1	Feasibility Study on Atrial Fibrillation
2	Ablation with Pulsed Field Ablation and
3	concomitant Occlusion of the Left Atrial
4	Appendage
5	Short title: PFA plus LAAO
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source: https://doi.org/10.48350/198102 | downloaded: 18.9.2024

1 What's new

- Simultaneous left atrial appendage occlusion and atrial fibrillation ablation utilizing
 pulsed field ablation is feasible and safe
- In patients with suitable left atrial appendage anatomy, the combined procedure can
- 5 be executed within a short overall procedure duration
- Left atrial appendage occlusion adds <20 minutes to the overall procedure duration
- 7

1 Abstract

2 Background and Aims

Atrial fibrillation (AF) ablation and left atrial appendage occlusion (LAAO) are increasingly
performed as individual procedures. Pulsed field ablation (PFA) has significantly reduced
procedure duration and may be advantageous for the combined approach.

6 Methods

We have launched a program for simultaneous AF ablation using PFA and LAAO for patients
qualifying for both treatments and excluding those with complex anatomy. We compare
procedure duration and fluoroscopy time against individual procedures (either AF ablation or

10 LAAO alone), all performed by the same operators and using consistent technologies.

11 Results

We performed the combined procedure in 10 patients (50% male; median age 70 years) and 12 13 excluded two patients (17%) because of complex LAA anatomy. No death, stroke or major bleeding, including pericardial effusion, occurred. For single procedure comparison, 207 AF 14 15 ablation procedures and 61 LAAO procedures were available. Total median procedure duration was 79 minutes (range 60; 125) for the combined procedure, 71 minutes (25; 241) 16 17 for individual AF ablation (51 minutes without and 78 minutes with 3D electroanatomic mapping) and 47 minutes (15: 162) for individual LAAO. Respective fluoroscopy times were 18 19 21 (15; 26), 15 (5; 44) and 10 (3; 50) minutes. For the combined procedure, femoral vein 20 access to last PFA application lasted 49 minutes (34; 93) and LAAO added 20 minutes (15; 21 37).

22 Conclusion

23 Simultaneous PFA-based AF ablation and LAAO in carefully selected patients is feasible,

safe, and can be executed within a short overall procedure duration.

1 Keywords

- 2 Atrial fibrillation; Catheter ablation; Left atrial appendage occlusion; Pulmonary vein isolation;
- 3 Pulsed field ablation
- 4

1 Abbreviations

- 2 AF Atrial fibrillation
- 3 CCTA Cardiac computed tomography angiography
- 4 LAA Left atrial appendage
- 5 LAAO Left atrial appendage occlusion
- 6 PFA Pulsed field ablation
- 7 PVI Pulmonary vein isolation
- 8 PWA Posterior wall ablation
- 9 TOE Transoesophageal echocardiography
- 10

1 Introduction

2 Catheter ablation is the preferred treatment for patients experiencing symptomatic atrial 3 fibrillation (AF). Pulsed Field Ablation (PFA), a novel ablation technology, demonstrates 4 enhanced efficacy and safety compared to traditional, purely thermal ablation methods. (1, 2) 5 In the absence of conclusive data, patients at high thromboembolic risk typically continue 6 oral anticoagulation therapy even after successful AF ablation. Left atrial appendage 7 occlusion (LAAO) offers an alternative to oral anticoagulation for AF patients, especially 8 those with a history of bleeding, or those who are unwilling or unable to maintain oral 9 anticoagulation treatment.(3) With detailed, pre-procedural imaging of the left atrial 10 appendage (LAA) and peri-interventional guiding by transesophageal echocardiography 11 (TOE), LAAO has evolved into a procedure that is both safe and efficient. (4-6) While pulmonary vein isolation (PVI) and LAAO are both conducted in the left atrium and share the 12 same access route, their combination is not conventionally practiced. However, technological 13 advancements that enhance the safety and efficiency of PVI through PFA and reduce 14 procedure duration, along with improvements in the design and implementation process of 15 LAA occluders, make the concurrent application of these procedures more attractive. 16 17 Furthermore, combining both interventions into a single procedure, rather than staging them 18 in two separate procedures, provides an opportunity to reduce overall healthcare costs. In this report, we detail our initial experience with the simultaneous performance of PVI using 19 PFA and LAAO in patients with favorable LAA anatomy. 20

