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Delivery time reduction for mixed photon-electron radiotherapy by using photon MLC collimated electron arcs

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Abstract.

Objective: Electron arcs in mixed-beam radiotherapy (Arc-MBRT) consisting of intensity-modulated electron arcs with dynamic gantry rotation potentially reduce the delivery time compared to mixed-beam radiotherapy containing electron beams with static gantry angle (Static-MBRT). This study aims to develop and investigate a treatment planning process (TPP) for photon multileaf collimator (pMLC) based Arc-MBRT.

Approach: An existing TPP for Static-MBRT plans is extended to integrate electron arcs with a dynamic gantry rotation and intensity modulation using a sliding window technique. The TPP consists of a manual setup of electron arcs, and either static photon beams or photon arcs, shortening of the source-to-surface distance for the electron arcs, initial intensity modulation optimization, selection of a user-defined number of electron beam energies based on dose contribution to the target volume and finally, simultaneous photon and electron intensity modulation optimization followed by full Monte Carlo dose calculation. Arc-MBRT plans, Static-MBRT plans, and photon-only plans were created and compared for four breast cases. Dosimetric validation of two Arc-MBRT plans was performed using film measurements.

Main results: The generated Arc-MBRT plans are dosimetrically similar to the Static-MBRT plans while outperforming the photon-only plans. The mean heart dose is reduced by 32% on average in the MBRT plans compared to the photon-only plans. The estimated delivery times of the Arc-MBRT plans are similar to the photon-only plans but less than half the time of the Static-MBRT plans. Measured and calculated dose distributions agree with a gamma passing rate of over 98% (3% global, 2 mm) for both delivered Arc-MBRT plans.

Significance: A TPP for Arc-MBRT is successfully developed and Arc-MBRT plans showed the potential to improve the dosimetric plan quality similar as Static-MBRT while maintaining short delivery times of photon-only treatments. This further facilitates integration of pMLC-based MBRT into clinical practice.

Keywords: Mixed-beam radiotherapy, photon multileaf collimator, electron arc therapy

1. Introduction

In external beam radiotherapy, photon treatments performed in clinical routine are typically applied using the photon multileaf collimator (pMLC) integrated into the treatment head of a linear accelerator. The introduction of the pMLC facilitated intensity-modulated radiotherapy (IMRT), which improved target dose conformity compared to 3D conformal radiotherapy (Bortfeld 2006). Volumetric modulated arc therapy (VMAT) has improved upon the delivery efficiency of IMRT while maintaining the dosimetric plan quality by combining synchronized intensity modulation and dynamic gantry rotation (Otto 2008, Teoh et al. 2011).

Meanwhile, standard electron treatments are still applied using patient-specifically fabricated cerrobend cut-outs placed in dedicated electron applicators mounted onto the linear accelerator head for every field and treatment fraction. This makes electron treatments inefficient and cumbersome. Furthermore, using cut-outs for energy modulation or intensity modulation of electron beams is practically infeasible (Hogstrom & Almond 2006). This infeasibility makes electron treatments in inhomogeneous media challenging, where energy modulation is necessary (Asell et al. 1997). Likewise, electron treatments of large targets such as chest wall irradiation are challenging, because multiple conformal electron beams from different directions create hot or cold spots (Khan et al. 1977). To avoid such hot and cold spots, techniques such as electron arc therapy (EAT) have been developed (Khan et al. 1977, Leavitt et al. 1985, McNeely et al. 1988, Leavitt & Stewart 1993, Gaffney et al. 2001, Sharma et al. 2011). In EAT, a narrow electron field is rotated around the patient. Custom secondary collimators are mounted onto the gantry and tertiary collimators, and boli are placed on the patient (Leavitt et al. 1985). The main disadvantage of EAT is that the treatment planning and fabrication and mounting of the custom collimators is very labour and time intensive. More recently, Rodrigues et al. (2014) proposed an EAT technique called dynamic electron arc radiotherapy (DEAR) with a mounted standard applicator and cut-out, reducing the time needed to manufacture custom collimators. To avoid collisions between the applicator and the patient, the table translates synchronously with the gantry rotation. However, an applicator still has to be mounted onto the gantry for every treatment fraction, and dynamic collimation of the beam is not possible. Furthermore, the short distance between the end of the applicator and the patient may increase the collision risk.

To overcome these limitations, some research groups investigated different motorized collimators for electron treatments aiming at replacing the cut-outs and applicators. The investigated collimators were a few leaf electron collimator (FLEC) (Al-Yahya et al. 2005a,b, 2007, Alexander et al. 2010, 2011), a custom electron multileaf collimator (eMLC) (Ma et al. 2000, Gauer et al. 2008, Engel & Gauer 2009, Vatanen et al. 2009, O'Shea et al. 2011, Eldib et al. 2013, Jin et al. 2014), and the existing pMLC (du Plessis et al. 2006, Jin et al. 2008, Asuni et al. 2008, Klein et al. 2008, Salguero et al. 2010, Surucu et al. 2010, Mihaljevic et al. 2011, Henzen et al. 2014a,b, Mueller

et al. 2018a, Fix et al. 2023). Additionally, these motorized collimators make intensity and energy modulation of electron beams feasible in modulated electron radiotherapy (MERT). The pMLC has the additional advantage that no additional hardware needs to be mounted onto the gantry head for every fraction.

However, pMLC collimated electron beams have a larger beam penumbra due to increased scatter within the larger volume of air between the end of the pMLC and the patient (Mueller et al. 2018a). Reducing the source-to-surface distance (SSD) by moving the patient closer to the gantry reduces the beam penumbra. Although, a very short SSD poses a collision risk between the gantry and the patient. It has been shown that electron-only plans do not achieve the same dose homogeneity in the target as photon-only plans (Surucu et al. 2010, Alexander et al. 2011, Henzen et al. 2014b, Mueller et al. 2017, Renaud et al. 2017). A possible solution to overcome these dosimetric limitations of electron beams is to combine electron and photon beams in mixed beam radiotherapy (MBRT) (Li et al. 2000, Korevaar et al. 2002, Mu et al. 2004, Xiong et al. 2004, Palma et al. 2012, Rosca 2012, Renaud et al. 2017, Míguez et al. 2017, Mueller et al. 2017, 2018a, Renaud et al. 2019, Heath et al. 2021, Heng et al. 2021). Mueller et al. (2017) showed that pMLC-based intensity-modulated electron beams combined with static photon beams or photon beams with dynamic trajectories (Mueller et al. 2018b) improved dosimetric plan quality compared to photon-only treatments. However, until now MBRT only contains electron beams delivered from a static gantry angle (Static-MBRT), which results in substantially longer delivery times for Static-MBRT plans compared to VMAT. Besides less patient throughput, longer delivery times might also increase the intrafraction motion and impact patient comfort negatively. We hypothesize that using electron beams with a dynamic gantry rotation during beam-on combined with photon beams (Arc-MBRT) improves the delivery efficiency and thus further facilitates clinical implementation of mixed photon-electron beam treatments.

The aim of this work is to develop a treatment planning process (TPP) to create Arc-MBRT plans consisting of both photon and electron beams with dynamic gantry rotation and pMLC sliding window-based intensity modulation. Several breast cases are investigated retrospectively to demonstrate the delivery efficiency, dosimetric accuracy, and dosimetric plan quality of Arc-MBRT.

2. Methods

An existing TPP used for creating Static-MBRT plans (Mueller et al. 2017, 2022) was extended to accommodate electron beams with a dynamic gantry rotation and sliding window-based intensity modulation, called electron arcs henceforth. The TPP is described in the following subsection. The second subsection describes the investigations of the TPP for Arc-MBRT and describes the dosimetric validation of Arc-MBRT plans.

