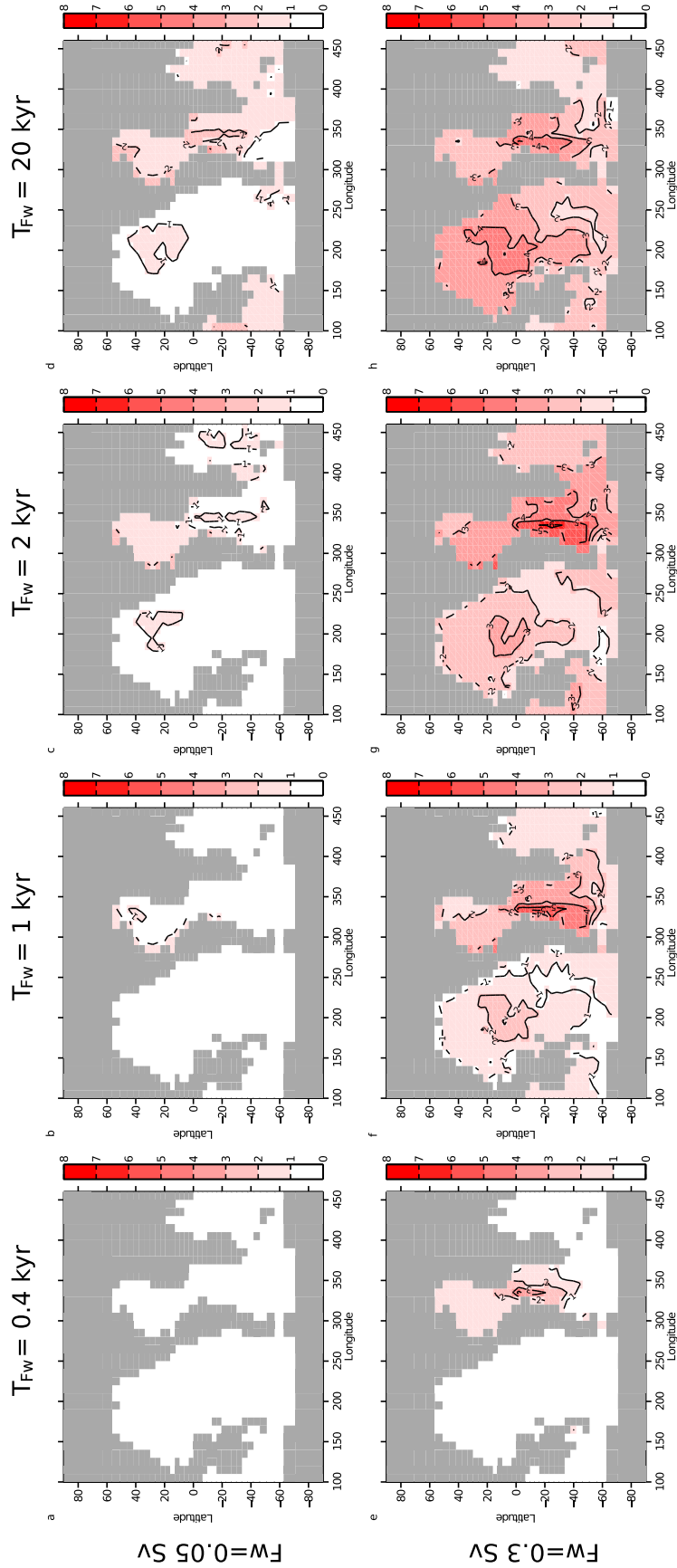
[31] In general,  $\epsilon_{Nd}$  is affected only to a small extent in experiments where both  $Fw$  and  $T_{Fw}$  are small. On the other hand,  $\epsilon_{Nd}$  varies considerably if  $T_{Fw}$  and/or  $Fw$  are larger (Figure 7). Apart from  $T_{Fw}$  and  $Fw$  the magnitude of  $\Delta\epsilon_{Nd}$  also depends on the geographic location.

[32] In the deep Atlantic,  $\Delta\epsilon_{Nd}$  is largest (up to 5  $\epsilon_{Nd}$ -units) between the equator and 40°S, where northern and southern source waters alternate during AMOC-on and AMOC-off-states.

[33] Regarding the deep Pacific,  $\Delta\epsilon_{Nd}$  is largest (up to 4  $\epsilon_{Nd}$ -units) in the central North Pacific. Note that  $\Delta\epsilon_{Nd}$  in the Indian exceeds 2  $\epsilon_{Nd}$ -units only in a few, particularly NA experiments (Figures 7d, 7g, 7h, and 10h), and only slightly exceeds 1  $\epsilon_{Nd}$ -units in the other experiments.

[34] Overall, in the Atlantic, the Indian and the Pacific relatively negative  $\epsilon_{Nd}$  during AMOC on-states is replaced by more positive  $\epsilon_{Nd}$  during AMOC off-states (Figures 8e–8p). While northern source water is exported from the North Atlantic in periods of strong AMOC, less or no northern source water is advected southward in case of a sluggish or ceased AMOC. Instead, a larger part of the Atlantic is filled by southern source water leading to more positive  $\epsilon_{Nd}$  (Figures 8e–8h). Accordingly, less relatively negative  $\epsilon_{Nd}$  is advected from the Atlantic to the Indian and the Pacific, in periods of a sluggish or ceased AMOC, and thus  $\epsilon_{Nd}$  increases in these basins as well (Figures 8i–8p).

**Figure 6.** (a–d) Freshwater fluxes of different periods (from left to right,  $T_{Fw} = 0.4, 1, 2, 20$  kyr) and amplitudes ( $Fw = 0.05, 0.3$  Sv) that are applied to the North Atlantic in NA experiments, as well as (e–h) resulting strength of AMOC ( $\psi_{AMOC}$ ), (i–l) PMOC ( $\psi_{PMOC}$ ), and (m–p) SOMOC ( $\psi_{SOMOC}$ ) in Sv ( $10^6 \text{ m}^3 \text{ s}^{-1}$ ). Note that the direction of y-axes that indicate  $\psi_{SOMOC}$  is reverse. The area where freshwater-fluxes are applied is indicated in light grey in Figure 4b.



**Figure 7.** Global maps of  $\Delta\epsilon_{Nd}$ , corresponding to the absolute difference between maximum and minimum  $\epsilon_{Nd}$ , at the seafloor. Results are from experiments where one single positive and negative freshwater-pulse of periods  $T_{FW} =$  (a, e) 0.4, (b, f) 1, (c, g) 2, and (d, h) 20 kyr and amplitudes  $F_W = 0.05$  and  $0.3$  Sv (Figures 7a–7d and 7e–7h, respectively) was applied to the North Atlantic (indicated as light grey areas in Figure 4b).

North Atlantic (NA)

