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## Research Article

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# Modal analysis reveals imprint of snowflake shape on wake flow structures

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## Abstract

This study investigates the complex interplay of wake flow structures, particle shape, and falling behavior of snowflakes through advanced flow analysis. We employ Proper Orthogonal Decomposition and Dynamic Mode Decomposition to analyze the wake flow patterns of three distinct snowflake geometries at Reynolds number of 1500: a dendrite crystal, a columnar crystal, and a rosette-like particle. Proper Orthogonal Decomposition reveals that spatial resolution significantly impacts the capture of flow structures, particularly for particles with more intricate wake flow structure, corresponding to unstable falling motion. Dynamic Mode Decomposition demonstrates high sensitivity to temporal resolution, with data of the forces exerted on the snowflake incorporated in the matrix prior to the decomposition mitigating information loss at lower sampling rates. We establish a linear relationship between snowflake shape porosity and minimum and maximum Dynamic Mode Decomposition eigenfrequencies, absolute decay or growth rates, and wavenumbers of the most energetic mode, linking particle geometry to wake flow characteristics. Higher porosity corresponds to more stable, small-scale

flow structures and steady falling motion, while lower porosity promotes larger, unstable structures and falling trajectories with random particle orientations. These findings reveal the interdependence of snowflake geometry, wake flow configuration, and falling behavior and highlight the importance of considering both spatial and temporal resolutions when dealing with modal analysis. This research contributes to improved predictions of snowflake falling behavior, with potential applications in meteorology and climate science.

**Keywords:** Aerodynamics, Modal Analysis, Snowflake Falling Behavior, Wake Flow Features.

# 1 Introduction

In weather prediction, the orientation of snow crystals during free-fall plays a pivotal role (Geier and Arienti, 2014). Together with snowflake fall speed, it impacts snowfall and the subsequent distribution of snow on the ground (Aguirre et al., 2018; Bender et al., 2020; Li et al., 2021). In nature, snowflakes exhibit a wide range of geometries and sizes (Bailey and Hallett, 2009; Kikuchi et al., 2013). For large snow particles ( $D_{\max} \gtrsim 100 \mu\text{m}$ ), their particle Reynolds number ( $Re = u_t D_{\max} / \nu \gg 1$ , where  $D_{\max}$  represents the particle’s maximum extension orthogonal to the flow direction [m],  $u_t$  is the snowflake’s terminal velocity magnitude [m/s], and  $\nu$  is the kinematic viscosity of air [ $\text{m}^2/\text{s}$ ]) deviates from the Stokesian regime ( $Re \ll 1$ ) (Libbrecht, 2005; Westbrook, 2008). This, together with snowflake irregular shapes, gives rise to complex falling motion with intricate trajectories (Gunn and Marshall, 1957; Nemes et al., 2017; McCorquodale and Westbrook, 2020b).

Understanding the elaborate free-falling dynamics of snowflakes necessitates a comprehensive exploration of the interplay between wake flow, snowflake shape, and particle aerodynamics. Particle wake flow exerts a substantial influence on its drag and overall falling behavior (Adrian, 1991; Giles and Cummings, 1999; Auguste et al., 2013; Singh et al., 2023). Previous research has extensively studied the wake flow structures behind simple particle geometries such as spheres (Uhlmann and Dusek, 2014; Emadzadeh and Chiew, 2020), cylinders (Toupoint et al., 2019), disks (Field et al., 1997; Kim et al., 2018), planar polygons (Esteban et al., 2019), and polyhedra (Trunk et al., 2021; Gai and Wachs, 2024). Only a few studies have ventured into investigating the wake flow behind complex-shaped objects and the effect of shape features, such as shape porosity and sphericity, on drag and particle falling behavior (Nedić et al., 2015; Cummins et al., 2018; McCorquodale and Westbrook, 2020b). Our past work (Tagliavini, 2022) delved into the interplay between wake flow features, drag coefficient, and falling behavior of realistic snow particles using Delayed-Detached Eddy Simulations (DDES) on fixed snowflakes subjected to airflow. This approach, validated for the prediction of the particles’ drag coefficients and fall speeds (Tagliavini et al., 2021a) against experimental data of 3D-printed snowflake analogs falling in a vertical water tank (McCorquodale and Westbrook, 2020b), was further extended by Tagliavini et al. (2021b) through a comprehensive analysis of wake flow organization, momentum flux decomposition, and their relation with the drag acting on the snow particles. While the analysis highlighted

32 vortex dynamics in the wake flow of complex-shaped snow particles, the question regarding  
33 the dominant flow structures in the particle wake, as well as their impact on the particle  
34 falling behavior, remained open.

35 To address the challenges posed by intricate flow patterns and high-order dynamics, such  
36 as those present in the wake flow of complex-shaped particles, it has become established to  
37 simplify such flows using modal decomposition techniques (Cherubini et al., 2021; Huang  
38 et al., 2022; Yu and Durgesh, 2022). These data-driven methodologies, including Proper Or-  
39 thogonal Decomposition (POD) (Lumley, 1967) and Dynamic Mode Decomposition (DMD)  
40 (Schmid, 2010), are effective in capturing energetically and dynamically significant features  
41 of a given flow field, primarily velocity or vorticity fields (Menon and Mittal, 2020; Corso  
42 et al., 2021). These techniques yield spatial flow features, called *modes*, accompanied by  
43 characteristic values indicative of energy content, decay or growth rates, and frequencies (Tu  
44 et al., 2014). However, to the best of our knowledge, these techniques have not yet been  
45 applied to explore the wake flow of complex-shaped snow particles.

46 In the present study, we first aim to evaluate the impact of spatial and temporal filtering  
47 of flow data sets on the accuracy of POD and DMD results. To achieve this, the streamwise  
48 velocity  $u_x$  is taken into account due to its capability of capturing the primary flow dynam-  
49 ics, such as flow separation and coherent structures within the wake flow, which are critical  
50 for understanding drag and lift forces. Furthermore, the choice of one velocity component  
51 makes the calculation less computationally demanding. We perform Proper Orthogonal De-  
52 composition on two distinct numerical data sets: the streamwise velocity field in the wake  
53 from simulations of fixed, complex-shaped snow particles at  $Re = 1500$  (spatial resolution of  
54 approximately  $10^{-4}$  m), and the same wake velocity field at the same  $Re$  spatially resampled  
55 to match the resolution of experimental flow data (spatial resolution of approximately 0.002  
56 m (McCorquodale and Westbrook, 2020a)). Subsequently, Dynamic Mode Decomposition  
57 is performed on the computational streamwise velocity field and on the same field with the  
58 forces acting on the snow particle added to the snapshot matrix. By including both stream-  
59 wise velocity and force data, it is possible to assess the imprint of forces exerted on the  
60 particle onto the wake flow through the DMD analysis. This leads to a deeper understanding  
61 of the causal relationships at play in snow particle aerodynamics. In the final part of our  
62 study, a correspondence between the extracted temporal and spatial features from the DMD,  
63 the snowflake shape, and the snow particle falling behavior is established and discussed.

64 This paper presents the following structure. In Section 2.1, we briefly describe the ex-

65 perimental set-up and observations that informed our numerical model, whose description  
66 follows in Section 2.2. The theory underlying Proper Orthogonal Decomposition and Dy-  
67 namic Mode Decomposition is explained in Section 2.3. Then, the type of data sets and the  
68 methods employed for the analysis of the data are presented in Section 2.4. We finally discuss  
69 the results in Section 3.

## 70 2 Materials and methods

71 In this section, a concise overview of experimental set-up and observations that informed  
72 and allowed to validate our numerical model is provided (Section 2.1). We then summarize  
73 the key aspects of the numerical model in Section 2.2, and we offer a brief exposition of  
74 the theory underlying Proper Orthogonal Decomposition and Dynamic Mode Decomposition  
75 (Section 2.3). Lastly, Section 2.4 examines the type of data and the methods employed to  
76 analyze the results.

### 77 2.1 Particle orientations from 3D-printed snowflake analogs' exper- 78 iments

79 The experiments involve the release of 3D-printed snowflake analogs in a vertical tank  
80 containing a viscous fluid. Measurements of instantaneous flow velocities are obtained using  
81 synchronized cameras and a dedicated algorithm (McCorquodale and Westbrook, 2020a).  
82 Precise tracking of falling analogues is achieved, allowing for the reconstruction of time-  
83 resolved trajectories and orientations (spatial resolution:  $\approx 0.15$  cm). From a variety of snow  
84 particle shapes examined (McCorquodale and Westbrook, 2020b), three distinctive shapes,  
85 among those employed in the experiments, are selected for this study: a plate-like dendrite  
86 crystal **D1** (D1007), a capped-column **CC** (CC20Hex4), and rosette crystals **MR** (MR172).  
87 These three shapes are representative of realistic snowflake classes found in nature (Kikuchi  
88 et al., 2013).

89 From the experimental data we obtain information about the inflow velocity and the  
90 particle orientation relative to the flow direction which are crucial for setting up the compu-  
91 tational model. Figure A1 in the Supplementary Material illustrates the final orientations  
92 of **D1**, **CC**, and **MR** (in black). These orientations are taken from the laboratory obser-  
93 vations after the particle has been falling for a certain amount of time and has reached a

94 quasi-stable motion. It is clear that, for particles with unsteady falling behavior, a quasi-  
 95 stable falling condition is difficult to determine. As a consequence, alternative orientations  
 96 are selected for particle **CC** and **MR**. In particular, we define two extreme orientations that  
 97 represent two extreme positions that these geometries frequently adopt during free fall, as  
 98 illustrated in Figure A1(b, c) (Tagliavini et al., 2021b). A full description of the wake flow  
 99 and falling behavior of each snow particle is presented in Section 3.1 to assist the reader in  
 100 fully understanding the results.

## 101 2.2 Delayed-Detached Eddy Simulations

102 The computational model of the 3D fixed snow particle is based on a hybrid RANS-LES  
 103 approach known as Delayed-Detached Eddy Simulation (DDES) (Spalart et al., 2006). The  
 104 DDES model employs the Spalart–Allmaras turbulence closure to evaluate the eddy viscosity  
 105  $\tilde{\nu}$  for the RANS calculation (Spalart and Allmaras, 1994). It is implemented in OpenFOAM  
 106 4.1 (Open source Field Operation And Manipulation), a C++ software built upon the finite  
 107 volume method (OpenFOAM, 2017). The transient, incompressible Navier-Stokes equations  
 108 govern the airflow motion:

$$\begin{aligned} \nabla \cdot \mathbf{u} &= 0, \\ \rho \left( \frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} \right) &= -\nabla p + \mu \nabla^2 \mathbf{u} + \rho \mathbf{f}, \end{aligned} \quad (1)$$

109 where  $\mathbf{u}$  is the flow velocity [m/s],  $\rho$  is the fluid density [kg/m<sup>3</sup>],  $p$  is the pressure [Pa],  $\mu$   
 110 is the dynamic viscosity of the fluid [Pa·s], and  $\mathbf{f}$  represents external forces per unit mass  
 111 [N/kg]. The forces acting on the particle, with normal and tangential contributions, can be  
 112 expressed as:

$$\mathbf{F} = \mathbf{F}_p + \mathbf{F}_\nu = \int_S p \mathbf{n} dS + \int_S \tau \mathbf{n} dS, \quad (2)$$

113 where  $\mathbf{n}$  is the normal unit vector on the particle surface  $S$ , and  $\tau$  denotes the viscous stress  
 114 tensor [Pa]. At the inlet, a uniform air velocity is imposed and computed using:

$$u_\infty = \frac{Re \nu}{D_{\max}}. \quad (3)$$

115 Here,  $Re$  stands for the desired particle Reynolds number,  $D_{\max}$  represents the maximum  
 116 dimension of the particle (i.e., the largest dimension of the snow particle normal to the flow

117 direction) [m],  $u_\infty$  denotes the uniform inlet velocity [m/s], and  $\nu$  represents the kinematic  
 118 viscosity of air [m<sup>2</sup>/s]. The domain and grid size of the computational model are depicted in  
 119 Figure A2. All simulations run at  $Re = 1500$  and at least for five flow through times to ensure  
 120 a fully developed wake flow behind the object (Durbin and Medic, 2007). For more details  
 121 on the validation, the grid convergence study, the turbulence modeling, and the numerical  
 122 schemes, the reader is referred to Tagliavini et al. (2021a).