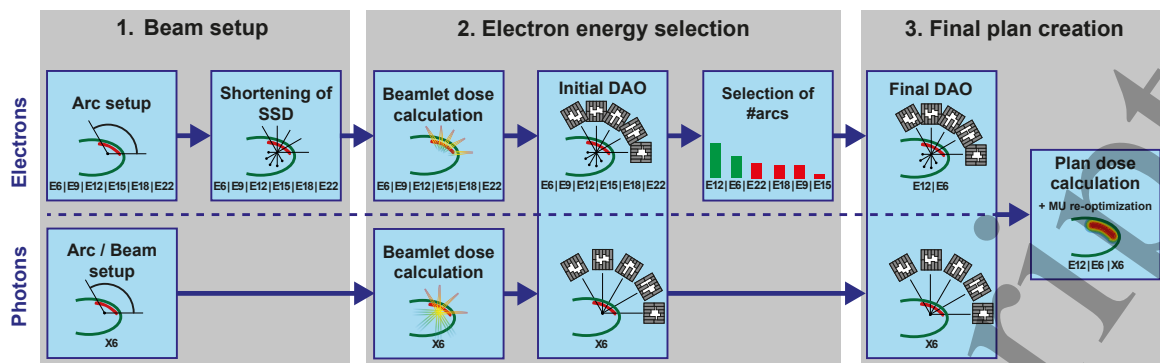


Figure 1. Illustration of the treatment planning process to create Arc-MBRT plans. The upper half describes the steps for the electron beams, while the steps for the photon beams are described in the lower half. SSD: source-surface distance. DAO: direct aperture optimization. E/β : Electron arc with an energy of β MeV. X6: 6 MV photon arc / beam. MU: Monitor unit.

2.1. Treatment planning process

2.1.1. Beam setup The first part in the TPP illustrated in figure 1 consists of the manual setup of electron arcs and setup of photon beams within a research version of Eclipse. This research version is embedded in the Aria framework v15.6 (Varian Medical Systems, Palo Alto, CA). The user needs to define the gantry range, collimator and table rotation angle for electron arcs. Due to the finite range of electron beams, the gantry range for the electron arcs is suggested to be set to the area where the planning target volume (PTV) is close to the patient's surface. For the defined gantry range, electron arcs are set up for all available electron beam energies with control points (CPs) every 5° . Additionally, the user defines photon beams, consisting either of 3D conformal or intensity-modulated photon beams with a static gantry angle or photon arcs with dynamic gantry rotation (with CPs every 5°). The beams with static gantry angle are called static beams from now on.

Next, the position of the isocenter is shifted for every CP of the electron arcs along the central axis such that the SSD matches a user defined setting $SSD_{desired}$. This allows to shorten the distance between the gantry head and the patients' surface, which influences the amount of in-air scatter of the electron beams. A shorter SSD hence means a smaller beam penumbra for the electron beams. For this, the current SSD along the central axis $SSD_{current}$ is calculated and the position of the isocenter is shifted $\Delta_{lateral}$, $\Delta_{vertical}$, and $\Delta_{longitudinal}$ cm along the central beam direction for every CP to match $SSD_{desired}$. The central beam direction is defined by the the gantry rotation angle α_{gantry} and table rotation angle α_{table} of the CP. The isocenter shift is calculated using the following equations:

$$\Delta_{lateral} = (SSD_{desired} - SSD_{current}) \cdot \sin(-\alpha_{gantry}) \cdot \cos(\alpha_{table}) \quad (1)$$

$$\Delta_{vertical} = (SSD_{desired} - SSD_{current}) \cdot \cos(\alpha_{gantry}) \quad (2)$$

$$\Delta_{longitudinal} = (SSD_{desired} - SSD_{current}) \cdot \sin(-\alpha_{gantry}) \cdot \sin(\alpha_{table}) \quad (3)$$

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5 140 This results in a dynamic table translation synchronous with the gantry rotation to keep
6 141 the fixed SSD along the central axis for the electron arcs.
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9 142 *2.1.2. Electron energy selection* In the second part of the TPP, the number of electron
10 143 arcs is reduced to a user-defined number to control the number of total electron arcs in
11 144 the plan. Because an electron arc was set up for each available beam energy, the delivery
12 145 time would be unnecessarily long if all electron arcs are used. Thus, the most important
13 146 electron beam energies are selected based on an initial intensity modulation optimization
14 147 of all electron arcs and photon beams. For this, a beamlet dose calculation is performed
15 148 for every CP of electron and photon arcs and static beam using the Eclipse research
16 149 version interfaced Swiss Monte Carlo Plan (SMCP) (Fix et al. 2007). In SMCP, pre-
17 150 simulated beamlet phase spaces and the Macro Monte Carlo (MMC) (Neuenschwander
18 151 et al. 1995, Fix et al. 2013) and Voxel Monte Carlo (VMC++) (Kawrakow & Fippel
19 152 2000) dose calculation algorithms are used for electron and photon beams, respectively.
20 153 The beamlet size is 0.5 cm \times 0.5 cm or 0.5 cm \times 1 cm in the isocenter plane, depending
21 154 on the width of the pMLC leaf. For static conformal photon beams, a dose calculation
22 155 of the whole beam is performed using VMC++. The beamlet dose distributions are
23 156 then used for the intensity modulation optimization based on a hybrid direct aperture
24 157 optimization (H-DAO) (Mueller et al. 2022). In H-DAO, apertures describing the pMLC
25 158 shapes and monitor unit (MU) weights are determined using a hybrid column generation
26 159 and simulated annealing approach. With column generation, apertures are iteratively
27 160 generated and with simulated annealing, the shapes and MU weights of the apertures are
28 161 refined after each aperture addition. For each CP of electron and photon arcs, exactly
29 162 one aperture is determined, while for static beams a user defined number of apertures is
30 163 generated. For static conformal photon beams, no apertures are generated, but the MU
31 164 weight of the static conformal photon beam is simultaneously optimized with the MU
32 165 weights of the apertures of the electron arcs. The optimization is finished when every
33 166 CP has exactly one aperture and the static beams have their total number of apertures
34 167 assigned. For all arcs, the movement range of the pMLC leaves is restricted such that
35 168 the gantry rotation is not slowed down by the leaf movement and the MU weight is
36 169 restricted such that the gantry rotation is maximally slowed down to half the full speed.
37 170 During the optimization, the fluence belonging to an electron or photon aperture is
38 171 interpolated between consequent CPs as described by Guyer et al. (2022) to account for
39 172 the continuous movement of the pMLC leaves. For photons, the transmission through
40 173 the pMLC is considered during the optimization, while for the electrons it is assumed
41 174 that the transmission through the pMLC is zero due to the thickness of the pMLC.
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52 175 After the initial DAO, the dose contribution of each electron arc to the PTV is
53 176 calculated. The electron arcs are then ranked according to their PTV dose contribution
54 177 from highest to lowest. Only the highest-ranking electron arcs, up to the user-defined
55 178 number, are kept while the others are discarded.
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MBRT with intensity-modulated electron arcs

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179 *2.1.3. Final plan creation* In the third part, a final DAO is performed with the
180 remaining electron arcs and the photon beams. After all apertures are determined,
181 a dose calculation is performed for each aperture using the SMCP framework (Fix et al.
182 2007, Manser et al. 2019) considering the exact geometry of the pMLC and the full
183 dynamic movement of the pMLC, table and gantry between consecutive CPs for photon
184 and electron arcs. The source for the electron beams is a validated multiple source
185 model (Henzen et al. 2014a, Fix et al. 2023), consisting of a primary and a jaw source
186 and the dose is calculated using the MMC algorithm. The source of the photon beams
187 is a pre-simulated phase-space located on a plane above the secondary collimator jaws
188 and the dose is calculated using the VMC++ algorithm. After the dose calculation, a
189 MU weight reoptimization is performed to mitigate the differences between the beamlet-
190 based and final dose distributions. Finally, the dose from all apertures is summed to get
191 the plan dose. All dose distributions in this work use a voxel size of $2.5 \times 2.5 \times 2.5 \text{ mm}^3$
192 and the mean statistical uncertainty of the dose in voxels receiving at least 50% of the
193 maximum dose is less than 0.5%.

