

# Drivers of surface ocean acidity extremes in an Earth system model

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## Key Points:

- The physical and biogeochemical drivers of surface ocean acidity extremes are analysed using high-frequency output of an Earth system model
- Higher temperatures due to enhanced ocean heat uptake drive the onset of high [H<sup>+</sup>] extremes in the subtropics
- In contrast, higher carbon concentrations due to increased vertical mixing and advection cause low  $\Omega$  extremes in most regions

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## Abstract

Oceanic uptake of anthropogenic carbon causes acidification, a process that describes the increase in hydrogen ion concentrations ( $[H^+]$ ) and decrease in calcium carbonate mineral saturation states ( $\Omega$ ). Of particular concern are ocean acidity extreme (OAX) events, which pose a significant threat to many calcifying marine organisms. However, the mechanisms driving such extreme events are not well understood. Here, we use high-frequency output from a fully-coupled Earth system model of all processes that influence the surface ocean temperature and carbon budgets and ultimately  $[H^+]$  and  $\Omega$  anomalies to quantify the driving mechanisms of the onset and decline of high  $[H^+]$  and low  $\Omega$  extreme events. We show that enhanced temperature plays a crucial role in driving  $[H^+]$  extremes, with increased net ocean heat uptake being the dominant driver of the event onset in the subtropics. In the mid-to-high latitudes, decreased downward vertical diffusion and mixing of warm surface waters during summer, and increased vertical mixing with warm and carbon-rich subsurface waters during winter are the main drivers of high  $[H^+]$  extreme event onset. In the tropics, increases in vertical advection of carbon-rich subsurface waters are the primary driver of the onset of high  $[H^+]$  extremes. In contrast, low  $\Omega$  extremes are driven in most regions by increases in surface carbon concentration due to increased vertical mixing with carbon-rich subsurface waters. Our study highlights the complex interplay between heat and carbon anomalies driving OAX events and provides a first foundation for more accurate prediction of their future evolution.

## Plain Language Summary

Extreme events in ocean acidity and calcium carbonate saturation state may worsen the impacts from ocean acidification on marine ecosystems in the coming decades. Yet, the physical and biogeochemical drivers of such extreme events, such as air-sea  $CO_2$  and heat exchange and vertical mixing, have not been analyzed. Based on high-frequency output of an Earth system model simulation, this study presents a first global assessment of the drivers of these extremes in the surface ocean. We find air-sea heat uptake and suppressed vertical mixing with colder subsurface waters to be major drivers of high  $[H^+]$  extremes in subtropical oceans and also in higher latitude regions during summer. There, increased vertical mixing is the major driver during winter, mixing up carbon-rich and warmer subsurface waters. In tropical regions, extremes in  $[H^+]$  are caused by upwelling of carbon-rich waters. In contrast, we find that extremes in calcium carbonate saturation state are mainly caused by increased vertical mixing or upwelling of carbon-rich deep waters.

## 1 Introduction

Since the beginning of the industrial era, the ocean has taken up 20 to 30 % of the anthropogenic carbon emissions (Friedlingstein et al., 2022). This uptake has caused changes in ocean chemistry, collectively known as ocean acidification (Caldeira & Wickett, 2003; Doney, Fabry, et al., 2009). Specifically, the pH of the surface ocean has decreased by approximately 0.12 since preindustrial times, corresponding to an increase in hydrogen ion concentration ( $[H^+]$ ) by 30 % (Jiang et al., 2023). In addition, the concentration of carbonate ions ( $[CO_3^{2-}]$ ) has decreased by about 16 %, which has resulted in a decrease in the saturation state of calcium carbonate ( $\Omega$ ) (Orr et al., 2005; Jiang et al., 2023). These changes are projected to continue and even accelerate in the future (Orr et al., 2005; Steinacher et al., 2009; Bopp et al., 2013; Kwiatkowski et al., 2020; Canadell et al., 2021). By the end of the 21st century, surface ocean  $[H^+]$  is projected to increase by another 4-150 % and  $[CO_3^{2-}]$  concentration is projected to decrease by another 2-48 %, depending on the future carbon emission scenario (Jiang et al., 2023). These ongoing changes in ocean chemistry are expected to have far-reaching implications for marine organisms and the ser-

62 vices they provide to humanity (Kroeker et al., 2013; Doney et al., 2020; Bindoff et al.,  
63 2019).

64 Extreme variations in ocean acidity, known as OAX events, can amplify the im-  
65 pacts of long-term ocean acidification on marine organisms and ecosystems by pushing  
66 them beyond their limits of resilience (e.g., Spisla et al., 2021; Gruber et al., 2021; Bed-  
67 naršek et al., 2022). These events can cause changes in hydrogen ion concentration ( $[H^+]$ )  
68 and other carbonate system variables of similar magnitude to those expected from long-  
69 term ocean acidification during the 21st century (Hofmann et al., 2011; Leinweber & Gru-  
70 ber, 2013; Desmet et al., 2022), particularly in coastal oceans (Torres et al., 2021). OAX  
71 events occur on much shorter timescales and can have detrimental impacts on marine  
72 organisms, as demonstrated, for example, by laboratory and field studies that show signs  
73 of shell dissolution in calcifying organisms after only a few days in undersaturated cal-  
74 cium carbonate waters (e.g., Bednaršek et al., 2012, 2014). These findings emphasize the  
75 need to consider both short-term and long-term impacts of extreme ocean acidity lev-  
76 els when assessing the health and sustainability of marine ecosystems.

77 OAX events are projected to become more frequent or even permanent due to long-  
78 term ocean acidification by the end of the 21st century (Burger et al., 2020). In addi-  
79 tion, short-term departures from normal  $[H^+]$  conditions are expected also to become  
80 larger in the future, since  $[H^+]$  becomes more sensitive to variations in physical and bio-  
81 geochemical ocean conditions as a consequence of the nonlinear nature of oceanic car-  
82 bon chemistry (Orr et al., 2018; Fassbender et al., 2018; Kwiatkowski et al., 2023). For  
83 example, the frequency of  $[H^+]$  extreme events relative to a shifting-mean baseline that  
84 includes long-term ocean acidification is projected to increase by a factor of 14 under a  
85 high emission scenario by the end of the century (Burger et al., 2020). Such increases  
86 in extreme departures may further increase the risk for marine ecosystems under ocean  
87 acidification, since ecosystems may be pushed earlier and more frequently beyond their  
88 limits of resilience. At the same time, variations in  $[CO_3^{2-}]$  and aragonite saturation state  
89 ( $\Omega_A$ ) are expected to become smaller, because  $[CO_3^{2-}]$  and  $\Omega_A$  become less sensitive to  
90 variations in physical and biogeochemical ocean conditions (Orr et al., 2018; Burger et  
91 al., 2020).

92 Not only the projections of extreme deviations in  $[H^+]$  and  $\Omega_A$  from the long-term  
93 mean differ. It is also important to note that these extremes often occur independently  
94 from each other. For example, the 2013-2015 marine heatwave in the North Pacific, known  
95 as 'the Blob', was associated with extremely high  $[H^+]$  conditions (Gruber et al., 2021),  
96 but not with extremely low  $\Omega_A$  conditions (Mogen et al., 2022). This difference may be  
97 attributed to the fact that distinct drivers can cause  $[H^+]$  and  $\Omega_A$  extremes. While high  
98  $[H^+]$  levels and low  $\Omega_A$  level may arise from increased dissolved inorganic carbon or de-  
99 creased alkalinity, high  $[H^+]$  levels may also be caused by elevated temperatures (Burger  
100 et al., 2022). Furthermore, the drivers determine whether acidification extremes co-occur  
101 with extremes in other stressors such as temperature. Understanding when these two types  
102 of acidification extremes do not coincide is crucial, particularly if expected impacts are  
103 primarily linked to one of the two variables.

104 Most available studies on OAX events have focused on examining their long-term  
105 changes under climate change (Burger et al., 2020; Hauri et al., 2013), as well as on iden-  
106 tifying the drivers of the mean seasonal cycle (Hagens & Middelburg, 2016; Xue et al.,  
107 2021; Orr et al., 2022) and its changes (Kwiatkowski & Orr, 2018). However, the causes  
108 of large deviations in  $[H^+]$  or  $\Omega_A$  from their mean seasonal cycles during OAX events  
109 are currently unknown. These seasonal anomalies are likely driven by changes in tem-  
110 perature and dissolved inorganic carbon (Deser et al., 2010; Doney, Lima, et al., 2009),  
111 which are the most important driving variables. The contribution of different physical  
112 and biogeochemical processes, such as air-sea heat and  $CO_2$  exchange and vertical mix-  
113 ing of heat and carbon, to changes in surface heat and carbon and ultimately to extremes  
114 in  $[H^+]$  or  $\Omega_A$  is currently unknown. A better understanding of these processes is cru-

115 cial for making accurate predictions about the future evolution of OAX events at the re-  
 116 gional scale (Burger et al., 2020).

117 In this study, the drivers of extreme events in  $[\text{H}^+]$  and  $\Omega_{\text{A}}$  in the global surface  
 118 ocean are analyzed for the first time. The analysis is based on a pre-industrial control  
 119 simulation of the GFDL ESM2M Earth system model. It makes use of a suite of model  
 120 tendency terms for the carbon and temperature budgets that allows to decompose changes  
 121 in temperature and carbon into contributions from the underlying physical and biogeo-  
 122 chemical processes (Gnanadesikan et al., 2012; Palter et al., 2014; S. M. Griffies et al.,  
 123 2015; Vogt et al., 2022). The remainder of this article is structured as follows. In sec-  
 124 tion 2, the methods used to analyze the drivers of  $[\text{H}^+]$  extremes are introduced. Sec-  
 125 tion 3 presents the results, and a discussion of the results and conclusions are given in  
 126 section 4.

## 127 2 Methods

### 128 2.1 Model and experimental design

129 This study is based on a preindustrial control simulation from the Earth system  
 130 model GFDL ESM2M (Dunne et al., 2012, 2013). The GFDL ESM2M is a fully coupled  
 131 carbon cycle-climate model that was developed at NOAA’s Geophysical Fluid Dynam-  
 132 ics Laboratory (GFDL). The model consists of an ocean (MOM4p1; S. Griffies, 2009),  
 133 atmosphere (AM2; Anderson et al., 2004), land (LM3; Shevliakova et al., 2009) and sea  
 134 ice (Winton, 2000) module. The Modular Ocean Model version 4p1 (MOM4p1) uses a  
 135 grid with a horizontal nominal  $1^\circ$  resolution that increases near the equator to  $0.3^\circ$  and  
 136 with a time-varying vertical resolution of about 10 m in the upper ocean. In this study,  
 137 we analyze data for the uppermost vertical layer that extends from the surface to about  
 138 10 m depth. MOM4p1 is coupled to the ocean biogeochemistry model Tracers of Ocean  
 139 Phytoplankton with Allometric Zooplankton version two (TOPAZv2; Dunne et al., 2013).  
 140 TOPAZv2 simulates the cycling of 30 biogeochemical tracers, three phytoplankton groups,  
 141 and zooplankton grazing. Carbonate chemistry follows the OCMIP2 recommendations (Najjar  
 142 & Orr, 1998; Burger et al., 2020).