1 Methods

2 Since August 2021, we have initiated a program of AF ablation using PFA and concomitant 3 LAAO for patients who are candidates for both treatments and have favorable LAA 4 anatomies. For this combined procedure, we exclusively utilized the Watchman FLX occluder 5 (Boston Scientific, MA, US) for LAAO. Patients presenting with complex LAA anatomy, as 6 determined by intraprocedural imaging or preprocedural cardiac computed tomography 7 angiography (CCTA), were excluded from our study. This report details the outcomes of the 8 initial patients who underwent the combined procedure of AF ablation and LAAO until the 9 end of 2023, along with the characteristics of those who were excluded. The combined safety endpoint included death, cerebrovascular event, systemic embolism, major bleeding (BARC 10 11 3-5), clinically relevant pericardial effusion, device embolization, or acute kidney injury occurring within 7 days after LAAO. All individuals receiving AF ablation or LAAO at our 12 institution are prospectively included in dedicated AF ablation and LAAO registries. 13 Participation in the registries requires the provision of written informed consent from each 14 patient. Both registries have received approval from the local ethics committee and are 15 16 conducted in accordance with the declaration of Helsinki. To compare procedural 17 characteristics, such as procedure duration, fluoroscopy time, and radiation dose, between 18 combined procedures (AF ablation and LAAO) and single procedures (either AF ablation alone or LAAO alone), we aggregated and analyzed data from the two registries including 19 20 procedures performed during the same time period by the same team of operators. For AF 21 ablation, we only included procedures conducted using PFA. For LAAO, only those 22 employing the Watchman FLX LAA occluder were considered.

23 Concomitant AF ablation and LAAO procedures

Patients scheduled for concomitant PVI and LAAO had a CCTA scan prior to the procedure
to rule out left atrial thrombus and to assess LAA anatomy. The combined procedure was
performed in general anesthesia. Venous access was obtained with ultrasound guidance.
Transseptal puncture with a Brockenbrough needle was aimed at an inferior, posterior site,

1 guided by transesophageal echocardiography (TOE) imaging, either directly using the 2 Faradrive sheath (Boston Scientific, USA) or a standard transseptal sheath that was then 3 exchanged over the wire for the Faradrive sheath. (10) Heparin was administered after 4 obtaining left atrial access to achieve an activated clotting time target of >350 seconds. A 3D 5 electroanatomical mapping system was used in the first three cases and in both of the redo cases. For PVI or posterior wall ablation (PWA) the Farawave catheter and the Farastar 6 7 generator (Boston Scientific, USA) were used, as described elsewhere. (11, 12) For PVI, a 8 minimum of 4 applications at 2 kV were delivered in both the basket and flower configuration 9 to each of the pulmonary veins (Figure 1A and 1B). For PWA, four anchor lesions to each vein were delivered with the catheter in flower configuration, wired pulmonary vein and with 10 11 posterior torque to the sheath and catheter. Additional PFA applications in flower configuration and with retracted wire were applied to cover the entire posterior wall.(12) 12 13 Successful, acute PVI and PWA was verified by 3D-electroanatomical mapping or, for PVI, by using the Farawave catheter in a basket configuration in all PVs for assessment of 14 15 entrance and exit block.(11) Once ablation was completed, the Farawave sheath was exchanged over a stiff 0.035" wire 16 for the Watchman delivery sheath (Boston Scientific, USA). Left atrial appendage (LAA) 17 18 angiography, coupled with precise measurements of the LAA via transesophageal 19 echocardiography (TOE), was employed to accurately size the Watchman FLX device (Boston Scientific, USA). Subsequently, left atrial appendage occlusion (LAAO) was 20 21 executed in adherence to the prescribed instructions for use and in alignment with the latest quidelines (Figure 1C). 22

23 Follow-up

All patients were followed after 3, 6, and 12 months post-ablation to screen for atrial arrhythmias, usually with a 7-day Holter ECG, supplemented by a 12-lead ECG in the event of symptomatic episodes. Recurrence of atrial arrhythmia was defined as episodes of atrial fibrillation, flutter, or tachycardia lasting longer than 30 seconds. To assess the positioning of

- 1 the left LAAO, detect peri-device leaks, and identify any device-related thrombi, all patients
- 2 received a TOE and/or a CCTA 45 days following the procedure.