194 *2.2. Treatment plan investigations*

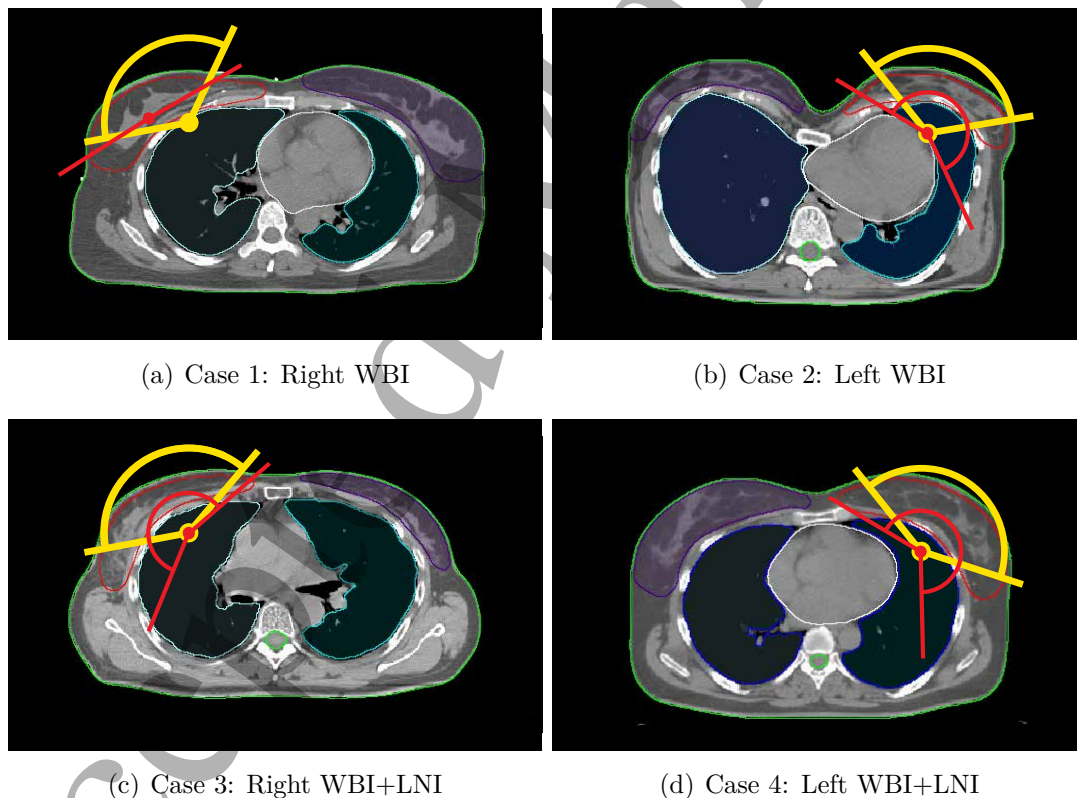


Figure 2. Illustration of the beam setup of the Arc-MBRT plans for the four cases. The gantry angle range of the electron arcs is indicated in yellow and the static gantry angles (a) and gantry angle ranges (b, c, d) of the photon beams are indicated in red. WBI: whole breast irradiation. LNI: lymph node irradiation

Four breast cases were selected for retrospective investigation, each with a prescribed total dose of 42.4 Gy to the median dose in the planning target volume (PTV) in 16 fractions. One case is a right-sided whole breast irradiation (WBI) case without axillary lymph node irradiation (LNI), which was clinically treated with 3D conformal radiotherapy (CRT) using two tangential photon beams (case 1). One case is a left-sided WBI case without axillary LNI (case 2), one case is a right-sided WBI case including axillary LNI (case 3) and one case is a left-sided WBI case including axillary LNI (case 4). The cases were selected for the following purposes:

- (i) To investigate the influence of the number of electron arcs on the resulting plan.
- (ii) To evaluate the dosimetric plan quality and delivery time of Arc-MBRT for breast treatments compared to Static-MBRT and photon-only treatments.
- (iii) To validate the deliverability of Arc-MBRT plans in terms of dosimetric accuracy.

Table 1. Beam setup for the Arc-MBRT plans used for investigation of the influence of the number of electron arcs on the resulting treatment plan. The table rotation angle is 0° for all beams. Split beam refers to splitting the beam size using the x-jaws.

	Beam	Gantry angle ($^\circ$)	Collimator angle ($^\circ$)
Case 1: Right WBI	2 static conformal photon beams	-123 and 60	102 and 75
	1 – 6 electron arcs	-100 – 30	0
Case 2: Left WBI	2 photon arcs (split beam)	-60 – 155	355
	2 photon arcs (split beam)	-60 – 155	95
	1 – 6 electron arcs	-40 – 80	0
Case 3: Right WBI+LNI	2 photon arcs (split beam)	-155 – 50	355
	2 photon arcs (split beam)	-155 – 50	95
	1 – 6 electron arcs	-100 – 40	0
Case 4: Left WBI+LNI	2 photon arcs (split beam)	-60 – 180	355
	2 photon arcs (split beam)	-60 – 180	95
	1 – 6 electron arcs	-40 – 110	0

For the first purpose, six Arc-MBRT plans are created for each of the four cases. The six Arc-MBRT plans have a varying number of electron arcs, ranging from 1 to 6 arcs. A plan with 1 electron arc means, that only one electron beam energy is used while a plan with 6 electron arcs means, that all electron beam energies are used, and no arcs were discarded in the electron arcs selection step. The available electron beam energies are 6, 9, 12, 15, 18, and 22 MeV. For all electron arcs, the SSD is shortened to 80 cm as a compromise between reducing the in-air scatter and ensuring collision-free delivery (Mueller et al. 2018a, Ma et al. 2019). The photon beam setup for case 1 (right WBI) consists of two static conformal tangential beams and of four partial VMAT arcs for the other three cases. The beam setups are illustrated in figure 2 and described in detail in table 1. For all plans, the dose contribution to the PTV of the electron and photon beams is investigated and the dosimetric plan quality of the plans with 2 and 6 electron arcs are analyzed in detail.

Table 2. Beam setup for the plans used for investigating the dosimetric plan quality of Arc-MBRT. In brackets, the gantry ranges and gantry angles of the photon and electron beams are indicated. The table angle is 0° for all beams. The photon arcs are always split beams using the x-jaws.

	Plan (electrons photons)	Electron beams	Photon beams
Case 1: Right WBI	Arc-MBRT (2 arcs 2 static conf)	2 arcs (-100° – 30°)	2 static conformal (-123° & 60°)
	Static-MBRT (3 static 2 static conf)	3 static (-80°, -35° and 0°)	2 static conformal (-123° & 60°)
	CRT (0 2 static conf)	–	2 static conformal (-123° & 60°)
	Arc-MBRT (2 arcs 2 static)	2 arcs (-100° – 30°)	2 static (-123° & 60°)
	Static-MBRT (3 static 2 static)	3 static (-80°, -35° and 0°)	2 static (-123° & 60°)
	IMRT (0 2 static)	–	2 static (-123° & 60°)
	Arc-MBRT (2 arcs 2 arcs)	2 arcs (-100° – 30°)	2 arcs (-160° – 60°)
	Static-MBRT (3 static 2 arcs)	3 static (-80°, -35° and 0°)	2 arcs (-160° – 60°)
	VMAT (0 4 arcs)	–	4 arcs (-160° – 60°)
Case 2: Left WBI	Arc-MBRT (2 arcs 4 arcs)	2 arcs (-40° – 80°)	4 arcs (-60° – 155°)
	Static-MBRT (3 static 4 arcs)	3 static (-30°, 28° and 63°)	4 arcs (-60° – 155°)
	VMAT (0 6 arcs)	–	6 arcs (-60° – 155°)
Case 3: Right WBI+LNI	Arc-MBRT (2 arcs 4 arcs)	2 arcs (-100° – 40°)	4 arcs (-155° – 50°)
	Static-MBRT (3 static 4 arcs)	3 static (-76° - 46° and 0°)	4 arcs (-155° – 50°)
	VMAT (0 6 arcs)	–	6 arcs (-155° – 50°)
Case 4: Left WBI+LNI	Arc-MBRT (2 arcs 4 arcs)	2 arcs (-40° – 110°)	4 arcs (-60° – 180°)
	Static-MBRT (3 static 4 arcs)	3 static (-25°, 33° and 79°)	4 arcs (-60° – 180°)
	VMAT (0 6 arcs)	–	6 arcs (-60° – 180°)

For the second purpose, Arc-MBRT plans, Static-MBRT plans, and photon-only plans are created and the dosimetric plan quality and the estimated delivery time is compared for all plans of the four cases. The different plans are described in detail in table 2. All electron arcs and static electron beams have an SSD of 80 cm. Comparisons between the dosimetric plan quality of the resulting plans is performed by analyzing dose-volume histogram (DVH) parameters for the PTV, heart, lung, contralateral breast and spinal canal. For the PTV, the Paddick conformity index (CI) (Paddick 2000) and homogeneity index ($HI = (D_{2\%} - D_{98\%})/D_{50\%}$) are calculated and compared, where $D_{X\%}$ represents the minimum dose in $X\%$ of the PTV volume. The estimated delivery times are calculated by summing the time per CPs of all arcs and beams of one plan, while the accelerations of the mechanical axes are neglected. Additionally, the time to move all axes to the starting position of the next arc / beam is taken into account with a minimum time of 20 s for switching between photon and electron beams and between different electron energies.