143 We used output of a 100 y preindustrial control simulation that was run under pre-  
 144 scribed atmospheric  $\text{CO}_2$  levels of 286 ppm (Vogt et al., 2022). Aerosol and solar forc-  
 145 ing were also set to preindustrial 1860 values, and no anthropogenic land use and vol-  
 146 canic activity was assumed. We stored output for temperature (T), dissolved inorganic  
 147 carbon ( $\text{C}_\text{T}$ ), total alkalinity ( $\text{A}_\text{T}$ ), salinity (S), silicate, and phosphate at two-hourly res-  
 148 olution, which is equivalent to the ocean model time step. By using *mocsy 2.0* (Orr &  
 149 Epitalon, 2015), these data were used to calculate  $[\text{H}^+]$  and the saturation states of arag-  
 150 onite  $\Omega_{\text{A}}$  — a mineral form of calcium carbonate produced by marine organisms.  $[\text{H}^+]$   
 151 and  $\Omega_{\text{A}}$  were recalculated on the model time step because *mocsy 2.0* is also used to cal-  
 152 culate partial derivatives of  $[\text{H}^+]$  and  $\Omega_{\text{A}}$  in the analysis (see section 2.4). This approach  
 153 thus avoids slight inconsistencies between the carbonate chemistry representations of the  
 154 ESM2M model and *mocsy 2.0*, increasing the accuracy of the analysis. The data were  
 155 then aggregated to daily-mean resolution for the analysis. Additionally, output for the  
 156 processes that modulate T and  $\text{C}_\text{T}$  - specifically T and  $\text{C}_\text{T}$  tendency terms - were also  
 157 stored on two-hourly resolution. Storing tendency terms at each ocean model time step  
 158 allowed to precisely calculate the changes in daily-mean T and  $\text{C}_\text{T}$  arising from the in-  
 159 dividual tendency terms.

### 160 2.2 Model evaluation

161 The findings of this study depend on the models’ ability to accurately simulate the  
 162 variations in  $[\text{H}^+]$  and  $\Omega_{\text{A}}$  anomalies. The GFDL ESM2M Earth system model, with its  
 163 nominal  $1^\circ$  horizontal grid resolution in the ocean, is only suitable for assessing ocean

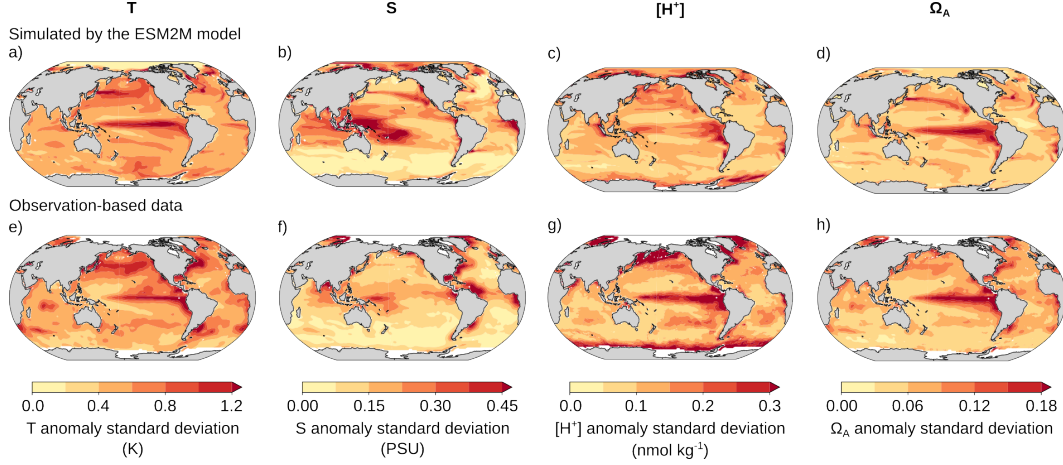
164 acidity extremes on spatial scales of approximately 100 km and larger. The model is not  
 165 well suited for driver analysis in coastal oceans and at local scales, since mesoscale and  
 166 submesoscale variability (e.g., Desmet et al., 2022; Hayashida et al., 2020) are not well  
 167 represented. To evaluate the simulated variability in the open ocean, we compare the  
 168 model simulation with estimates of observation-based gridded data with a similar 1° hor-  
 169 izontal resolution. The observation-based data, covering the period 1982-2021 (see also  
 170 Burger et al., 2022), consists of the Hadley Centre EN4.2.2 objective analyses T and S  
 171 fields (Good et al., 2013). Additionally,  $[\text{H}^+]$  and  $\Omega_{\text{A}}$  were calculated with CO2SYS us-  
 172 ing SOCAT-based  $\text{fCO}_2$  (MPI-SOMFNN v2022; Landschützer et al., 2016; Landschützer  
 173 et al., 2022) and total alkalinity calculated from S and T using the LIARv2 algorithm  
 174 (Carter et al., 2018). Since the  $\text{fCO}_2$  data is only available on monthly timescales, this  
 175 model-data comparison is limited to monthly-mean resolution.

176 After removing the long-term linear trends from the observation-based data, we  
 177 find a generally good agreement between simulated and observation-based variability of  
 178 anomalies relative to the seasonal cycle in surface temperature and salinity (Figure 1).  
 179 The pattern correlation coefficients of the standard deviation in anomalies are 0.53 for  
 180 temperature and 0.50 for salinity. However, the model tends to overestimate tempera-  
 181 ture variability in the Southern Ocean (Figure 1a, e; supporting information Table S3)  
 182 and salinity variability in the western tropical Pacific and Indian Ocean (Figure 1b, f).  
 183 These biases suggest that the model may overestimate the contributions of temperature  
 184 variations to extremes in the Southern Ocean and freshwater fluxes in the western trop-  
 185 ical Pacific and Indian Ocean. We also find good agreement between simulated and observation-  
 186 based spatial patterns of  $[\text{H}^+]$  and  $\Omega_{\text{A}}$  variability with pattern correlation coefficients  
 187 of the standard deviation in  $[\text{H}^+]$  and  $\Omega_{\text{A}}$  anomalies of 0.48 and 0.62, respectively (Fig-  
 188 ure 1c,d,g,h). However,  $[\text{H}^+]$  is generally more variable in the observation-based prod-  
 189 uct compared to the model data (+33 % globally; supporting information Table S3), par-  
 190 ticularly in the high latitudes (e.g., +54 % in the Southern Ocean) and the eastern equa-  
 191 torial Pacific. The higher  $[\text{H}^+]$  variability in the observation-based data is mainly attributable  
 192 to the historical increase in  $[\text{H}^+]$  sensitivity with respect to variations in its drivers from  
 193 ocean acidification (Burger et al., 2020). Recalculating simulated  $[\text{H}^+]$  variability with  
 194 the driving variables adjusted to the 1982-2021 mean conditions, which include ocean  
 195 acidification and other historical trends, the excess in observation-based standard devi-  
 196 ation is reduced to 4 % globally. However, an excess in observation-based standard devi-  
 197 ation of  $[\text{H}^+]$  anomalies remains in the high latitudes (+18 % over the Southern Ocean).  
 198 Calculating observation-based  $C_{\text{T}}$  following the methodology for  $[\text{H}^+]$  and  $\Omega_{\text{A}}$ , we find  
 199 that the remaining mismatch in these regions is associated with a negative bias in sim-  
 200 ulated variability in  $C_{\text{T}}$  anomalies (18 % smaller standard deviation in simulated  $C_{\text{T}}$  sea-  
 201 sonal anomalies over the Southern Ocean). It is important to note the uncertainties in  
 202 the observation-based data from the  $\text{pCO}_2$  mapping method (Fay et al., 2021), in par-  
 203 ticular in the high latitudes (Landschützer et al., 2016), highlighting a need to better con-  
 204 strain observation-based carbonate system variability.

205 In summary, we find a good agreement between simulated and observation-based  
 206 variability of anomalies relative to the seasonal cycle in all analyzed variables, and a good  
 207 match in the spatial variability patterns, despite a general low bias in simulated  $[\text{H}^+]$  vari-  
 208 ability. These results suggest that the GFDL ESM2M model is well suited to analyze  
 209 the drivers of extremes in  $[\text{H}^+]$  and  $\Omega_{\text{A}}$  in the open ocean.

### 210 **2.3 Extreme event definition and identification of onset/decline peri-** 211 **ods**

212 We examine events of both extremely high  $[\text{H}^+]$  and extremely low  $\Omega_{\text{A}}$ , which are  
 213 collectively referred to as OAX events. We define OAX events based on seasonally-varying  
 214 extreme event thresholds (Hobday et al., 2016; Vogt et al., 2022; Burger et al., 2022).  
 215 At each location and for each day of the year, the  $[\text{H}^+]$  extreme event threshold is de-  
 216 termined as the 90th percentile of the 100 anomaly values with respect to the climato-  
 217 logical seasonal cycle for that day of the year. As a result, the likelihood that the  $[\text{H}^+]$



**Figure 1.** Standard deviation for anomalies relative to the seasonal cycle in (a,e) T, (b,f) S, (c,g)  $[H^+]$ , and (d,h)  $\Omega_A$ , of the pre-industrial GFDL ESM2M model simulation (top) and observation-based data over the period 1982-2021 (bottom). The observation-based data was linearly detrended prior to the analysis.

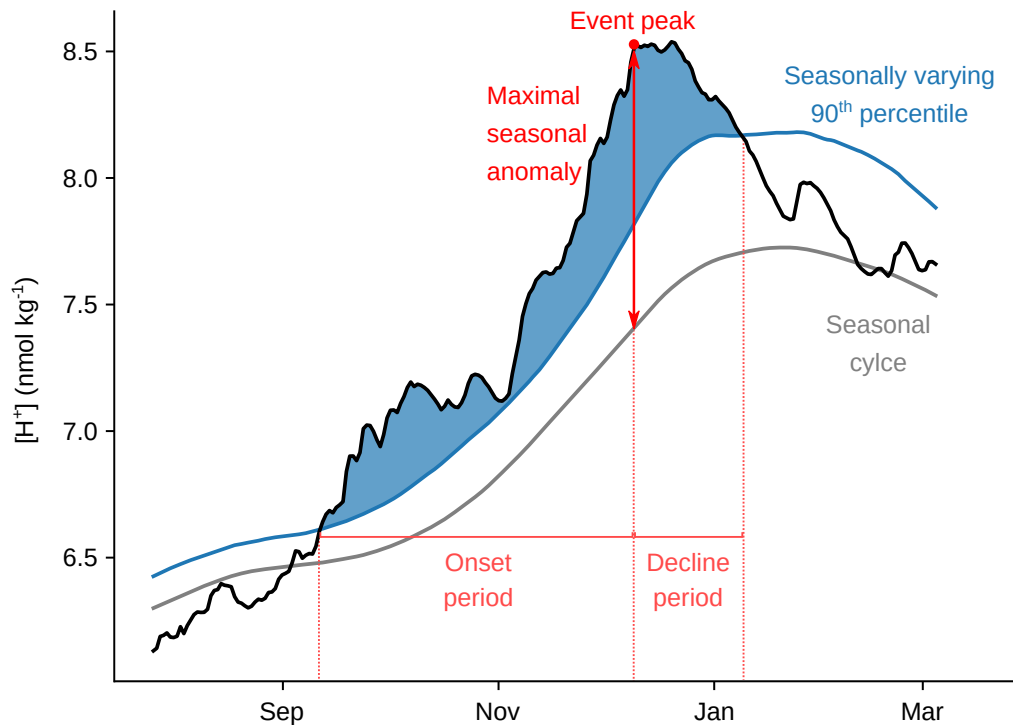
218 anomaly exceeds the threshold is equal across locations and across the year. The choice  
 219 of the 90th percentile ensures the inclusion of extreme ocean conditions while maintain-  
 220 ing a sufficiently large sample for robust analyses. At a specific location, extreme events  
 221 in  $[H^+]$  are then defined as coherent periods over which the  $[H^+]$  anomaly is above the  
 222 local seasonally varying threshold (Figure 2). Similarly, extremely low  $\Omega_A$  events are de-  
 223 fined when  $\Omega_A$  falls below the seasonally-varying thresholds that are given by the 10th  
 224 percentiles of the anomaly distributions for each calendar day.