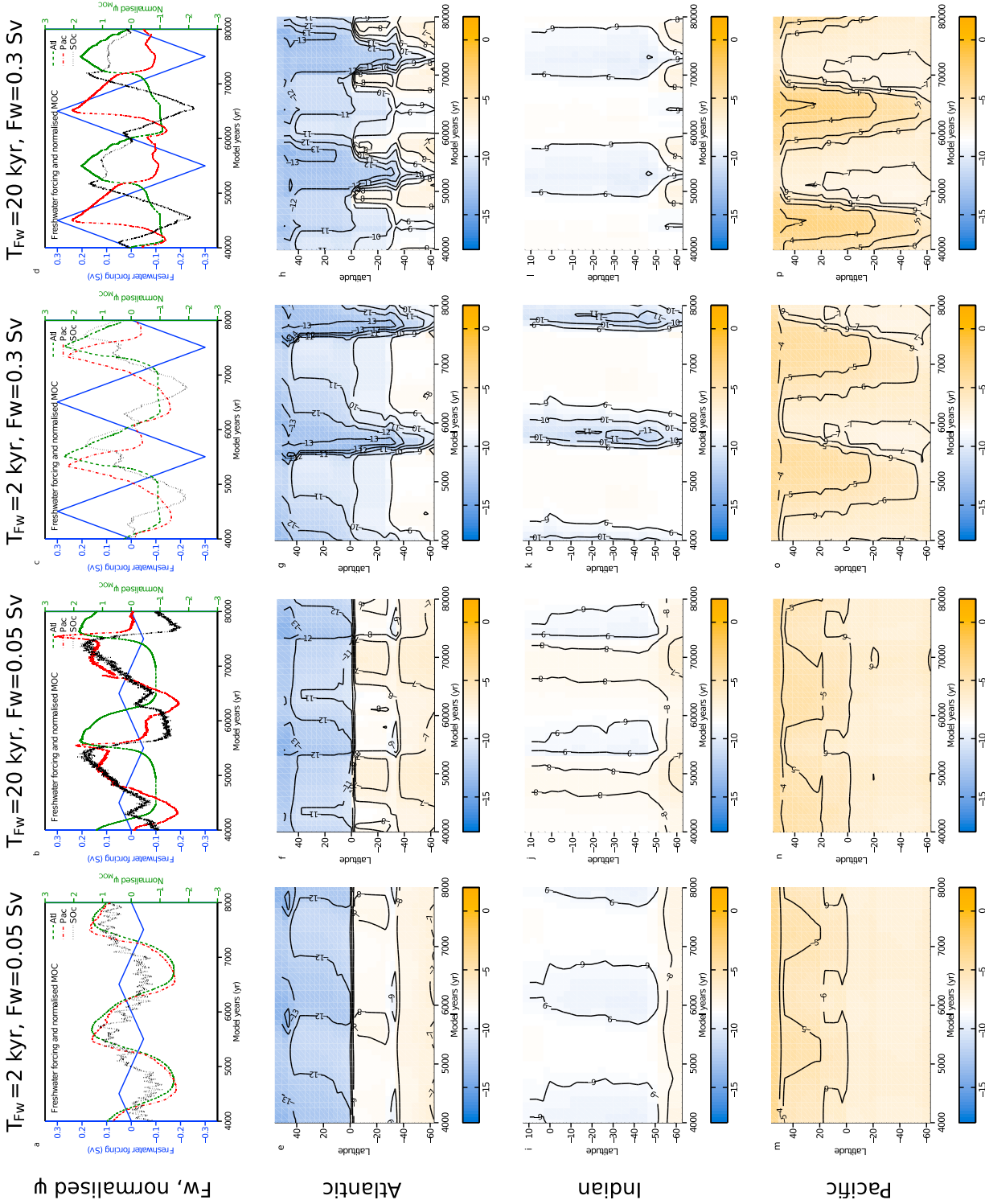


Figure 8

[35] In experiment NA20k030Sv effects on  $\epsilon_{Nd}$  in the Pacific are larger than in other experiments (Figures 7h and 8p). In this experiment PMOC is quite strong during AMOC off-states (up to 12 Sv, Figure 6l) and an overturning cell emerges in the North Pacific leading to the formation of intermediate water (not shown). This probably increases the export of relatively positive  $\epsilon_{Nd}$  out of the Pacific basin leading to less negative  $\epsilon_{Nd}$  in the Indian as well as in the Atlantic during AMOC on-states.

[36] In general, effects of variations in overturning strength on  $\epsilon_{Nd}$  are almost identical during the first period and in following periods of freshwater forcing.

#### 4.2. Southern Perturbation

[37] The effect of adding and removing freshwater to and from the Ross and Weddell Sea areas of the model (“Southern Perturbation”, SO experiments, Figure 9), differs from NA experiments. For example, while major changes occur in  $\psi_{SOMOC}$ ,  $\psi_{AMOC}$  is only slightly affected in most of the experiments (Figures 9e–9h). An exception is experiment SO20k030Sv in which  $\psi_{AMOC}$  varies strongly (between about 2 and 15 Sv). Variations in  $\psi_{PMOC}$  are relatively small, even in experiment SO20k030Sv (between 1 and 4 Sv, Figures 9i–9l). In contrast, variations in  $\psi_{SOMOC}$  are considerable even in experiments where  $T_{Fw}$  and  $Fw$  are small (between –15 and –20 Sv for  $T_{Fw} = 0.4$  kyr and  $Fw = 0.05$  Sv, Figures 9m–9p). Not surprisingly, largest variations are observed for  $T_{Fw} = 20$  kyr and  $Fw = 0.3$  Sv (between –8 and –38 Sv). In general,  $\psi_{SOMOC}$  decreases/increases in phase with the application of positive/negative freshwater-fluxes to the Ross and Weddell Sea areas of the model (in contrast to  $\psi_{AMOC}$  and  $\psi_{PMOC}$ , which both are only roughly in phase with freshwater-fluxes).

[38] Similar to the NA experiments,  $\epsilon_{Nd}$  varies with changes in overturning strength and  $\Delta\epsilon_{Nd}$  also depends on the geographic location. However, the spatial pattern of  $\Delta\epsilon_{Nd}$  in SO experiments is different (Figure 10). Major variations in  $\epsilon_{Nd}$  are confined to the Atlantic section of the Southern Ocean (up to 4  $\epsilon_{Nd}$ -units) and the North Pacific (up to 3  $\epsilon_{Nd}$ -units).  $\Delta\epsilon_{Nd}$  is generally small in the Indian (up to 1  $\epsilon_{Nd}$ -unit). The effect on  $\epsilon_{Nd}$  in the Atlantic reaches further north for larger amplitudes of  $T_{Fw}$  or/and  $Fw$ . In addition,  $\Delta\epsilon_{Nd}$  in the North Atlantic and in the North Pacific increases with increasing amplitude of  $T_{Fw}$  or/and  $Fw$ . An important feature is that effects on  $\epsilon_{Nd}$  in the North Atlantic are confined to the eastern part of the basin and that smaller effects on  $\epsilon_{Nd}$  arise in the western part of the North Atlantic (Figures 10e–10g), except for experiment SO20k030Sv (Figure 9h). Therefore, our results indicate differences between NA and SO experiments.

[39] As mentioned above, effects on  $\epsilon_{Nd}$  are different in experiment SO20k030Sv (Figure 10h). In this experiment AMOC is relatively weak (down to 2 Sv, Figure 9h). Therefore, southward transport of NADW-like  $\epsilon_{Nd}$  is reduced leading to more southern source water-like  $\epsilon_{Nd}$  in the entire North Atlantic, not only in the eastern part of the basin.

[40] Another important difference between NA and SO experiments is that changes in  $\epsilon_{Nd}$  are not of equal sign in the Atlantic, the Indian and the Pacific in SO experiments: In the Atlantic  $\epsilon_{Nd}$  is slightly more negative during periods of weak SOMOC and considerably more positive during periods of strong SOMOC (Figures 11e–11h). A similar pattern, although only weakly pronounced, emerges in the Indian (Figure 11i–11l). However, in the Pacific the pattern is vice versa, i.e.,  $\epsilon_{Nd}$  is considerably more positive during periods of weak SOMOC and slightly more negative during periods of strong SOMOC (Figures 11m–11p). In most of the SO experiments  $\psi_{AMOC}$  and  $\psi_{PMOC}$  are affected only slightly, and only  $\psi_{SOMOC}$  varies over a large range (Figure 9). Changes in  $\epsilon_{Nd}$  are therefore mainly due to changes in  $\psi_{SOMOC}$ .  $\epsilon_{Nd}$  of AABW is intermediate compared to  $\epsilon_{Nd}$  in the North Atlantic and the North Pacific. Thus, if  $\psi_{SOMOC}$  is strong, export of relatively positive AABW-like  $\epsilon_{Nd}$  from the Southern Ocean into the Atlantic is more pronounced, leading to a shift to more positive  $\epsilon_{Nd}$  in this basin. At the same time, export of relatively negative AABW-like  $\epsilon_{Nd}$  from the Southern Ocean into the Pacific is enhanced, leading to a shift to more negative  $\epsilon_{Nd}$ . On the other hand,  $\epsilon_{Nd}$  is more NADW-like in the Atlantic and more North Pacific-like in the Pacific in case of weak  $\psi_{SOMOC}$ . As  $\epsilon_{Nd}$  in the Indian is generally AABW-like, effects are small in this basin.

