

# Dramatic Acceleration of the Hopf Cyclization on Gold(111): From Eneidyne to Peri-Fused Diindenochrysene Graphene Nanoribbons

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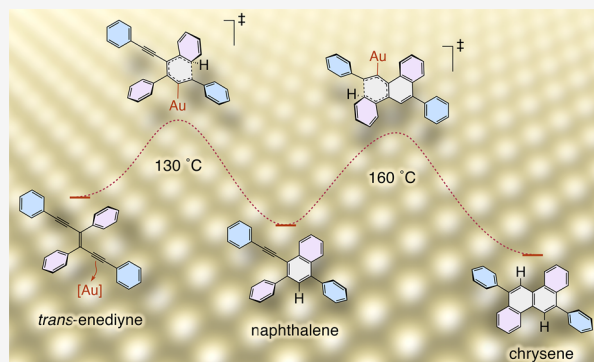


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**ABSTRACT:** Hopf et al. reported the high-temperature  $6\pi$ -electrocyclization of *cis*-hexa-1,3-diene-5-yne to benzene in 1969. Subsequent studies using this cyclization have been limited by its very high reaction barrier. Here, we show that the reaction barrier for two model systems, (*E*)-1,3,4,6-tetraphenyl-3-hexene-1,5-diyne (**1a**) and (*E*)-3,4-bis(4-iodophenyl)-1,6-diphenyl-3-hexene-1,5-diyne (**1b**), is decreased by nearly half on a Au(111) surface. We have used scanning tunneling microscopy (STM) and noncontact atomic force microscopy (nc-AFM) to monitor the Hopf cyclization of eneidyne **1a,b** on Au(111). Eneidyne **1a** undergoes two sequential, quantitative Hopf cyclizations, first to naphthalene derivative **2**, and finally to chrysene **3**. Density functional theory (DFT) calculations reveal that a gold atom from the Au(111) surface is involved in all steps of this reaction and that it is crucial to lowering the reaction barrier. Our findings have important implications for the synthesis of novel graphene nanoribbons. Ullmann-like coupling of eneidyne **1b** at 20 °C on Au(111), followed by a series of Hopf cyclizations and aromatization reactions at higher temperatures, produces nanoribbons **12** and **13**. These results show for the first time that graphene nanoribbons can be synthesized on a Au(111) surface using the Hopf cyclization mechanism.



## INTRODUCTION

The Hopf cyclization stands out as one of the least exploited pericyclic reactions in organic synthesis (Figure 1a).<sup>1–5</sup> It is an atom-neutral, thermally allowed  $6\pi$ -electrocyclization that converts *cis*-hexa-1,3-diene-5-yne and their benzannulated analogues into aromatic rings via two consecutive hydrogen shifts involving highly strained cyclohexa-1,2,4-triene (“1,2,4-isobenzene”) intermediates (Figure 1a).<sup>2,6–11</sup> Its rate-determining step is not the initial  $6\pi$  electrocyclization (step 1, Figure 1a), but the ensuing 1,2-H shift (step 2, TS1).<sup>7,12–14</sup> While a photochemical variant has been described, its use has been limited to suitable scaffolds.<sup>15–17</sup> The thermally induced Hopf cyclization has been disadvantaged by its high activation barrier, necessitating harsh reaction temperatures: >200–250 °C for nonbenzannulated dienyne<sup>3,7,18</sup> and >300 °C for benzannulated dienyne.<sup>7,12,13,18–20</sup>

Despite these limitations, we have exploited the Hopf cyclization in reaction cascades that access previously unavailable armchair graphene nanoribbons such as [8]<sub>A</sub>GNR (Figure 1b).<sup>12,13,19</sup> Arylated polydiacetylenes (e.g., PDA, Figure 1b), with their multiple embedded *cis*-hexa-1,3-diene-5-yne units, can be heated in the solid state at temperatures in excess of 330 °C to achieve full conversion to [8]<sub>A</sub>GNR,<sup>12</sup> fjord-edge N<sub>2</sub>[8]GNR,<sup>13</sup> and [12]<sub>A</sub>GNR.<sup>19</sup> We show here that the temperature of the Hopf cyclization of model compounds

**1a,b** can be lowered by nearly half upon heating on a surface of Au(111) (Figure 1e).

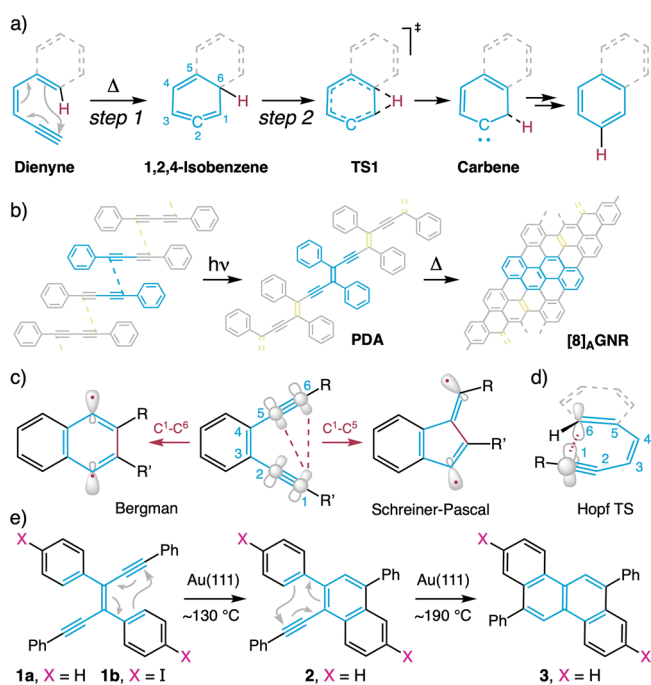
On-surface reactions over coinage metals have gained prominence for their extraordinary capacity to generate elaborate designs that are often not attainable via solution syntheses. This is by virtue of the requirements for rigorously clean ultrahigh vacuum conditions, as well as the surface confinement and catalytic effect that coinage metals exert on reaction mechanisms and their energy barriers.<sup>21–33</sup> The combination of scanning-tunneling microscopy (STM) and noncontact atomic force microscopy (nc-AFM) enables visualization of intermediates in exquisite detail, as well as the role of the metal surface in coupling reactions.<sup>33–38</sup>