225 At each location and for each OAX event, we identify its onset and decline period  
 226 (Figure 2). The onset phase is defined as the period between the start of the extreme  
 227 event (e.g., where the  $[H^+]$  anomaly exceeds the seasonally varying threshold) and the  
 228 peak of the extreme event, where  $[H^+]$  anomaly is maximal. Likewise, the decline phase  
 229 is defined as the period between the peak of the extreme event and the time when  $[H^+]$   
 230 anomaly falls below the threshold again. In this study, we average the change in  $[H^+]$   
 231 anomaly and its drivers over these two periods. We assign the day of event peak to the  
 232 decline period, as the change in  $[H^+]$  anomaly on that day characterizes the reduction  
 233 in  $[H^+]$  anomaly between the peak day and the following day. Likewise, the last day of  
 234 the decline period is excluded, as the change in  $[H^+]$  anomaly on that day characterizes  
 235 the transition from the last day of the event to the first day after the event.

#### 236 2.4 Decomposition of OAX events into drivers

Changes in  $[H^+]$  seasonal anomalies ( $H^{+'}$ ) in each grid cell are decomposed into  
 contributions from T, S,  $C_T$  and  $A_T$  (Figure 3; equation (1)). The change in  $[H^+]$  anomaly  
 between day  $i$  and day  $i+1$ , denoted by  $\Delta H^{+'}(i)$ , is approximated by employing a first  
 order Taylor expansion of  $[H^+]$  at day  $i$ , and by calculating the seasonal anomalies (de-  
 note by primes) of the obtained terms from T,  $C_T$ ,  $A_T$ , and S:

$$\Delta H^{+'}(i) \simeq \underbrace{\left( \frac{\partial H^+}{\partial T}(i) \Delta T(i) \right)'}_{T \text{ term}} + \underbrace{\left( \frac{\partial H^+}{\partial C_T}(i) \Delta C_T(i) \right)'}_{C_T \text{ term}} + \underbrace{\left( \frac{\partial H^+}{\partial A_T}(i) \Delta A_T(i) \right)'}_{A_T \text{ term}} + \underbrace{\left( \frac{\partial H^+}{\partial S}(i) \Delta S(i) \right)'}_{S \text{ term}}. \quad (1)$$



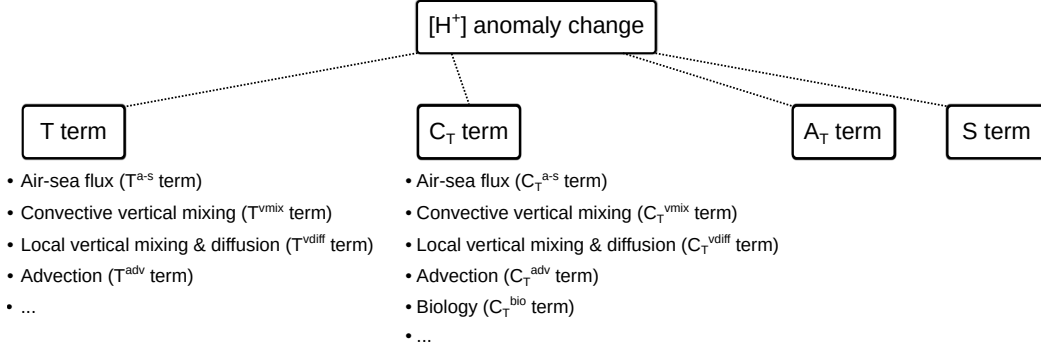
**Figure 2.** An exemplary  $[\text{H}^+]$  extreme event in the northern subpolar Pacific depicting the event definition as well as the separation into event onset and decline periods.

237  $\Delta T(i)$ ,  $\Delta C_T(i)$ ,  $\Delta A_T(i)$ , and  $\Delta S(i)$  denote the changes in the respective variables be-  
 238 tween day  $i$  and day  $i + 1$ . The partial derivatives with respect to  $T$  and  $C_T$  in equa-  
 239 tion (1) are calculated for each day from daily-mean  $T$ ,  $C_T$ ,  $A_T$ ,  $S$ , silicate and phosphate  
 240 using *mocsy* 2.0 (Orr & Epitalon, 2015). The analogous decomposition of anomaly changes  
 241 is also performed for  $\Omega_A$ .

242 The approximation of the changes in  $[\text{H}^+]$  and  $\Omega_A$  seasonal anomalies through the  
 243 sum of the  $T$ ,  $C_T$ ,  $A_T$ , and  $S$  terms, as described in equation (1) for  $[\text{H}^+]$ , works well.  
 244 For example, the root mean squared error (RMSE) over all simulated days of the approx-  
 245 imation of  $[\text{H}^+]$  anomaly change in equation (1) is  $0.2 \text{ pmol kg}^{-1} \text{ d}^{-1}$  ( $\text{pmol} = 10^{-12} \text{ mol}$ )  
 246 on global average. RMSE is smaller than 5% of the standard deviation of  $[\text{H}^+]$  anomaly  
 247 change over 99.9% of the ocean, indicating that the approximation accurately captures  
 248 variations in  $[\text{H}^+]$  anomaly change.

## 249 2.5 Decomposition of $T$ and $C_T$ changes during OAX events into ten- 250 dency terms

251 Within the ESM2M model, changes in  $T$  and  $C_T$  between two model time steps  
 252 are calculated from a number of tendencies that describe the changes in  $T$  and  $C_T$  due  
 253 to the individual physical and biogeochemical processes represented by the model (supporting  
 254 information text S1; Palter et al., 2014; S. M. Griffies et al., 2015). We make use of these  
 255 tendency terms to further decompose the changes in  $T$  and  $C_T$  into individual physical  
 256 and biogeochemical drivers. To do so, changes in daily-mean  $T$  or  $C_T$  due to individual  
 257 processes are reconstructed by adding up the respective tendency term on two-hourly  
 258 (model time step) resolution between the two days that are considered (supporting in-  
 259 formation text S2).



**Figure 3.** A scheme depicting the decomposition of  $[\text{H}^+]$  anomaly change ( $\Delta\text{H}^+$ ) into the T,  $C_T$ ,  $A_T$ , and S terms (equation (1)). The T and  $C_T$  terms are further decomposed into tendency contributions (equations (4) and (5)).

For temperature, these individual processes include air-sea exchange of heat ( $\Delta T^{\text{a-s}}$ ), resolved and parameterized subgrid-scale horizontal and vertical advection of heat ( $\Delta T^{\text{adv}}$ ), vertical diffusion and local mixing of heat (here referred to as *vertical diffusion* only;  $\Delta T^{\text{vdiff}}$ ), convective vertical mixing of heat in the ocean boundary layer as represented by the non-local KPP ( $K$ -profile) parametrization ( $\Delta T^{\text{vmix}}$ ), and a residual contribution ( $\Delta T^{\text{res}}$ ) from other processes, such as neutral diffusion and river runoff (supporting information text S1), as well as grid cell height variations (supporting information text S2):

$$\Delta T \simeq \Delta T^{\text{a-s}} + \Delta T^{\text{vmix}} + \Delta T^{\text{vdiff}} + \Delta T^{\text{adv}} + \Delta T^{\text{res}}. \quad (2)$$

Likewise, for  $C_T$  the contributions include air-sea exchange of  $\text{CO}_2$  ( $\Delta C_T^{\text{a-s}}$ ), resolved and parameterized subgrid-scale horizontal and vertical advection of carbon ( $\Delta C_T^{\text{adv}}$ ), vertical diffusion and local mixing of carbon ( $\Delta C_T^{\text{vdiff}}$ ), nonlocal KPP convective mixing of carbon ( $\Delta C_T^{\text{vmix}}$ ), biological carbon uptake and release ( $\Delta C_T^{\text{bio}}$ ), and other processes including grid cell height variations ( $\Delta C_T^{\text{res}}$ ):

$$\Delta C_T \simeq \Delta C_T^{\text{a-s}} + \Delta C_T^{\text{vmix}} + \Delta C_T^{\text{vdiff}} + \Delta C_T^{\text{adv}} + \Delta C_T^{\text{bio}} + \Delta C_T^{\text{res}}. \quad (3)$$