### 123 2.3 Modal analysis of the wake flow field

124 Modal analysis techniques, such as Proper Orthogonal Decomposition (POD) and Dy-  
 125 namic Mode Decomposition (DMD), are powerful tools extensively used in fluid dynamics  
 126 to understand and extract dominant features from complex flow fields (Tu et al., 2014; Kutz  
 127 et al., 2015; Cherubini et al., 2021; Huang et al., 2022). POD and DMD make use of a  
 128 snapshot matrix. This matrix contains information about the investigated field at different  
 129 time instants stored as column vectors in chronological order:

$$\mathbf{X} = [\mathbf{x}(\boldsymbol{\zeta}, t_1), \mathbf{x}(\boldsymbol{\zeta}, t_2), \dots, \mathbf{x}(\boldsymbol{\zeta}, t_m)] \in \mathbb{R}^{n \times m}, \quad (4)$$

130 where  $\boldsymbol{\zeta}$  denotes the spatial coordinate vector and  $\mathbf{x}$  is a vector field (in our case the stream-  
 131 wise velocity), while  $t_1, \dots, t_m$  are the time instants sampled at an interval  $\Delta t$  (see Section  
 132 2.4). POD and DMD are based upon Singular Value Decomposition (SVD) of the snapshot  
 133 matrix. SVD can be employed to obtain optimal low-rank matrix approximations and is  
 134 formulated as follows. Let us consider a set of complex quantities  $\mathbf{v}_k \in \mathbb{C}^n$ ,  $\mathbf{A} \in \mathbb{C}^{m \times n}$ , and  
 135  $\mathbf{q}_j \in \mathbb{C}^m$ . We can write in matrix form:

$$\mathbf{A}\mathbf{V} = \mathbf{Q}\boldsymbol{\Sigma}, \quad (5)$$

136 with  $\mathbf{V} = [\mathbf{v}_1, \dots, \mathbf{v}_n] \in \mathbb{C}^{n \times n}$ ,  $\mathbf{Q} = [\mathbf{q}_1, \dots, \mathbf{q}_m] \in \mathbb{C}^{m \times m}$ , and  $\boldsymbol{\Sigma} \in \mathbb{R}^{m \times n}$  a matrix with  
 137  $\sigma_1 \geq \sigma_2 \geq \dots \geq \sigma_g \geq 0$  along its diagonal ( $g = \min(m, n)$ ) and zero elsewhere. Isolating  
 138 the  $\mathbf{A}$  matrix, multiplying the right-hand side of Equation (5) by the conjugate transpose  
 139  $\mathbf{V}^*(= \mathbf{V}^{-1})$ , we obtain:

$$\mathbf{A} = \mathbf{Q}\boldsymbol{\Sigma}\mathbf{V}^*. \quad (6)$$



### 140 2.3.1 Proper Orthogonal Decomposition

141 Proper Orthogonal Decomposition (POD) was first introduced by Lumley (1967). In fluid  
 142 dynamics, it became a common technique to extract coherent flow structures to characterize  
 143 turbulence-related phenomena (Leask and McDonell, 2019). POD provides the best approx-  
 144 imation of the original data in the least-squares sense for any given number of modes  $r$ . This  
 145 can be expressed mathematically as:

$$\min_{\phi_i^{POD}} \sum_{j=1}^M \left| \mathbf{x}(\zeta_j, t_j) - \underbrace{\sum_{i=1}^r \alpha_i^{POD}(t_j) \phi_i^{POD}}_{\mathbf{X}(\zeta, t)} \right|^2, \quad (7)$$

146 where  $M$  is the total number of time steps and  $\mathbf{X}(\zeta, t)$  is the approximated field, in which the  
 147 time coefficients  $\alpha_i^{POD}$  are obtained by projecting the original field onto the space spanned  
 148 by the POD modes ( $\alpha_i^{POD}(t) = (\phi_i^{POD})^T \mathbf{x}(t)$ ), and  $\phi_i^{POD}$  are the POD modes that carry the  
 149 spatial information. The minimization performed by POD ensures that for any truncation  
 150 level  $r$ , the POD modes provide the most efficient representation of the data in terms of  
 151 captured energy.

152 We take into consideration the snapshot matrix  $\mathbf{X}$  as defined in Equation 4 and apply  
 153 an eigendecomposition to the correlation matrix  $\mathbf{R} = \mathbf{X}\mathbf{X}^T \in \mathbb{R}^{n \times n}$ . We then obtain  
 154 the eigenvectors and eigenvalues  $\phi_i^{POD}$  and  $\mu_i^{POD}$ , respectively. Therefore, it is possible to  
 155 formulate:

$$\mathbf{R}\Phi^{POD} = \mathcal{M}^{POD}\Phi^{POD}, \quad (8)$$

156 with  $\Phi^{POD} = [\phi_1^{POD}, \dots, \phi_n^{POD}]$  and  $\mathcal{M}^{POD} = [\mu_1^{POD}, \dots, \mu_n^{POD}]$ . Each eigenvalue  $\mu_i^{POD}$  repre-  
 157 sents how much the energy contained in each mode  $\phi_i^{POD}$  captures the energy of the original  
 158 field. The error associated with the truncation to  $r$  modes can be quantified using the eigen-  
 159 values:

$$\epsilon_r = \frac{\sum_{i=r+1}^m \mu_i^{POD}}{\sum_{i=1}^M \mu_i^{POD}}. \quad (9)$$

160 This error measure allows to choose the number of modes that balance between model dimen-  
 161 sionality reduction and accuracy. In fact, retaining only the most energetic modes, POD can  
 162 significantly reduce the dimensionality of the problem while minimizing the loss of important  
 163 flow features (Tu et al., 2014; Taira et al., 2017).

### 164 2.3.2 Dynamic Mode Decomposition

165 Dynamic Mode Decomposition (DMD) operates by decomposing time-resolved data to  
 166 identify coherent spatio-temporal patterns, their growth rates, and their frequencies. Since  
 167 its introduction by Schmid (2010), many different variations of the algorithm have been  
 168 proposed (Belson et al., 2014; Vega and Le Clainche, 2017; Krake et al., 2019). As compared  
 169 to POD, it provides additional information about the temporal behavior of the decomposed  
 170 data considering the best-fitting linear operator  $\mathbf{A}$  to approximate the dynamics of a system:

$$\frac{\partial \mathbf{x}(t)}{\partial t} = \mathbf{A} \mathbf{x}(t), \quad (10)$$

171 whose solution is:

$$\mathbf{x}(t) = e^{\mathbf{A} \mathbf{x}(t)} \mathbf{x}(0), \quad (11)$$

172 in which  $\mathbf{x}(0)$  represent the solution of the system at  $t = 0$  s. DMD approximates the  
 173 snapshot matrix  $\mathbf{X}$  (Equation (4)) with a set of eigenvectors and eigenvalues, which carry  
 174 the spatial and temporal information of the system, respectively. To perform Dynamic Mode  
 175 Decomposition, the matrix  $\mathbf{X}$  is split in two matrices  $\mathbf{X}_1$  and  $\mathbf{X}_2$  which are the non-time-  
 176 advanced and the time-advanced matrix, respectively.  $\mathbf{X}_1$  incorporates the snapshots from  
 177  $t_1$  to  $t_{m-1}$ , whereas  $\mathbf{X}_2$  the snapshots from  $t_2$  to  $t_m$ , where  $m$  is the number of time instants  
 178 considered for the temporal sampling. Therefore, the dynamic system can be expressed as:

$$\begin{aligned} \mathbf{X}_2 &= \mathbf{A} \mathbf{X}_1, \\ \mathbf{A} &= \mathbf{X}_2 \mathbf{X}_1^+, \end{aligned} \quad (12)$$

179 with  $\mathbf{X}_1^+$ , the Moore–Penrose pseudo-inverse of  $\mathbf{X}_1$ . First, the SVD is carried out on  $\mathbf{X}_1$ :

$$\mathbf{X}_1 = \mathbf{Q} \mathbf{\Sigma} \mathbf{V}^*. \quad (13)$$

180 Then, if we truncate the SVD by taking into account only the first  $r$  columns of  $\mathbf{Q}$  and rows  
 181 of  $\mathbf{V}$ , and the first  $r$  rows and columns of  $\mathbf{\Sigma}$  (i.e.,  $\mathbf{Q}_r$ ,  $\mathbf{V}_r$ , and  $\mathbf{\Sigma}_r$ ), we get:

$$\tilde{\mathbf{A}} = \mathbf{Q}_r^T \mathbf{X}_2 \mathbf{V}_r \mathbf{\Sigma}_r^{-1}, \quad (14)$$

182 where  $\tilde{\mathbf{A}}$  is the reduced-rank matrix of  $\mathbf{A}$ . Through eigendecomposition we have:

$$\tilde{\mathbf{A}}\mathcal{W} = \mathcal{M}^{DMD}\mathcal{W}, \quad (15)$$

183 where  $\mathcal{M}^{DMD}$  is the diagonal matrix containing the DMD eigenvalues,  $\mu_i^{DMD}$ , and the  
 184 columns of  $\mathcal{W}$  are the eigenvectors of the reduced-rank matrix  $\tilde{\mathbf{A}}$ . To retrieve the DMD  
 185 modes (eigenvectors) of matrix  $\mathbf{A}$ , we use:

$$\Phi^{DMD} = (\mathcal{M}^{DMD})^{-1}\mathbf{X}_2\mathbf{V}_r\Sigma_r^{-1}\mathcal{W}. \quad (16)$$

186 that is the matrix containing the DMD modes, which carry the spatial information of the  
 187 linear operator characterizing the dynamical system. If we define the exponential eigenvalues  
 188 as  $\lambda_i^{DMD} = \log(\mu_i^{DMD})/(\Delta t)$ , the reconstruction of the analyzed field from DMD eigenvectors  
 189 and eigenvalues is given by:

$$\mathbf{X}(\zeta, t) = \Phi^{DMD}e^{(\mathbf{\Lambda}^{DMD}\Delta t)}\mathbf{C}, \quad (17)$$

190 in which  $\mathbf{C} = (\Phi^{DMD})^T\mathbf{X}_1$  contains the modal amplitudes, which scale each DMD mode  
 191 based on its initial contribution to the system (at time  $t = 0$ ), i.e. the amplitudes indicates  
 192 how strongly each mode influences the initial conditions. In Equation (17),  $\mathbf{\Lambda}^{DMD}$  is the  
 193 diagonal matrix of the exponential eigenvalues  $\lambda_i^{DMD}$ . The introduction of the latter allows  
 194 for establishing a correspondence between Equation (17) and Equation (11). The DMD  
 195 eigenvalues come in conjugate pairs and are complex numbers defined as  $\mu_i^{DMD} = a_i + jb_i$   
 196 (with  $a_i$  and  $b_i$  the real and the imaginary part, respectively, and  $j = \sqrt{-1}$ ), and can be used  
 197 to express:

$$\mu_i^{DMD}(t) = e^{\Re(\lambda_i^{DMD})\Delta t} \cdot e^{j\Im(\lambda_i^{DMD})\Delta t}. \quad (18)$$

198 Based on this definition we can derived the following quantities (De Schryver, 2016; Taira  
 199 et al., 2017):

- 200 - exponential eigenvalue:  $\lambda_i^{DMD} = \frac{\log(\mu_i^{DMD})}{\Delta t}$ ;
- 201 - modulus:  $|\mu_i^{DMD}| = \rho_i = \sqrt{a_i^2 + b_i^2}$  which defines the mode evolution in time ( $|\mu_i^{DMD}| =$   
 202 1 for stationary behavior,  $|\mu_i^{DMD}| < 1$  in case of decay, and  $|\mu_i^{DMD}| > 1$  for a growing  
 203 mode);
- 204 - absolute decay or growth rate:  $\sigma_i = \Re(\lambda_i^{DMD})$ ;

- 205 - relative decay rate:  $\psi_i = \frac{\sigma_i}{\omega_i}$ ;
- 206 - angular frequency:  $\omega_i = \Im(\lambda_i^{DMD})$ ;
- 207 - eigenfrequency:  $\gamma_i = \frac{\omega_i}{2\pi}$ .