3 Statistical analysis

- 4 Continuous variables, when not normally distributed, are reported as medians with their
- 5 respective ranges. Categorical variables are expressed in terms of frequencies and
- 6 percentages.
- 7

8 Results

9 Patient characteristics

- Between August 2021 and December 2023, we successfully performed concomitant AF 10 ablation using PFA and LAAO in 10 patients. Details on patient demographics are presented 11 in Table 1. Among these, eight patients were first AF ablation procedures and the remaining 12 two cases were redo procedures. The indications for LAAO included a history of bleeding in 13 seven patients (70%), personal preference in two (20%), and intolerance to oral 14 anticoagulants in one (10%). Two candidates were not considered for the combined 15 treatment due to complex LAA anatomies, as depicted in Figure 2. One patient, with a history 16 17 of gastrointestinal bleeding, underwent LAAO three months later, employing two 16 mm Amplatzer Occluders to seal a bi-lobulated LAA configuration. The second patient opted out 18 19 of the initially scheduled LAAO procedure, based on personal preference. **Procedural characteristics** 20 21 In the 8 patients with first AF ablation, only PVI was performed. In the 2 redo procedures, 22 reconnected veins were re-isolated and the posterior wall was targeted for ablation. A 3D 23 electroanatomic mapping system was used in both redo cases and in three first AF ablation 24 procedures. The median size of the implanted Watchman FLX device was 24 mm (range 20
- 25 mm to 27 mm). For single procedure comparison, we aggregated 207 AF ablation
- 26 procedures conducted using PFA and 61 LAAO procedures using the Watchman FLX LAA

1 occluder. Among the 207 AF ablation procedures, 137 patients (66%) had paroxysmal AF 2 and 139 (67%) were first AF ablation procedures. A 3D electroanatomical mapping system 3 was employed in 152 of the AF ablation cases (73%). Among the LAAO procedures, median 4 size of the Watchman FLX LAA occluder was 24 mm (range 20 mm; 35 mm). Procedural characteristics of the combined and single procedures are shown in Table 2 and Figure 3. 5 Median procedure time was 79 minutes (range 60: 125 minutes) for the combined procedure. 6 7 compared to 71 minutes for individual PFA-based AF ablation (25: 241 minutes) and 47 8 minutes for LAAO (15; 162 minutes). Individual PFA-based AF ablation was 51 minutes without and 78 minutes with 3D electroanatomic mapping during the procedure. Overall, 9 LAAO added 20 minutes (15: 37 minutes) to overall procedure length in the combined 10 11 procedure. Fluoroscopy time of the combined procedure increased by a median of 6 and 11 minutes compared to individual PFA-based AF ablation and LAAO, respectively (Table 2 and 12 13 Figure 3).

14 Procedural safety

No safety endpoint occurred. One patient experienced minor hemoptysis the day following
the procedure, which resolved without intervention. No further adverse events were reported.

17 Follow-Up

Post-procedure, oral anticoagulation therapy was maintained for three months across all 18 19 patients, after which it was discontinued. Thereafter, either acetylsalicylic acid or clopidogrel 20 was prescribed for 12 months in five patients (50%), and indefinite in the remaining five patients (50%) due to coronary artery disease. TOE and/or CCTA 45 days after the 21 22 procedure was performed in all patients (8 patients had both TOE and CCTA, one patient only TOE and one only CCTA) and showed no device-related thrombus and correct position 23 24 of the LAAO. Five patients showed in CCTA LAA patency without intra- or peridevice leaks, 25 whereas two patients showed intra-device leaks, one a mixed intra-/peridevice leak and one showed neither a leak nor patency. After a mean follow-up of 9 months (range 3 - 12 26 27 months), 3 patients (30%) had arrhythmia recurrence.

1

2 Discussion

3 Our initial experience in 10 patients indicates that concomitant PFA-based AF ablation and 4 LAAO can be conducted safely and with high procedural efficacy. The median procedure 5 duration was only 79 minutes, and the added LAAO increased the procedure duration by 6 only 20 minutes beyond the last PFA application. Conversely, at our center, the duration of 7 PFA-based AF ablation with and without the use of 3D electroanatomical mapping was 78 8 and 51 minutes, respectively, while the time for LAAO performed independently was 47 minutes. Similarly, the fluoroscopy time for the combined procedure exhibited a modest 9 10 increase to 21 minutes, extending only by 6 minutes compared to PFA-based AF ablation alone, and by 11 minutes when compared to LAAO performed singly. 11 12 In comparison, the largest published multi-center, prospective registry, which includes data 13 on combined LAAO and AF ablation procedures from the pre-PFA era, reported a mean procedural time of 177 minutes and a mean fluoroscopy time of 31 minutes. Radiofrequency 14 15 ablation was employed in the majority of these cases. (14) Another study, which utilized cryoablation for AF ablation, reported a mean procedure time of 148 minutes, with 16 17 cryoablation accounting for an average of 107 minutes and LAAO requiring 40 minutes. (15) 18 PFA-based AF ablation is recognized for its shorter procedure duration compared to AF ablation involving thermal energies.(16) Indeed, in the hands of experienced operators, the 19 20 mean procedure duration of PFA-based AF ablation is typically less than one hour.(17) 21 Additionally, the duration of left atrial appendage occlusion (LAAO) can be further minimized 22 through the pre-selection of patients with anatomies suitable for the procedure. This strategic 23 combination has enabled the further reduction of procedure duration relative to those 24 reported in published studies. Importantly, this also contributes to decreased left atrial dwell 25 time, which is relevant, as prolonged left atrial dwell times are linked to increased procedural 26 risks.(18) A pre-procedural CCTA scan is not mandatory, as the procedure can be effectively 27 performed using intra-procedural TEE alone. However, the advantage of a pre-procedural