For the third purpose, the Arc-MBRT plans for the left WBI and right WBI+LNI cases (cases 2 & 3) are delivered on a TrueBeam linear accelerator (Varian Medical Systems) equipped with a Millennium 120 pMLC (Varian Medical Systems) in developer mode. The dose is measured using radiochromic EBT3 film sheets (Ashland Advanced Materials, Bridgewater, NJ) placed in 1 cm depth inside a PMMA cube. Film measurements are taken for each plan for the following deliveries:

- (i) The total plan (each consisting of two electron and four photon arcs).
- (ii) Only the electron arcs of each plan.
- (iii) The electron arcs with a collapsed gantry angle to 0°.

The reason for these different deliveries is to measure individually the dosimetric accuracy of the whole plan, of the electron arcs and the sliding window technique for electrons. The film sheets are scanned using an Epson XL 10000 flatbed scanner (Seiko Epson Co., Tokyo, Japan) 18h after irradiation. The scanned films are corrected for the lateral response artifact of the scanner using a one-dimensional linear correction function (Lewis & Chan 2015), converted to absolute dose using a triple channel calibration (Micke et al. 2011) and rescaled according to the one-scan protocol by using two additional film strips (Lewis et al. 2012). The resulting dose distribution of the red channel is compared to the corresponding 2D plane of the dose recalculated for the PMMA cube using a gamma evaluation with a 3% (global) / 2 mm criterion and a 10% low-dose threshold of the maximum dose.

3. Results

3.1. Number of electron arcs

The dose contributions to the PTV of the different electron and photon beams in Arc-MBRT plans varying in the number of electron arcs are shown in figure 3 for the four cases. For case 1 (right WBI), the electron dose contribution increases from 31% to 51% with increasing number of electron arcs. For case 2 (left WBI), the electron dose contribution is between 13% and 19% for all six plans. The electron dose contribution for case 3 (right WBI+LNI) increases from 11% to 29% from one to six electron arcs. Similarly, the electron dose contribution for the Left WBI+LNI case increases from 16% to 28% from one to six electron arcs. The electron dose contribution is almost twice as high in case 1 (right WBI) compared to all other cases. Overall, the lower three electron energies contribute more than half of the electron dose contribution for all four cases.

In figure 4, the DVHs of Arc-MBRT plans with 2 and 6 electron arcs are shown. For case 1 (right WBI), the maximum dose to the ipsilateral lung slightly decreases while the low-dose bath to the lung slightly increases from 2 to 6 electron arcs. The PTV coverage and dose to the OARs are similar for case 2 (left WBI). The two-electron arc plan of case 3 (right WBI+LNI) has a higher maximum dose to the spinal canal and a slightly increased mean dose to the contralateral lung while maintaining the same PTV coverage as the six-electron arc plan. For case 4 (left WBI+LNI), the PTV coverage and dose to OARs is similar between the 2 and 6 electron arc plans. Overall, the dosimetric plan quality is similar between the two plans for each of the four cases.

3.2. Dosimetric investigations

3.2.1. Case 1: Right WBI The results of the dosimetric comparison for case 1 (right WBI) are shown in figure 5. The dosimetric values and estimated delivery times are presented in table 3. The MBRT plans with static conformal photon beams have a reduced PTV coverage in comparison with the CRT plan. On the other hand, the volume of normal tissue receiving 100% of the prescribed dose is reduced in the MBRT

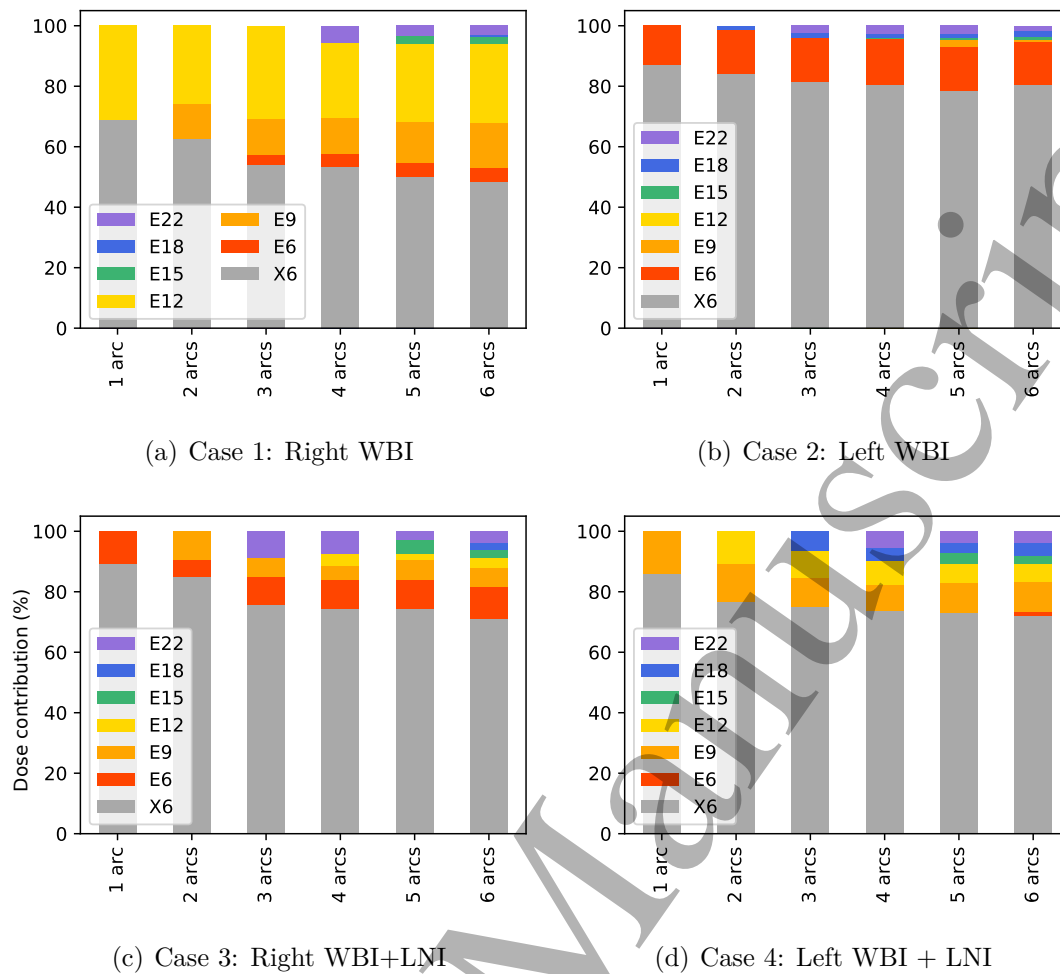


Figure 3. Dose contribution to the PTV of electron and photon beams in Arc-MBRT plans with the number of electron arcs ranging from 1 to 6 arcs for all four cases. E_{β} : Electron arc with an energy of β MeV. X6: VMAT arc with 6 MV photons.

plans compared with the CRT plan. For the MBRT plans with static photon beams and MBRT plans with photon arcs, the PTV coverage is similar to the IMRT plan and VMAT plan, respectively, while the dose to the normal tissue is reduced in the MBRT plans.