260 More details on the individual tendencies and their underlying parametrizations can be  
 261 found in supporting information text S1. The tendencies from grid cell height variations  
 262 (part of the  $\Delta T^{\text{res}}$  and  $\Delta C_T^{\text{res}}$  terms) do not represent physical or biogeochemical processes.  
 263 However, they are needed to precisely reproduce  $\Delta T$  and  $\Delta C_T$  with equations  
 264 (2) and (3). Based on equations (2) and (3), the T and  $C_T$  terms in equation (1) are decomposed  
 265 into the individual tendency contributions:

$$\begin{aligned} \left( \frac{\partial \text{H}^+}{\partial T}(i) \Delta T(i) \right)' &= \underbrace{\left( \frac{\partial \text{H}^+}{\partial T}(i) \Delta T^{\text{a-s}}(i) \right)'}_{T^{\text{a-s}} \text{ term}} + \underbrace{\left( \frac{\partial \text{H}^+}{\partial T}(i) \Delta T^{\text{vmix}}(i) \right)'}_{T^{\text{vmix}} \text{ term}} + \underbrace{\left( \frac{\partial \text{H}^+}{\partial T}(i) \Delta T^{\text{vdiff}}(i) \right)'}_{T^{\text{vdiff}} \text{ term}} \\ &+ \underbrace{\left( \frac{\partial \text{H}^+}{\partial T}(i) \Delta T^{\text{adv}}(i) \right)'}_{T^{\text{adv}} \text{ term}} + \underbrace{\left( \frac{\partial \text{H}^+}{\partial T}(i) \Delta T^{\text{res}}(i) \right)'}_{T^{\text{res}} \text{ term}} \end{aligned} \quad (4)$$



$$\begin{aligned}
\left(\frac{\partial \text{H}^+}{\partial C_T}(i) \Delta C_T(i)\right)' &= \underbrace{\left(\frac{\partial \text{H}^+}{\partial C_T}(i) \Delta C_T^{\text{a-s}}(i)\right)'}_{C_T^{\text{a-s}} \text{ term}} + \underbrace{\left(\frac{\partial \text{H}^+}{\partial C_T}(i) \Delta C_T^{\text{vmix}}(i)\right)'}_{C_T^{\text{vmix}} \text{ term}} + \underbrace{\left(\frac{\partial \text{H}^+}{\partial C_T}(i) \Delta C_T^{\text{vdiff}}(i)\right)'}_{C_T^{\text{vdiff}} \text{ term}} \\
&+ \underbrace{\left(\frac{\partial \text{H}^+}{\partial C_T}(i) \Delta C_T^{\text{adv}}(i)\right)'}_{C_T^{\text{adv}} \text{ term}} + \underbrace{\left(\frac{\partial \text{H}^+}{\partial C_T}(i) \Delta C_T^{\text{bio}}(i)\right)'}_{C_T^{\text{bio}} \text{ term}} + \underbrace{\left(\frac{\partial \text{H}^+}{\partial C_T}(i) \Delta C_T^{\text{res}}(i)\right)'}_{C_T^{\text{res}} \text{ term}}.
\end{aligned} \tag{5}$$

266 The analogous decomposition is also performed for  $\Omega_A$ .

### 267 3 Results

268 In Section 3.1, we quantify the contributions of the four drivers T,  $C_T$ ,  $A_T$ , and  
269 S to the onset and decline of surface high  $[\text{H}^+]$  extremes. Subsequently, we evaluate the  
270 specific processes that modulate the impact of the two most important drivers, temper-  
271 ature (Section 3.2) and carbon concentrations (Section 3.3). We investigate the seasonal  
272 differences in these processes in Section 3.4. In Section 3.5, we briefly compare our find-  
273 ings on high  $[\text{H}^+]$  levels with those for low  $\Omega_A$  extremes.

#### 274 3.1 Contributions of temperature, carbon, alkalinity and salinity anoma- 275 lies to the onset and decline of surface $[\text{H}^+]$ extremes

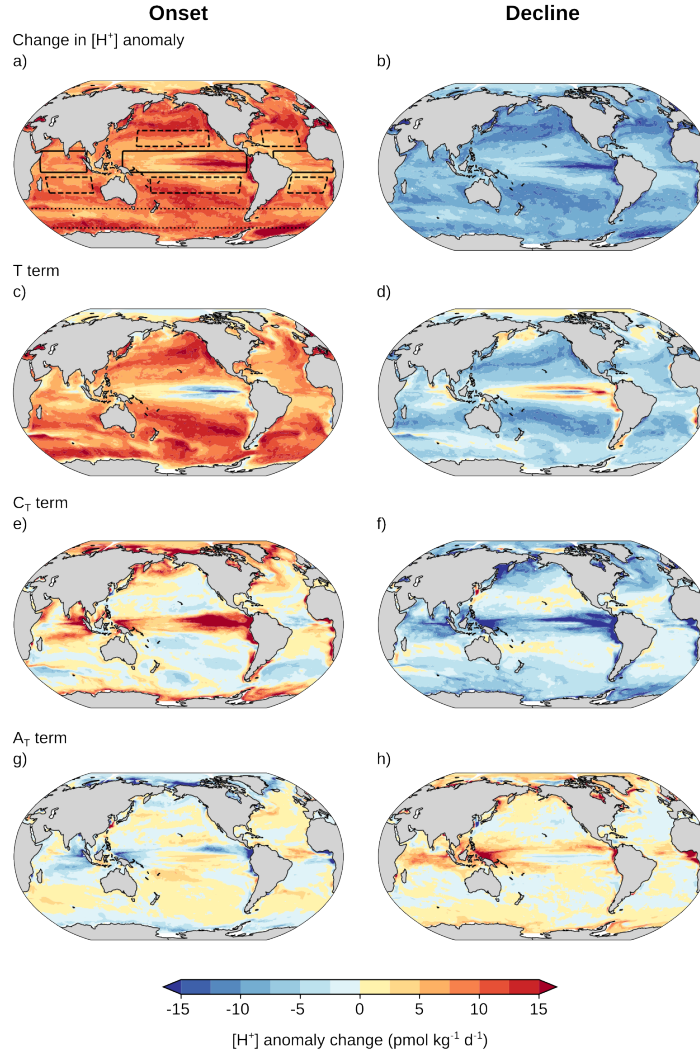
276 On a global scale, the average increase in  $[\text{H}^+]$  anomalies during event onset is  $9.1 \text{ pmol kg}^{-1} \text{ d}^{-1}$  (Ta-  
277 ble 1). The main factor contributing to the increase is the rise in temperature during the  
278 onset of the event. On a global scale, the temperature increase contributes  $7.3 \text{ pmol kg}^{-1} \text{ d}^{-1}$  or  
279 80% to the total increase. Increased temperature directly leads to an increase in  $[\text{H}^+]$   
280 via changes in the carbonate chemistry equilibrium (Zeebe & Wolf-Gladrow, 2001). In-  
281 creases in  $C_T$  also contribute to the increase in  $[\text{H}^+]$  globally, but the contribution of  $2.4 \text{ pmol kg}^{-1} \text{ d}^{-1}$   
282 is relatively small and accounts for 27% of the total  $[\text{H}^+]$  increase. Increases in alkalini-  
283 ty slightly counteract the  $[\text{H}^+]$  increases (-8%) and contributions from changes in salini-  
284 ty are minor (1%).

285 At the regional scale (Figure 4), we find that the increase in temperature is the dom-  
286 inant driver of  $[\text{H}^+]$  increases in 78% of the global ocean surface area during the onset  
287 phase, whereas  $C_T$  dominates over 22% of the ocean surface area. Regions that are par-  
288 ticularly dominated by the  $C_T$  contribution are the eastern and central tropical Pacific  
289 and the Arctic Ocean. There, increases in  $[\text{H}^+]$  during the onset period result from in-  
290 creases in  $C_T$  (Figure 4e), while temperature decreases make the temperature contribu-  
291 tion negative (Figure 4c). In the subtropics and the Southern Ocean, increases in tem-  
292 perature and associated increases in positive  $[\text{H}^+]$  anomalies are somewhat damped by  
293 decreases in  $C_T$  and associated decreases in  $[\text{H}^+]$  anomalies. In the Kuroshio and Gulf  
294 Stream regions and the Northern Indian Ocean, increases in  $[\text{H}^+]$  anomalies result from  
295 increases in both T (Figure 4c) and in  $C_T$  (Figure 4e). This pattern also holds true near  
296 Antarctica. However, it is important to note that the model may not adequately simu-  
297 late physical and biogeochemical dynamics close to the Antarctic continent. The con-  
298 tribution from  $A_T$  is generally smaller and predominately opposite to the contribution  
299 of  $C_T$  (pattern correlation coefficient of -0.73). The  $A_T$  contribution is most important  
300 in the tropical regions where increases in  $A_T$  tend to decrease  $[\text{H}^+]$  and therefore inhibit  
301 event onset.

302 During the decline period of  $[\text{H}^+]$  extreme events, simulated reductions in temper-  
303 ature (Figure 4d) and  $C_T$  concentrations (Figure 4f) contribute similarly to the decline  
304 of  $[\text{H}^+]$  at the global scale, with a decrease of approximately  $-4.7$  and  $-5.0 \text{ pmol kg}^{-1} \text{ d}^{-1}$ ,  
305 respectively. This is in contrast to the onset period, where the temperature term domi-  
306 nates at the global scale. At the regional scale, the  $C_T$  term decreases almost everywhere

in pmol kg <sup>-1</sup> d <sup>-1</sup>	Global		Tropics		Subtropics		Southern Ocean	
	onset	decline	onset	decline	onset	decline	onset	decline
$T^{a-s}$ term	<b>12.6</b>	<b>-3.0</b>	<b>12.1</b>	<b>1.8</b>	<b>24.5</b>	<b>-6.3</b>	4.1	-0.9
$T^{vmix}$ term	<b>-9.5</b>	0.6	<b>-6.0</b>	-0.3	<b>-17.5</b>	<b>2.2</b>	<b>-6.0</b>	-1.5
T $T^{vdiff}$ term	5.0	-1.8	-1.7	-2.1	3.2	-2.3	<b>11.7</b>	-1.6
$T^{adv}$ term	-0.6	-0.5	-1.3	-0.8	-2.0	-0.9	0.3	0.3
$T^{res}$ term	-0.1	0.0	-0.7	0.3	0.5	0.0	-0.1	-0.1
Sum of T terms	7.3	-4.7	2.6	-1.1	8.7	-7.3	10.0	-3.7
$C_T^{a-s}$ term	<b>-4.2</b>	<b>-5.3</b>	-3.3	<b>-3.8</b>	<b>-2.7</b>	<b>-3.7</b>	<b>-8.6</b>	<b>-9.6</b>
$C_T^{vmix}$ term	2.3	<b>2.3</b>	-0.1	<b>1.9</b>	<b>4.8</b>	<b>2.1</b>	2.4	<b>2.8</b>
$C_T^{vdiff}$ term	0.5	<b>1.7</b>	-0.8	0.8	-1.3	1.0	<b>4.3</b>	<b>4.1</b>
$C_T^{adv}$ term	<b>5.0</b>	-1.8	<b>16.6</b>	<b>-3.4</b>	-1.2	0.3	1.4	<b>-1.7</b>
$C_T^{bio}$ term	0.2	-1.0	<b>-3.4</b>	-2.9	0.2	0.0	0.9	-0.1
$C_T^{res}$ term	-1.3	-1.0	-2.0	-1.8	-0.3	-0.3	-0.5	-0.5
Sum of $C_T$ terms	2.4	-5.0	6.9	-9.3	-0.4	-0.6	-0.1	-4.9
$A_T$ term	-0.8	1.3	-2.0	3.4	0.1	-0.2	-0.2	0.6
S term	0.1	-0.2	0.3	-0.5	0.9	0.0	0.0	-0.1
Total	9.1	-8.5	7.8	-7.5	8.4	-8.1	9.8	-8.2
Simulated	9.1	-8.6	7.8	-7.5	8.4	-8.1	9.8	-8.2

**Table 1.** The simulated average daily changes in  $[H^+]$  anomalies during the onset and decline periods of  $[H^+]$  extreme events and the tendency contributions to these changes. Results are shown for the global ocean, the tropics, the subtropics, and the Southern Ocean. The regions are defined in Figure 4a. For each period and region, the two largest positive and negative contributions are highlighted in red and blue bold font, respectively. The T and  $C_T$  terms are decomposed into air-sea flux (*a-s*), convective vertical mixing (*vmix*), local vertical mixing and diffusion (*vdiff*), advection (*adv*), biology (*bio*), and residual (*res*) terms. 'Total' denotes the sum of all tendency contributions and 'Simulated' denotes the actual simulated  $[H^+]$  change during the onset and decline phases.