[41] In contrast, in NA experiments mainly the formation of NADW is affected which carries the most negative  $\epsilon_{Nd}$ . An increase/decrease in strength of  $\psi_{AMOC}$  thus leads to more negative/positive  $\epsilon_{Nd}$  in the Atlantic, the Indian and the Pacific.

#### 4.3. A Note on End-Member Stability

[42] The stability of the corresponding end-member is of importance for the interpretation of downstream variations in  $\epsilon_{Nd}$  as changes in overturning circulation [e.g., *Piotrowski et al.*, 2004]. Figure 12 illustrates Atlantic and Pacific cross-sections of differences in  $\epsilon_{Nd}$  at times when most positive and most negative  $\epsilon_{Nd}$  occur in experiments NA2k030Sv and SO2k030Sv (see the figure caption for more details). It becomes clear, that changes in  $\epsilon_{Nd}$  at sites of deep-water formation in the North Atlantic or the Southern Ocean end-member are smaller than further downstream (by about 3–4  $\epsilon_{Nd}$ -units). Besides, in case of experiment NA2k030Sv, the small changes in the North Atlantic end-member are not

**Figure 8.** (a–d) Freshwater forcing (Sv) and resulting normalized changes in  $\psi_{AMOC}$ ,  $\psi_{PMOC}$ , and  $\psi_{SOMOC}$ . In case of  $\psi_{SOMOC}$  absolute values have been calculated before normalization. Hovmöller plots indicating the temporal evolution of  $\epsilon_{Nd}$  along the western boundary of (e–h) the Atlantic and (i–l) the Indian as well as through (m–p) the central Pacific. Courses of Atlantic, Indian and Pacific tracks are indicated in Figure 4a. Results are from experiments where freshwater fluxes of different amplitudes ( $Fw = 0.05$  Sv (first and third columns) and  $Fw = 0.3$  Sv (second and fourth columns)) and periods ( $T_{Fw} = 2$  kyr (first and third columns) and  $T_{Fw} = 20$  kyr (second and fourth columns)) are applied to the North Atlantic. The area where freshwater-fluxes are applied is indicated in light grey in Figure 4b.

Southern Ocean (SO)

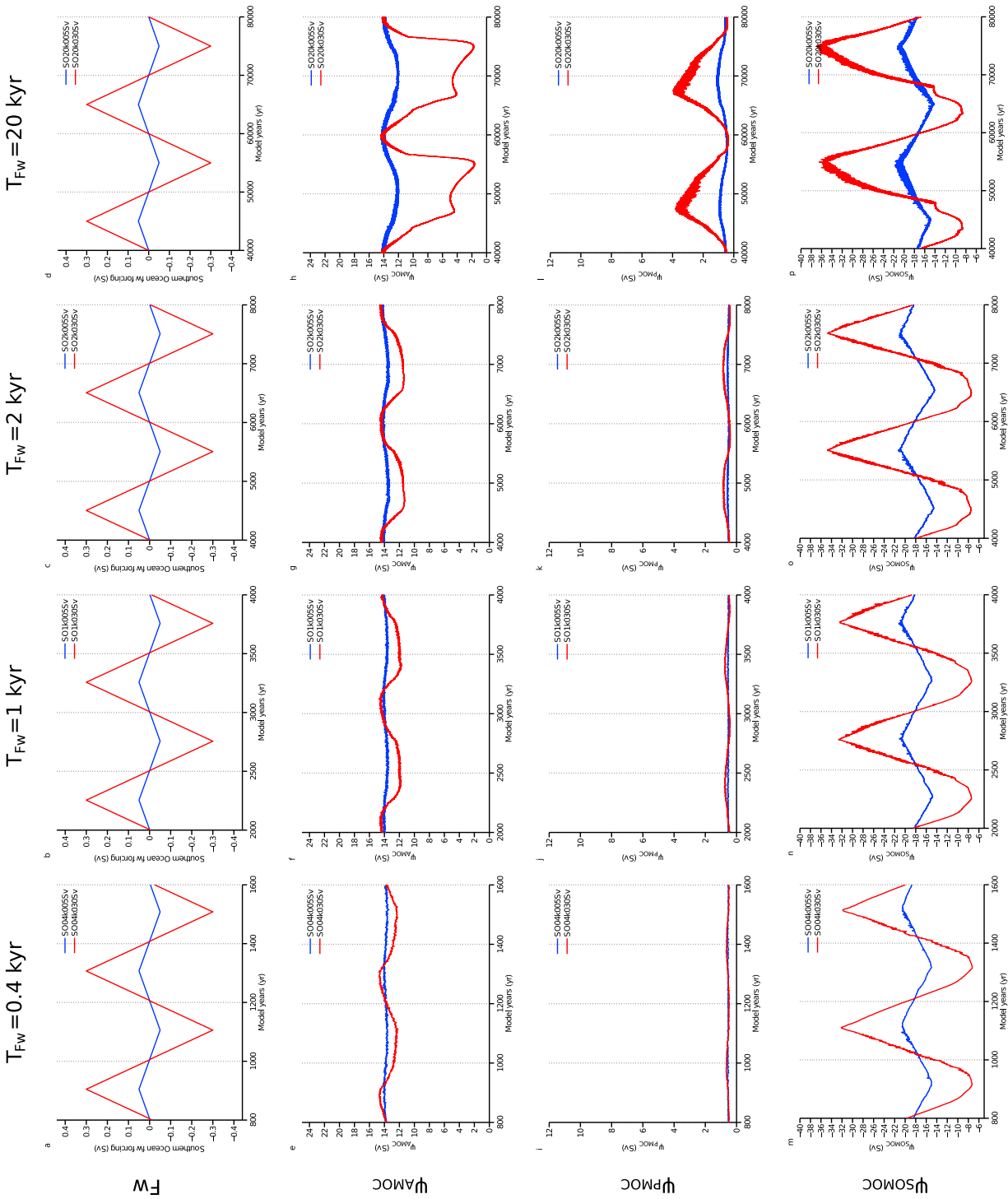


Figure 9

uniform in sign. Taken together, this indicates that in our modeling experiments where overturning strength varies on millennial-scale, the observed changes in  $\epsilon_{Nd}$  are largely due to changes in water mass distribution and that effects of changes in end-member composition are relatively small.

## 5. Effect of Changes in Particle Export Fluxes on $\epsilon_{Nd}$

[43] Nd is adsorbed onto particle surfaces and, as particles are subject to gravitational force, is transported to depth and finally buried in sediments if particles reach the seafloor [Bertram and Elderfield, 1993; Goldstein and Hemming, 2003; Siddall et al., 2008; Arsouze et al., 2009; Rempfer et al., 2011]. Any change in particle export fluxes therefore affects the efficiency of the sink of Nd and consequently its mean residence time in the ocean [Rempfer et al., 2011]. It was reported that reorganizations in ocean circulation affect the marine ecosystem in the Atlantic as well as in the global ocean [Marchal et al., 1998; Schmittner, 2005; Yasuhara et al., 2008; Tschumi et al., 2008]. Export fluxes of opal, calcite and POC were also affected throughout the global ocean in our experiments (not shown). In addition, it was reported that changes in particle export fluxes introduce additional complication in the interpretation of paleoceanographic tracers in terms of changes in meridional overturning (such as Pa/Th) [e.g., Siddall et al., 2007; Keigwin and Boyle, 2008; Lippold et al., 2009]. Although, in contrast to Pa and Th,  $^{143}\text{Nd}$  and  $^{144}\text{Nd}$  are not known to be subject to preferential scavenging by certain particle types, changes in the magnitude of particle fluxes have the potential to affect the water mass property of  $\epsilon_{Nd}$  [Rempfer et al., 2011].