On-surface coupling reactions of alkyne substrates have included Glaser<sup>30,33,39–48</sup> and Sonogashira reactions,<sup>43,46,49</sup> dehalogenative or desilylative homocoupling of halo- or silylalkynes,<sup>42,50–54</sup> decarboxylative coupling of alkynyl carboxylic acids,<sup>55</sup> as well as various terminal alkyne coupling reactions

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**Figure 1.** (a) Mechanism for the Hopf cyclization of a hexa-1,3-diene-5-yne (dienyne) to a new arene. (b) Illustration of the light-promoted topochemical polymerization of a diphenyl diacetylene into a polydiacetylene (PDA), and subsequent Hopf cyclization cascade and dehydrogenation to afford [8]<sub>A</sub>GNR. A chrysene subunit in [8]<sub>A</sub>GNR is shown in cyan color. (c) Two on-surface cyclization modes for *cis*-enediynes. (d) Illustration of the nonplanar transition state geometry in the Hopf 6π electrocyclization step. (e) Two consecutive Hopf cyclizations of enediyne 1a to naphthalene 2 and chrysene 3.

generating enediynes or enetriynes,<sup>56,57</sup> a [4]radialene via [1 + 1 + 1] coupling,<sup>58</sup> or benzofulvenes via [2 + 2 + 1] coupling.<sup>47,59</sup>

To the best of our knowledge, an on-surface Hopf cyclization reaction has not been reported. Known on-surface, concerted cyclization reactions include the Bergman<sup>60–63</sup> and alternate Schreiner–Pascal<sup>64–68</sup> cyclization pathways of *cis*-enediynes (Figure 1c), as well as Huisgen “click” reactions,<sup>69–71</sup> and an intramolecular hexadehydro-Diels–Alder reaction of a diyne macrocycle.<sup>72</sup> One should note that these concerted cyclizations take place exclusively via in-plane π-orbitals forming the new C–C bonds (e.g., Figure 1c, middle) and thus do not require partial molecule detachment from the surface of Au(111) at the transition state, which can be energetically costly. In the current study, the Hopf cyclization requires an out-of-plane approach between an alkenyl/aryl *p*-orbital at C<sup>6</sup> and an alkynyl *p*-orbital at C<sup>1</sup>, Figure 1d), to form a C<sup>1</sup>–C<sup>6</sup> bond, which is facilitated by the nonplanar conformation of the starting material 1a on Au(111) (see below, Figure 2e, left) and the catalytic involvement of a gold atom (see the Calculations section).

In our previous work, the characterization of graphene nanoribbons was accomplished by solid-state CP-MAS <sup>13</sup>C NMR, Raman, and IR spectroscopy on strongly aggregated bulk material, as well as by HR-TEM imaging.<sup>12,13,19</sup> To gain better insight into the Hopf cyclization mechanism at the atomic level, it was clear that the structure of [8]<sub>A</sub>GNR would need to be reduced to one of its simplest constituents, chrysene (cyan subunit in Figure 1b, right). The latter would hence form by

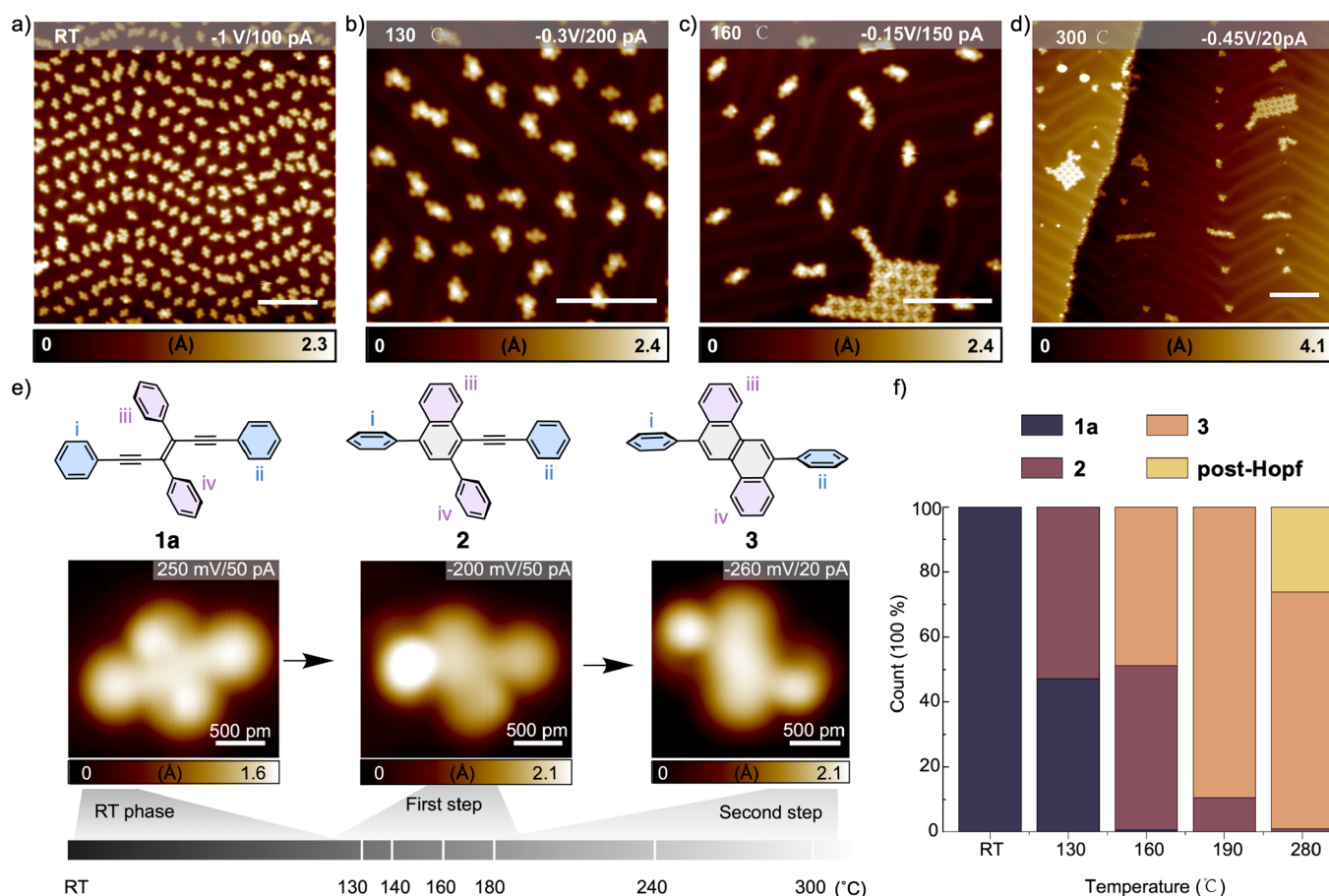
double Hopf cyclization of (*E*)-1,3,4,6-tetraphenyl-3-hexene-1,5-diyne (1a, Figure 1e).