208 While both POD and DMD serve the purpose of extracting meaningful modes from flow  
 209 data, they have distinct characteristics and applications. POD primarily focuses on spatial  
 210 structures and energy content, providing a low-dimensional representation of the dominant  
 211 flow patterns. In contrast, DMD lays emphasis on the temporal dynamics and frequency  
 212 content of the flow field, enabling the analysis of transient behavior and the identification  
 213 of oscillatory (growing or decaying) phenomena (De Schryver, 2016; Taira et al., 2017). In  
 214 this work, the Python library *modred* 2.1.0 developed by Belson et al. (2014) is employed,  
 215 which allows for parallel computation of both POD and DMD. The library is adapted to ac-  
 216 commodate our needs regarding the data analysis without substantial changes to the original  
 217 algorithm.

## 218 2.4 Data sets, modal quantities, and particle shape descriptors

219 In our work, different data sets are analyzed to investigate the influence of diverse types  
 220 of data on the modal analysis output and to identify the underlying wake flow patterns past  
 221 complex-shaped snow particles. The data include:

- 222 • Data set **S1**: streamwise velocity field ( $u_x$ ) from Delayed-Detached Eddy Simulation  
 223 (DDES) of a fixed snowflake (**D1**, **CC**, and **MR**, see Section 2.1) at a Reynolds number  
 224 of 1500. We collect the  $u_x$  field within the wake using two different sampling rates: 1000  
 225 Hz ( $\Delta t_1$ ) and 500 Hz ( $\Delta t_2$ ). The highest sampling rate comes from the saved time steps  
 226 of our numerical model, while the second is taken as half of the first one.
- 227 • Data set **S2**: the previous velocity field  $u_x$  is spatially resampled on a larger grid to  
 228 mimic the experimental resolution of 2 mm (see Section 2.1), allowing us to assess the  
 229 impact of the spatial resolution on the modal analysis output. For the resampled data,  
 230 only  $\Delta t_1$  is used as snapshot sampling frequency.
- 231 • Data set **S3**: for this third data set, the streamwise velocity field  $u_x$  is combined with  
 232 the forces acting on the snow particle in the  $x$ ,  $y$ , and  $z$  direction, calculated from

233 the numerical simulations. These data offer a more complete collection of information  
 234 concerning the snowflake wake flow structures that are indiscernible from the forces  
 235 acting on the snow particle.

236 To extract dominant coherent structures and assess the influence of spatial resolution, we  
 237 apply Proper Orthogonal Decomposition to  $\mathbf{S1}$  and  $\mathbf{S2}$ . To better quantify the influence  
 238 of spatial resolution, we perform a spatial Fast Fourier Transform using Welch's algorithm  
 239 (Welch, 1967), with 256 sample points per segment, 50% overlap between segments, and  
 240 a Hann windowing. To perform the FFT on the first and second POD mode, their spatial  
 241 signals (streamwise velocity field  $u_x$ ) are sampled along five lines within the particle wake with  
 242 2000 sampling point each line, as illustrated by Figure A3 of the Supplementary Material.  
 243 The POD mode signals at each point of the sampling line are then averaged, and the FFT  
 244 is applied to the resulting spatially averaged signal. We obtain the spatial Power Spectral  
 245 Density (PSD( $\kappa$ )) estimation as:

$$\text{PSD}(\kappa) = \frac{1}{N_\zeta \mathcal{U}_\zeta} \left| \text{FFT} \left[ \overline{\Phi}_i^{POD} \right] \right|^2, \quad (19)$$

246 where  $\overline{\Phi}_i^{POD}$  represents the averaged signal from sampled POD modes spatially averaged over  
 247 the five lines,  $\kappa$  denotes the wavenumber [1/m], and  $N_\zeta$  is the number of points per segment,  
 248 and  $\mathcal{U}_\zeta$  is the normalization factor related to the window function.

249 In the second part of this study, Dynamic Mode Decomposition is performed on data  
 250  $\mathbf{S1}$  and  $\mathbf{S3}$ . The modes are ordered based on the energy criterion proposed by Tisot et al.  
 251 (2014). This ordering criterion prioritizes the modes capturing the majority of the energy  
 252 content  $E_i^{DMD}$  within the snow particle wake, providing a more accurate representation of  
 253 the dominant flow structures:

$$E_i^{DMD} = |c_i| \frac{e^{(2\sigma_i T)} - 1}{2\sigma_i T}, \quad (20)$$

254 in which  $|c_i|$  is the magnitude of the modal amplitude of the  $i$ -th mode, taken from matrix  
 255  $\mathbf{C} = (\Phi^{DMD})^T \mathbf{X}_1$ ,  $\sigma_i$  is the absolute decay or growth rate, and  $T$  is the total sampling time  
 256 [s] (in our case equal to 1 s). Equation (20) introduces a new method for selecting the most  
 257 influential DMD modes based on a combination of their amplitude and their growth rate over  
 258 a specified time period  $T$ . For the mode selection, we evaluate the normalized value of the  
 259 energy as:

$$(E_i^{DMD})^* = \frac{E_i}{\max(E_i)}. \quad (21)$$

260 After ordering the modes, the spatial and temporal signals from DMD are analyzed. Regarding  
 261 the spatial signal, we employ the averaging operation over five lines (Figure A3 in the  
 262 Supplementary Material) as for the POD, using Equation (19) and substituting  $\overline{\Phi}_i^{POD}$  with  
 263  $\overline{\Phi}_i^{DMD}$  to get the spatial FFTs of the first four DMD modes, ordered according to previously  
 264 presented energy criterion. Subsequently, the temporal dynamics is also investigated. To do  
 265 so, the temporal signal of the first 100 DMD modes is reconstructed as follows:

$$\mathbf{X}_{\text{rec}}^{DMD}(t) = \sum_{i=1}^{100} c_i e^{\sigma_i \Delta t} \cdot e^{j \omega_i \Delta t}, \quad (22)$$

266 with  $c_i$ ,  $\sigma_i$ , and  $\omega_i$  defined in Section 2.3.2. The signal  $\mathbf{X}_{\text{rec}}^{DMD}(t)$  from Equation (22) is then  
 267 incorporated in Welch's algorithm to obtain the temporal power spectral density (PSD( $f$ )):

$$\text{PSD}(f) = \frac{1}{N_t \mathcal{U}_t} |\text{FFT} [\mathbf{X}_{\text{rec}}^{DMD}(t)]|^2, \quad (23)$$

268 where  $f$  denotes the frequency [1/s],  $N_t$  is the number of time points per segment, and  $\mathcal{U}_t$  is  
 269 the normalization factor related to the window function.

270 To appraise the influence of the particle shape on the wake flow, the same geometrical  
 271 features as in [Tagliavini et al. \(2021b\)](#), namely the particle's shape porosity and Corey's  
 272 shape factor ([Corey, 1949](#)), are employed. Since only the porosity  $\epsilon$  showed a consistent  
 273 correlation to the quantities from the modal analysis, we report its definition here below:

$$\epsilon = 1 - A_R, \quad (24)$$

274 where  $A_R$  is defined as  $A_R = A_p/A_{\text{disk}}$ , with  $A_p$  being the particle frontal area and  $A_{\text{disk}}$  the  
 275 area of the enclosing disk [m<sup>2</sup>]. The shape porosity of the three investigated snow particles  
 276 is 0.62, 0.51, and 0.14 for **D1**, **CC**, and **MR**, respectively.

### 277 3 Results and discussion

278 In this section, the results pertaining to Proper Orthogonal Decomposition and Dynamic  
 279 Mode Decomposition on snow particle wake flow characteristics are presented and discussed.  
 280 Initially, we focus on the POD analysis performed onto data sets **S1** and **S2**, sampled at

281 rate  $\Delta t_1$ . Subsequently, we explore the DMD results from data sets **S1** and **S3**, as explained  
282 in Section 2.4, using both sampling rates  $\Delta t_1$  and  $\Delta t_2$ .

### 283 3.1 Wake flow structures past snow particles

284 To better understand and contextualize the results, we first describe the snow particle  
285 falling behavior, as observed during the experiments described in Section 2.1. The dendrite  
286 crystal **D1** (Figure A1(a) in the Supplementary Material) displayed stable falling motion  
287 for the tested Reynolds number range ( $10 \lesssim Re \lesssim 1500$ ), maintaining its largest projected  
288 area orthogonal to the falling direction (Figure A1(a)). **MR**'s stable falling persisted until  
289  $Re \approx 250$ . Beyond  $Re \approx 250$  a falling motion with randomly varying orientations (Figure  
290 A1(c)) was noted, which we will refer to as *chaotic* (McCorquodale and Westbrook, 2020b).  
291 **CC** exhibited stable falling for  $Re \lesssim 70$ , transitioning to a mildly spiraling trajectory for  
292  $70 \lesssim Re \lesssim 400$ , and a spiraling trajectory with more abrupt changes in the orientation for  
293  $Re \gtrsim 400$  (Figure A1(b)).

294 In one of our former studies, we analyzed the wake flow configuration of the presented  
295 snowflake geometries (Tagliavini et al., 2021b) and we highlight below the main features in  
296 the wake flow for particle **D1**, **CC**, and **MR** at  $Re = 1500$  to help in the comprehension  
297 of the results. **D1**'s wake flow field displays a small recirculation zone attached to the  
298 center of the particle and small vortices that originate from the branches of the dendrite.  
299 The recirculation zone and small vortices are sustained and symmetric, creating a stable  
300 descent, thus supporting a steady falling motion. **CC**, at the selected extreme orientation,  
301 features an asymmetric pair of vortices shed from the tips of the columnar crystal, which are  
302 associated with a marked spiraling fall of the particle. The rosette-like crystal (**MR**) has a  
303 more unorganized flow within its wake. This creates large and unstable structures that also  
304 influence the random orientations displayed by the particle during its descent.

### 305 3.2 Spatial resolution sensitivity of Proper Orthogonal Decomposi- 306 tion

307 In the first part of our work, we investigate the effects of resampling the original streamwise  
308 velocity data (**S1**) onto a coarser spatial grid to mimic a less spatially resolved data set  
309 (**S2**), such as those collected during experiments (see Section 2.1). This analysis takes  
310 into consideration snow particle **D1** (characterized by a steady falling motion), **CC** and

311 **MR** (exhibiting unsteady falling behavior), each inducing distinctive wake flow patterns  
 312 (see Section 2.1). After performing Proper Orthogonal Decomposition on **S1** and **S2**, we  
 313 removed the mode representing the averaged flow field from each data set to ensure that  
 314 the remaining modes represent only the fluctuating part of  $u_x$ . To begin with, we examine  
 315 Figure 1, which illustrates the comparison between **S1** and **S2** with respect to the cumulative  
 316 energy evaluated as:

$$\text{Energy}(n)[\%] = \frac{E_c}{E_{tot}} \times 100 = \frac{\sum_i^n \mu_i^{POD}}{\sum_i^N \mu_i^{POD}} \times 100, \quad (25)$$

317 where  $n$  is the  $n$ -th mode and  $N$  is the total number of modes. For snow particle **D1**, which  
 318 exhibits a steady falling motion and induces less instabilities within the wake, the disparities  
 319 between the mode energy content of the POD obtained from the original data and those from  
 320 the resampled ones are negligible, having 92.35% of the energy represented by 20 modes for  
 321 data set **S1** against 91.52% for **S2**. Conversely, for particles like **CC** and **MR**, which display  
 322 unsteady falling trajectories and generate more unstable structures in their wakes, a lower  
 323 spatial resolution produces a noticeable decrease in the energy content carried by each POD  
 324 mode, from 76.04% (**S1**) to 73.51% (**S2**) of the energy carried by the first 20 modes for **CC**,  
 325 and from 72.48% (**S1**) to 68.60% (**S2**) for **MR**.