[44] In experiments of Schmittner [2005], in which AMOC was shut down due to the application of a freshwater-flux, effects on marine ecosystems were not confined to but were largest in the North Atlantic, i.e., in the region where shoaling of the mixed layer prevents upwelling of nutrients. Independent of the exact global pattern and magnitude of changes in nutrient supply and thus export fluxes, we examine the overall effect of variations in export production on  $\epsilon_{Nd}$  by comparing simulated  $\epsilon_{Nd}$  from freshwater experiments (NA2k030Sv and SO2k030Sv) where we keep particle fluxes constant at different levels. Therefore, we scale particle export fluxes of CTRL (Figure 2) by factors 1/2, 1, and 2, in the North Atlantic (light grey area in Figure 4b) and in the entire Southern Ocean (south of  $34^\circ\text{S}$ ), respectively (see Table 3 for a description of experiments). Figure 13 illustrates time series of  $\epsilon_{Nd}$  at four different sites (Northwest Atlantic, North East Atlantic, South Atlantic, Southern Ocean, locations are indicated in Figure 4b) resulting from these experiments. As changes in ocean overturning circulation are the same as in experiments NA2k030Sv and

SO2k030Sv, differences in  $\epsilon_{Nd}$  can be attributed to changes in particle export fluxes.

[45] Although at each site absolute values of  $\epsilon_{Nd}$  are affected to some extent, overall patterns in  $\epsilon_{Nd}$  do not differ much between individual experiments. However, note that  $\Delta\epsilon_{Nd}$  is more pronounced in experiments where export fluxes are scaled by a factor of 2 (red), compared to experiments where a factor of 1/2 (blue) is applied. These differences as well as differences in absolute values of  $\epsilon_{Nd}$  are due to the effect of an increase/decrease in the efficiency of the sink on  $\tau_{Nd}$ .  $\tau_{Nd}$  decreases/increases in the corresponding case and results in a more/less pronounced inter-basin gradient. Besides scaling export fluxes of all particle types in tandem, we evaluated the effect of scaling export fluxes of POC, opal and calcite individually. Not surprisingly, effects on  $\epsilon_{Nd}$  are largest if scaling is applied to POC, opal and calcite simultaneously. Results from these experiments are not shown, but lay within the colored areas (Figure 13).

## 6. Further Inferences From $\Delta\epsilon_{Nd}$

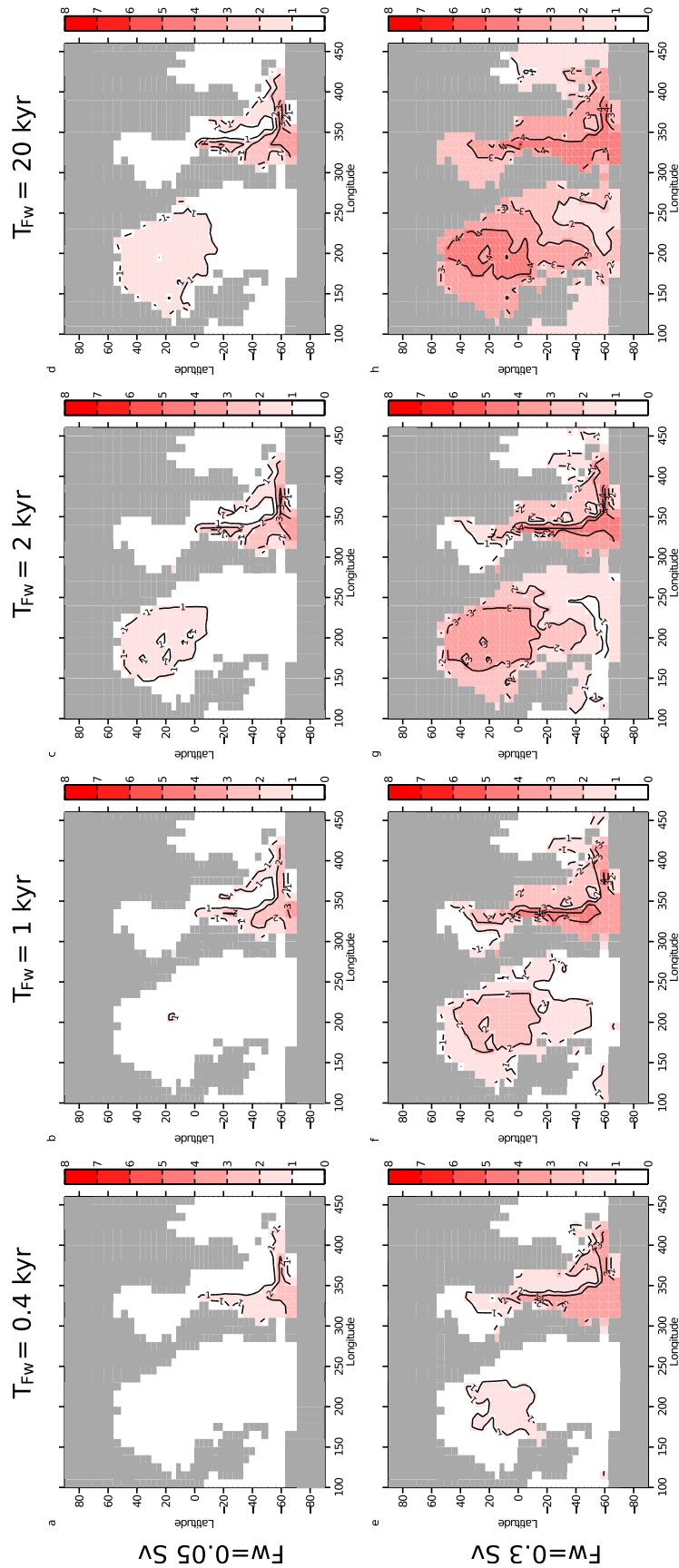
### 6.1. Slowdown Versus Shutdown of Ocean Overturning

[46] For the interpretation of  $\epsilon_{Nd}$  in terms of past circulation changes it is of interest whether the magnitude of changes in overturning can be inferred from variations in  $\epsilon_{Nd}$ . As we have shown in Figures 7 and 10 as well as in Figures 8 and 11, different magnitudes and durations of changes in overturning circulation lead to different magnitudes of  $\Delta\epsilon_{Nd}$  in the global ocean. This suggests that information about changes in the rate of the MOC can be obtained from changes in  $\epsilon_{Nd}$ . To further examine this suggestion, scatterplots of  $\epsilon_{Nd}$  versus  $\psi_{AMOC}$  and  $\psi_{SOMOC}$  at four different sites in the Atlantic are shown in Figure 14.

[47] In NA experiments  $\epsilon_{Nd}$  in the Atlantic is rather positive for weaker AMOC and rather negative for stronger AMOC (Figures 14a, 14e, 14i, and 14m).  $\psi_{SOMOC}$  varies only little in NA experiments, except for experiment NA20k030Sv, and the relationship between  $\epsilon_{Nd}$  and  $\psi_{SOMOC}$  is therefore generally less pronounced (Figures 14b, 14f, 14j, and 14n). Overall, the relationship between  $\epsilon_{Nd}$  and  $\psi_{AMOC}$  or  $\psi_{SOMOC}$  is not unequivocal at any of the sites as the range of  $\epsilon_{Nd}$  is large for given values of  $\psi_{AMOC}$  and  $\psi_{SOMOC}$ . For a quantitative reconstruction of  $\psi_{AMOC}$  or  $\psi_{SOMOC}$  based on a given value of  $\epsilon_{Nd}$  the points in the scatter plots needed to lay on a straight line. From our experiments it therefore appears that it is not possible to assign a unique strength of  $\psi_{AMOC}$  or  $\psi_{SOMOC}$  to a given value of  $\epsilon_{Nd}$ .

[48] Similar to  $\psi_{SOMOC}$  in NA experiments,  $\psi_{AMOC}$  varies only little in SO experiments (except for experiment SO20k030Sv). Therefore, no close relationship can be detected between  $\epsilon_{Nd}$  and  $\psi_{AMOC}$ , except for experiment SO20k030Sv (Figures 14c, 14g, 14k, and 14o). On the other hand, distinct almost linear relationships exist between  $\epsilon_{Nd}$

**Figure 9.** Freshwater fluxes of different periods (from left to right,  $T_{Fw} = 0.4, 1, 2, 20$  kyr) and amplitudes ( $Fw = 0.05$  Sv (first and third columns) and  $Fw = 0.3$  Sv (second and fourth columns)) that are applied to the Ross and Weddell Sea areas of the model in (a–d) NA experiments, as well as resulting strength of (e–h) AMOC ( $\psi_{AMOC}$ ), (i–l) PMOC ( $\psi_{PMOC}$ ), and (m–p) SOMOC ( $\psi_{SOMOC}$ ) in Sv ( $10^6 \text{ m}^3 \text{ s}^{-1}$ ). Note that the direction of y-axes that indicate  $\psi_{SOMOC}$  is reverse. The area where freshwater-fluxes are applied is indicated in light grey in Figure 4b.