We describe here our initial results on enediyne 1a and its corresponding polymer formed from the precursor, (*E*)-3,4-bis(4-iodophenyl)-1,6-diphenyl-3-hexene-1,5-diyne (1b), to reveal the reaction paths on Au(111) using STM and nc-AFM imaging. We find that the sequential Hopf cyclization of 1a is completed entirely below 160 °C for the first cyclization, affording intermediate naphthalene derivative 2, while the second cyclization, affording chrysene 3, is completed by ~190 °C (Figures 1e and 2e). The unexpected halving of the Hopf cyclization temperature, and its corresponding reaction barrier, compared to our bulk cyclization reactions<sup>12,13,19</sup> is supported by DFT calculations. We find that a gold-alkyne interaction stabilizes intermediates in the Hopf cyclization on Au(111) (Figure 3), resulting in the lowering of the reaction barrier.

## RESULTS AND DISCUSSION

We epitaxially deposited (*E*)-1,3,4,6-tetraphenyl-3-hexene-1,5-diyne (1a) by sublimation onto a Au(111) surface at 20 °C in an ultrahigh vacuum chamber with a base pressure of  $1 \times 10^{-10}$  mbar. The as-grown sample surface is shown in Figure 2a. Most molecules are isolated, with a preference to reside between the herringbone stripes of Au(111). All the molecules have *trans*-configuration.<sup>73</sup> Enediyne 1a has a nonplanar conformation on the surface of Au(111), with an inversion symmetry between the out-of-plane rotated four phenyl groups (Figure 2e, left).

To track all intermediates of the on-surface Hopf cyclizations, annealing was performed step by step at the temperatures shown in Figure 2e (bottom gray scale bar) with a 15 min stay at each temperature. After each annealing step, the sample was characterized in situ by a combination of STM and nc-AFM at 4.5 K. Surprisingly, the first Hopf cyclization, affording naphthalene derivative 2, can already be observed after annealing at 130 °C (Figures 2b,f and S1). It is characterized by its apparent asymmetry in the topographic image (Figure 2e, middle). The phenyl group connected to the naphthyl ring is highly tilted and located significantly higher than the rest of the molecule, as revealed by its bright protrusion. As a result, monomers of 2 are not as “sticky” as 1a on the gold surface and self-assemble into dimeric, trimeric, and tetrameric clusters away from uncyclized molecules of *trans*-enediyne 1a (Figures 2b and S2a–e). Chrysene derivative 3 is not present anywhere on the surface, implying that the second Hopf cyclization has a substantially higher energy barrier. After annealing at 160 °C, we observe roughly half of the molecules becoming doubly cyclized with chrysene 3 (Figure 2c,f). As indicated by the topographic STM image (Figure 2e, right), the molecule is now nearly symmetric and exhibits an out-of-plane conformation of the two phenyl substituents (i) and (ii), with an inversion of symmetry between the tilted rings. Thus, chrysene 3 is also fairly mobile on the surface and forms self-assembled clusters, as revealed by the periodic chains and lattices shown in the topographic images (Figures 2c and S2f–h). Finally, higher-temperature annealing at 300 °C generates 5-membered-annulated species, named post-Hopf (Figures 2d,f and S3), which involves a well-known dehydrocyclization process at higher temperatures on Au(111).<sup>23</sup> Statistical analysis of the molecular distributions at 20, 130, 160, 190, and 280 °C, respectively, reflects the evolution of ratios between structures 1a, 2, and 3 (Figure 2f). Most of the molecules undergo single Hopf cyclization below 160 °C, and double Hopf cyclization between 160 and 200 °C.



**Figure 2.** On-surface evolution of (*E*)-1,3,4,6-tetraphenyl-3-hexene-1,5-diyne (**1a**) during a step annealing sequence. Large-scale topographic images at (a) 20 °C (RT) and (b–d) after annealing for 15 min each at 130, 160, and 300 °C, respectively. White scale bars are 10 nm. (e) Structures with observed rotational isomerism (top) corresponding to the topographic images (middle) of **1a** (left), singly cyclized **2** (middle), and doubly cyclized **3** (right). The corresponding temperature ranges along which the reactions occur are shown at the bottom. (f) Bar chart of statistical distributions for **1a**, **2**, **3**, and post-Hopf species at different annealing temperatures.

**Calculations.** To compare the gas phase and on-surface mechanisms, DFT calculations were carried out on the conversion of enediyne **1a** to naphthalene **2** and chrysene **3**, both in the gas phase and on a Au(111) slab model (Table 1, Figure 3).<sup>73</sup> In the gas phase, the intermediates in the Hopf cyclization calculations were analogous to those previously described by Prall et al. and our own work.<sup>7,12,13</sup> More specifically, the Hopf cyclization steps were verified to proceed via an initial  $6\pi$ -electrocyclization, followed by two consecutive 1,2-H shifts, where the first H-shift was the rate-determining step (Table 1, Figure 3). All gas phase structures were optimized at the B3LYP-D3/6-31G(d) level, with D3 dispersion correction applied to account for steric interactions, and single-point energy calculations were performed at the M06-2X/6-311+G-(d,p) level of theory, with B3LYP-D3/6-31G(d) frequency calculations to obtain the free energy values (see Table 1).<sup>73</sup>

For the on-surface calculations, all structures were computed at the PBE level of theory,<sup>73,74</sup> with D3 dispersion correction also applied, and with electronic states expanded using a TZV2P contracted Gaussian basis set for carbon and hydrogen and a DZVP basis set for gold. Transition states were assessed by performing, for each reaction, a sequence of constrained geometry optimizations that identified a minimum energy path (MEP). A reaction coordinate was defined and constrained to a value that was changed in small increments at each subsequent optimization. The highest energy structures in each

MEP were taken as approximations for the corresponding transition states for each of the reaction steps. The Au(111) surface was modeled with a periodic slab geometry constructed from a four-layer gold supercell with a top surface size of  $38.3 \times 32.4 \text{ \AA}^2$  (768 gold atoms). The on-surface reaction steps using this gold slab proceeded generally in parallel to those in the gas phase (Table 1, Figures 3, S5), namely, through the initial  $6\pi$ -electrocyclization followed by the two consecutive 1,2-H shifts. However, an essential difference was that most of the steps involved unforeseen bonding to a gold atom. The latter proved to be key to explaining the dramatic acceleration of the Hopf cyclization on Au(111).