**Figure 4.** The simulated  $[H^+]$  anomaly change during onset and decline of extreme high  $[H^+]$  events and the contributions from the T,  $C_T$ , and  $A_T$  terms. (a, b) The simulated change in  $[H^+]$  anomalies during the onset and decline phases of extreme  $[H^+]$  events, the contribution of the (c, d) T term, (e, f)  $C_T$  term, and (g, h)  $A_T$  term (see equation (1)). The salinity term is small and not shown (see also Table 1). The solid, dashed, and dotted boxes in a) indicate the tropics ( $10^\circ\text{S} - 10^\circ\text{N}$  and  $220^\circ\text{W} - 85^\circ\text{W}$  in the Pacific,  $55^\circ\text{W} - 10^\circ\text{E}$  in the Atlantic, and  $50^\circ\text{E} - 100^\circ\text{E}$  in the Indian Ocean), the subtropics ( $15^\circ\text{N} - 30^\circ\text{N}$  and  $205^\circ\text{W} - 125^\circ\text{W}$  in the North Pacific,  $30^\circ\text{S} - 15^\circ\text{S}$  and  $190^\circ\text{W} - 90^\circ\text{W}$  in the South Pacific,  $15^\circ\text{N} - 30^\circ\text{N}$  and  $65^\circ\text{W} - 25^\circ\text{W}$  in the North Atlantic,  $30^\circ\text{S} - 15^\circ\text{S}$  and  $35^\circ\text{W} - 5^\circ\text{E}$  in the South Atlantic, and  $30^\circ\text{S} - 15^\circ\text{S}$  and  $55^\circ\text{E} - 105^\circ\text{E}$  in the Indian Ocean), and the Southern Ocean ( $65^\circ\text{S} - 45^\circ\text{S}$ ), respectively. These regions are used in Table 1.

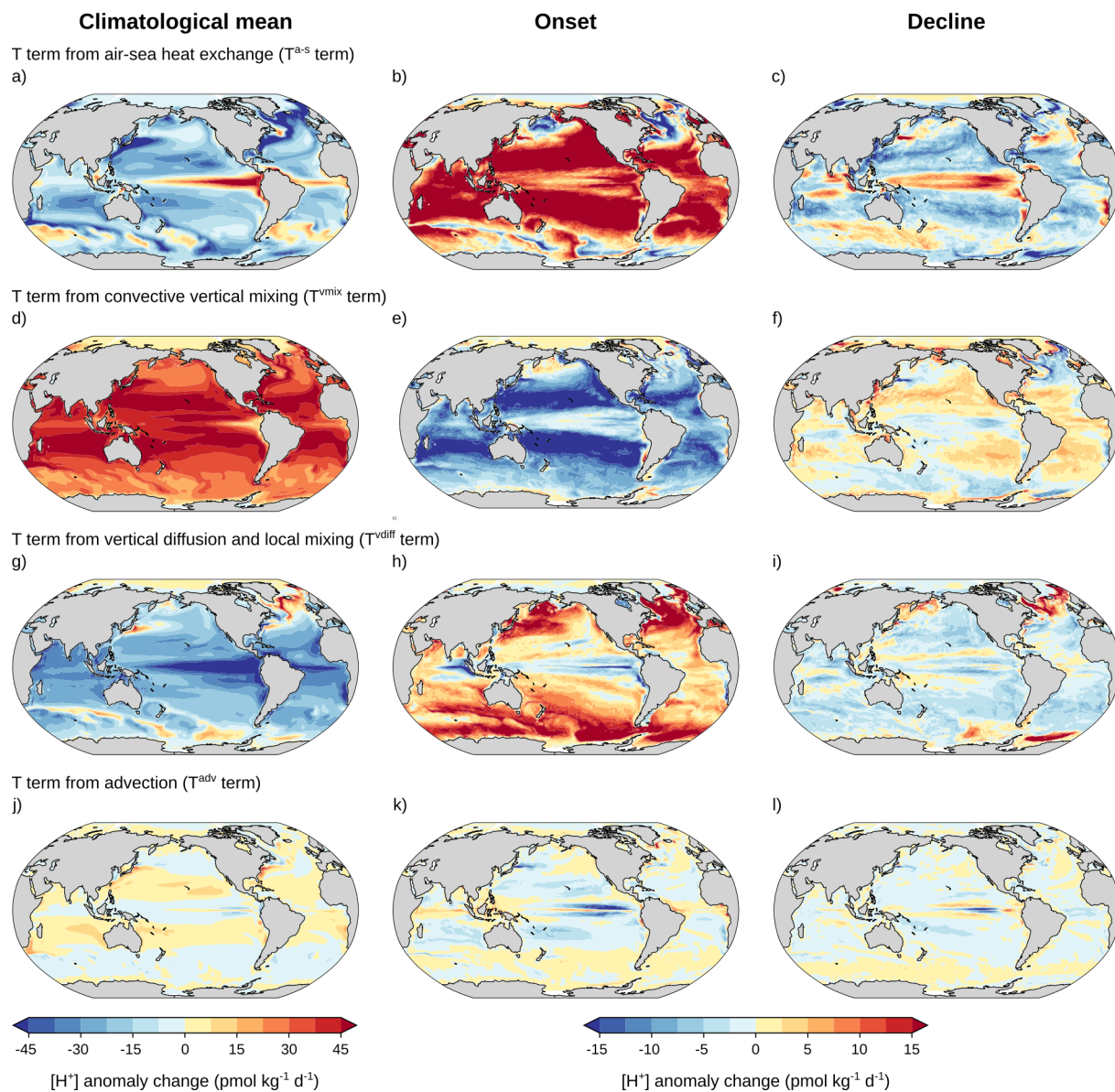
(in 94 % of the ocean surface area), with the largest decreases simulated in the tropical Pacific and the high latitudes (Figure 4f). Similarly, the temperature term also decreases in most regions (in 92 % of the surface ocean), with the most pronounced decreases in the subtropics (Figure 4d), where the temperature term is the main driver of  $[\text{H}^+]$  decline. An exception is again the equatorial Pacific, where temperature increases during the decline period of  $[\text{H}^+]$  extremes, thereby counteracting event decline.

### 3.2 Drivers of temperature variations during $[\text{H}^+]$ extreme events

To understand the individual processes driving the changes in temperature anomalies during  $[\text{H}^+]$  extreme events and hence the temperature contribution to onset and decline of  $[\text{H}^+]$  extreme events (Figure 4c, d), the change in temperature anomaly during event onset and decline is decomposed (see equation (4); Table 1) into the contributions from air-sea heat exchange (Figure 5b, c), nonlocal KPP convective mixing (Figure 5e, f), vertical diffusion and local mixing (Figure 5h, i), and horizontal and vertical advection (Figure 5k, l). For ease of interpretation of the anomaly patterns, we also show the climatological means of the tendency contributions to the T term (Figure 5a,d,g,j). These are determined by calculating the temporal mean values of the tendency contributions to the T term instead of calculating their seasonal anomalies.

At the global scale, reduced ocean heat loss (i.e., net ocean heat uptake) contributes most to the increases in temperature anomalies during the onset period of  $[\text{H}^+]$  extreme events (Table 1). The net ocean heat uptake increases  $[\text{H}^+]$  anomalies by  $12.6 \text{ pmol kg}^{-1} \text{ d}^{-1}$  (138 % of  $[\text{H}^+]$  anomaly increase) at the global scale. In addition, increases in temperature anomaly associated with reduced vertical diffusion and local mixing of warm waters to the subsurface cause an increase in  $[\text{H}^+]$  anomalies of  $5.0 \text{ pmol kg}^{-1} \text{ d}^{-1}$  (54 % of  $[\text{H}^+]$  anomaly increase) during the onset period. Convective mixing increases sea surface temperature by transporting heat to the surface when surface waters lose buoyancy due to heat loss to the atmosphere. This mechanism is less active during the positive air-sea heat flux anomalies in the onset period. The associated negative anomalies in non-local KPP convective mixing during the onset period reduce surface temperature anomalies and therefore  $[\text{H}^+]$  anomalies during the onset, strongly dampening the temperature-induced increases in  $[\text{H}^+]$  anomalies ( $-9.5 \text{ pmol kg}^{-1} \text{ d}^{-1}$ , -104 % of  $[\text{H}^+]$  anomaly increase).

At the regional scale (Figure 5), the positive contribution from air-sea heat exchange is largest in the low-to-mid latitudes and in particular in the subtropical oceans (Table 1; Figure 5b), while the contribution is much smaller or negative in the high latitudes. In the subtropics, air-sea heat exchange often changes the sign from net loss to the atmosphere to net uptake during  $[\text{H}^+]$  extreme events (Figure 5a,b). Vertical diffusion and local mixing increases temperature anomaly and hence contributes positively to the T term in all ocean regions, except in the tropical Pacific and Indian Ocean, where vertical diffusion and local mixing of heat to the subsurface is increased during  $[\text{H}^+]$  extreme events (Figure 5h). The vertical diffusion and local mixing contribution is most positive in the North Atlantic, North Pacific, and Southern Ocean (Table 1, Figure 5h). In the subtropics and the mid-to-high latitudes during summer, the positive contribution from vertical diffusion and local mixing arises due to a reduction in mixing with colder subsurface waters that coincides with a reduction in wind strength (supporting information Figure S3a, c). In the high latitudes during winter, the positive contribution instead often arises due to an increase in upward mixing of heat. The increase in upward mixing of heat is associated with stronger winds (supporting information Figure S3c). Decreases in nonlocal KPP convective mixing decrease surface temperature and  $[\text{H}^+]$  almost in the entire global surface ocean, especially in the subtropics (Table 1, Figure 5e), where also increases in temperature anomaly due to air-sea heat exchange are largest (Figure 2b, Figure 5b). The contribution from advective heat transport is generally small (Figure 5k), except in the tropical Pacific, where it decreases temperature and  $[\text{H}^+]$  during the onset of  $[\text{H}^+]$  extreme events (Table 1, Figure 5e).



**Figure 5.** The decomposition of the T term into tendency contributions. The climatological means of the tendency contributions to the T term (first column) as well as their contributions to the onset (second column) and decline (third column) means of the T term (Figure 4 c, d).