326 Thereupon, we turn our attention to the features depicted in Figure 2, 3, and 4, related  
 327 to the visualization of iso-surface of the first and second POD modes and their spatial signal  
 328 with regard to particles **D1**, **CC**, and **MR**. The first POD mode represents the most energetic  
 329 mode and displays the dominant features of the flow, which are generally the largest and most  
 330 energetic structures, while the second mode pertains to smaller and less energetic structures,  
 331 which account for secondary flow motion within the wake. For the investigated snowflakes,  
 332 the relative energy carried by the first and second mode is, respectively, 13.66% and 8.74%  
 333 for **D1**, 7.31% and 6.08% for **CC**, and 8.23% and 6.42% for **MR**. The spatial signal of  
 334 each mode is sampled along five lines of 2000 points each (Figure A3 in the Supplementary  
 335 Material) and then averaged, as described in Section 2.4. With respect to particle **D1**  
 336 (Figure 2), its branched shape introduces small-scale vortices that are sustained in time and  
 337 space throughout the entire wake. This reflects in the qualitative representation of the first  
 338 and second POD modes (Figure 2(a) and (b), respectively). Similarities emerge between  
 339 structures' shape in both the original (**S1**) and the spatially coarse data set (**S2**). However,  
 340 the structures in **S2** exhibit a greater distortion and bulkiness, in particular for the second



341 mode because smaller structures are more sensitive to spatial resolution. By looking closely  
 342 to the signal, sampled over the previously mentioned lines (Section 2.4), of these two modes,  
 343 the spatial PSD computed on the signal for the first mode displays higher amplitudes for  
 344 **S1** as compared to **S2**, whereas the signal shape is preserved (Figure 2(c)). In contrast,  
 345 Figure 2(d) shows that the signals of the second mode are in opposite phase. This phase  
 346 disparity stresses the POD sensitivity to alterations in spatial resolution of the input data  
 347 that might be noticed due to several factors, such as aliasing effect and resolution-induced  
 348 phase shift (De Schryver, 2016). High wavenumbers in the spectra (Figure 2(c, d), right side)  
 349 confirm the presence of small structures which are mildly smeared out by spatial resampling.  
 350 Shifting our focus towards particle **CC** (Figure 3), the qualitative visualization of the POD  
 351 modes sheds light upon the large, elongated structures rising from both sides of the particle,  
 352 which are representative of the vortex street shed from the columnar crystal's tips (Tagliavini  
 353 et al., 2021b). For this geometry, both the qualitative visualization (Figure 3(a, b)) and the  
 354 spatial spectral analysis (Figure 3(c, d)) show that for the resampled data **S2**, POD tends  
 355 to underestimate the spectral energy variations carried by smaller-scale wake flow structures,  
 356 while exhibiting peaks in the spectra at high wavenumbers. In fact, the shape of the spectra  
 357 for **S1** and **S2** is preserved, but PSD at lower wavenumbers are smeared out from **S2**.  
 358 Therefore, the information at lower scales is lost when decreasing the spatial resolution. A  
 359 similar behavior is found for **MR** (Figure 4(a, b)), also characterized by an unsteady falling  
 360 motion and strong wake flow instabilities (McCorquodale and Westbrook, 2020b; Tagliavini  
 361 et al., 2021b). Even in this case, POD carried out on resampled data contains less details  
 362 regarding the wake flow field. If we take a look at the spatial signals and their FFT (Figure  
 363 4(c, d)), this is visible from the discrepancies at low wavenumbers, at which the PSD of **S2**  
 364 is smeared out, as compared to **S1**.

365 The structures highlighted by the POD analysis corroborate the observations of the wake  
 366 flow characteristics and falling behavior from both numerical simulations and experiments  
 367 (McCorquodale and Westbrook, 2020b; Tagliavini et al., 2021b). Furthermore, the results  
 368 stress the impact of spatial resolution on POD. In cases where the flow is characterized  
 369 by small, uniformly distributed structures, as for **D1**, the impact of spatial resolution is  
 370 limited. On the contrary, with flows where large structures are present (**CC**, **MR**), a lower  
 371 spatial resolution can affect the information that the POD is able to capture. These findings  
 372 are valuable when dealing with experiments characterized by low spatial resolution. They  
 373 suggest that despite spatial limitations, essential flow features remain captured, when the

374 flow exhibits more organized patterns. However, in cases of stronger wake flow instabilities,  
 375 it is essential to exercise caution when interpreting smaller scale structures, as these might  
 376 be smeared out or distorted.

### 377 **3.3 Dynamic Mode Decomposition on flow data with and without** 378 **force contribution**

379 With Dynamic Mode Decomposition, by considering the linearization of a non-linear  
 380 system, we do not obtain modes representing the energetically dominant flow dynamics,  
 381 as with Proper Orthogonal Decomposition, but we identify modes that evolve in time and  
 382 space, and can therefore capture transient and non-periodic features of the flow field. The  
 383 resulting eigenvalues are complex conjugate pairs that describe the temporal behavior, while  
 384 the modes carry the information about the spatial features of the flow. For this purpose,  
 385 the goal of this second part is to investigate the sensitivity of DMD to temporal resolution  
 386 and to the inclusion of the information related to the forces acting on the particle into the  
 387 snapshot matrix. In this view, both sampling rates  $\Delta t_1$  (1000 Hz) and  $\Delta t_2$  (500 Hz) are  
 388 considered and the analysis is performed on two different snapshot matrices: the first one  
 389 derived from the streamwise velocity field of the numerical model (**S1**) and the second one  
 390 created by adding the forces exerted on each particle in the  $x$ ,  $y$ , and  $z$  direction (**S3**) to  
 391 the original snapshot matrix, as described in Section 2.4. Before performing the comparison,  
 392 all the resulting modes are sorted according to the energy criterion from Equation (20). In  
 393 this way, the modes are selected according to their energy content. Furthermore, the mode  
 394 corresponding to the average  $u_x$  field is removed from the DMD results to ensure that only  
 395 transient features are considered.

#### 396 **3.3.1 DMD temporal dynamics**

397 We initially focus on the temporal part of the signal, considering the first 100 DMD  
 398 modes, as reconstructed from Equation (22). The PSD of  $\mathbf{X}_{\text{rec}}^{\text{DMD}}(t)$  is evaluated using  
 399 Equation (23) for both data sets **S1** and **S3**, at two different sampling rates  $\Delta t_1$  and  $\Delta t_2$ .  
 400 This comparison is depicted in Figure 5 (left side: **S1**, right side: **S3**), which also includes  
 401 the power spectral densities from the temporal evolution of the forces acting on the snowflakes  
 402 in  $x$ ,  $y$ , and  $z$  directions, directly calculated from the numerical model. The forces spectra  
 403 exhibit increasing fluctuation as we move from a steady falling motion (**D1**, Figure 5(a)) to

404 more convoluted fall trajectories (**CC** and **MR**, Figure 5(b, c)). By looking at the spectra  
 405 of the reconstructed temporal signals, we notice that the sampling rate has a strong impact  
 406 on the energy content, especially for the particles with strong wake instabilities (**MR**, **CC**).  
 407 The use of the sampling rate  $\Delta t_2$  seems to neglect important temporal features in both data  
 408 sets and shows a lower energy content. This loss becomes more pronounced when stronger  
 409 unsteadiness, such as vortex shedding (as observed by [Tagliavini et al. \(2021b\)](#)), comes into  
 410 play (**CC** and **MR**). Therefore, the choice of the sampling frequency appears to be decisive  
 411 for the accuracy of the temporal part in the DMD analysis of the wake flow. On the right-  
 412 hand side of Figure 5, the reconstructed signals from data set **S3** present a comparable  
 413 trend to those of the forces exerted on the particles, meaning that the counting of the forces  
 414 inside the snapshot matrix appears to have a mitigating effect on the loss of information for  
 415 lower sampling rates ( $\Delta t_2$ ). This improvement can be seen from the high energy content of  
 416 the orange and green curves on the right-hand side of Figure 5(c, d) for particle **CC** and  
 417 **MR**, respectively, as compared to the plots on the left. The presence of a peak in the PSD  
 418 for the lower sampling rate  $\Delta t_2$  in the **MR** case (Figure 5(d), left-hand side, green curve)  
 419 could be due to aliasing or insufficient resolution to capture the high-frequency dynamics  
 420 correctly: unsteady motions involve a broad spectrum of frequencies, and a lower sampling  
 421 rate might fail to resolve some of these frequencies, leading to artifacts or spurious peaks in  
 422 the spectrum ([De Schryver, 2016](#)). The influence of temporal resolution and the inclusion of  
 423 forces in the snapshot matrix is also visible from the exponential eigenvalues  $\lambda_i^{DMD}$  plotted  
 424 onto the complex plane, as reported in Figure A4 and A5 of the Supplementary Material.

425 The motivation for comparing the FFTs of reconstructed signals from the streamwise  
 426 velocity field alone (**S1**) and combined with temporal force signals (**S3**) to the PSD of forces  
 427 acting on the particle lies in understanding the complex interplay between flow dynamics and  
 428 force dynamics. The first aspect to consider is the force-flow-snowflake motion interaction,  
 429 wherein the forces acting on the particle are a direct manifestation of the underlying wake  
 430 flow structures. By incorporating the force data into the snapshot matrix of the streamwise  
 431 velocity, we are able to capture this forces- wake flow structures-snowflake falling motion  
 432 interaction more effectively. In addition, forces often include high-frequency components,  
 433 resulting from rapid changes in the flow around the unstably falling particle. Incorporating  
 434 these into the DMD analysis helps in capturing these high-frequency dynamics that might  
 435 be missed when using only the velocity field data.

### 436 3.3.2 DMD spatial dynamics

437 We now look at the spatial characteristics of the DMD modes, particularly evaluating  
438 the spatial power spectral density derived through Fast Fourier Transform (Equation (19)).  
439 Employing only  $\Delta t_1$  as the sampling rate, we concentrate on the first four DMD modes,  
440 selected according to the criterion given in Equation (20). We sample the streamwise velocity  
441 field  $u_x$  in the wake along five distinct lines (Figure A3 in the Supplementary Material), as  
442 detailed in Section 2.4. After that, the signal along each of these sampling lines is averaged  
443 and the spatial FFT is then performed. Figures 6, 7, and 8 depict the results for the first two  
444 modes, for particle **D1**, **CC**, and **MR**, respectively, whereas the data related to the third  
445 and fourth mode can be found in Figure A6, A7, and A8 of the Supplementary Material.

446 By looking at the visual representations of the iso-surfaces from the first two modes of **D1**  
447 (Figure 6(a, b)), we observe red and blue zones that indicate coherent structures of opposed  
448 directions, with slight variations in the shape and intensity in the case the particle forces are  
449 included (**S3**). The wake flow structures appear of small scale and are sustained through  
450 the entire length of the wake, which can be directly linked to the stable falling motion of the  
451 dendrite-like particle (Tagliavini et al., 2021b). Regarding particle **CC** (Figure 7(a, b)), both  
452 the first and the second DMD mode present more pronounced structures with marginally more  
453 intricate patterns for data set **S3**. For both **S1** and **S3**, the flow tends to separate laterally  
454 at the column caps where structures of opposite directions (red and blue) are generated,  
455 indicating the presence of shed vortices (Tagliavini et al., 2021b) which influence the spiraling  
456 fall of the particle (McCorquodale and Westbrook, 2020b). The rosette-like snowflake (**MR**,  
457 Figure 8(a, b)) exhibits large, randomly distributed coherent structures. This disorganized  
458 distribution is consistent with the wake flow features and *chaotic* fall trajectories observed  
459 for the **MR** geometry in former studies (McCorquodale and Westbrook, 2020b; Tagliavini  
460 et al., 2021b).

461 A more quantitative analysis can be found in Figure 6(c, d), in which the averaged spatial  
462 signal of the two modes and the respective wavenumber spectra are shown for the dendrite-  
463 like particle (**D1**). While the comparison between the power spectral densities of the first  
464 mode exhibit more distinct and higher spatial variations for **S3**, the differences between such  
465 curves become less significant for the second mode. The inclusion of the forces provides  
466 additional information about the flow dynamics, by informing the linear operator **A** in the  
467 DMD of variations near the snowflake influencing the force distribution around it as well as

468 its falling motion. For particles characterized by small and non-persistent instabilities within  
 469 the wake flow, such as the **D1** case, this might result in a spectrum with higher energy  
 470 content, when forces are included in the snapshot matrix, and more marked peaks at high  
 471 wavenumbers. This phenomenon is less visible for particle **CC** and **MR** (Figure 7(c, d) and  
 472 8(c, d), respectively). These two particles manifest larger structures in their wakes, which are  
 473 less affected by the inclusion of the forces. When considering the spatial characteristics, other  
 474 factors need to be taken into account. First of all, spatial averaging comes into play when  
 475 the signal is sampled along the wake, effectively mitigating the influence of local fluctuations  
 476 or noise in the data, resulting in a smoother spatial signal. This effect is less strong when  
 477 the flow field exhibits small-scale and intermittent instabilities (**D1**) because of the presence  
 478 of more compact, organized main flow structures. Besides, while temporal variations in flow  
 479 data can be highly sensitive to transient effects of the forces acting on the particle (Figure  
 480 5), spatial analysis focuses on spatial coherence of the flow structures, making the inclusion of  
 481 the forces less critical.