We first confirmed the accuracy of our on-surface calculations by reproducing the adsorptional geometries of experimentally observed structures **1a**, **2**, and **3** (Figure 4). The optimized geometry of enediyne **1a** on Au(111) has its two phenyl groups (i) and (ii) nearly coplanar with the gold surface, while phenyl groups (iii) and (iv) are tilted out-of-plane due to steric interactions with the rest of the molecule (Figure 4a). Thus, the conformation of **1a** is the same as that in Figure 2e, left. A simulated AFM image using this ground-state geometry is compared to the experimentally observed nc-AFM image of **1a** (Figure 4b, left), showing good consistency between the two. A small difference is that this experimental image does not simultaneously show the flat-lying (*E*)-1,6-diphenyl-3-hexene-1,5-diyne moiety and its tilted 2,3-diphenyl substituents ((iii)



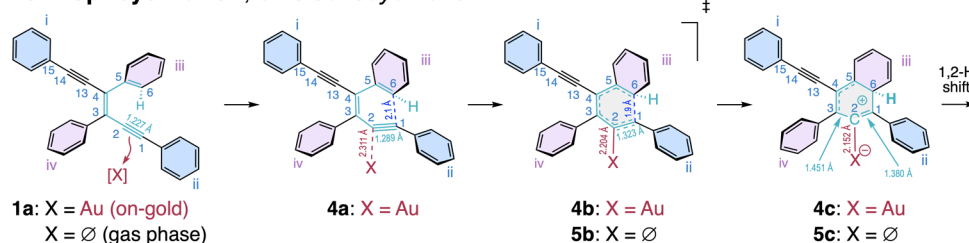
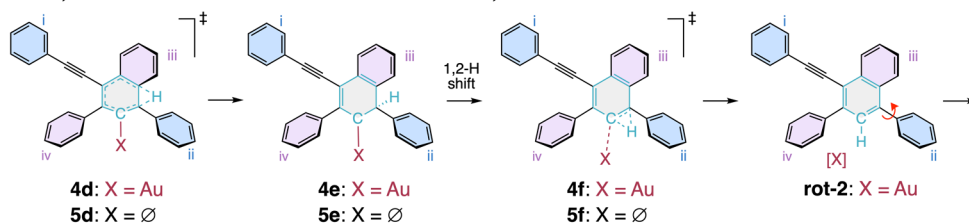
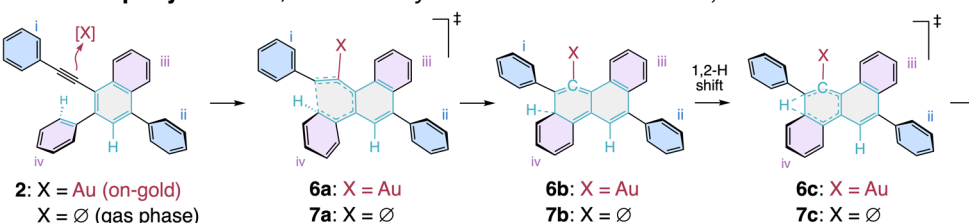
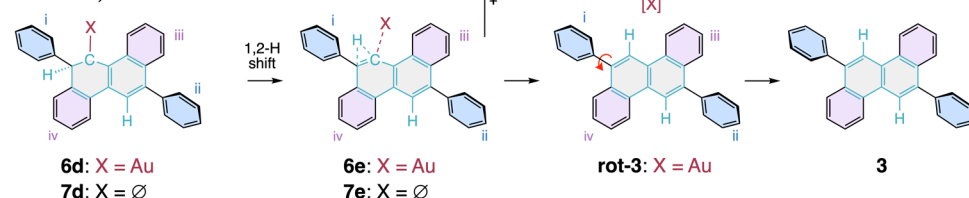
**First Hopf cyclization,  $6\pi$  electrocyclization:****First 1,2-H shift:****Second 1,2-H shift:****Second Hopf cyclization,  $6\pi$  electrocyclization:****First 1,2-H shift:****Second 1,2-H shift:**

Figure 3. Mechanism of the Hopf cyclization of 1a to afford naphthalene 2 and chrysene 3, illustrated for a gold slab model and in the gas phase.

Table 1. Calculated Relative Free Energies of the Intermediates in the Hopf Cyclization of Enediyne 1a to Naphthalene 2 and Chrysene 3 on a Au(111) Slab Model and in the Gas Phase

compound		relative free energy <sup>a,b</sup>			
Au(111)	gas phase	Au(111)		gas phase	
		rel. to 1a	rel. to 2	rel. to 1a	rel. to 2
1a		0.0		0.0	
4b	5b	28.6		52.3	
4c	5c	23.1		45.3	
4d	5d	32.3 <sup>c</sup>		59.7 <sup>c</sup>	
4e	5e	9.5		29.6	
4f	5f	23.1		39.3	
2		−54.7	0.0	−50.7	0.0
6a	7a	−20.8	33.9	5.3	56.0
6b	7b	−23.1	31.6	1.6	52.3
6c	7c	−15.0 <sup>c</sup>	39.7	9.5 <sup>c</sup>	60.2 <sup>c</sup>
6d	7d	−37.4	17.3	−20.8	29.9
6e	7e	−23.1	31.6	−14.9	35.8
3		−100.6	−45.9	−95.0	−44.3