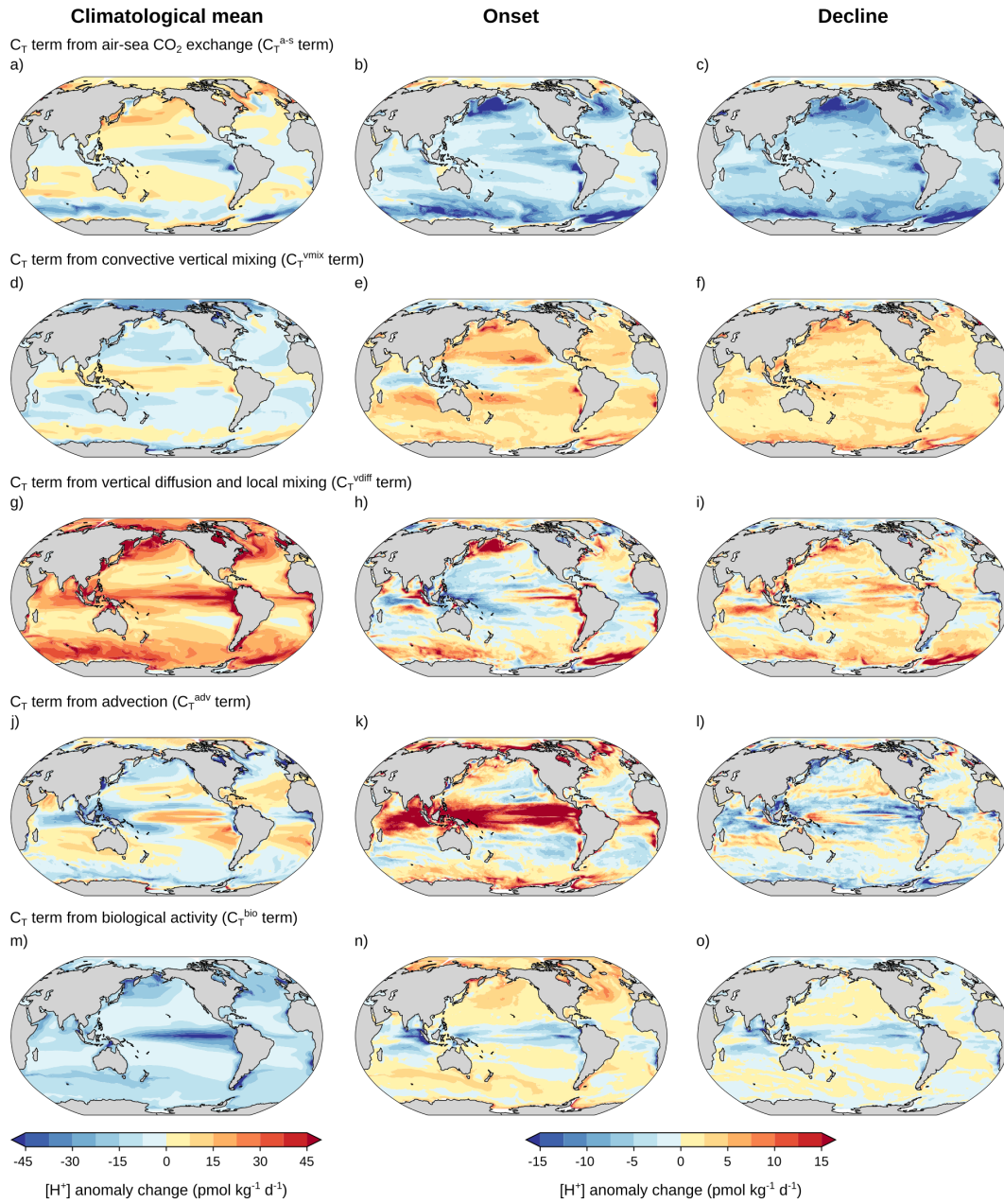
359 During the decline phase of  $[\text{H}^+]$  extreme events, the temperature decrease in the  
 360 subtropics mainly results from increased heat losses to the atmosphere (Figure 5c). Ver-  
 361 tical diffusion and local mixing also decreases temperature over most of the ocean (Fig-  
 362 ure 5i) and is the main driver of temperature decrease in the Southern Ocean (Table 1).  
 363 The increases in temperature that counteract the  $[\text{H}^+]$  event decline in the tropical Pa-  
 364 cific (Figure 4d) result from enhanced ocean heat uptake during the decline phase (Fig-  
 365 ure 5c).

### 366 3.3 Drivers of carbon variations during $[\text{H}^+]$ extreme events

367 At the global scale (Table 1), vertical and horizontal advection is the most impor-  
 368 tant driver of  $C_T$  increase during the onset of  $[\text{H}^+]$  extreme events, increasing  $[\text{H}^+]$  anomaly  
 369 by  $5.0 \text{ pmol kg}^{-1} \text{ d}^{-1}$  (55 % of  $[\text{H}^+]$  anomaly increase). In addition, reduced nonlocal KPP  
 370 convective vertical mixing of carbon increases  $[\text{H}^+]$  by  $2.3 \text{ pmol kg}^{-1} \text{ d}^{-1}$  (25 % of  $[\text{H}^+]$   
 371 anomaly increase). These increases are balanced by decreases in  $C_T$  anomalies from neg-  
 372 ative anomalies in air-sea  $\text{CO}_2$  flux during the onset of  $[\text{H}^+]$  extreme events ( $-4.2 \text{ pmol kg}^{-1} \text{ d}^{-1}$ ,  
 373  $-46\%$  of  $[\text{H}^+]$  anomaly increase). Negative anomalies in air-sea  $\text{CO}_2$  flux, i.e., increased  
 374 carbon loss to the atmosphere or decreased  $\text{CO}_2$  uptake from the atmosphere (Figure 6a),  
 375 occur when partial pressure of  $\text{CO}_2$  ( $p\text{CO}_2$ ) in the surface water is increased. Due to the  
 376 high correlation between  $[\text{H}^+]$  and  $p\text{CO}_2$  anomalies (Pearson correlation coefficient of  
 377 0.99 on global average in the model), negative anomalies in air-sea  $\text{CO}_2$  flux during high  
 378  $[\text{H}^+]$  events are expected. The contributions from vertical diffusion, local mixing and bi-  
 379 ology are small at the global scale. The residual term for  $C_T$  is larger than for T and  
 380 mainly stems from neutral diffusion and a tendency that compensates a numerical ar-  
 381 tifact associated with the smoothing of the free ocean surface in the model.

382 At the regional scale (Figure 6), the contribution from vertical and horizontal ad-  
 383 vection to the onset of  $[\text{H}^+]$  extreme events is largest in the tropics (Figure 6k, Table 1).  
 384 Smaller positive contributions from advection are also simulated in high-latitude regions.  
 385 However, the advection contribution is slightly negative in the subtropics. In the ESM2M  
 386 model,  $C_T$  changes due to advection also include the diluting or concentrating effect on  
 387  $C_T$  from precipitation minus evaporation (Supporting information text S3). Negative  
 388 precipitation minus evaporation anomalies (i.e., more evaporation than precipitation)  
 389 increase  $C_T$  during event onset in the western tropical Pacific and Indian Ocean (Fig-  
 390 ure S4e), while the advective increases in  $C_T$  in the remaining tropics result from oceanic  
 391 advection such as upwelling (supporting information Figure S4h), in particular in the  
 392 eastern tropical Pacific where also advective decreases in temperature are simulated (Fig-  
 393 ure 5k). The slightly negative contribution from advection in the subtropics is caused  
 394 by positive precipitation minus evaporation anomalies during the onset of  $[\text{H}^+]$  extreme  
 395 events.

396 The negative anomalies in air-sea  $\text{CO}_2$  flux are largest in the high latitudes (Fig-  
 397 ure 6b). The anomalies in air-sea  $\text{CO}_2$  exchange are offset by opposing tendencies from  
 398 nonlocal KPP convective mixing of carbon in most regions (Figure 6e). The convective  
 399 mixing increases  $C_T$  and  $[\text{H}^+]$  everywhere except in the western tropical Pacific and the  
 400 tropical Indian Ocean. Vertical diffusion and local mixing generally increase surface  $C_T$   
 401 in the climatological mean (Figure 6g). During the onset of  $[\text{H}^+]$  extreme events, neg-  
 402 ative anomalies in vertical diffusion and local mixing counteract increases in  $[\text{H}^+]$  anomaly  
 403 in the subtropics, the mid latitudes, and most tropical regions (Figure 6h). In contrast,  
 404 vertical diffusion and local mixing increases  $[\text{H}^+]$  anomalies in the eastern tropical Pa-  
 405 cific, and in high-latitude regions of the the North Pacific, North Atlantic, and South-  
 406 ern Ocean. Its contribution tends to be opposite to that of temperature vertical diffu-  
 407 sion and local mixing due to opposite vertical gradients in temperature and  $C_T$ . This  
 408 is not the case in the high-latitude regions where temperature and  $C_T$  vertical gradients  
 409 are often both positive towards depth during the winter months. The reductions in ver-  
 410 tical diffusion and local mixing of temperature and  $C_T$  (increasing temperature and de-



**Figure 6.** The decomposition of the  $C_T$  term into tendency contributions. The climatological means of the tendency contributions to the  $C_T$  term (first column) as well as their contributions to the onset (second column) and decline (third column) means of the  $C_T$  term (Figure 4 e, f).

creasing  $C_T$ ) in the low-to-mid latitudes coincide with negative anomalies in wind stress during event onset (supporting information Figure S3a, c). In the high-latitude regions where positive anomalies in vertical diffusion and local mixing of temperature and  $C_T$  are simulated (Figures 5h and 6h), also wind stress and mixed layer depth (not shown) are increased during event onset, in particular during winter (supporting information Figure S3c). The increased wind stress may be the reason for enhanced vertical mixing during event onset in these regions.

Biological activity generally reduces  $C_T$  everywhere, because biological production outweighs decomposition at the surface (Figure 6m). During the onset of  $[H^+]$  extreme events, increases in biological production decrease  $[H^+]$  anomaly in the tropics (Table 1), while reductions in biological production increase  $[H^+]$  anomaly in the mid-to-high latitudes (Figure 6n). In the tropical regions, increased nutrient concentrations are simulated during OAX events (not shown), which may cause increased phytoplankton growth there. In the mid-to-high latitudes, low biological production may be connected to nutrient limitation and / or low phytoplankton biomass due to enhanced zooplankton grazing under the elevated temperatures.

During the decline phase of  $[H^+]$  extremes,  $C_T$  anomaly decreases almost in the entire ocean (Figure 4f). This decrease is mainly due to loss of carbon to the atmosphere (Figure 6c), which remains similarly strong as during the onset phase. In the tropical ocean, biological production continues to decrease  $C_T$  anomaly during event decline (Figure 6o), and also advection reduces  $C_T$  anomaly during event decline there (Figure 6c). The convective mixing term balances the carbon losses from air-sea gas exchange and counteracts  $[H^+]$  event decline everywhere in the ocean (Figure 6f), and also vertical diffusion and local mixing increases  $[H^+]$  anomaly during event decline in most regions (Figure 6i). These increases in vertical mixing, simultaneously also causing temperature decreases in the low-to-mid latitudes (Figure 5i), may be connected to the anomalous heat losses to the atmosphere (Figure 5c), causing loss of buoyancy.