Comparing Arc-MBRT plans versus Static-MBRT plans, the delivery time is reduced by at least 55%. The estimated delivery time of the photon-only plans is 35% and 16% shorter for the CRT and IMRT plans and 37% longer for the VMAT plan compared to the respective Arc-MBRT plans.

3.2.2. Case 2: Left WBI The Arc-MBRT, Static-MBRT and VMAT plans for case 2 (left WBI) are compared in figure 6 (dose distributions and DVHs) and in table 4 (dosimetric values & delivery time). As can be seen in the top of figure 6, the electron dose contributes mostly to the superficial part of the PTV and to the part where the heart is close to the PTV in the distal direction. The photon dose covers the more

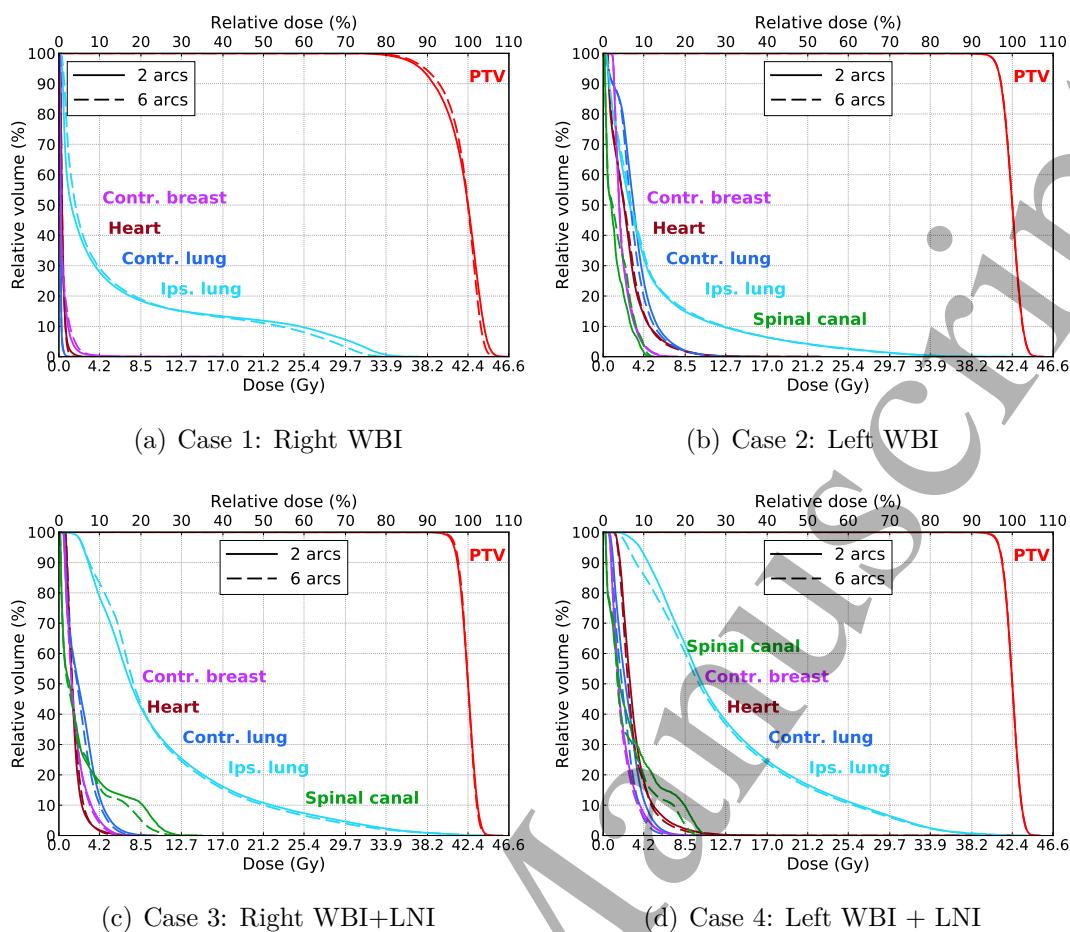


Figure 4. DVH comparisons of Arc-MBRT plans with 2 and 6 electron arcs for each of the four cases.

294 distal parts of the PTV, especially near the ribs where the ipsilateral lung is only a few
 295 millimeters apart from the PTV.

296 While the PTV coverage and the dose to OARs are similar in the Arc-MBRT and
 297 Static-MBRT plans, the dose to the OARs is substantially higher in the photon-only
 298 VMAT plan. Compared to the VMAT plan, the mean dose to the heart is reduced by
 299 32%, the mean dose to the contralateral breast is reduced by 23% and the V_{5Gy} of the
 300 total lung is reduced by 40% in the Arc-MBRT plan.

301 *3.2.3. Cases 3&4: Left and right WBI+LNI* The DVH comparison of the Arc-MBRT,
 302 Static-MBRT and VMAT plans for cases 3 and 4 (right and left WBI+LNI) are shown in
 303 figure 7. In table 5, the dosimetric values and delivery times for case 3 (right WBI+LNI)
 304 are compared and the dosimetric values and delivery times for case 4 (left WBI+LNI)
 305 are compared in table 6.

306 In case 3 (right WBI+LNI), the Arc-MBRT and Static-MBRT achieved similar
 307 dosimetric plan quality. Both plans have a similar PTV coverage as the VMAT plan.
 308 When comparing the Arc-MBRT plan to the VMAT plan, the mean dose to the heart

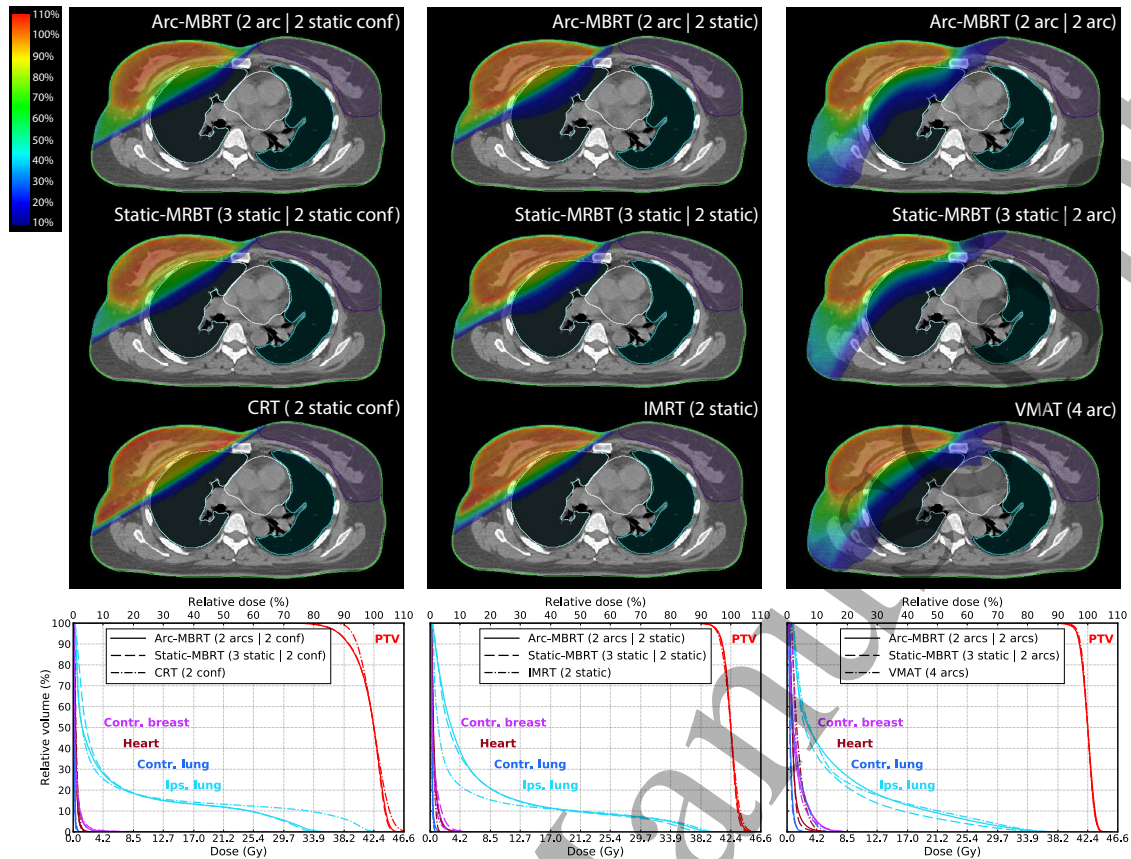


Figure 5. Dose color wash comparison (top) on a representative transversal plane and DVH comparison (bottom) of the Arc-MBRT, Static-MBRT and photon-only plans for case 1 (right WBI). To distinguish between the different photon beam setups, the electron and photon beams are indicated in brackets.