CCTA scan lies in its ability to clarify the LAA anatomy, which can aid in procedural planning.
 For instance, in cases of complex anatomy, it may be preferable to conduct two separate
 procedures instead of a concomitant one.

Radiofrequency ablation can result in considerable edema formation, which increases the
thickness of the left atrial ridge following the isolation of the left superior pulmonary vein. (19)
In contrast, PFA is associated with less edema formation. (20) This reduced edema formation
is another advantage of PFA over thermal energies as it has the potential to improve LAAO
outcomes by facilitating more accurate device sizing and placement, thereby enhancing the
overall safety and efficacy of the combined procedure.

Strategically, our approach for the combined procedure begins with AF ablation and 10 11 concludes with LAAO for two primary reasons. Firstly, this sequence minimizes the risk of occluder displacement during the manipulation of the left pulmonary veins. Secondly, it 12 13 avoids the potential for PFA energy short-circuiting during the ablation of the left superior pulmonary vein. This concern is particularly relevant with the Amplatzer Amulet LAA 14 15 occluder, because its disc that protrudes out of the LAA may come into contact with the electrodes on the splines of the PFA catheter during isolation of the left superior pulmonary 16 17 vein.(21)

Previous analyses from multicenter registries have reported low rates of adverse events 18 associated with the concurrent performance of AF ablation and LAAO. (22, 23) Similarly, the 19 20 long-term efficacy of the combined procedure, in terms of both AF-free survival and the 21 prevention of embolic events, has yielded favorable results.(24) To date, only few cases have been published of PFA-based AF ablation and concomitant LAAO.(25, 26) As the 22 23 number of PFA procedures continues to increase rapidly, it is likely that we will soon see 24 larger studies emerge that report on the concurrent use of PFA-based AF ablation and 25 LAAO. These studies will enhance our understanding of the safety and efficacy of this 26 combined approach.

Two pivotal randomized controlled trials are currently underway, which may further pave the way for the combination of these procedures. Firstly, the OCEAN trial is designed to assess 1 the need for continued oral anticoagulation following successful AF ablation.(27) Secondly,

2 the OPTION trial aims to determine whether LAAO is noninferior to oral anticoagulation in

3 patients after pulmonary vein isolation.(28) These trials may significantly influence clinical

4 practice by providing evidence-based guidance on the management of patients post AF

5 ablation and boost the number of combined procedures in the future. In light of this, the

6 combination of PFA-based AF ablation with LAAO may come in very handy.

7 Limitations

8 As a retrospective analysis, this study is subject to the inherent limitations characteristic of its

9 design. Notably, our cohort of patients undergoing the combined procedure is small, and

10 larger studies are necessary to verify these preliminary findings.

11 Conclusions

Simultaneous PFA-based AF ablation and LAAO using the Watchman FLX device in patients with suitable LAA anatomy is feasible and safe, and can be performed within a short overall procedure duration. Adding LAAO to PFA-based AF ablation prolongs procedure time by less than 20 minutes.

16 Funding Statement/Financial dislosures

17 No funding was obtained for this study.

T. Reichlin: Research grants from the Swiss National Science Foundation, the Swiss Heart
Foundation, the sitem insel support fund, Biotronik, Boston Scientific and Medtronic, all for
work outside the submitted study. He has received speaker/consulting honoraria or travel
support from Abbott/SJM, Biosense-Webster, Biotronik, Boston Scientific and Medtronic. He
has received support for his institution's fellowship program from Abbott/SJM, BiosenseWebster, Biotronik, Boston-Scientific and Medtronic.