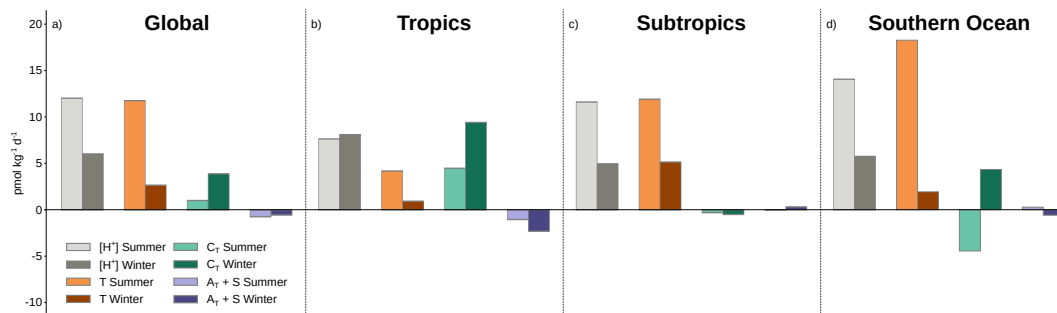
### 3.4 Seasonal variations in drivers of $[H^+]$ extreme event onset

Next, we analyze if the drivers of  $[H^+]$  extreme event onset differ between summer and winter season. At the global scale, the changes in  $[H^+]$  anomaly per day during extreme event onset are by a factor of two larger during hemispheric summer (April to September on the northern and October to March on the southern hemisphere) than during hemispheric winter (October to March on the northern and April to September on the southern hemisphere; grey bars in Figure 7a). This difference arises from distinct drivers of  $[H^+]$  extreme events during individual seasons. Globally, temperature changes are the dominant driver for event onset during the summer months, with  $11.8 \text{ pmol kg}^{-1} \text{ d}^{-1}$  for temperature vs  $1.0 \text{ pmol kg}^{-1} \text{ d}^{-1}$  for  $C_T$ . In contrast,  $C_T$  changes become more important in winter, with  $3.9 \text{ pmol kg}^{-1} \text{ d}^{-1}$  for  $C_T$  vs  $2.7 \text{ pmol kg}^{-1} \text{ d}^{-1}$  for temperature (Figure 7a).

In the tropics, temperature and  $C_T$  are both important drivers during hemispheric summer, but  $C_T$  is the dominant driver during hemispheric winter (Figure 7b). The smaller contribution from temperature in winter is due to lower net ocean heat uptake and increased heat loss from vertical diffusion and local mixing and advection. The larger contribution from  $C_T$  in winter, on the other hand, is due to larger surface  $C_T$  increases from advection as well as vertical diffusion and local mixing (supporting information Figure S4b).

In the subtropics, temperature is the dominant driver of  $[H^+]$  event onset throughout the year, while the contributions from the other drivers are negligible both in summer and winter (Figure 7c). The overall larger  $[H^+]$  increases during event onset in summer result from a larger reduction in heat loss from vertical diffusion and local mixing in summer (supporting information Figure S4c).





**Figure 7.** The contributions to  $[\text{H}^+]$  extreme event onset (light and dark grey bars) from T (light and dark orange),  $C_T$  (light and dark green), and  $A_T$  and S (light and dark purple). Results are shown separately for hemispheric summer (April to September on the northern hemisphere and October to March on the southern hemisphere; light colors) and hemispheric winter (remaining months; dark colors). The definition of regions is shown in Figure 4a. Errors of the decomposition are not shown as they are very small (see Table 1).

461 In the Southern Ocean, the drivers during summer show similarities to subtrop-  
 462 ical regions, characterized by a large positive temperature contribution and a much smaller  
 463 and negative  $C_T$  contribution (Figure 7d). The large positive temperature contribution  
 464 is due to reduced vertical diffusion and local mixing with colder subsurface waters, as-  
 465 sociated with reduced winds (supporting information Figure S3a), and due to net ocean  
 466 heat uptake. The negative  $C_T$  contribution is caused by reduced mixing with carbon-  
 467 rich subsurface layers and due to air-sea  $\text{CO}_2$  loss (supporting information Figure S4d).  
 468 The regime is distinctly different during winter, where carbon increases are the main driver  
 469 of event onset while temperature increases are only of secondary importance (Figure 7d).  
 470 The increase in carbon is mainly caused by an increase in vertical diffusion and local mix-  
 471 ing with carbon-rich subsurface layers that is associated to enhanced winds (support-  
 472 ing information Figure S3c). It is counteracted by amplified air-sea  $\text{CO}_2$  loss to the at-  
 473 mosphere. The smaller positive contribution from temperature increases in winter is caused  
 474 by enhanced vertical mixing and diffusion, transporting heat from the warmer subsur-  
 475 face to the surface (see also Section 3.2; supporting information Figure S4d).

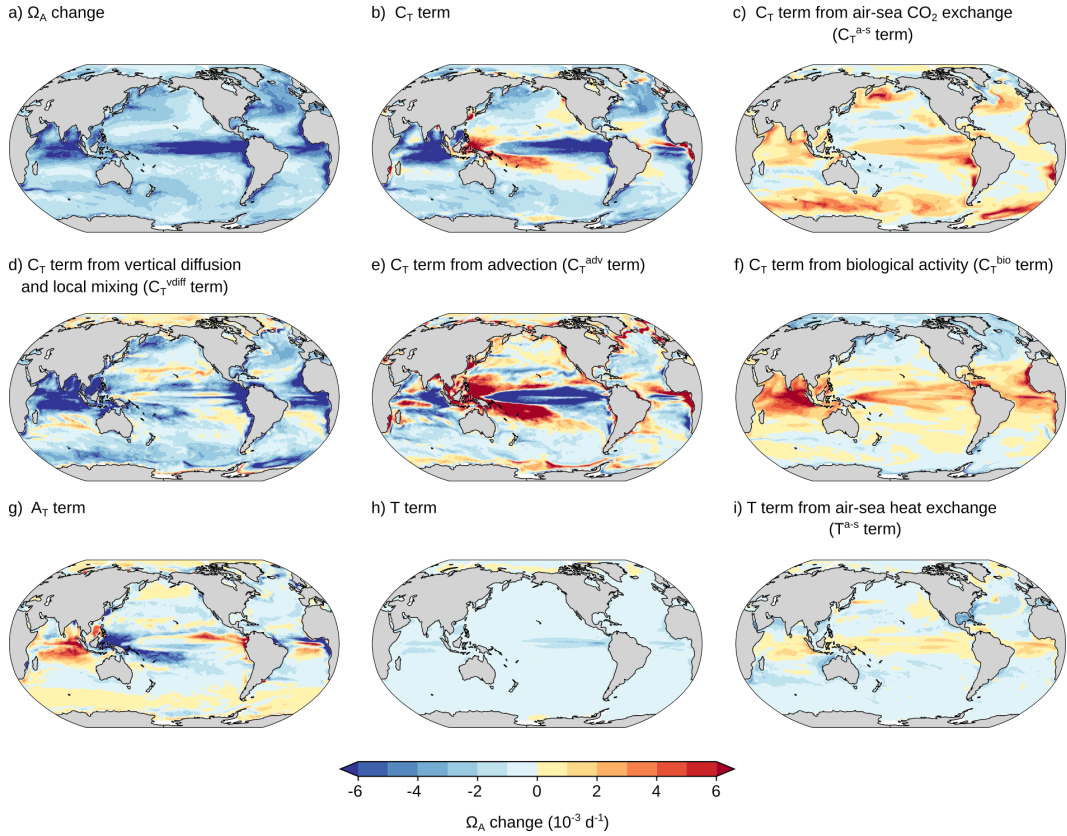
### 476 3.5 Drivers of extremes in $\Omega_A$

477 At the global scale, the onset of surface low  $\Omega_A$  extremes is mainly caused by an  
 478 increase in  $C_T$ , which accounts for 65% of the total decrease in  $\Omega_A$  (Figure 8a,b; sup-  
 479 porting information Table S1). This is in contrast to high  $[\text{H}^+]$  extremes, for which tem-  
 480 perature accounts for 80% of the total increase in  $[\text{H}^+]$ . The increase in  $C_T$  during low  
 481  $\Omega_A$  extremes is primarily due to increased local vertical mixing and diffusion (Figure 8d),  
 482 which is counterbalanced by enhanced biological activity, which decreases  $C_T$  (Figure 8f),  
 483 as well as anomalous outgassing of  $\text{CO}_2$  (Figure 8c). In addition, the decrease in total  
 484 alkalinity (Figure 8g) and decrease in temperature from air-sea heat loss (Figure 8h,i)  
 485 contribute to the decrease in  $\Omega_A$  during event onset.

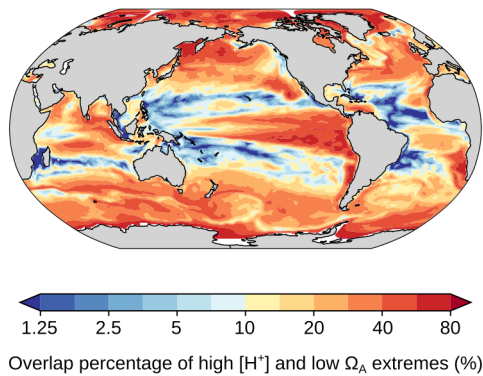
486 At the regional scale, the primary driver of the onset of low  $\Omega_A$  extremes is the in-  
 487 crease in  $C_T$  over most of the tropics and the Southern Ocean (Figure 8b; supporting  
 488 information Table S1). The increase in  $C_T$  contributes 71% to the total decrease in  $\Omega_A$   
 489 in the tropics and 99% in the Southern Ocean. These increases in  $C_T$  are caused by en-  
 490 hanced vertical mixing and diffusion (Figure 8d), as well as advection of carbon-rich wa-  
 491 ters (Figure 8e). However, these increases in  $C_T$  in the tropics and the Southern Ocean  
 492 are somewhat counterbalanced by decreases in carbon from anomalous  $\text{CO}_2$  outgassing

493 (Figure 8c). Enhanced biological activity also decreases carbon in the tropics (Figure 8f).  
 494 In the western tropical Pacific, the decrease in  $C_T$  is driven by the decrease in  $A_T$  (Fig-  
 495 ure 8g) resulting from enhanced precipitation (supporting information Figure S9b). De-  
 496 creases in  $A_T$  are also contributing to the decreases in  $\Omega_A$  in the subtropical regions, pre-  
 497 sumably due to enhanced vertical mixing with low- $A_T$  subsurface waters. In addition,  
 498 decreases in temperature from air-sea heat loss contribute to the decreases in  $\Omega_A$  in the  
 499 subtropics (Figure 8i).

500 The global decline of surface low  $\Omega_A$  is mainly caused by decreases in  $C_T$  (predom-  
 501 inantly from biological uptake of carbon), increases in  $A_T$ , and a smaller contribution  
 502 from increasing temperatures that are caused by surface warming (supporting informa-  
 503 tion Table S1).