309 is reduced by 60%. Similarly, the mean dose to the contralateral breast is reduced by
 310 51% and the V_{5Gy} of the total lung is reduced by 24%.

311 The Arc-MBRT and Static-MBRT plans for case 4 (left WBI+LNI) have similar
 312 dosimetric plan quality, except for the lung, which has a lower dose bath in the Static-
 313 MBRT plan compared to the Arc-MBRT plan. The VMAT plan has the same PTV
 314 coverage as both MBRT plans, but the mean dose to the heart is reduced by 38%, the
 315 mean dose to the contralateral breast is reduced by 23% and the V_{5Gy} of the total lung
 316 is reduced by 15% in the MBRT plans compared to the VMAT plan.

317 3.3. Dosimetric validation

318 The Arc-MBRT plans for case 2 (left WBI) and case 3 (right WBI+LNI) case were
 319 successfully delivered on a TrueBeam and film measurements were taken for the total
 320 plans (one fraction), only the electron arcs of each plan and the electron arcs of each
 321 plan with a collapsed gantry angle to 0° . The results of the comparisons between the
 322 measured and calculated dose distributions for all six deliveries are shown in figure 8.

323 The gamma analysis for case 2 (left WBI) resulted in a passing rate of 98.5% for the

Table 3. Comparison of the dosimetric quantities of the Arc-MBRT, Static-MBRT and photon-only plans for case 1 (right WBI). The best value of each quantity within the group is highlighted in bold.

	Arc-MBRT	Static-MBRT	photon-only RT
	(2 arcs 2 static conf)	(3 static 2 static conf)	(2 static conf)
HI (%)	21	20	17
CI	0.50	0.49	0.33
Normal tissue $V_{42.4Gy}$ (cm ³)	2	2	136
Heart D_{mean} (Gy)	0.4	0.4	0.4
Contr. breast D_{mean} (Gy)	0.4	0.4	0.4
Ips. lung V_{17Gy} (%)	13.3	13.3	14.2
Ips. lung $D_{2\%}$ (Gy)	32.5	31.8	40.7
Estimated delivery time (min)	2.3	8.5	1.5
Electron dose contribution (%)	37	40	–
	(2 arcs 2 static)	(3 static 2 static)	(2 static)
HI (%)	10	9	11
CI	0.50	0.49	0.40
Normal tissue $V_{42.4Gy}$ (cm ³)	5	1	78
Heart D_{mean} (Gy)	0.6	0.6	0.5
Contr. breast D_{mean} (Gy)	0.6	0.6	0.5
Ips. lung V_{17Gy} (%)	11.4	11.2	11.1
Estimated delivery time (min)	5.7	12.6	4.8
Electron dose contribution (%)	35	50	–
	(2 arcs 2 arcs)	(3 static 2 arcs)	(4 arcs)
HI (%)	8	8	7
CI	0.50	0.50	0.49
Normal tissue $V_{42.4Gy}$ (cm ³)	2	3	21
Heart D_{mean} (Gy)	0.8	0.8	1.6
Contr. breast D_{mean} (Gy)	1.3	1.4	1.5
Ips. lung V_{17Gy} (%)	12.8	9.4	13.4
Estimated delivery time (min)	3.0	7.8	4.1
Electron dose contribution (%)	41	37	–

324 total dose, 99.5% for the electron dose and 100% for the collapsed dose, respectively.

325 The passing rates of case 3 (right WBI+LNI) were 100% for all three dose distributions.

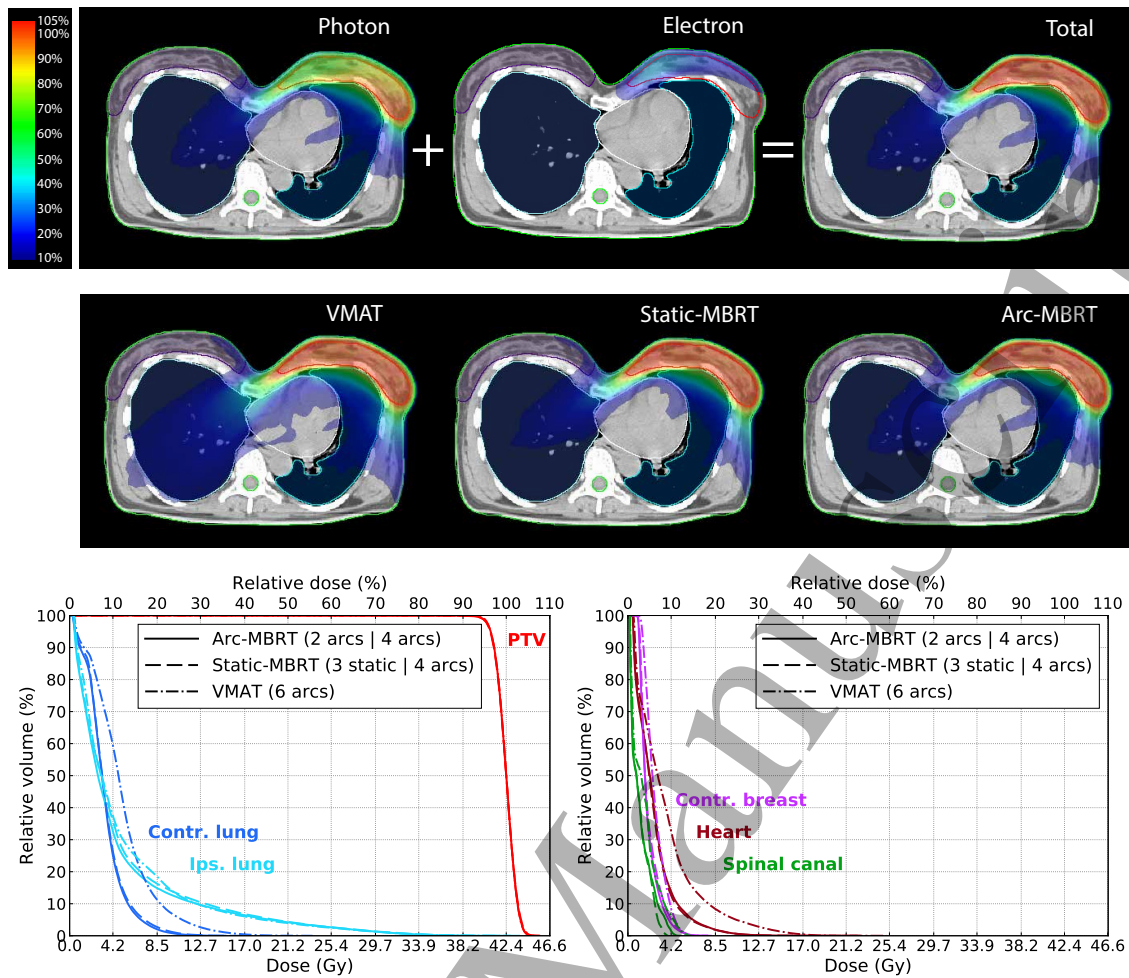
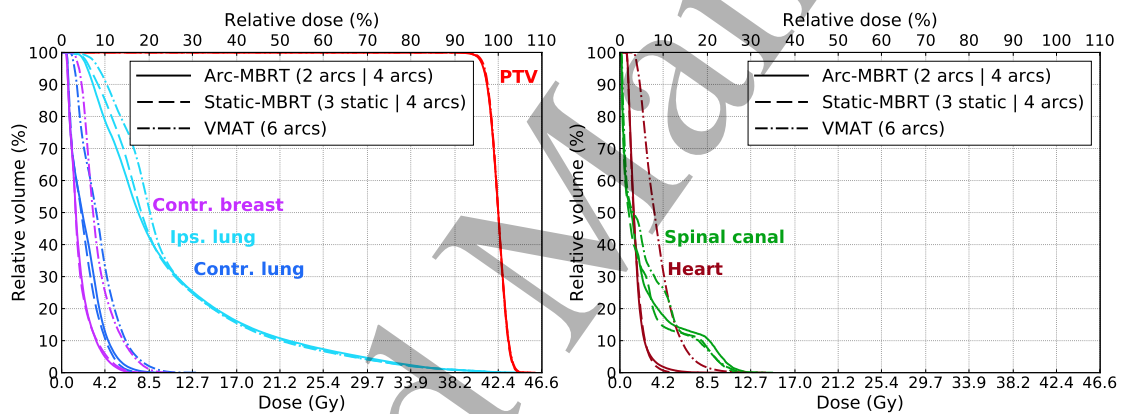