**Figure 10.** Global maps of  $\Delta\epsilon_{Nd}$ , corresponding to the absolute difference between maximum and minimum  $\epsilon_{Nd}$ , at the seafloor. Results are from experiments where one single positive and negative freshwater-pulse of periods  $T_{FW}$  = (a, e) 0.4, (b, f) 1, (c, g) 2, and (d, h) 20 kyr and amplitudes  $F_{FW}$  = 0.05 and 0.3 Sv (Figures 10a–10d and 10e–10h, respectively) was applied to the Ross and Weddell Sea areas of the model (indicated as light grey areas in Figure 4b).



Southern Ocean (SO)

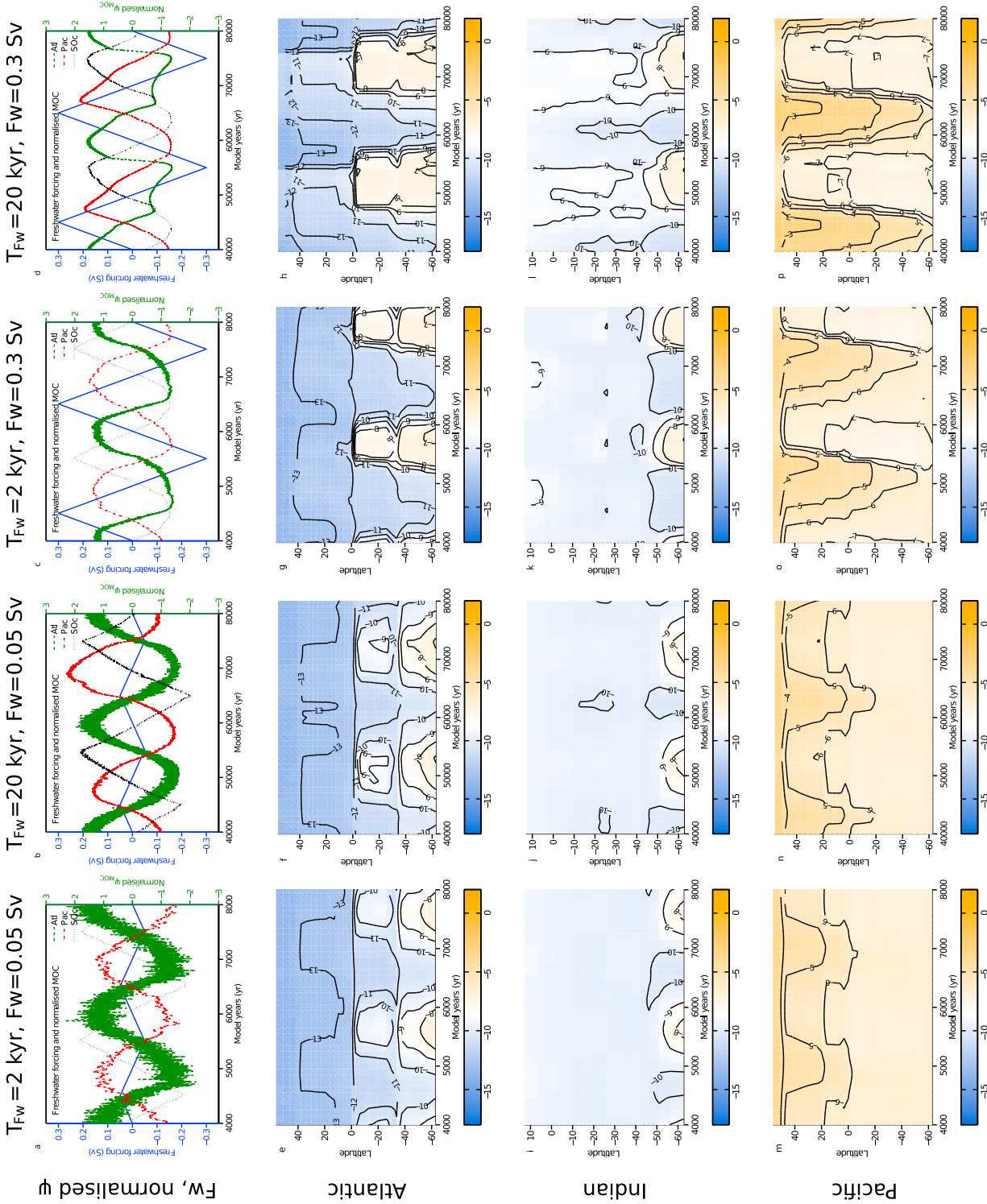
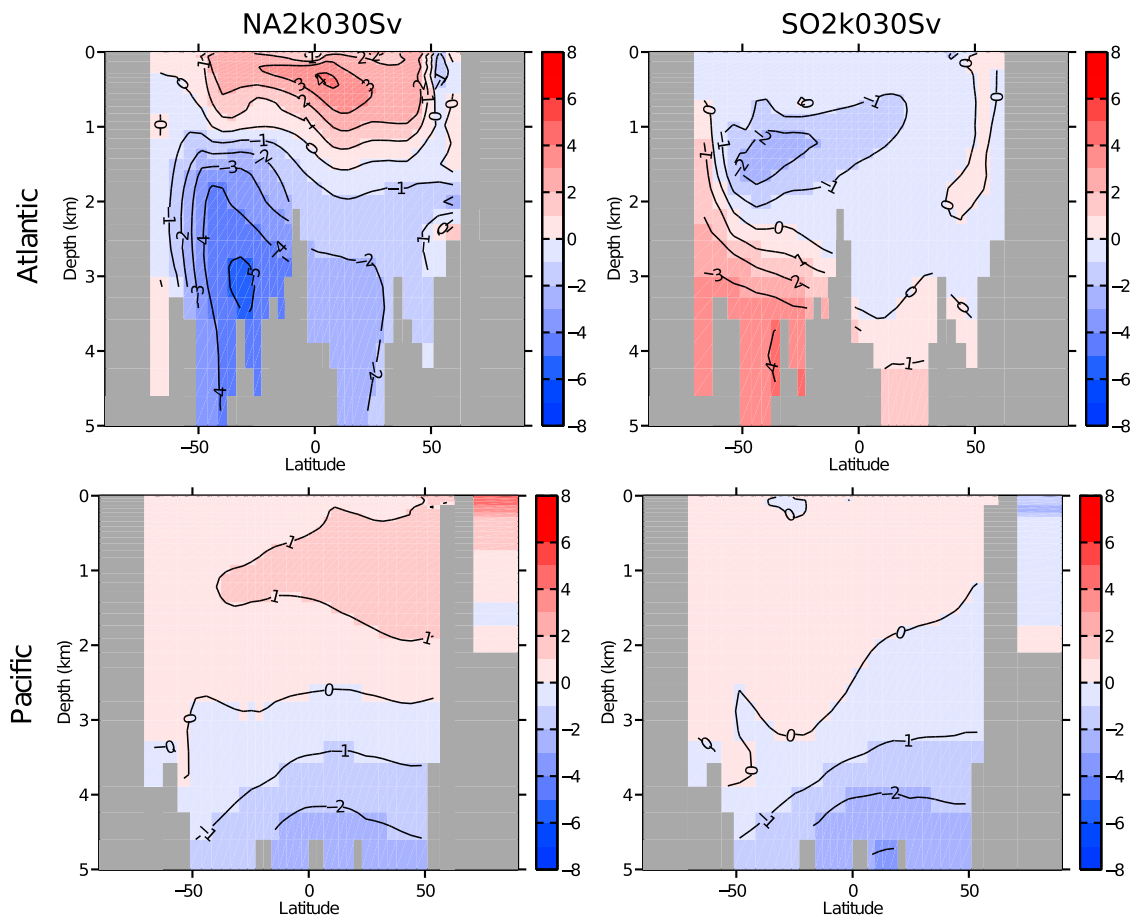


Figure 11



**Figure 12.** Vertical sections indicating differences in  $\epsilon_{Nd}$  at times of most positive and most negative  $\epsilon_{Nd}$  (related to on-off-states) in (a, c) NA and (b, d) SO experiments throughout the (top) central Atlantic (30–40°W) and (bottom) Pacific (150–160°W). Differences in  $\epsilon_{Nd}$  are calculated between model years 6500 and 5600 (Figure 12a), 6300 and 7500 (Figure 12b), 5200 and 5900 (Figure 12c), and 5200 and 5700 (Figure 12d) (referring to Figures 8g, 8o, 11g, and 11o). Note that variations in  $\epsilon_{Nd}$  hardly differ between individual periods of variations in  $\psi_{AMOC}$  and  $\psi_{SOMOC}$ .