**Figure 8.** The  $\Omega_A$  anomaly change during the onset phase of low  $\Omega_A$  events, the contributions from the T,  $C_T$ , and  $A_T$  terms, and the most important tendency contributions to these. (a) The simulated change in  $\Omega_A$  anomalies during the onset phase. (b-f) The contribution from the  $C_T$  term (b) and its air-sea  $\text{CO}_2$  exchange (c), local vertical mixing and diffusion (d), advection (e), and biology (f) contributions. (g) The  $A_T$  term, and (h) the T term and its contribution from air-sea heat exchange (i). The salinity term and the other tendency contributions are smaller and not shown (see also supporting information Table S1). Blue colors indicate a decrease in  $\Omega_A$  and thus an intensification of low  $\Omega_A$  extremes.



**Figure 9.** Overlap percentage of high  $[H^+]$  and low  $\Omega_A$  extremes. It is calculated as the number of days with co-occurring high  $[H^+]$  and low  $\Omega_A$  extremes divided by the number of days with high  $[H^+]$  or low  $\Omega_A$  extremes (10% of days). Cold and warm colors indicate that the events coincide less and more frequent than by chance, respectively.

#### 504 4 Discussion and conclusions

505 We provide a first assessment of the drivers of surface high  $[H^+]$  and low  $\Omega_A$  ex-  
 506 tremite events using high-frequency output of a comprehensive Earth system model. The  
 507 results of this modeling study suggest that rising temperatures from enhanced net ocean  
 508 heat uptake and reduced heat loss through vertical mixing are the primary drivers of high  
 509  $[H^+]$  events in the subtropical regions and mid-to-high latitudes during summer. In trop-  
 510 ical regions, simulated high  $[H^+]$  events as well as low  $\Omega_A$  events are often driven by in-  
 511 creases in dissolved inorganic carbon due to advection. In mid-to-high latitudes during  
 512 winter, we also find increased vertical mixing with carbon-rich and often warmer sub-  
 513 surface waters to be an important factor for the onset of high  $[H^+]$  events.

514 Recent studies have investigated the biogeochemical imprint of the 2013-2015 ma-  
 515 rine heatwave in the North Pacific, known as the Blob. While extremely high  $[H^+]$  was  
 516 identified, the levels of  $\Omega_A$  were not lower than usual, despite both being referred to as  
 517 OAX events (Gruber et al., 2021; Mogen et al., 2022). Our study offers an explanation  
 518 for this apparent contradiction: high  $[H^+]$  events are often driven by temperature increases,  
 519 in particular in the North Pacific region where the Blob occurred (Figure 3c), which sug-  
 520 gests that the Blob was indeed an  $[H^+]$  extreme. On the other hand, low  $\Omega_A$  events usu-  
 521 ally coincide with periods of decreasing temperature (Figure 7e) and thus unlikely to co-  
 522 incide with MHWs.

523 The main driver of low  $\Omega_A$  extremes in the model is an increase in  $C_T$  resulting  
 524 from vertical mixing, diffusion, and advection, particularly in the tropics and the mid-  
 525 to-high latitudes (Figure 7d,e). Similarly, advective increases in  $C_T$  were also identified  
 526 as the main driver of  $[H^+]$  in the tropics, and vertical diffusion and local mixing was iden-  
 527 tified as an important driver of  $[H^+]$  extremes in the mid-to-high latitudes, in particu-  
 528 lar during winter (section 3.3). The resemblance in the driving mechanisms in these re-  
 529 gions reflects in relatively frequent co-occurrence of simulated high  $[H^+]$  and low  $\Omega_A$  ex-  
 530 tremes: 26 % of high  $[H^+]$  extreme days overlap with low  $\Omega_A$  extreme days in the trop-  
 531 ics and 31 % of event days overlap in the Southern Ocean (Figure 9), in particular dur-  
 532 ing winter where 43 % of event days coincide. In contrast, the driving mechanisms di-  
 533 verge in the subtropics, reflected in a relatively low overlap of event days of only 11 %  
 534 there (Figure 9).

535 Our study suggests that temperature increase is the main driver of  $[\text{H}^+]$  extremes  
 536 in the subtropics, which may share similar physical drivers to those of marine heatwaves.  
 537 Using the same GFDL ESM2M model, Vogt et al. (2022) found that air-sea heat fluxes  
 538 were the main factor responsible for temperature increases during the onset of marine  
 539 heatwaves, particularly in the subtropical oceans, offset by temperature decreases result-  
 540 ing from reduced nonlocal-KPP convective mixing (Figure 1 in Vogt et al., 2022). Our  
 541 findings are thus consistent with those of Vogt et al. (2022) for the subtropical oceans.  
 542 During the onset period, anomalous air-sea heat flux was identified as the primary driver  
 543 of  $[\text{H}^+]$  increase, and likewise, during event decline, air-sea heat flux was the main driver  
 544 of  $[\text{H}^+]$  decrease (Figure 5b, c; Table 1). Moreover, reduced convective mixing from the  
 545 nonlocal KPP parameterization was identified as the primary inhibiting factor during  
 546 the onset period, and to a lesser extent, also an important factor for event decline (Fig-  
 547 ure 5k, l; Table 1). Given the similarity in the main drivers of  $[\text{H}^+]$  extremes and ma-  
 548 rine heatwaves in the subtropical ocean, one can expect that these two univariate extreme  
 549 events often co-occur. This is indeed the case. Burger et al. (2022) found observation-  
 550 based evidence for this co-occurrence, indicating that the subtropical oceans are a hotspot  
 551 for compound high  $[\text{H}^+]$  and high temperature extremes.

552 The processes responsible for preconditioning  $[\text{H}^+]$  extremes, i.e., for increasing  $[\text{H}^+]$   
 553 anomaly before crossing the 90th percentile threshold, have not been analyzed yet. How-  
 554 ever, the simulated drivers during this preconditioning phase are often similar to those  
 555 during event onset, with temperature increases from air-sea heat flux dominating in the  
 556 subtropical oceans and advective increases in carbon dominating in the tropical oceans  
 557 (supporting information Table S2 and supporting information Figure S10). Therefore,  
 558 this study’s results on the driving mechanisms of  $[\text{H}^+]$  extreme event onset often also ap-  
 559 ply to the preceding preconditioning phase.

560 Even though we consider our results as robust, a number of caveats need to be dis-  
 561 cussed. The analysis of OAX event drivers relies on data from an Earth system model,  
 562 as certain processes cannot be independently validated with observational-based data  
 563 due to its limited availability. The robustness of our results depends therefore on the Earth  
 564 system model’s accuracy in simulating the physical and biogeochemical processes that  
 565 lead to  $[\text{H}^+]$  and  $\Omega_{\text{A}}$  variations and extremes. As these processes also drive spatial vari-  
 566 ability patterns in  $[\text{H}^+]$  and  $\Omega_{\text{A}}$ , a first step is to evaluate simulated variability patterns  
 567 in the seasonal anomalies of  $[\text{H}^+]$ ,  $\Omega_{\text{A}}$ , and the underlying physical fields  $T$  and  $S$  against  
 568 observation-based data (Section 2.2). Overall, we found a good agreement both in the  
 569 magnitude of variability and in spatial differences for all variables. However, simulated  
 570 variability in  $[\text{H}^+]$  anomalies in high latitude regions is lower than in the observation-  
 571 based data, associated with a low bias in simulated variability of  $C_{\text{T}}$  anomalies. The iden-  
 572 tified lack in simulated  $C_{\text{T}}$  variability in these regions suggests a too small contribution  
 573 from  $C_{\text{T}}$  variations and a too large contribution from temperature variations to  $[\text{H}^+]$  dy-  
 574 namics and thus onset and decline of  $[\text{H}^+]$  extremes (also found for CMIP6-type mod-  
 575 els in Burger et al. 2022), regionally reinforced by a positive bias in simulated temper-  
 576 ature variability in the Southern Ocean. The identified drivers of  $\Omega_{\text{A}}$  extremes are less  
 577 affected by these biases, since  $\Omega_{\text{A}}$  is less dependent on the balance between temperature  
 578 and  $C_{\text{T}}$  variability. Furthermore, simulated salinity and thus freshwater variations are  
 579 too large in the western tropical Pacific and Indian Ocean. As a result, evaporation and  
 580 precipitation may be less important drivers of  $[\text{H}^+]$  and  $\Omega_{\text{A}}$  extremes in these regions  
 581 than identified here. To better constrain the simulated physical and biogeochemical pro-  
 582 cesses, it would be beneficial to compare the identified drivers for the GFDL ESM2M  
 583 model to those from other Earth system models that can provide the required diagnos-  
 584 tic output.

585 Another shortcoming is that the ocean model used in this study has a relatively  
 586 coarse spatial resolution and cannot explicitly simulate small-scale circulation features,  
 587 such as meso- and submesoscale dynamics (S. M. Griffies et al., 2015). Our analysis may

588 therefore underestimate the impact of these small-scale circulation features on the on-  
 589 set and decline of OAX events. Additionally, the coarse resolution of the ocean model  
 590 limits our analysis to the open ocean, and higher resolution ocean model including im-  
 591 proved biogeochemistry would be needed to more accurately represent the drivers in coastal  
 592 areas (Turi et al., 2018; Terhaar et al., 2019). It should be also noted that the present  
 593 study focused on the analysis of the mean driving processes over the onset and decline  
 594 periods of OAX events. However, individual extreme events may be governed by differ-  
 595 ent processes. For example, the drivers in a region can vary between seasons (discussed  
 596 in Section 3.4), and different types of extremes, characterized by different combinations  
 597 of drivers, can also occur during the same season (Vogt et al., 2022). The mean process  
 598 contributions to OAX event onset and decline shown in this study therefore character-  
 599 ize average extremes event in a region and season. Finally, this study analyzes the drivers  
 600 of OAX events under preindustrial stationary climate conditions. However, ongoing ocean  
 601 warming and acidification may modify the primary drivers of OAX events, as the back-  
 602 ground ocean carbon and temperature fields on which the drivers act, as well as the drivers  
 603 themselves, may change with climate change. To address this limitation, future research  
 604 should extend our analysis to simulations that include the climate change signal.

605 In conclusion, our modeling results highlight the crucial role of temperature in driv-  
 606 ing  $[H^+]$  extremes, particularly during summer and in the subtropical oceans. This is  
 607 primarily attributed to anomalous air-sea heat fluxes and vertical mixing of heat. Fur-  
 608 thermore, our results indicate that changes in dissolved inorganic carbon are the dom-  
 609 inant driver of low  $\Omega_A$  extremes, as well as for high  $[H^+]$  extremes in equatorial regions  
 610 and high latitudes during winter, thereby designating these regions as hotspots of com-  
 611 pound high  $[H^+]$  and low  $\Omega_A$  extremes. Our findings enhance our current understand-  
 612 ing of OAX events in the global ocean and provide a first foundation for regional pre-  
 613 dictions of such events.

## 614 Open Research Section

615 The GFDL ESM2M model output and analysis scripts used in this study are avail-  
 616 able under the Zenodo repository (URL), Friedrich A. Burger (DATE OF PUBLICA-  
 617 TION). (the repository is underway and the URL and date of publication will be added  
 618 at the next stage of the review process)

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