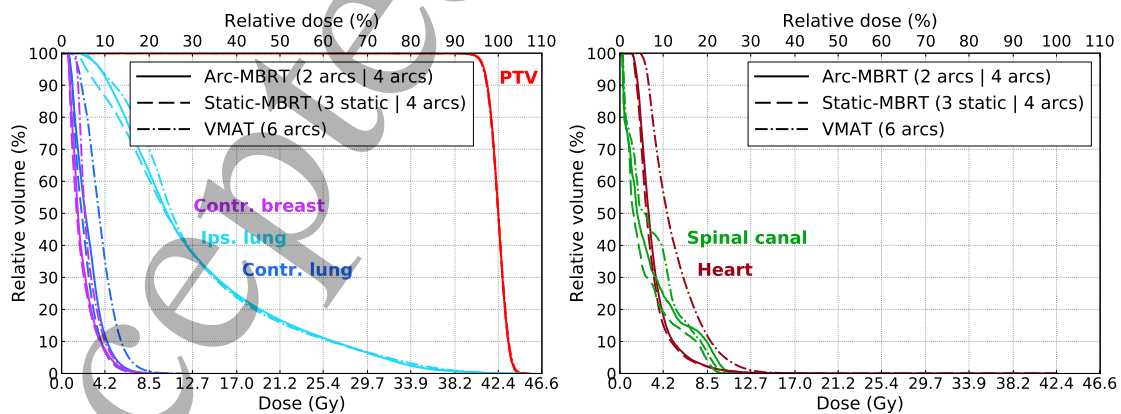
Figure 6. Dose color wash comparison (top) on a representative transversal plane between the photon and electron dose contributions of the Arc-MBRT plan, dose color wash comparison (middle) and DVH comparison (bottom) of the Arc-MBRT, Static-MBRT and VMAT plans for case 2 (left WBI).

Table 4. Comparison of the dosimetric quantities of the Arc-MBRT, Static-MBRT and VMAT plans for case 2 (left WBI). The best value of each quantity is highlighted in bold.

	Arc-MBRT	Static-MBRT	VMAT
HI (%)	8.5	8.8	8.4
CI	0.50	0.49	0.49
Heart D_{mean} (Gy)	2.5	2.5	3.7
Contr. breast D_{mean} (Gy)	2.0	2.1	2.6
Ips. lung V_{17Gy} (%)	6.4	6.8	6.0
Total lung V_{5Gy} (%)	19.2	20.6	39.6
Spinal canal $D_{2\%}$ (Gy)	4.1	3.3	5.0
Normal tissue $V_{10\%}$ (%)	10.9	11.6	16.5
Estimated delivery time (min)	4.4	8.9	5.3
Electron dose contribution (%)	16	15	–



(a) Case 3: Right WBI+LNI



(b) Case 4: Left WBI+LNI

Figure 7. DVH comparison of the Arc-MBRT, Static-MBRT and VMAT plans for case 3 (a) and case 4 (b).

Table 5. Comparison of the dosimetric quantities of the Arc-MBRT, Static-MBRT and VMAT plans for case 3 (right WBI+LNI). The best value of each quantity is highlighted in bold.

	Arc-MBRT	Static-MBRT	VMAT
HI (%)	7.9	7.8	7.7
CI	0.50	0.50	0.50
Heart D_{mean} (Gy)	1.5	1.5	3.8
Contr. breast D_{mean} (Gy)	1.7	1.7	3.5
Ips. lung V_{17Gy} (%)	16.1	15.8	15.4
Total lung V_{5Gy} (%)	43.2	45.8	56.8
Spinal canal $D_{2\%}$ (Gy)	10.6	10.1	10.3
Normal tissue $V_{10\%}$ (%)	22.7	21.5	27.9
Estimated delivery time (min)	4.4	10.3	4.1
Electron dose contribution (%)	15	25	–

Table 6. Comparison of the dosimetric quantities of the Arc-MBRT, Static-MBRT and VMAT plans for case 4 (left WBI+LNI). The best value of each quantity is highlighted in bold.

	Arc-MBRT	Static-MBRT	VMAT
HI (%)	7.6	7.6	8.0
CI	0.50	0.50	0.50
Heart D_{mean} (Gy)	3.3	3.1	5.3
Contr. breast D_{mean} (Gy)	2.0	2.0	2.6
Ips. lung V_{17Gy} (%)	24.7	24.3	23.7
Total lung V_{5Gy} (%)	46.2	42.4	54.6
Spinal canal $D_{2\%}$ (Gy)	9.9	8.9	9.4
Normal tissue $V_{10\%}$ (%)	29.3	26.0	34.3
Estimated delivery time (min)	4.7	9.8	4.6
Electron dose contribution (%)	24	29	–