**Figure 11.** (a–d) Freshwater forcing ( $Sv$ ) and resulting normalized changes in  $\psi_{AMOC}$ ,  $\psi_{PMOC}$ ,  $\psi_{SOMOC}$ . In case of  $\psi_{SOMOC}$  absolute values have been calculated before normalization. Hovmöller plots indicating the temporal evolution of  $\epsilon_{Nd}$  along the western boundary of (e–h) the Atlantic and (i–l) the Indian as well as through (m–p) the central Pacific. Courses of Atlantic, Indian and Pacific tracks are indicated in Figure 4, the area where freshwater-fluxes are applied is indicated in light grey in Figure 4b. Results are from experiments where freshwater fluxes of different amplitudes ( $F_w = 0.05 Sv$  (first and third columns) and  $F_w = 0.3 Sv$  (second and fourth columns)) and periods ( $T_{Fw} = 2 kyr$  (first and third columns) and  $T_{Fw} = 20 kyr$  (second and fourth columns)) are applied to the Ross and Weddell Sea areas of the model. Areas where freshwater-fluxes are applied are indicated in light grey in Figure 4b.

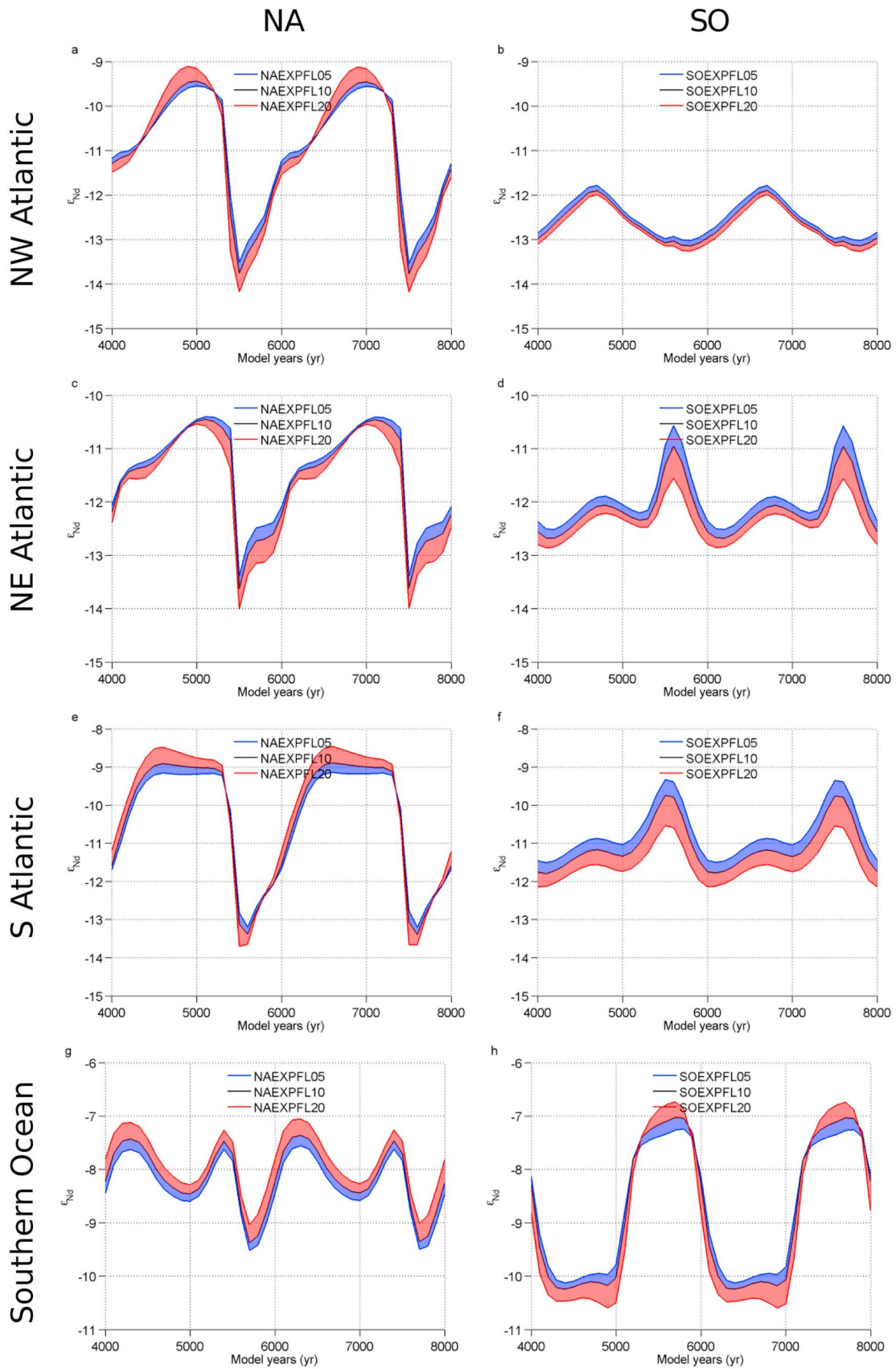


Figure 13

and  $\psi_{SOMOC}$ , particularly at sites in the North East Atlantic, the South Atlantic, and the Southern Ocean (Figures 14d, 14h, 14l, and 14p). In general,  $\epsilon_{Nd}$  is more positive for strong  $\psi_{SOMOC}$  and more negative for weak  $\psi_{SOMOC}$ . Note however, that the relationship between  $\psi_{SOMOC}$  and  $\epsilon_{Nd}$  does not hold for the entire range of  $\psi_{SOMOC}$  in the Southern Ocean. I.e., the relationship is not anymore unequivocal for  $\psi_{SOMOC}$  stronger than about 30 Sv.

[49] Although variations in  $\psi_{AMOC}$ ,  $\psi_{SOMOC}$  and in  $\epsilon_{Nd}$  are less pronounced in experiments where  $F_w = 0.05$  Sv overall results are similar.

## 6.2. Discriminating Between Northern and Southern Origin of Changes in Water Mass Distribution

[50] In Figures 7 and 10 we have shown that the magnitude of  $\Delta\epsilon_{Nd}$  depends on the magnitude and duration of changes in the overturning circulation to a certain extent. However, it also becomes clear that the global pattern of  $\Delta\epsilon_{Nd}$  depends on the origin of changes in water mass distribution. In NA experiments,  $\epsilon_{Nd}$  is affected most along the western boundary of the Atlantic, i.e. along the flow path of NADW, and in the South Atlantic (Figure 7). In contrast, in SO experiments,  $\epsilon_{Nd}$  is particularly affected in the Atlantic sector of the Southern Ocean, i.e. close to the location where AABW is formed (Figure 10). Besides, changes in  $\epsilon_{Nd}$  are more pronounced at the eastern boundary of the North Atlantic than in the western part (Figures 10a–10g, 14d, and 14h). Hence it appears that information on the origin of changes in water mass distribution can be inferred from Atlantic patterns of  $\Delta\epsilon_{Nd}$ . While  $\Delta\epsilon_{Nd}$  is largest along the western boundary as well as in the South Atlantic in NA experiments, in SO experiments  $\Delta\epsilon_{Nd}$  is largest in the Southern Ocean, the South Atlantic as well as in the Northeast Atlantic. Locations in the South Atlantic are sensitive in general. However, from experiments presented in this study it appears that it is not possible to determine whether changes in  $\epsilon_{Nd}$  are either due to northern or southern changes or both based on locations in the South Atlantic alone. For this purpose additional information is required from the eastern and the western North Atlantic or the Southern Ocean.