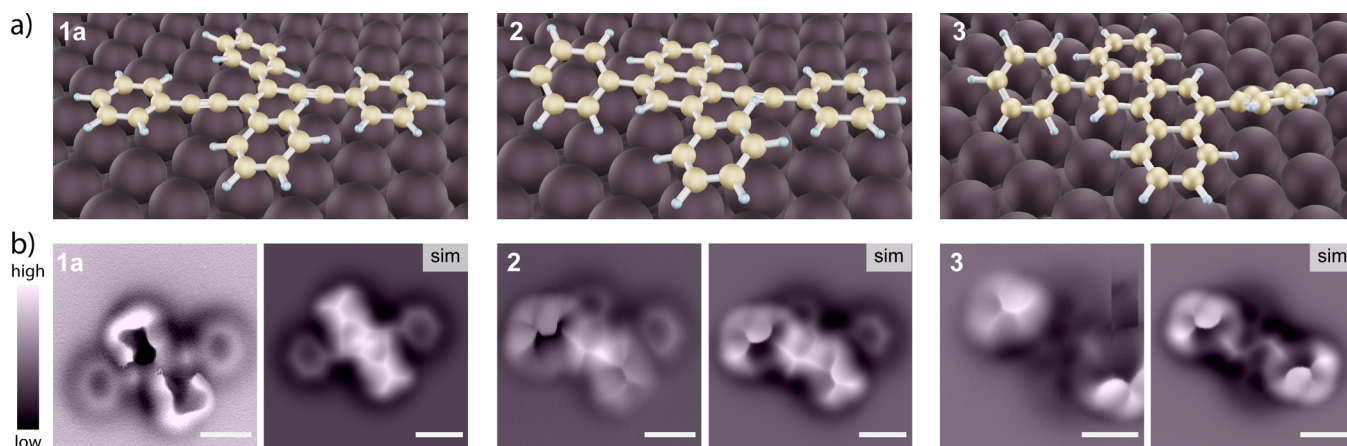
<sup>a</sup>Free energy values are in kcal/mol. <sup>b</sup>Values shown in bold italic are the transition states. <sup>c</sup>Rate-determining barrier.

and (iv) in Figure 2e). However, a series of nc-AFM images using a range of tip-molecule distances confirms all the features in the simulated images of the molecule (Figure S4). Similarly, naphthalene 2 and chrysene 3 are also well replicated by our on-surface optimizations, confirmed by the uniformity between simulated and experimental nc-AFM images (Figure 4b, middle and right).

**On-Surface Reaction Mechanism and Involvement of a Gold Atom.** Calculated geometries, relative free energies, and a comparison of the progression of the Hopf cyclization along the reaction coordinate in the gas phase and on-surface are provided in Table 1 and Figures 3 and S5. Geometries, bond lengths, and angles of selected intermediates obtained in MEP calculations for the first  $6\pi$ -electrocyclization step are shown in Figure S6A. The calculations reveal an unexpected turn in the reaction mechanism, one involving the participation of a gold atom from the Au(111) surface in the ring-forming and 1,2-H shift reaction steps (Figures 3 and S6A). This participation plays a crucial role in lowering the reaction barrier, switching from the anticipated high-barrier  $6\pi$ -electrocyclization mechanism to the much lower-barrier Au-catalyzed cyclization.

To understand how the potential catalytic effect of the gold surface could take place, we first calculated how the structure of enediyne 1a changes during the  $6\pi$ -electrocyclization step, as the





**Figure 4.** (a) DFT optimized ground-state geometries for **1a**, **2**, and **3** on a Au(111) slab model. (b) In the same order, experimentally observed nc-AFM images (left) and corresponding simulated AFM images (right). White scale bars are 0.5 nm.

distance between carbon atoms  $C^1$  and  $C^6$  is progressively restricted to shorter values (Figure 5 and S6A). The energy for each stepwise  $C^1\cdots C^6$  distance constriction to intermediate **4c** is shown in purple circles in Figure 5a. Gold-catalysis begins upon constriction of the  $C^1\cdots C^6$  distance in the MEP calculations, upon which carbon  $C^2$  of one of the  $C\equiv C$  bonds in enediyne **1a** starts interacting with the gold atom  $Au^{138}$ . Upon further constriction of the  $C^1\cdots C^6$  distance from 2.2 to 2.1 Å, a sudden contraction of the  $Au^{138}\cdots C^2$  distance occurs, changing from 3.145 to 2.311 Å. At this stage, a formal gold–carbon bond has essentially formed, represented by intermediate structure **4a** (Figures 3, 5a, and S6A). However, this intermediate structure is not yet a transition state but can be viewed as a transient gold-alkyne complex whose  $C^1\equiv C^2$  alkyne moiety has reached substantial bonding through bending ( $\angle C^1-C^2-C^3 = 133.0^\circ$ ,  $\angle C^3-C^2-C^{20} = 142.7^\circ$ ). For reference, several crystal structures of gold(I)  $\eta^2$ -complexes with open-chain or cyclic alkynes have been reported.<sup>75</sup> The  $C\equiv C$  bond lengths in these complexes range from 1.220 to 1.233 Å,<sup>76</sup> and alkyne bending angles range from 155.0 to 168.1°.<sup>77</sup>

Further constriction of the  $C^1\cdots C^6$  distance to 1.9 Å results in the highest energy intermediate in the  $6\pi$ -electrocyclization step, labeled **4b**, which we define as the transition state (Figures 3, 5a, and S6A). The  $C^1\cdots C^6$  distance in **4b** is slightly longer, by 0.1 Å, to that found (1.801 Å) for the analogous calculated transition state **5b** in the gas phase calculations (Figure 3). Additionally, the  $Au^{138}\cdots C^2$  distance has shortened further to 2.204 Å from that in **4a**. The barrier for this first  $6\pi$ -electrocyclization is 28.6 kcal/mol, which is nearly half (55%) of that calculated for the gas phase (52.3 kcal/mol, Table 1).