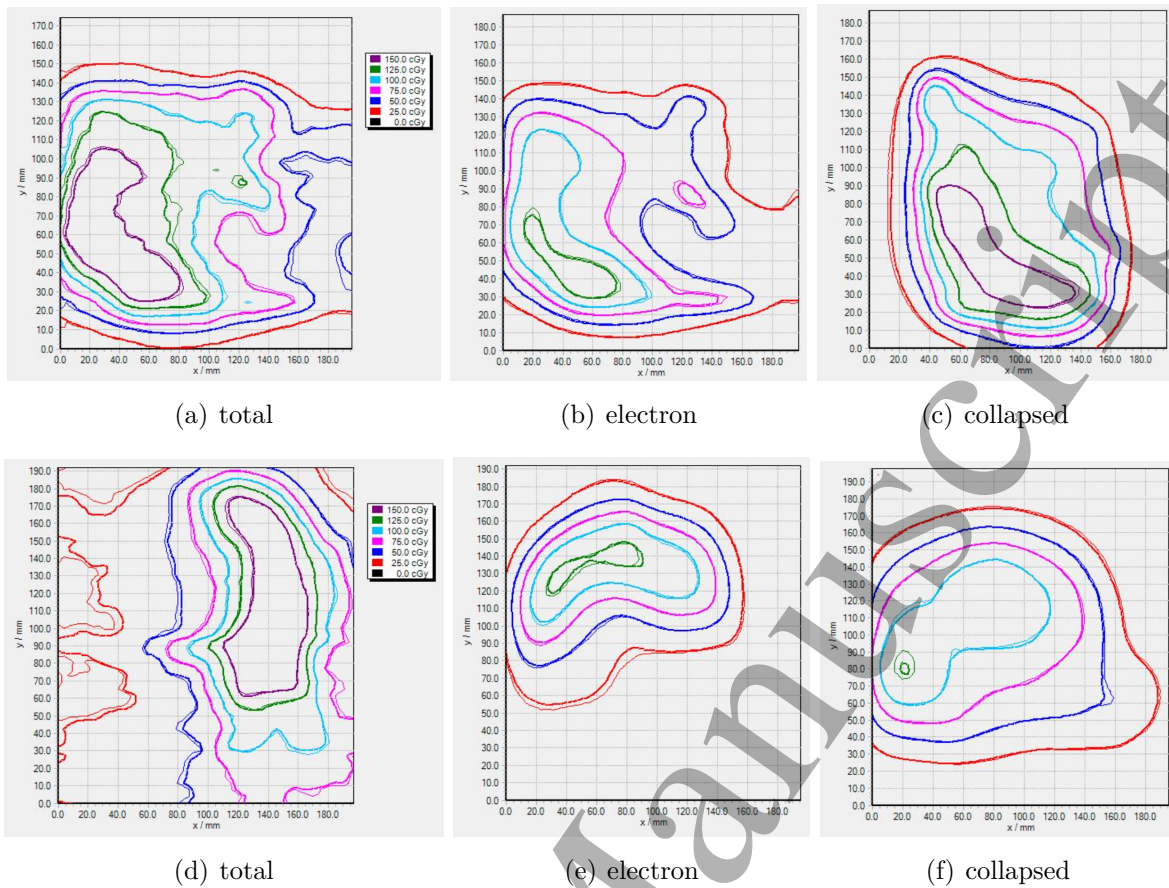


Figure 8. Measured (thin) and calculated (thick) isodose lines for dose distributions of case 2 (top) and case 3 (bottom). In a) and d) the total Arc-MBRT plans consisting of electron and photon arcs were delivered, in b) and e) the electron arcs were delivered with dynamic gantry and table and in c) and f) the electron arcs were delivered with a collapsed gantry angle.

4. Discussion

In this work, a TPP for creating Arc-MBRT plans was successfully developed. The Arc-MBRT plans consist of intensity-modulated electron arcs and static or dynamic photon beams. The intensity-modulated electron arcs are achieved with a pMLC-based sliding-window technique and synchronous dynamic gantry rotation and table translation to keep a shortened SSD. In contrast to Static-MBRT, which contains intensity-modulated electron beams delivered from a static gantry angle, the gantry moves continuously during beam-on for electron arcs. This shortens the delivery time substantially. For the four investigated cases, the delivery times of the Arc-MBRT plans are less than half the time of the Static-MBRT plans. This is similar to the advantage of VMAT over IMRT, which also has reduced delivery time due to the dynamic gantry rotation (Teoh et al. 2011). Additionally, creating a suitable beam setup for Static-MBRT plans is not always straightforward. Multiple beams must be chosen carefully to achieve an acceptable coverage of the PTV by the electrons. The presented TPP improves this, as setting up gantry ranges for electron arcs is more straightforward. The TPP presented here can create Arc-MBRT plans, but plans consisting of electron arcs only can also be created with the same TPP in a similar way.

The dosimetric plan quality of Arc-MBRT plans are generally similar to the Static-MBRT but are superior compared to the photon-only treatments, except for the combination of electron arcs with static conformal photon beams. A possible explanation for this is that the dose of the conformal photon beams is predetermined and only the MU weight of the conformal beams can be changed during intensity modulation optimization. This indicates that the simultaneous optimization of photon and electron intensity modulation is important. For all other setups, the mixed beam plans achieved the same PTV coverage while reducing the dose to the OARs. Most notably, MBRT plans reduced the mean dose to the heart compared to photon-only plans, which is correlated with ischemic heart disease (Darby et al. 2013). Similar results were obtained by Li et al. (2000), Al-Yahya et al. (2005b), Alexander et al. (2011), Renaud et al. (2017) using different MBRT techniques. This shows the potential dosimetric superiority of MBRT plans over photon-only treatments for breast cases also for MBRT utilizing intensity modulated electron arcs.

When comparing Arc-MBRT plans with different number of electron arcs, it seemed that for the investigated breast cases no more than two electron arcs are necessary to achieve a good dosimetric plan quality and that more electron arcs only increase the delivery time without improving the dosimetric result substantially. This can be explained by the fact that energy modulation does not play a substantial role for this treatment site, as the range of treatment depths is narrow. Rather, the electron dose acts as a base dose in the superficial parts of the PTV, allowing for a lower photon dose to the OARs while maintaining a sharp dose falloff outside the PTV. In the cases including LNI, the lymph nodes are essentially only covered with photons. Because the lymph nodes are not near the patient's skin, a larger portion of normal tissue would be

irradiated if the electron beams would contribute more to this area and thus only the superficial parts of the PTV in the breast are covered with electrons.

Arc-MBRT plans were successfully delivered on a TrueBeam and the dosimetric validation shows good agreement between the measured and calculated dose distributions. This shows that the multiple-source beam model and algorithm used for the electron dose calculation are suitable for Arc-MBRT plans and that a TrueBeam can deliver electron arcs accurately. Ma et al. (2019) investigated dosimetric characterizations of electron arcs and achieved good agreements between Monte Carlo dose calculations and measurements as well. However, no intensity-modulated electron arcs were measured.

In the presented TPP for Arc-MBRT, the time for dose calculation can be substantially longer compared to the time required for photon-only VMAT plans. There are several approaches possible to reduce this dose computation time. One approach is to use a coarser dose scoring grid to determine suitable electron energies. The number of electron energies for which beamlet dose has to be calculated on the regular dose scoring grid can thus be reduced. Another approach is to use faster dose calculation algorithms based on a GPU implementation (Franciosini et al. 2023) or on deep learning methods for denoising MC dose distributions (Bai et al. 2021, Neph et al. 2021).

One aspect which was not investigated in this work is the robustness of the treatment plans against setup uncertainties and patient breathing. The assumption that the dose distribution is not perturbed by setup uncertainties does not hold for electrons and the electron dose distribution moves with the patient in the incident beam direction (Thomas 2006). Additionally, electron beams might be more robust than photon beams due to their larger beam penumbra. Renaud et al. (2019), Heath et al. (2021) developed a clinical target volume (CTV) based robust optimization approach for Static-MBRT. They showed that robust-optimized plans exhibited less dosimetric impact due to setup uncertainties compared to plans using conventional PTV margins and that the electron dose contribution was higher in the robust-optimized plans. Additionally, it has been shown that also photon-only plans could benefit from CTV-based robust optimization as well (Byrne et al. 2016). Hypothetically, robust-optimized Arc-MBRT would show the same benefit and will be investigated in future research, but the potential burden on computer memory and calculation time of the many MC beamlets needed for robust optimization needs to be addressed adequately (Mueller et al. 2023).

This work focused on breast cases to show the dosimetric plan quality and efficiency of Arc-MBRT plans. However, there is a potential advantage of MBRT also for other treatment sites with a superficial part such as head-and-neck cancers (Mu et al. 2004, Mueller et al. 2018a), brain tumors (Rosca 2012, Heath et al. 2021), sarcomas (Renaud et al. 2017), tumors in the abdomen (Unkelbach et al. 2022) or scalp irradiations (Eldib et al. 2017). Additionally, non-coplanar beam directions for photon and electron beams might offer an additional advantage. Electron beams with dynamic trajectories similar to the dynamic trajectories of photon beams in dynamic mixed beam radiotherapy (Mueller et al. 2018b) might be explored in future research. Although, ensuring collision

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avoidance for the shortened SSD might be challenging for non-coplanar electron beams.

5. Conclusion

A TPP for pMLC-based Arc-MBRT containing intensity-modulated electron beams with dynamic gantry rotation was successfully developed. Created Arc-MBRT plans for four breast cases showed similar dosimetric plan quality to Static-MBRT plans while outperforming photon-only plans. For the investigated breast cases, two electron arcs were enough to achieve a good dosimetric plan quality. On average, the mean heart dose is reduced by 32% in the MBRT plans compared to the photon-only plans. The Arc-MBRT plans reduced the delivery time by half compared to Static-MBRT plans and were similar to VMAT plans, which further facilitates integration of pMLC-based mixed-beam radiotherapy into clinical practice.

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