[51] In addition, Figures 5g and 5h as well as Figures 8 and 11 show that changes in  $\epsilon_{Nd}$  differ between NA and SO experiments on even larger scales. On the one hand, weaker/stronger AMOC in NA experiments leads to more positive/negative  $\epsilon_{Nd}$  on a global scale. On the other hand, weaker/stronger SOMOC in SO experiments causes a shift to more positive/negative  $\epsilon_{Nd}$  in the Pacific and to more negative/positive  $\epsilon_{Nd}$  in the Atlantic. I.e., changes in the Atlantic and the Pacific are equal in NA experiments but are of opposite direction in SO experiments.

[52] According to our results, a discrimination between northern and southern origin of changes in ocean circulation

is therefore possible based on reconstructions of  $\epsilon_{Nd}$  from different sites (locations of these sites are indicated in Figure 4). First, the extent to which changes in  $\epsilon_{Nd}$  can be observed in cores from the eastern and western margin of the North Atlantic or the Atlantic sector of the Southern Ocean identifies which water masses are subject to changes. I.e., if  $\epsilon_{Nd}$  at the northeastern margin of the Atlantic shows variations in phase with the South Atlantic but  $\epsilon_{Nd}$  at the northwestern margin does not, changes in southern source water dominate over changes in northern source water. On the other hand, if similar variations are observed at the northeastern as well as at the northwestern margins, changes in both northern source water as well as in southern source water are a likely reason. Second, if  $\epsilon_{Nd}$  in the Atlantic and the central Pacific vary in phase, changes in  $\psi_{AMOC}$  are a likely cause. On the other hand, if variations in  $\epsilon_{Nd}$  in the North Atlantic and the Pacific are in opposite direction, this is probably due to changes in  $\psi_{SOMOC}$ . Note however, that according to Figures 5g and 5h dating accuracy needs to be within a few hundred years for a reliable interpretation of the contrariwise behavior of  $\epsilon_{Nd}$  in the Atlantic and the Pacific.

## 7. Discussion and Summary

[53] By analyzing results from numerous model simulations this study systematically examines the effect of changes in meridional overturning circulation on  $\epsilon_{Nd}$  for the first time. Four main results of this study are briefly mentioned here and discussed in more detail thereafter: First, variations in  $\epsilon_{Nd}$  of up to 5  $\epsilon_{Nd}$ -units result from periodic weakening and strengthening of the formation of NADW and AABW in millennial-scale freshwater experiments. Changes in  $\epsilon_{Nd}$  of the North Atlantic or Southern Ocean end-members are relatively small, and variations in  $\epsilon_{Nd}$ , e.g., in the South Atlantic thus closely reflect provenance of northern and southern source water, respectively. Second, although at first sight the magnitude of variations in  $\epsilon_{Nd}$  depends on the magnitude of changes in Atlantic meridional overturning strength, no unequivocal relationship is found between  $\epsilon_{Nd}$  and the formation of NADW. The relationship between  $\epsilon_{Nd}$  and the formation of AABW is more pronounced. Third, the sign of changes in the Atlantic and Pacific basins as well as Atlantic patterns of  $\Delta\epsilon_{Nd}$  differ between NA and SO experiments. Therefore, inferences on the origin of changes (NADW versus AABW) are possible based on the sign of the response in the Atlantic and the Pacific as well as based on the pattern of  $\Delta\epsilon_{Nd}$  in the Atlantic. Fourth, absolute values of  $\epsilon_{Nd}$  are affected by variations in the magnitude of particle export fluxes to a certain extent due to the associated changes in the sink of Nd and thus  $\tau_{Nd}$ . However, the pattern of variations in  $\epsilon_{Nd}$  caused by variations in overturning strength is hardly affected.

**Figure 13.** Time series of  $\epsilon_{Nd}$  at sites indicated in Figure 4b: (a, b) Northwest Atlantic, (c, d) Northeast Atlantic, (e, f) the South Atlantic, and (g, h) the Southern Ocean. Results are from experiments where positive and negative freshwater fluxes of amplitude  $F_w = 0.3$  Sv and of period  $T_{F_w} = 2$  kyr are applied to (left) the North Atlantic (corresponding to experiment NA2k030Sv) and (right) the Ross and Weddell Sea areas of the model (corresponding to experiment SO2k030Sv). CTRL particle export fluxes of POC,  $\text{CaCO}_3$  and opal are scaled in the North Atlantic (NA) or the Southern Ocean (SO) by factors 1/2, 1, and 2 and are kept constant at these levels throughout the corresponding simulation. Shaded areas indicate the range within which  $\epsilon_{Nd}$  varies in cases where particle-types are scaled individually.

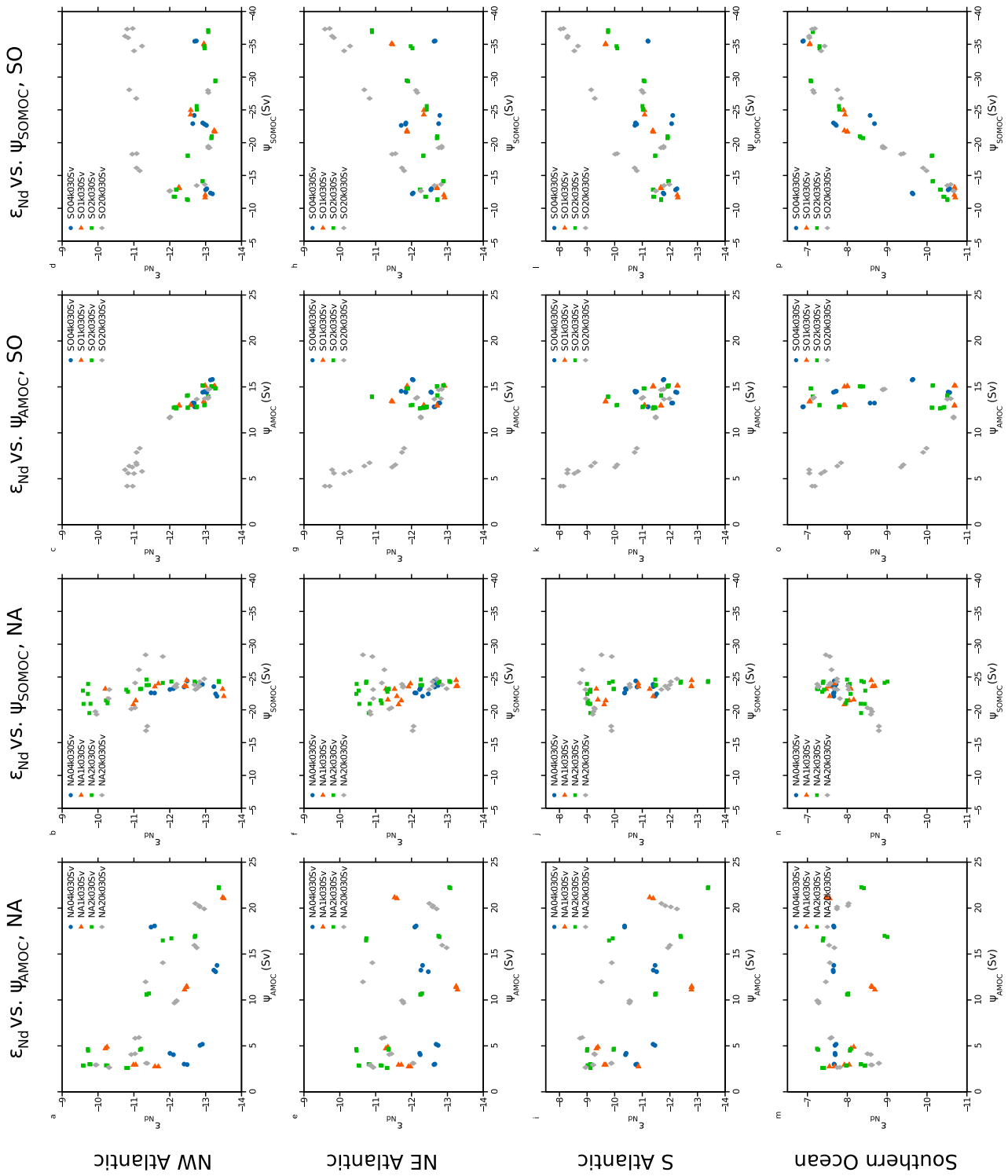


Figure 14

[54] Overall, our results indicate that in experiments where millennial-scale variations are applied to the overturning circulation and thus to the distribution of water masses, variations in  $\epsilon_{Nd}$  closely reflect these variations. Furthermore, in our experiments changes in  $\epsilon_{Nd}$  of end-members are much smaller than variations in  $\epsilon_{Nd}$  due to changes in water mass distribution. Note however, that this does not allow to exclude changes in end-member composition on glacial-interglacial time-scales (as reported by *Gutjahr et al.* [2008] and *Arsouze et al.* [2008]).