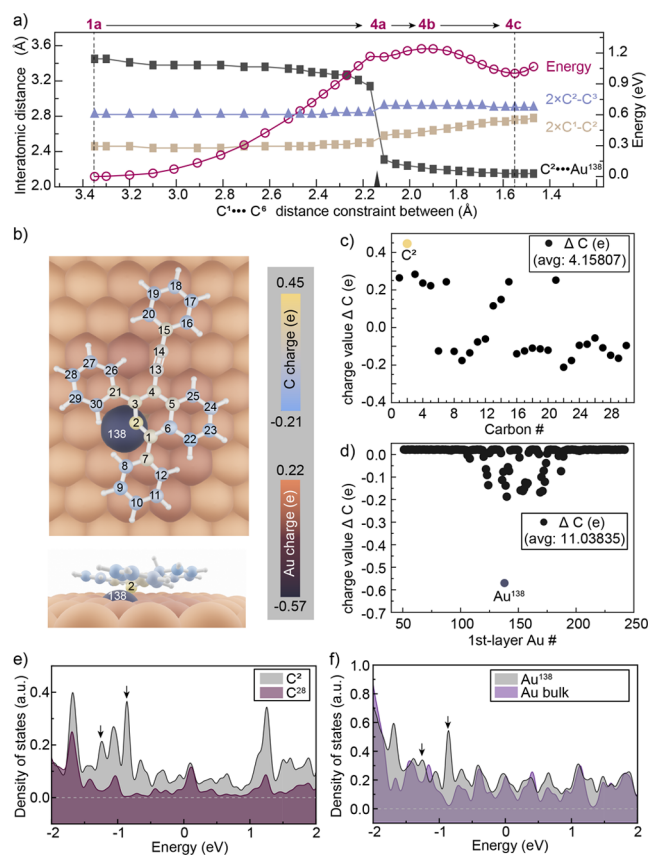
Final constriction of the  $C^1\cdots C^6$  distance arrives at the strained gold allene  $\eta^1$ -complex **4c**, which is a local minimum (Figures 3, 5a, and S6A). Interestingly, the  $C^1-C^2$  bond length, at 1.380 Å, is now close to that of a regular  $C=C$  double bond (1.34 Å), while the  $C^2-C^3$  bond length, at 1.451 Å, resembles that of a conjugated  $sp^2C-sp^2C$  single bond (1.47 Å).<sup>78</sup> In addition, the  $C^1-C^2$  bond is now strongly bent ( $\angle C^1-C^2-C^3 = 126.5^\circ$ ,  $\angle C^2-C^1-C^{20} = 133.1^\circ$ ). As the  $C^1\equiv C^2$  alkyne moiety of intermediate **4a** has been converted into a  $C=C$  bond in allene complex **4c**, the  $Au^{138}\cdots C^2$  distance has further reduced to 2.152 Å.

The calculated structure of **4c** is intriguing in that it resembles two known gold(I) allene  $\eta^1$ -complexes (**8** and **9**, Figure S7), which are very similar in geometry despite the +1 gold oxidation

state for these complexes. Stephan and co-workers have reported the synthesis and complexation of tetraphenyl carbodicyclopropenylidene, an all-carbon carbodidodicarbene, with an *N*-heterocyclic carbene (NHC) gold(I) species (**8**, Figure S7),<sup>79</sup> and Fürstner et al. have described the complexation of tetrakis(dimethylamino)allene with  $Ph_3PAuCl$  to give another stable carbodidodicarbene complex (**9**),<sup>80</sup> both characterized by X-ray diffraction. The two complexes have identical gold(I)–carbon distances at 2.071 Å, which are comparable to the length calculated for gold(0) complex **4c** (2.152 Å). The allene  $C=C$  bonds in Stephan's carbodidodicarbene complex are 1.372 and 1.362 Å, which compare well with that of the  $C^1=C^2$  bond in **4c** (1.380 Å). In addition, the  $C^2-C^3$  bond length (1.451 Å) is similar to the allene bond lengths in bis-NHC carbodidodicarbene **9** (1.407 and 1.424 Å); these bonds have single bond character due to the  $\pi$ -delocalizing effect of the adjacent dimethylamino groups, as shown in the polar resonance structure of **9** (Figure S7). Intriguingly, the lengths of the  $C^3-C^4$  and  $C^4-C^5$  bonds in intermediate **4c** are 1.426 and 1.432 Å, respectively (Figure 3). These bonds can therefore be considered a delocalized allylic system, as similar C–C bond lengths in the crystal structures of three different uncomplexed allylic cations range from 1.36 to 1.43 Å (Figure S8),<sup>81</sup> while the neutral allyl radical  $C_3H_5\cdot$  in the gas phase has a bond length of 1.428 Å.<sup>82</sup> With these considerations, we assign intermediate **4c** the delocalized zwitterionic structure shown in Figure 3, whose gold atom  $Au^{138}$  is partially negatively charged, while the balancing positive charge for this overall neutral species is delocalized between  $C^2$  and  $C^3-C^4-C^5$  in the form of an allylic cation.

Alabugin has analyzed a similar effect observed for the in-solution gold(I)-catalyzed Bergman cyclization of *cis*-enediynes, where gold promotes crossover from the classical diradical mechanism into a zwitterionic one.<sup>83–86</sup> Upon coordination of a gold atom to one of the triple bonds of a *cis*-enediyne, the mechanism becomes exergonic, and the positive charge of the zwitterionic form of *p*-benzyne gets stabilized dramatically to afford an intermediate that is the global minimum on the potential energy surface. Correspondingly, our Hopf cyclization of **1a** on Au(111) is likely also electrophilic in nature, and we are currently exploring this exciting prospect for increasing the rate of Hopf cyclizations in solution.

A Hirshfeld charge population analysis, which yields chemically meaningful charges,<sup>87</sup> was performed on gold allene complex **4c** to support this assignment (Figure 5b–d). The



**Figure 5.** MEP calculation of the first  $6\pi$ -electrocyclization steps and Hirshfeld charge analysis. (a) Changes in the bond length (Å) between  $C^2$ – $Au^{138}$  (dark gray squares),  $C^1$ – $C^2$  (golden brown squares),  $C^2$ – $C^3$  (mauve triangles), and free energies (eV) at each step of the MEP calculation starting from enediyne **1a**, forming gold-allene complex **4c** via the transition state **4b**. Bond lengths for  $C^1$ – $C^2$  and  $C^2$ – $C^3$  are plotted twice their value for clarity. (b) Top view of the geometry of cyclization intermediate **4c** calculated at the PBE level of theory on a gold slab (top); side view with  $Au^{138}$  detaching from the surface of the gold slab (bottom). The color-coded spatially resolved charge values for carbons  $C^1$ – $C^{30}$  and Au atoms obtained from a Hirshfeld charge population analysis are also shown. (c, d) Charge deviation from the average population (avg) for carbon and first-layer Au atoms. The avg is 4.01773 electrons for carbon and 11.03194 for first-layer gold. (e) Calculated partial density of states (PDOS) for carbon atom  $C^2$  (gray) and all others (maroon); arrows indicate new states for carbon atom  $C^2$ . (f) Calculated PDOS for gold surface atom  $Au^{138}$  (gray) and bulk gold atoms (mauve); arrows imply new states for the gold surface atom  $Au^{138}$  that has formed a new bond to  $C^2$ .