482 To summarize, the differences in spatial signals between data sets **S1** and **S3** are more  
 483 pronounced for particle **D1**, characterized by reduced unsteadiness within its wake flow, in  
 484 contrast to **MR** and **CC**, which exhibit unorganized flow patterns, leading to a wide range  
 485 of spatial scales.

### 486 3.3.3 Wake flow characteristics and particle shape features

487 In the final part of this work, we look at the relation between the snow particle shape  
 488 descriptors, the spatial and the temporal information obtained from DMD. For this purpose,  
 489 we focus on Figure 9 and 10 which relate the minimum and maximum DMD eigenfrequency,  
 490 the maximum and minimum DMD absolute growth or decay rate, and the maximum and  
 491 minimum wavenumber from the PSD of the line-averaged first DMD mode, respectively, to  
 492 the shape porosity of each particle (Equation (24) in Section 2.4).

493 As previously mentioned, Figure 9 shows the DMD eigenfrequencies ( $\gamma_{min}^{DMD}$  in Figure  
 494 9(a), and  $\gamma_{max}^{DMD}$  in Figure 9(b)) for data sets **S1** and **S3**. These values are compared with  
 495 the snowflake shape porosity  $\epsilon$ . High values of  $\epsilon$  imply small particle frontal area with respect  
 496 to an enclosing disk, which promote the reduction of flow separation and vortex shedding, as  
 497 observed by [Cummins et al. \(2018\)](#) and [Tagliavini et al. \(2021b\)](#). This is clear also from the  
 498 eigenfrequency values of **D1** which are the lowest for both **S1** and **S3**, indicating the presence

499 of less oscillating structures. As opposed to **D1**, **CC** and **MR** display higher  $\gamma_{min}^{DMD}$  and  $\gamma_{max}^{DMD}$   
 500 with **MR** exhibiting the highest values, in agreement with its increasing oscillations in the  
 501 wake flow and its *chaotic* fall trajectory. A linear trend is observed for both data sets and  
 502 can be attributed to the relation between shape porosity and its immediate effect on the flow  
 503 dynamics around the particle, which affect the DMD eigenfrequencies. **S3** presents a steeper  
 504 linear profile than **S1** for the minimum frequency (Figure 9(a)). The linear relationship  
 505 between minimum eigenfrequency and porosity is stronger when forces are included in the  
 506 snapshot matrix, as highlighted by the higher values of the coefficient of determination  $R^2$ ,  
 507 and suggests a significant sensitivity of  $\gamma_{min}^{DMD}$  with respect to  $\epsilon$ . Regarding  $\gamma_{max}^{DMD}$ , a less  
 508 perceptible difference in the linear profile steepness between **S1** and **S3** demonstrates that  
 509 the accounting for the forces influences the low eigenfrequencies more than the high ones.  
 510 This can be explained by the fact that low eigenfrequencies correspond to less oscillating  
 511 structures within the wake flow, which might be enhanced by the inclusion of the forces due  
 512 to their temporal nature (see Section 3.3.1). Furthermore, the forces acting on the particle  
 513 influence the long-term dynamics of the flow, which is why their inclusion in the DMD analysis  
 514 tends to reflect on lower frequencies more significantly. An overall improvement in the linear  
 515 trends appear whenever the forces are taken into account in the DMD analysis. Figure 9(c, d)  
 516 illustrates the comparison between porosity  $\epsilon$  and the minimum and maximum absolute decay  
 517 or growth rate from DMD ( $\Re(\lambda_i^{DMD}) = \sigma_i$ ) for all the three snow particles, for which a linear  
 518 relationship is also established. High porosity particles, such as **D1**, exhibit strongly decaying  
 519 ( $\Re(\lambda_i^{DMD})_{min}$ ) or stable ( $\Re(\lambda_i^{DMD})_{max}$ ) wake flow structures, resulting in more steady falling  
 520 trajectories (McCorquodale and Westbrook, 2020b; Tagliavini et al., 2021b). Conversely,  
 521 low-porosity particles, such as **CC** and **MR**, generate mildly decaying ( $\Re(\lambda_i^{DMD})_{min}$ ) or  
 522 growing structures ( $\Re(\lambda_i^{DMD})_{max}$ ), leading to strong unsteadiness within the wake flow, thus  
 523 more complex falling trajectories (McCorquodale and Westbrook, 2020b). The inclusion of  
 524 forces acting on the snowflake in the snapshot matrix enhances our understanding of the  
 525 interdependence between snowflake shape, wake flow features, and particle falling behavior.  
 526 This effect is particularly evident in Figure 9(d), where high  $\Re(\lambda_i^{DMD})_{max}$  values correspond  
 527 to large wake flow instabilities and unsteady falling trajectories, as observed for **MR**. These  
 528 findings establish a quantitative link between particle shape and wake flow characteristics,  
 529 provide a method to predict wake flow instability and falling behavior based on particle  
 530 geometrical features, and highlight the importance of considering both shape and forces in  
 531 understanding snowflake dynamics.

532 Afterwards, we correlate the snowflake shape porosity to the minimum and maximum  
 533 wavenumber from the spatial FFT of the first DMD mode (Equation (19) using  $\overline{\Phi^{DMD}}$ ),  
 534 adimensionalized with the particle maximum dimension:  $\kappa_{min}^* = D_{max} \cdot \kappa_{min}$  and  $\kappa_{max}^* =$   
 535  $D_{max} \cdot \kappa_{max}$  (with  $D_{max}$  the particle's maximum extension orthogonal to the flow direction,  
 536 Figure 10(a, b)). The first mode is selected because it is the most energetic one (according to  
 537 the selection criterion of Equation (20)) and generally carries the majority of the information  
 538 on the flow dynamics. Similarly to the eigenfrequencies, the PSD wavenumbers also manifest  
 539 a linear trend with respect to the shape porosity for both **S1** and **S3**, and from the coefficient  
 540 of determination ( $R^2$ ) values reported in Figure 10, a slightly better agreement for data **S3**  
 541 can be seen. However, no significant change in the slope between **S1** and **S3** is visible in  
 542 Figure 10. In analogy of what we previously seen in Figure 6, 7, and 8, with respect to the  
 543 spatial signals, the inclusion of the forces in the snapshot matrix does not significantly impact  
 544 the spatial information. The linear relationship indicates that shape porosity plays a crucial  
 545 role, not only in the temporal, but also in the spatial dynamics of the wake flow, influencing  
 546 the size of the coherent structures that forms within the wake and thus impacting the particle  
 547 falling motion, as discussed by Köbschal et al. (2023) and Sánchez-Rodríguez and Gallaire  
 548 (2024). The highly porous shape of **D1** (steady falling behavior) allows more fluid to pass  
 549 through its structure, creating organized, finer-scale structures, which reflect onto higher  
 550 wavenumbers. The intermediate porosity of **CC** (spiraling fall trajectory (McCorquodale  
 551 and Westbrook, 2020b)) generates moderate complexity within the wake flow. This results  
 552 in alternating small- and large-scale structures that correspond to intermediate values for  
 553 the maximum and minimum wavenumbers. The least porous shape (**MR**, *chaotic* falling  
 554 motion (Section 3.1)) displays large-scale wake flow structures, which find correspondence in  
 555 low wavenumbers. For all the examined cases, the wavenumber from the spatially averaged  
 556 spectra increases with increasing porosity. This denotes that a more porous geometry likely  
 557 creates small-scale and intermittent structures within the wake flow that directly affect the  
 558 falling trajectory of the particle, making it more stable (**D1**) (Cummins et al., 2018; Tagliavini  
 559 et al., 2021b), while more porous shapes (low shape porosity) promote medium- and large-  
 560 scale, temporally unstable structures that give rise to oscillating or *chaotic* falling behavior  
 561 (**CC**, **MR**).

## 562 4 Conclusions

563 This study employed Proper Orthogonal Decomposition (POD) and Dynamic Mode De-  
564 composition (DMD) to investigate the wake flow structures of three distinct snowflake geome-  
565 tries: a dendrite crystal (**D1**), a columnar crystal (**CC**), and a rosette-like crystal (**MR**).  
566 Our comprehensive analysis has yielded significant insights into the complex relationships  
567 between snowflake shape, wake flow characteristics, and falling behavior.

568 POD revealed that spatial resolution sensitivity depends on the type of structures within  
569 the snow particle wake. For particles with steady falling motions (**D1**), the wake flow dis-  
570 played small, organized structures and the impact of spatial resolution was minimal. However,  
571 for particles with more complex wake flow (**CC** and **MR**), where larger structures are present,  
572 lower spatial resolution affected the information that the POD was able to capture at lower  
573 wavenumbers. This finding underscores the importance of spatial resolution considerations  
574 in experimental data interpretation, particularly for particles with complex wake flow.

575 DMD provided crucial insights into both temporal and spatial dynamics of snowflake  
576 wake flows. We found that temporal resolution significantly affects how well the recon-  
577 structed temporal spectra from DMD match the forces' spectra, especially for particles with  
578 strong wake flow instabilities. Including particle forces in the snapshot matrix improved the  
579 representation of high-frequency dynamics and mitigated information loss at lower sampling  
580 rates, particularly for particles with unsteady falling motions. Spatially, the DMD analysis  
581 showed distinct wake flow structures for each particle geometry. **D1** exhibited small-scale  
582 structures, consistent with its stable falling motion. **CC** showed lateral flow separation at the  
583 column caps, with larger structures with marginally more intricate patterns. **MR** displayed  
584 randomly distributed large, coherent structures, aligning with its *chaotic* fall trajectory. The  
585 inclusion of forces in the snapshot matrix had a less decisive effect on the spatial dynamics,  
586 as compared to its effect on temporal dynamics, producing a slight increase in the spectral  
587 energy content for the case of particle **D1**.

588 A key contribution of this study is the establishment of quantitative links between par-  
589 ticle shape (characterized by shape porosity), wake flow characteristics, and snow particle  
590 falling motion. We observed linear trends between particle porosity and the extracted DMD  
591 parameters, i.e. eigenfrequencies, growth or decay rates, and wavenumbers. High-porosity  
592 particles (**D1**) exhibited more stable, small-scale flow structures in the wake, corresponding



593 to steady falling behavior, while low-porosity particles (**CC** and **MR**) generated larger, less  
594 stable structures associated with more complex falling trajectories. The inclusion of force  
595 data stressed the complex interplay between snowflake shape, wake flow features, and particle  
596 falling behavior, offering a more complete understanding of snowflake aerodynamics.

597 This study has significantly advanced our understanding of the wake flow of complex-  
598 shaped snow particles, providing both theoretical insights and practical tools for predicting  
599 snowflake falling behavior. Future work could extend this analysis to a broader range of  
600 snowflake geometries to further elucidate the relationships between particle shape, wake flow  
601 characteristics, and falling trajectories, which will allow for more accurate and reliable snow  
602 precipitation models, with far-reaching implications for weather forecast.

## 603 **Authors' contribution**

604 *Giorgia Tagliavini*: conceptualization; data curation; formal analysis; investigation; method-  
605 ology; software; visualization; writing of the original draft.

606 *Markus Holzner*: reviewing and editing of the final draft; partial funding.

607 *Pascal Corso*: conceptualization; formal analysis; investigation; methodology; reviewing and  
608 editing of the drafts; software; supervision.