24 C. Gräni received funding from the Swiss National Science Foundation, InnoSuisse, Center

25 for Artificial Intelligence in Medicine University Bern, GAMBIT foundation, Novartis

- 1 Foundation for Medical-Biological Research, Swiss Heart Foundation, outside of the
- 2 submitted work. Dr. Gräni serves as Editor-in-Chief of The International Journal of
- 3 Cardiovascular Imaging, Springer.
- 4 T. Kueffer has received research grants from the Swiss Heart Foundation for work outside
- 5 the submitted study.
- 6 R. Galea has received speaker fees / honoraria from Boston Scientific.
- 7 L. Räber received research grants to the institution by Abbott, Boston Scientific, Heartflow,
- 8 Infraredx, Sanofi, Swiss National Science Foundation, and Regeneron and
- 9 speaker/consultation fees by Abbott, Amgen, Biotronik, Canon, Gentuitity, Novo Nordisc,
- 10 Medtronic, Occlutech, and Sanofi.
- 11 L. Roten has received research grants from Medtronic, the Swiss National Foundation, the
- 12 Swiss Heart Foundation, the Immanuel and Ilse Straub Foundation and the Sitem Insel
- 13 Support Fund, all for work outside the submitted study. He has received speaker fees /
- 14 honoraria from Biosense Webster, Boston Scientific, Abbott and Medtronic.
- 15 All remaining authors have declared no conflicts of interest.

16 Data Availability Statement

17 All relevant data are within the manuscript and its Supporting Information files.

18

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1 Figure legends

2 Figure 1

- 3 Over-the-wire, 31 mm multipolar pulsed field ablation catheter. A) Front view, side view, and
- 4 x-ray image with the catheter located in the LSPV ostium, all in the basket configuration. B)
- 5 Further deployment of the catheter results in the "flower" configuration. All electrodes are
- 6 active during ablation and rotation of the device between applications ensures complete
- 7 circumferential pulmonary vein isolation. C) View of the left atrial appendage occluder and x-
- 8 ray image of the occluder implanted in the left atrial appendage.

9 **Figure 2**

- 10 Left atrial appendage anatomy of excluded cases. A) Left atrial appendage with a chicken
- 11 wing configuration and insufficient depth for placement of a Watchman FLX LAAO. B) Left
- 12 atrial appendage with a bi-lobulated configuration. C) Closure of the bi-lobulated left atrial
- 13 appendage employing two 16 mm Amplatzer Occluders.

14 Figure 3

- 15 Bar graphs showing the respective procedure and fluoroscopy times for the combined and
- 16 single procedures.

1 Tables

- 2 Table 1. Baseline characteristics of patients undergoing concomitant atrial fibrillation ablation
- 3 and left atrial appendage occlusion.

Patient characteristics	N = 10
Age, years	70 (62; 76)
Male sex	5 (50%)
Hypertension	7 (70%)
Diabetes mellitus	1 (10%)
History of ischemic stroke or transient ischemic attack	
Coronary artery disease	5 (50%)
History of arterial embolism	1 (10%)
CHAD ₂ DS ₂ Vasc Score	2.5 (2; 4)
HAS-BLED Score	3 (1; 4)
Paroxysmal atrial fibrillation	4 (40%)
Persistent atrial fibrillation	6 (60%)
Left Ventricular Ejection Fraction, %	65 (40; 65)
Bleeding history	7 (70%)
Intracranial bleeding history	1 (10%)
Gastrointestinal bleeding history	3 (30%)

4

Shown are numbers with percentages in parentheses or median with range.

1 Table 2. Procedural characteristics of patients undergoing concomitant or single procedures.

Procedural characteristics	Combined	AF ablation only			LAAO only	
	procedures N = 10	N = 207		N = 61		
		All	With 3D-	Without 3D-		
× ×			EAM	EAM		
			N =152	N=55		
Total procedural time, min.	79 (60; 125)	71 (25; 241)	78 (37; 241)	51 (25; 189)	47 (15; 162)	
Vein access to transseptal puncture, min.	10 (2; 27)	-	-	-	-	
First to last PFA application, min.	24 (17; 43)	-	-	-	-	
Transseptal puncture to last PFA application, min.	38 (27; 79)	-	-	-	-	
Vein access to last PFA application, min.	49 (34; 93)	-	-	-	-	
Last PFA application to occluder insertion, min.	14 (10, 29)	-	-	-	-	
LAA occluder insertion to release, min.	5 (2; 17)	-	-	-	-	
Last PFA application to LAA occluder release,	20 (15;37)	-	-	-	-	
min.						
Fluoroscopy time, min	21 (15; 26)	15 (5; 44)	15 (5; 44)	15 (6; 30)	10 (3; 50)	

Radiation dose, cGy·cm ²	1539 (191; 10323)	431 (52;	445 (73;	367 (52;	2'476 (874;			
		46'527)	46'527)	10'323)	3'2721)			

- 1 Shown are numbers with percentages in parentheses or medians with ranges. 3D-EAM: 3 dimensional electroanatomical mapping; LAA: left atrial
- 2 appendage; LAAO: left atrial appendage occlusion; PFA: pulsed field ablation.
- 3