charge values for the carbon and gold atoms of **4c** are plotted as spatially resolved charge deviations from the average value (Figure 5b–d). Carbon atom  $C^2$  has the most charge deviation (positive) from the average value (Figure 5c) and is the one formally bonded to the gold surface. Correspondingly, the gold atom  $Au^{138}$ , adjacent to  $C^2$ , has an opposite, negative charge (Figure 5d), reinforcing the case for the formation of a polar bond between these two atoms. Furthermore, the projected density of states (PDOSs) of individual atoms, shown in Figure 5e,f, adds further support for the formation of a polar Au–C bond between  $C^2$  and  $Au^{138}$ . This is revealed by the emergence of new electronic states marked by black arrows. Overall our analysis strongly supports the formation of a Au–C bond, which is essential in lowering the barrier of the on-surface Hopf cyclization.

The next step of the on-surface reaction, which is rate-determining for the overall enediyne-to-naphthalene transformation (**1a**–**2**), is the first of two 1,2-H migrations, affording the gold-complexed carbene intermediate **4e** (Figures 3 and S6B). The barrier (32.3 kcal/mol) for this step is transition state **4d**, whose structure parallels that of the gas phase, but for which  $C^2$  remains bound to  $Au^{138}$ . Again, bonding to gold appears to contribute significantly to halving (54%) the overall reaction barrier from 59.7 kcal/mol in the gas phase down to 32.3 kcal/mol. The involvement of  $Au^{138}$  in the transition state for the first 1,2-H shift **4d**, its carbene product **4e**, and the transition state for the second 1,2-H shift **4f** is clearly visible in Figure S6B. Gold stays bound to  $C^2$  in all intermediates **4d**–**f**, with the  $Au^{138}$ – $C^2$  bond length varying between 2.07 and 2.17 Å. Hirshfeld charge population analysis of the transition state structures **4d** and **4f** again supports the electrophilic nature of the overall rearrangement, with  $C^2$  being most positively charged, and  $Au^{138}$  negatively charged (Figure S11).

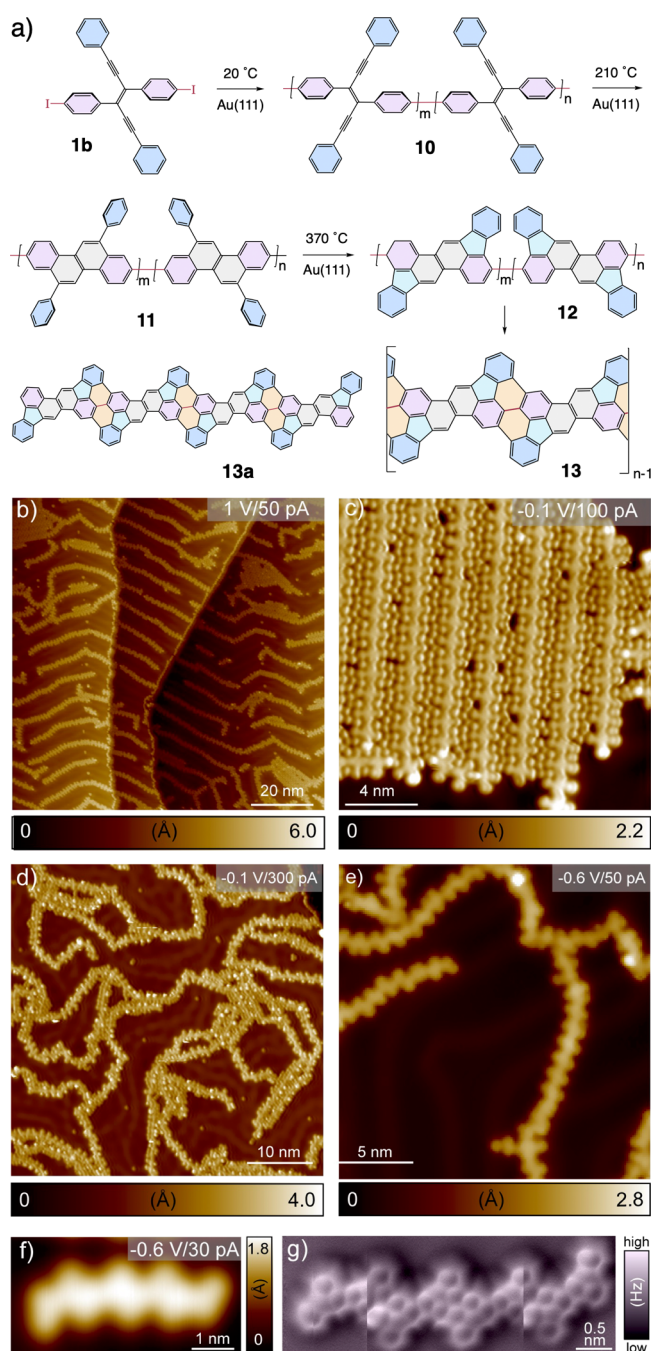
The final steps of the Hopf cyclization on the gold slab model proceed through a second, gold-bound 1,2-H shift, giving initially rotamer **rot-2** (–48.9 kcal/mol in Figure 3, value not shown in Table 1). Then, the naphthalene-bound phenyl ring **ii** rotates to give the final product **2** (–50.7 kcal/mol), which is 1.8 kcal/mol lower in energy.