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612 Engineering at ETH Zürich. The modal analysis calculation was supported by the computing  
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616 incorporating forces data in the Dynamic Mode Decomposition matrix and *Nebojsa Dubocanin*  
617 for his preliminary work on the code related to modal analysis on 2D numerical data which  
618 was improved and extended to produce the results presented in this manuscript.

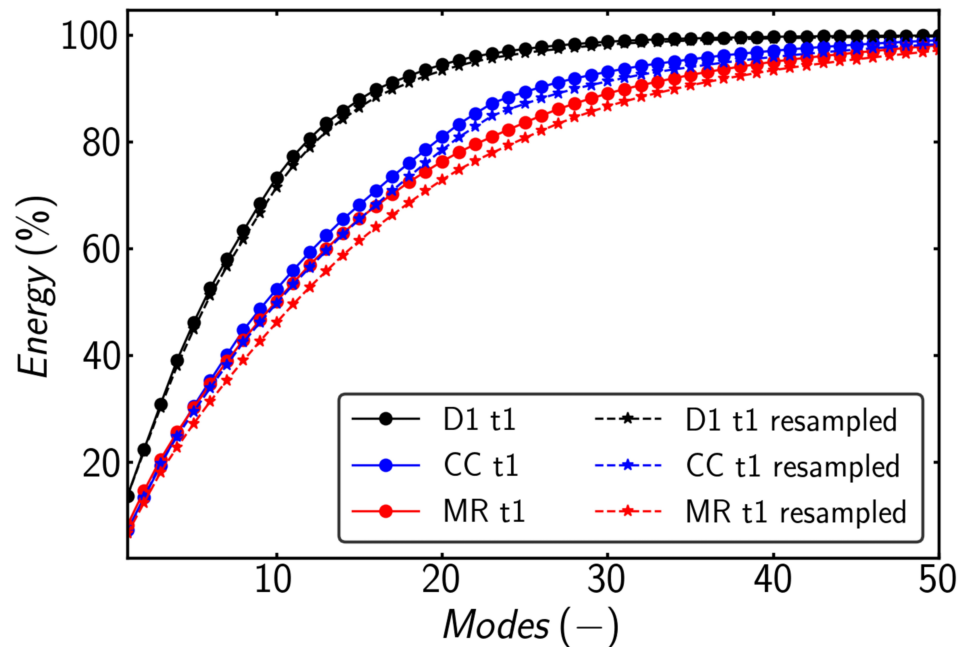
619 Last but not least, the authors would like to thank *Dr. Mark McCorquodale* and *Dr. Chris*  
620 *Westbrook* for sharing the experimental data, which provided crucial information for the  
621 validation of the numerical model and the interpretation of the results of the current article.

## 622 **Conflict of interest**

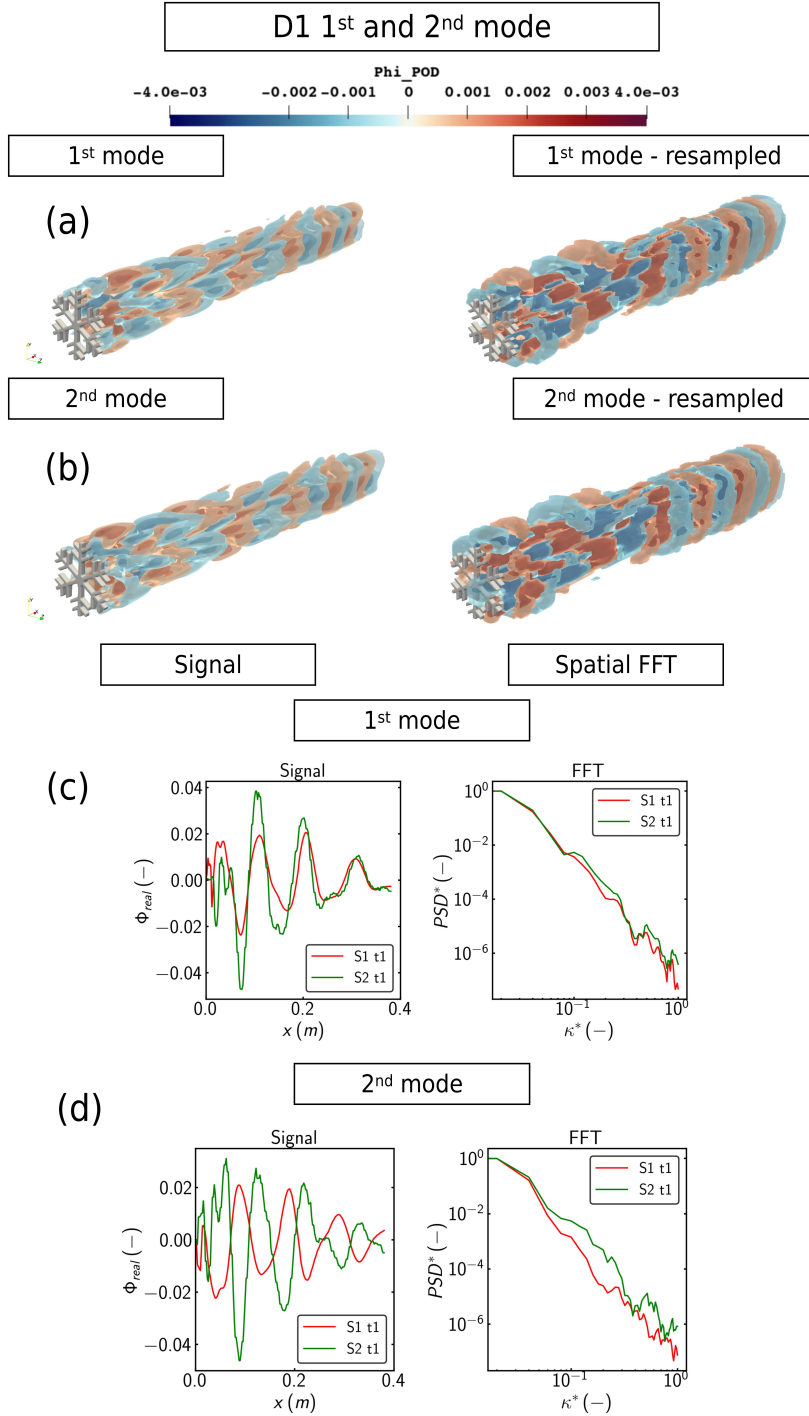
623 The authors declare to have no conflict of interest.

## 624 **Data and materials availability**

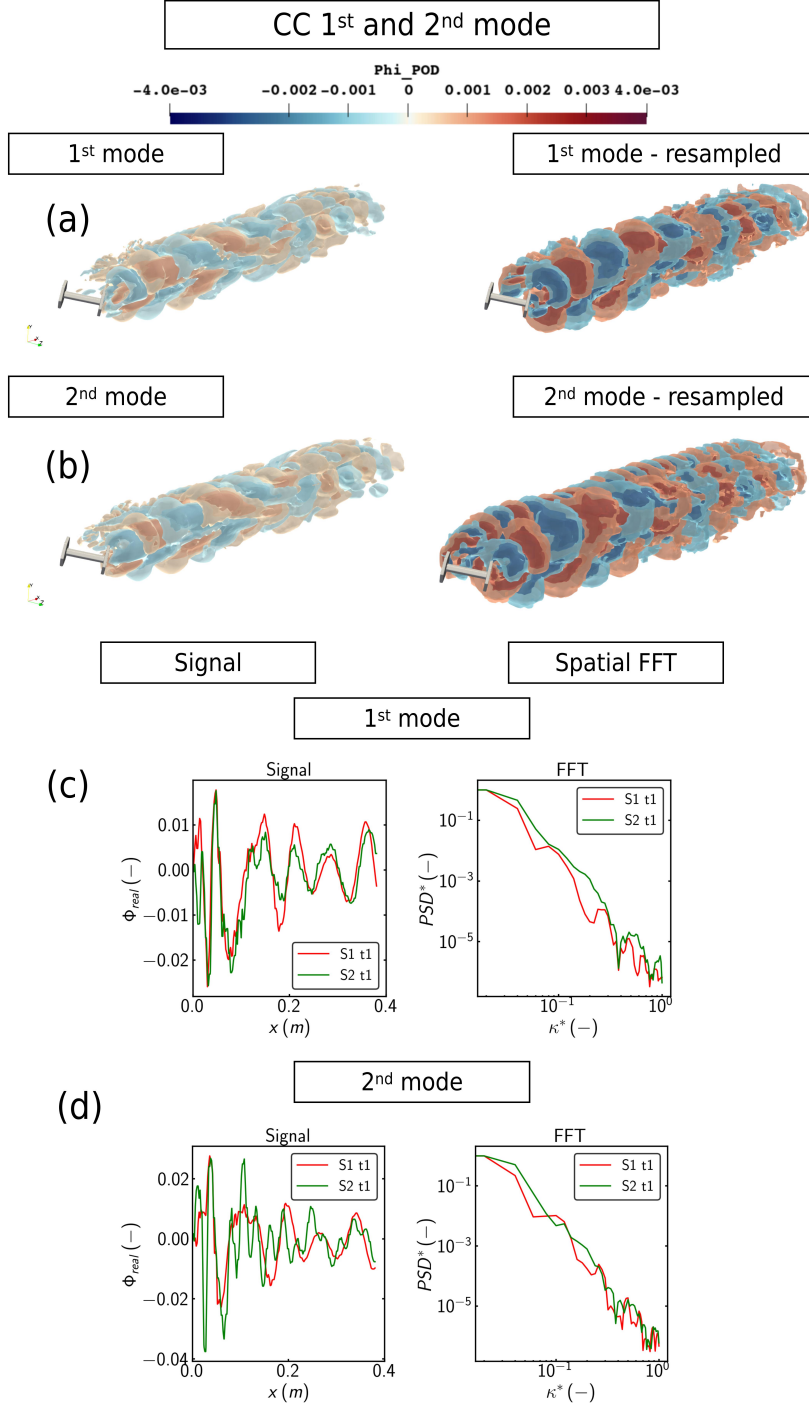
625 The data that support the findings of this study are available from the corresponding author  
626 upon reasonable request.



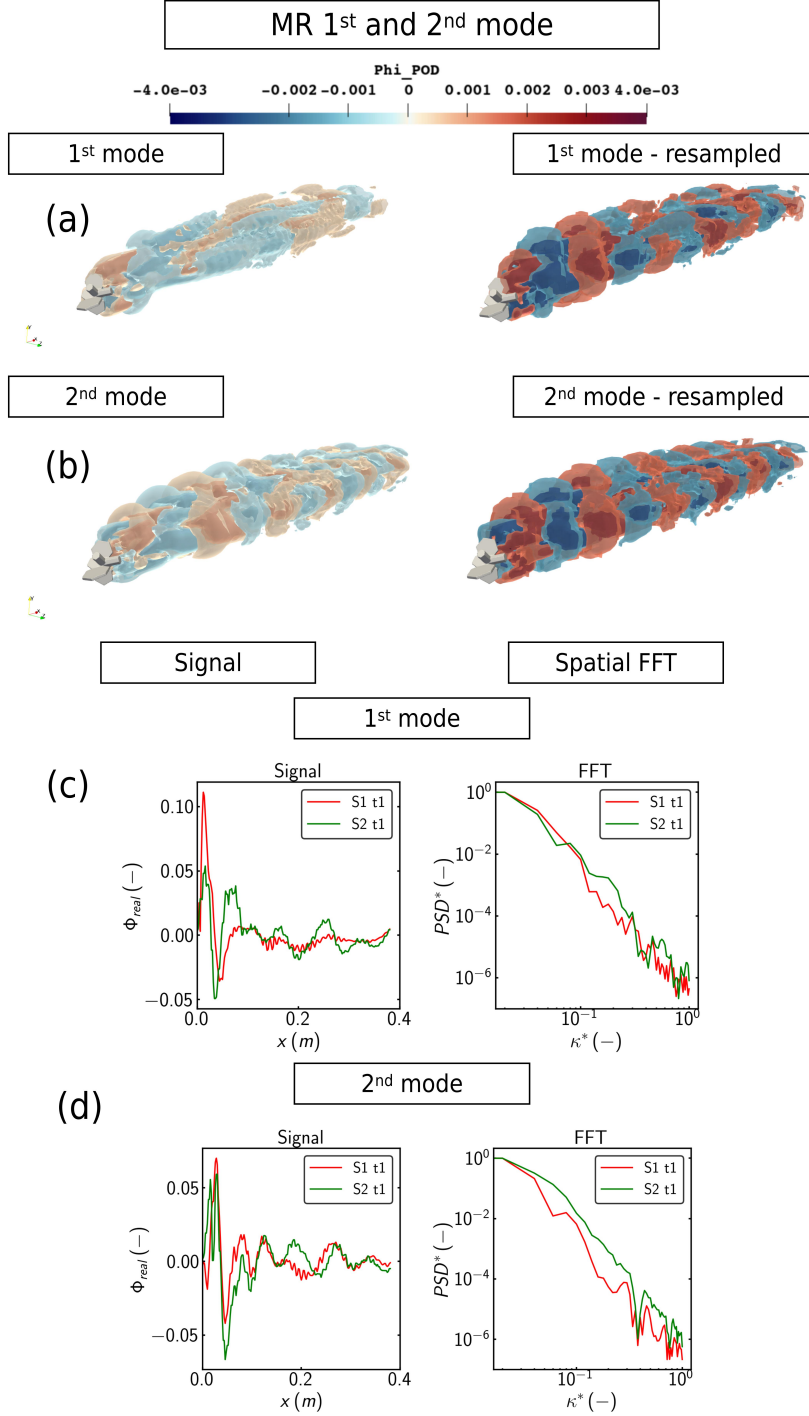
**Figure 1:** Cumulative energy percentage carried by each mode extracted from POD (Equation (25)) with the highest sampling frequency ( $\Delta t_1$ ). The comparison is made between the original ( $S1$ ) and the resampled numerical data ( $S2$ ), as explained in Section 2.4.