[55] Neither the pattern, nor the magnitude of  $\Delta\epsilon_{Nd}$ , and thus the sensitivity of  $\epsilon_{Nd}$  to changes in overturning strength, are globally uniform. Sites where records of  $\epsilon_{Nd}$  shall be extracted from the sediments hence need to be chosen with care. In general, largest variations in  $\epsilon_{Nd}$  are found at locations where the mix of major water masses varies depending on the circulation regime. In seeking maximum response in  $\epsilon_{Nd}$ , paleoceanographic studies should therefore focus on such regions.

[56] More specifically,  $\epsilon_{Nd}$  is sensitive to changes in AMOC, particularly in the South Atlantic, where Atlantic main water masses, NADW and AABW, prevail depending on pattern and strength of the AMOC.  $\epsilon_{Nd}$  is more negative during periods of strong formation of NADW and more positive during periods when formation of NADW is weak. At the same time  $\epsilon_{Nd}$  is more positive if formation of AABW is strong and more negative if formation of SOMOC is weak. Therefore, variations in  $\epsilon_{Nd}$ , e.g., in the South Atlantic do not simply reflect increasing/decreasing strength of NADW, but also an increasing/decreasing strength of AABW or a combination of changes in NADW and AABW, respectively. This is in general agreement with the interpretation of *Piotrowski et al.* [2004, 2005, 2008] and indicates that it is not possible to infer on the origin of changes based on one single core from the South Atlantic, without further constraints from other proxies such as  $\delta^{13}C$  (comparable to *Piotrowski et al.* [2008, 2009]) which in turn introduce additional uncertainties. Though, to infer on the origin of changes in the AMOC is of interest with regard to changes on millennial [*Weaver et al.*, 2003; *Piotrowski et al.*, 2004; *Gutjahr et al.*, 2010] as well as on orbital time-scales [*Lynch-Stieglitz et al.*, 2007; *Piotrowski et al.*, 2008]. Our results indicate that constraining the origin of changes in AMOC is possible based on the pattern of  $\Delta\epsilon_{Nd}$  in the northwest and the northeast Atlantic as well as in the Southern Ocean. However, as these differences occur on relatively small, i.e., sub basin scale and as our model is only coarsely resolved, confidence into these results should be enhanced by models with higher resolution. Further large-scale differences in the temporal evolution of  $\epsilon_{Nd}$  in the Atlantic and the Pacific can be observed in our NA and SO experiments, thus providing additional constraints on the origin of changes in AMOC. Note, that in this regard

limitations could result from dating accuracy which is required to be within the range of a few hundred years.

[57] On the one hand, in our freshwater experiments we find the magnitude of variations in  $\epsilon_{Nd}$  ( $\Delta\epsilon_{Nd}$ ) to depend on the magnitude and the duration of changes in strength of the overturning circulation, thus indicating that  $\Delta\epsilon_{Nd}$  yields information about the magnitude of changes, e.g., in the rate of the AMOC. On the other hand, scatterplots of  $\psi_{AMOC}$  and  $\psi_{SOMOC}$  versus  $\epsilon_{Nd}$  show that it is difficult to derive information on the rate of the AMOC based on  $\epsilon_{Nd}$ , because the relationship between  $\psi_{AMOC}$  and  $\epsilon_{Nd}$  is rarely unequivocal. In contrast, the relationship is more pronounced between SOMOC and  $\epsilon_{Nd}$ , between which linear relationships exist at some sites. Note however, that the relationship does not hold for the entire range of SOMOC strength (i.e., for large magnitudes), e.g., in the Southern Ocean.

[58] Scaling of particle fluxes in regions of deep-water formation affects absolute values of  $\epsilon_{Nd}$  as well as the magnitude of  $\Delta\epsilon_{Nd}$  to some extent but hardly affects the pattern of  $\epsilon_{Nd}$ . Overall, the effect of changes in export fluxes on  $\epsilon_{Nd}$  is small compared to the effect of changes in circulation strength. This indicates that changes in pattern and magnitude of export fluxes do not introduce large uncertainty in the interpretation of  $\Delta\epsilon_{Nd}$  as circulation changes. It is important to note, that these results depend on the assumption of non-preferential scavenging, which is reasonable as long as no indication for preferential scavenging is found [*Arsouze et al.*, 2009; *Rempfer et al.*, 2011]. New insight into the marine Nd cycle will emerge, e.g., from the GEOTRACES program in the near future [*SCOR Working Group*, 2007].

[59] In this context we also emphasize general uncertainties associated with the nature and magnitude of sources of Nd. Recent studies indicate that  $\epsilon_{Nd}$  is modified at continental margins and young volcanic arcs and that continental margins and the seafloor could act as sources of Nd [*van de Flierdt et al.*, 2004; *Lacan and Jeandel*, 2005a; *Johannesson and Burdige*, 2007; *Rickli et al.*, 2010; *Horikawa et al.*, 2010; *Carter et al.*, 2012; *Stichel et al.*, 2012]. This means that  $\epsilon_{Nd}$  of any water mass not only depends on the isotopic signature of the two main end-members North Atlantic and North Pacific. Our simplified approach takes this into account by assuming a globally homogeneous boundary source and is a reasonable first order approach considering its success in simulating modern  $[Nd]_d$  and  $\epsilon_{Nd}$ . However, it probably does not cover the complexity associated with the boundary source and will thus prove incomplete as soon as more detailed insight is gained into the nature of this source (e.g., through the GEOTRACES program) [*SCOR Working Group*, 2007].

**Figure 14.** (a, c, e, g, i, k, m, o) Scatterplots of  $\psi_{AMOC}$  versus  $\epsilon_{Nd}$  and (b, d, f, h, j, l, n, p)  $\psi_{SOMOC}$  versus  $\epsilon_{Nd}$  for NA and SO experiments. Note the direction of x-axes that indicate  $\psi_{SOMOC}$  is reverse. Colors indicate different freshwater experiments and are specified on the panels (see Table 2 for further details). Results are from Blake Ridge, Northwest Atlantic (Figures 14a–14d), Northeast Atlantic (Figures 14e–14h), South Atlantic (Figures 14i–14l), and Southern Ocean (Figures 14m–14p). The location of each site is indicated in Figure 4b.

[60] In conclusion, our millennial-scale freshwater experiments indicate the large potential of  $\epsilon_{Nd}$  as a paleocirculation tracer but also indicate the limitations of quantitative reconstructions of changes in the Atlantic Meridional Overturning Circulation.

[61] **Acknowledgments.** This work was funded through the Marie Curie Research Training Network NICE (Network for Ice sheet and Climate Evolution). Support by the European Project on Ocean Acidification (EPOCA, FP7/2007–2013; 211384), Past4Future (grant 243908), the EU FP7 project CARBOCHANGE (grant agreement 264879), and the Swiss National Science Foundation are acknowledged. Thanks are due to S. Ritz for the development of the coupled Bern3D code as well as for stimulating discussions. We are also grateful to two anonymous reviewers, whose valuable comments led to significant improvements in the manuscript.

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