For the second Hopf cyclization sequence (Table 1, Figure 3), gold-bound intermediates **6a**–**e** have geometries similar to those of the species calculated in the first cyclization sequence. This sequence provides a second rate-determining barrier for this reaction, embodied by transition state **6c**. The reaction barrier, at –15.0 kcal/mol (+39.7 kcal/mol relative to **2**), is 7.4 kcal/mol higher than the barrier for the first cyclization sequence (+32.3 kcal/mol). We attribute this increase in the reaction barrier to the presence of the additional naphthyl ring obtained during the first Hopf cyclization, whose aromaticity needs to be broken during the  $6\pi$ -electrocyclization and first 1,2-H shift steps.

Interestingly, during our heating experiments with enediyne **1a** on Au(111), we observed an inconsequential number of pentaculvene molecules **2'** by STM, out of hundreds of molecules of naphthalene derivative **2** (Figure S9). This observation shows that the alternate Schreiner–Pascal cyclization pathway between  $C^2$  and  $C^6$  can also occur (Figure 1c),<sup>66,67</sup> but with a much lower probability, as underscored by the relative calculated reaction barriers for both pathways pictured in Figure S9.

**Synthesis of Novel Graphene Nanoribbons.** With the promising results obtained on enediyne **1a**, we wanted to demonstrate that the Hopf cyclization can be advantageously exploited to synthesize isolated graphene nanoribbons on a Au(111) surface at moderate temperatures, allowing characterization of the products by tip imaging techniques, as well as providing validation for our bulk GNR synthesis method which exploits the Hopf cyclization.<sup>12,13,19</sup> A straightforward way to investigate this path was to bis-halogenate structure **1a** with either bromine or iodine substituents and then polymerize the resulting monomers into 1D chains through Ullmann-like coupling on Au(111). We wanted a system that would undergo 1D-polymerization prior to the Hopf cyclization sequence. Thus, iodination, exemplified by diiodo enediyne **1b**, was adopted owing to the facile Ullmann-like coupling propensity of C–I bonds on Au(111), which can occur as low as ambient temperature.<sup>88</sup> The set of reactions starting from diiodo enediyne **1b** is shown in Figure 6a. We deposited diiodo





**Figure 6.** (a) Reaction scheme for the multifold Ullmann-like coupling of (*E*)-3,4-bis(4-iodophenyl)-1,6-diphenyl-3-hexene-1,5-diyne (**1b**) on a Au(111) surface at 20 °C, affording polymer **10**, followed by a cascade of Hopf cyclizations (**11**) and further graphitization to graphene nanoribbons **12**, and then **13**. (b, c) Overview STM topographic images of the polymer strands (**10**) obtained after depositing diiodo enediyne **1b** on a Au(111) surface at 20 °C. Both cisoid and transoid arrangements between individual enediyne units within **10** can be clearly seen in (c). (d, e) Overview STM topographic images after annealing **10** for 20 min at 210 and 370 °C, respectively, affording first **11** then **12** and **13**. (f, g) STM topography (f) and nc-AFM (g) images of nanoribbon oligomer **13a**, represented by its matching chemical structure in (a).

enediyne **1b** on a Au(111) surface under the same conditions as those for enediyne **1a**. However, unlike the isolated molecules of enediyne **1a**, we did not see any free molecules of diiodo enediyne **1b**; instead, they were already coupled into the 1D-

polymeric chains **10** at 20 °C (Figure 6b,c). Isolated iodine atoms can be seen moving freely on the Au(111) surface, with a strong preference for locating between aggregates of the polymeric enediyne chains of **10**. The formation of cisoid and transoid connections between individual enediyne units is also clearly visible in Figure 6c and over a larger area STM image in Figure S12a. Following the same heating protocol carried out on enediyne **1a**, we obtained chains of poly(chrysene) **11**, clearly showing the chrysene units in a periodically repeating pattern, albeit with many kinks that we attribute to ring fusions deriving from the penultimate enediyne units of **10** in a cisoid relationship (Figure 6d). After annealing at 370 °C, most of the chains are converted into 5-membered-annulated species (**12**) and further annealed aromatic ribbons with structure **13**. While the structure of **13** is quite complex due to its cisoid/transoid distribution of monomeric units (Figures 6e and S12d), many sections have longer runs of transoid regioisomers that can be clearly seen, for example on the right side of Figure 6e. Figure 6f gives an example of an isolated oligomeric nanoribbon obtained at 370 °C, whose nc-AFM image (Figure 6g) is in good agreement with the structural features of **13a** displayed in Figure 6a. Because the all-transoid form of graphene nanoribbon **13** has unusual 5-membered ring fusions, we have calculated its band structure as well as the projected density of states (Figure S10). The band structure of **13** indicates that it has a bandgap of ~3 eV (left panel), making it a wide-bandgap semiconductor.

## CONCLUSION

In summary, we have shown that the reaction barrier of the Hopf cyclization can be significantly lowered on a Au(111) surface for two model systems, (*E*)-1,3,4,6-tetraphenyl-3-hexene-1,5-diyne (**1a**) and (*E*)-3,4-bis(4-iodophenyl)-1,6-diphenyl-3-hexene-1,5-diyne (**1b**). We have used scanning tunneling microscopy (STM) and noncontact atomic force microscopy (nc-AFM) to monitor the two sequential Hopf cyclizations of enediynes **1a**, **b** on Au(111). The experimental results and DFT calculations show that the reaction barrier is effectively halved through the involvement of a gold atom on the Au(111) surface. These results show that, in principle, a large diversity of graphene nanoribbons may be obtainable through a combination of Ullmann-like and Hopf cyclization reactions on Au(111). The involvement of gold catalysis in the reaction mechanism opens a new avenue for creating novel nanographene structures, both in solution and on Au(111), which we are currently pursuing.

## ASSOCIATED CONTENT

### Data Availability Statement

Data related to the simulations will be available on the Materials Cloud repository at DOI: 10.24435/materialscloud:62-ew.

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.3c10144>.

Experimental and computational methods, supporting STM and nc-AFM data, calculations, detailed synthesis description of chemical compounds reported in this study, and crystallographic data for enediynes **1a** and **1b**<sup>89</sup> (PDF)

### Accession Codes

CCDC 2295204–2295205 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via [www.ccdc.cam.ac.uk/data\\_request/cif](http://www.ccdc.cam.ac.uk/data_request/cif), or by emailing [data\\_request@ccdc.cam.ac.uk](mailto:data_request@ccdc.cam.ac.uk), or by contacting The Cam-



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### Notes

The authors declare no competing financial interest.

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