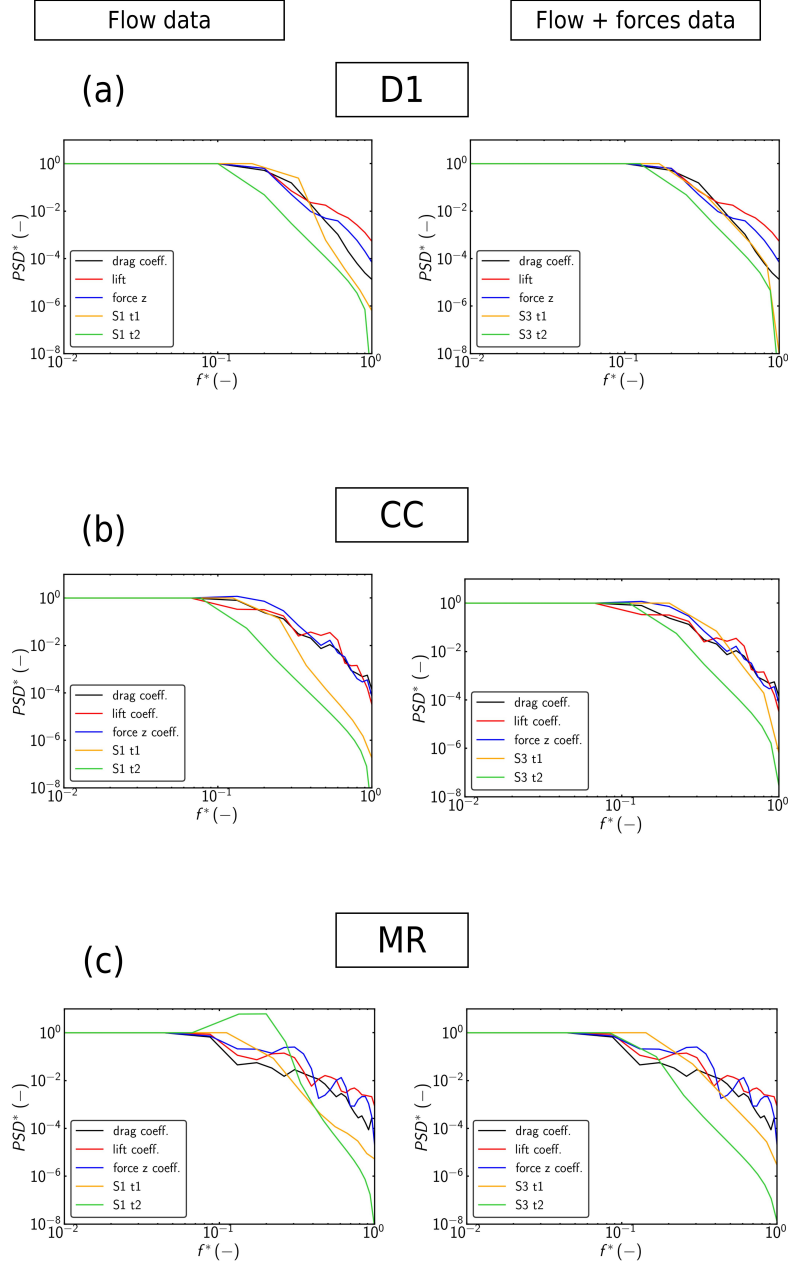
**Figure 2:** First and second mode resulting from Proper Orthogonal Decomposition performed on the numerical (left) and the resampled data sets (right), respectively, pertaining to particle **D1**. The top part of the figure qualitatively illustrates the spatial distribution of the first (a) and the second (b) mode, while the bottom part shows the quantitative comparison between the spatial signals and their power spectral density of **S1** and **S2** for the first (c) and second (b) POD mode. The power spectral density and wavenumber are normalized using their maximum values  $PSD^* = PSD/PSD_{max}$  and  $\kappa^* = \kappa/\kappa_{max}$  (see Section 2.4).



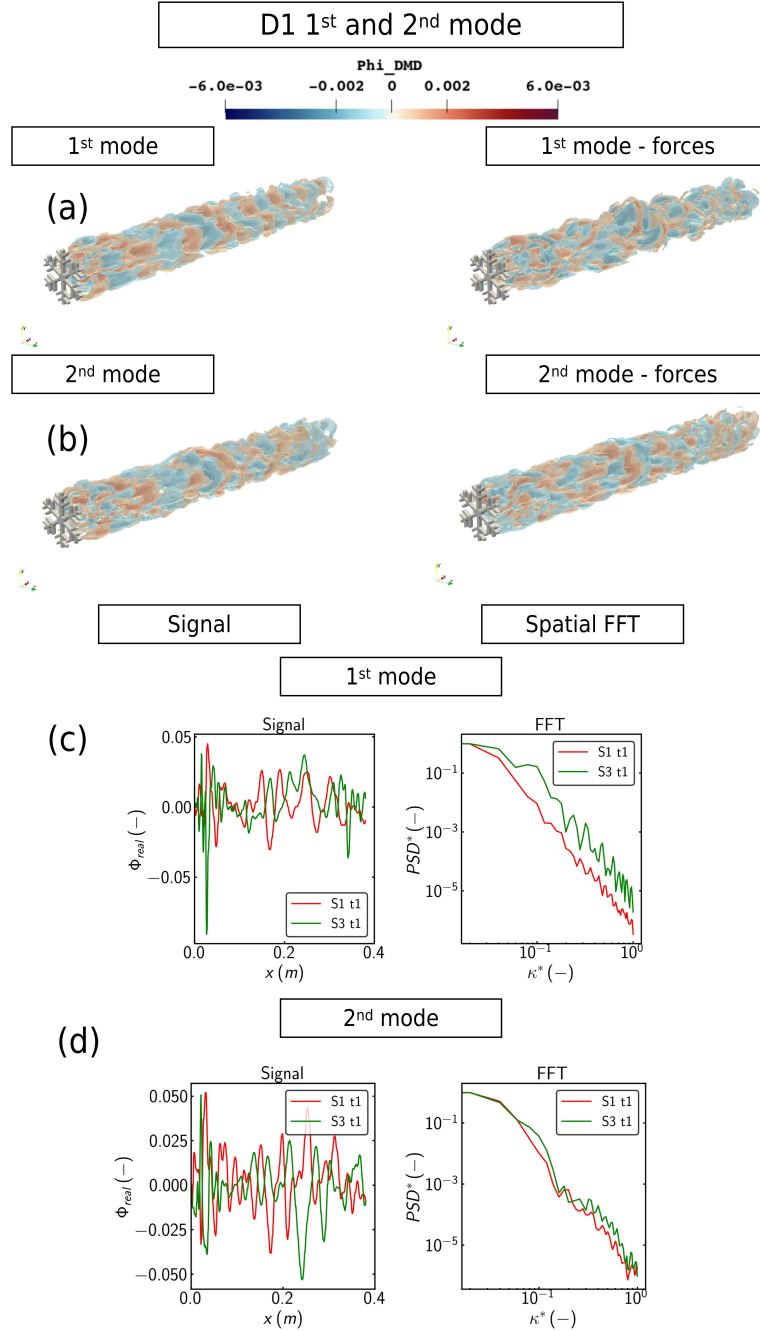
**Figure 3:** First and second mode resulting from Proper Orthogonal Decomposition performed on the numerical (left) and the resampled data sets (right), respectively, pertaining to particle **CC**. The top part of the figure qualitatively illustrates the spatial distribution of the first (a) and the second (b) mode, while the bottom part shows the quantitative comparison between the spatial signals and their power spectral density of **S1** and **S2** for the first (c) and second (b) POD mode. The power spectral density and wavenumber are normalized using their maximum values  $PSD^* = PSD/PSD_{max}$  and  $\kappa^* = \kappa/\kappa_{max}$  (see Section 2.4).



**Figure 4:** First and second mode resulting from Proper Orthogonal Decomposition performed on the numerical (left) and the resampled data sets (right), respectively, pertaining to particle **MR**. The top part of the figure qualitatively illustrates the spatial distribution of the first (a) and the second (b) mode, while the bottom part shows the quantitative comparison between the spatial signals and their power spectral density of **S1** and **S2** for the first (c) and second (d) POD mode. The power spectral density and wavenumber are normalized using their maximum values  $PSD^* = PSD/PSD_{max}$  and  $\kappa^* = \kappa/\kappa_{max}$  (see Section 2.4).

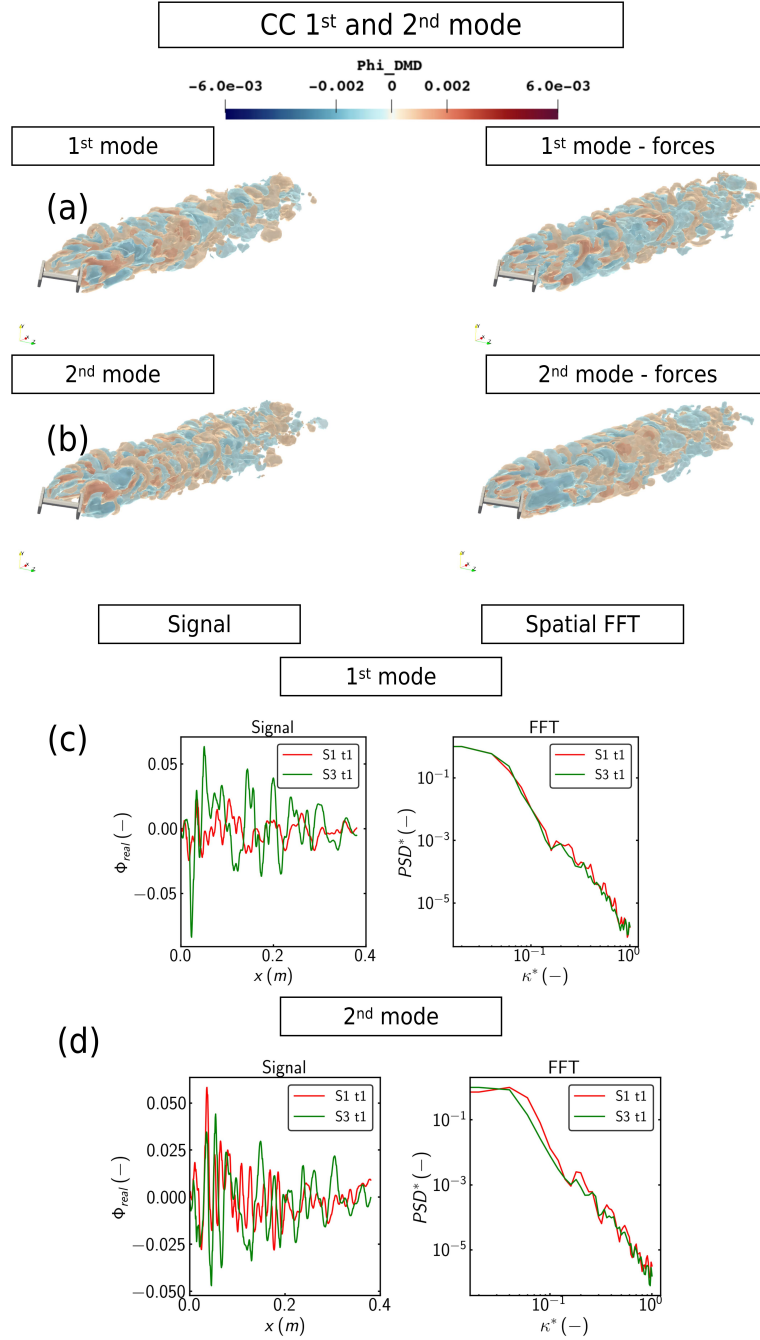


**Figure 5:** Comparison of the power spectra for all the three snowflake geometries **D1** (a), **CC** (b), and **MR** (c). The reconstructed temporal signal (see Equation (22)) of the first 100 DMD modes, of data sets **S1** and **S3** and for both sampling rates  $\Delta t_1$  and  $\Delta t_2$ , is compared with the temporal signal of the forces acting on each particle in  $x$  (drag),  $y$ , and  $z$  (lift components) direction. On the left side the data of the numerical flow field are presented, whereas on the right those including the forces acting on the particles are shown. The power spectral density and frequency are normalized with their maximum values  $PSD^* = PSD/PSD_{max}$  and  $f^* = f/f_{max}$  (see Section 2.4).

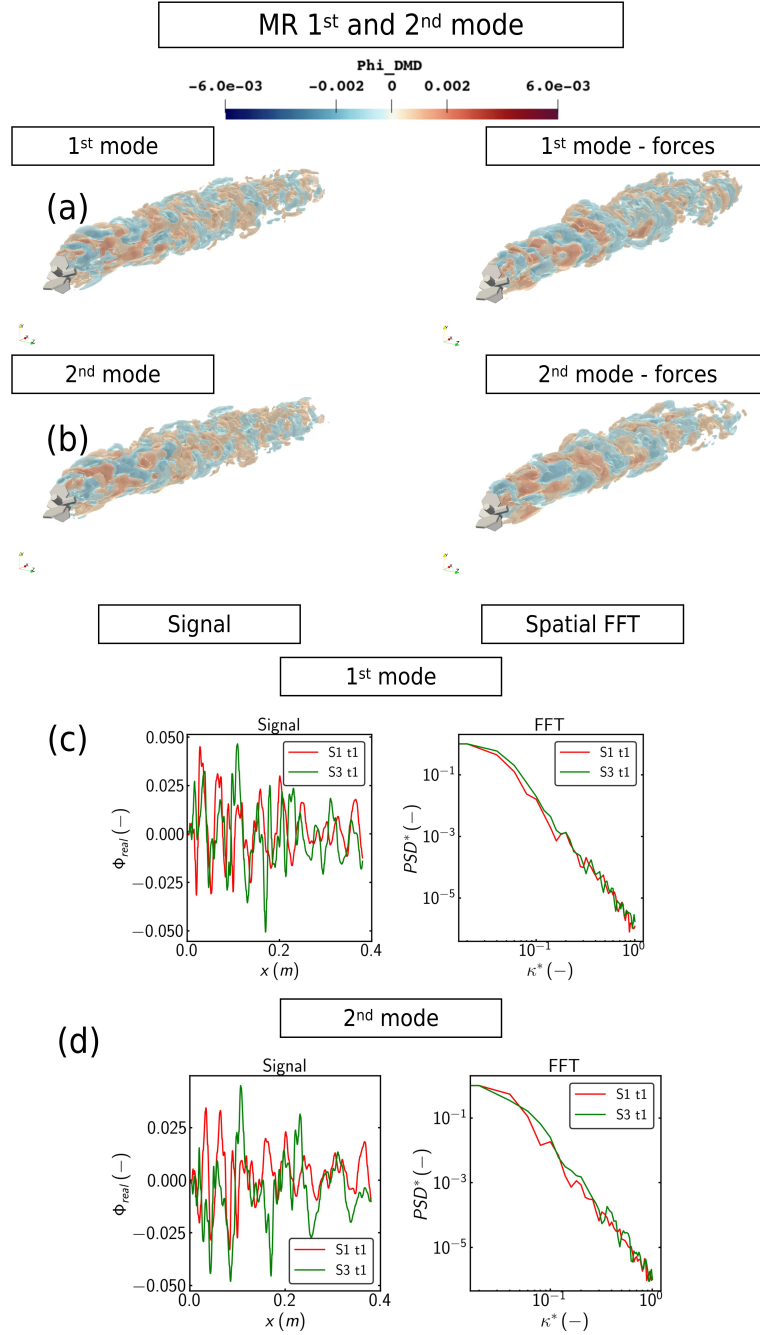


**Figure 6:** First and second mode resulting from Dynamic Mode Decomposition performed on the numerical data (left) and the numerical data with the forces included in the snapshot matrix (right), pertaining to particle **D1**. The top part of the figure qualitatively illustrates the spatial distribution of the first (a) and the second (b) mode, while the bottom part shows the quantitative comparison between the spatial signals and their power spectral density of **S1** and **S3** for the first (c) and second (d) DMD mode. The power spectral density and wavenumber are normalized with their maximum values  $PSD^* = PSD/PSD_{max}$  and  $\kappa^* = \kappa/\kappa_{max}$  (see Section 2.4).

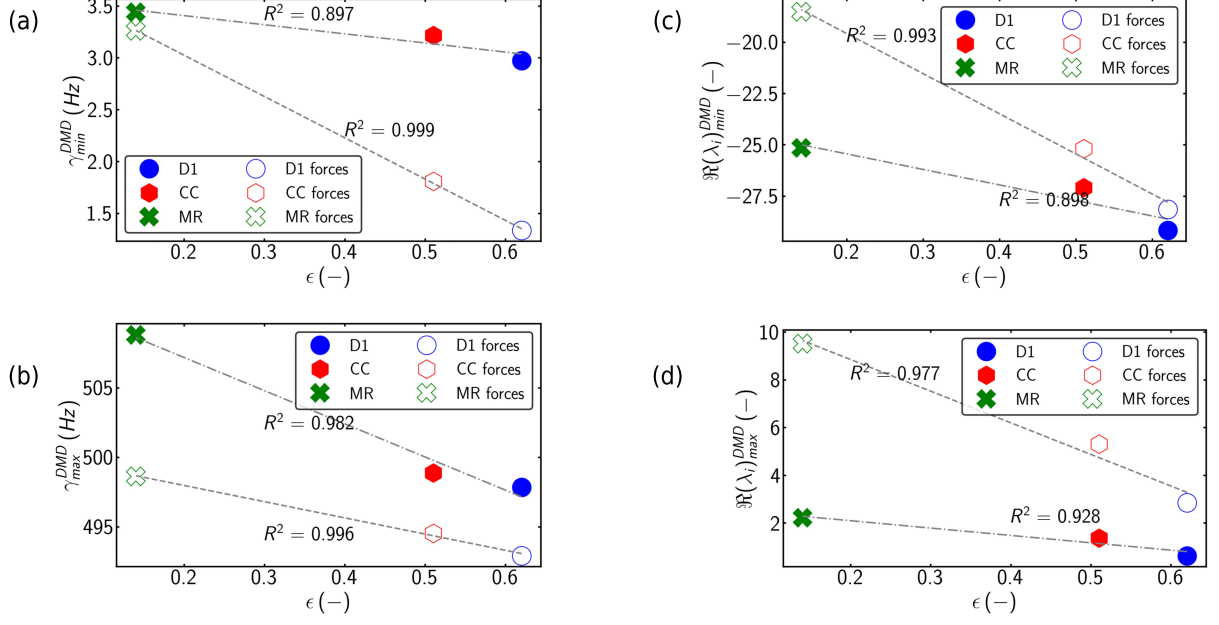




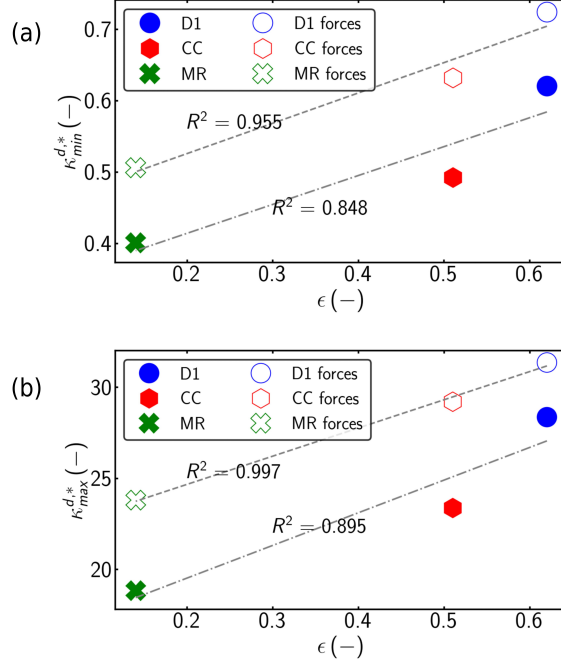
**Figure 7:** First and second mode resulting from Dynamic Mode Decomposition performed on the numerical data (left) and the numerical data with the forces included in the snapshot matrix (right), pertaining to particle **CC**. The top part of the figure qualitatively illustrates the spatial distribution of the first (a) and the second (b) mode, while the bottom part shows the quantitative comparison between the spatial signals and their power spectral density of **S1** and **S3** for the first (c) and second (d) DMD mode. The power spectral density and wavenumber are normalized with their maximum values  $PSD^* = PSD/PSD_{max}$  and  $\kappa^* = \kappa/\kappa_{max}$  (see Section 2.4).



**Figure 8:** First and second mode resulting from Dynamic Mode Decomposition performed on the numerical data (left) and the numerical data with the forces included in the snapshot matrix (right), pertaining to particle **MR**. The top part of the figure qualitatively illustrates the spatial distribution of the first (a) and the second (b) mode, while the bottom part shows the quantitative comparison between the spatial signals and their power spectral density of **S1** and **S3** for the first (c) and second (d) DMD mode. The power spectral density and wavenumber are normalized with their maximum values  $PSD^* = PSD/PSD_{max}$  and  $\kappa^* = \kappa/\kappa_{max}$  (see Section 2.4).



**Figure 9:** Relation between shape porosity (Equation (24)) and the minimum  $\gamma_{min}^{DMD}$  (a) and maximum  $\gamma_{max}^{DMD}$  (b) DMD eigenfrequencies and minimum  $\Re(\lambda_i^{DMD})_{min}$  (c) and maximum  $\Re(\lambda_i^{DMD})_{max}$  (d) absolute decay or growth rate of the first 100 DMD modes for each snow particle geometry (Section 2.4). The full symbols represent the numerical data sets, while the hollow markers refer to the numerical data with the forces added in the snapshot matrix. A trend line is also shown together with the quality of the fitting ( $R^2$ ) to highlight the improvements obtained when the forces are taken into account. For the slope value of each trend line, see Table A1 in the Supplementary Material.



**Figure 10:** Relation between shape porosity (Equation (24)) and the minimum  $\kappa_{DMD,min}^{d,*}$  (a) and maximum  $\kappa_{DMD,max}^{d,*}$  (b) wavenumber of the averaged spatial signal of the first DMD mode for each snow particle geometry (Section 2.4). The first mode is selected because it is the most energetic one and generally carries the majority of the information regarding the wake flow structures. The full symbols represent the numerical data sets, while the hollow markers refer to the numerical data with the forces added in the snapshot matrix. A trend line is also shown together with the quality of the fitting ( $R^2$ ) to highlight the improvements obtained when the forces are taken into account. For the slope value of each trend line, see Table A1 in the Supplementary Material.

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