

# Trastuzumab Treatment beyond Progression in Advanced Breast Cancer: Patterns of Care in Six Swiss Breast Cancer Centers

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## Key Words

Breast metastases · Chemotherapy · Clinical practice · HER2 · Lapatinib · Metastatic breast cancer · Trastuzumab

## Abstract

**Background:** Trastuzumab is an established treatment for HER2-positive breast cancer (BC). We analyzed Swiss patterns of care in patients with HER2-positive BC after disease progression on trastuzumab-containing therapy for metastatic BC (MBC). **Patients and Methods:** A retrospective analysis was performed in six Swiss BC centers. Patients with HER2-positive MBC treated with at least one infusion of trastuzumab for advanced disease between January 2006 and December 2007 were identified. Treatment patterns in first and further lines were analyzed. **Results:** All of the 72 identified patients received trastuzumab as their first palliative anti-HER2 therapy, either as monotherapy (n = 23) or in combination with chemotherapy (typically taxane or vinorelbine; n = 49). Median time to progression was 8.1, 8.0 and 7.9 months in the monotherapy, trastuzumab-taxane and trastuzumab-vinorelbine cohorts, respectively. After progression on first-line anti-HER2 therapy, trastuzumab was continued in 67 of 68 patients who received further therapy.

One patient received second-line lapatinib plus capecitabine. The median duration of anti-HER2 therapy was 20 months. Patients received a median of 4 lines of anti-HER2 therapy. **Conclusions:** Durable responses were achieved with repeated exposure to anti-HER2 therapy. In a selected patient population, trastuzumab monotherapy appears to be a reasonable first-line treatment option.

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## Introduction

Trastuzumab-based treatment is the standard of care for patients with human epidermal growth factor receptor 2 (HER2)-positive breast cancer. Trastuzumab has been shown to significantly improve outcomes, including overall survival, in randomized phase III trials in both early and metastatic breast cancer. In HER2-overexpressing metastatic breast cancer (MBC), Slamon et al. [1] demonstrated significantly improved time to disease pro-

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gression (TTP; primary endpoint), response rate and overall survival in patients randomized to trastuzumab in combination with chemotherapy compared with patients receiving chemotherapy alone. This observation was confirmed in the M77001 trial evaluating docetaxel with or without trastuzumab as first-line therapy for MBC [2]. More recently, the TAnDEM (Trastuzumab and Anastrozole Directed Against ER-Positive HER2-Positive Mammary Carcinoma) trial showed that the addition of trastuzumab to anastrozole in postmenopausal women with HER2-positive hormone-receptor-positive MBC significantly improved progression-free survival (PFS) and the clinical benefit rate [3]. A phase II study showed that trastuzumab is active as monotherapy in the first-line treatment of HER2-positive MBC, with response rates of up to 35% and a median TTP of almost 4 months [4].

Unfortunately, most patients will eventually experience disease progression, posing a therapeutic challenge. Lapatinib in combination with capecitabine is an approved option in this setting, based on results from the E100151 randomized phase III trial [5]. Patients previously treated with an anthracycline, a taxane and trastuzumab were randomized to capecitabine either alone or in combination with lapatinib. TTP was significantly improved in the combination arm (hazard ratio 0.49,  $p < 0.001$ ; median 8.4 months vs. 4.4 months with capecitabine alone). Another strategy after progression on trastuzumab is to continue with trastuzumab but to switch to another chemotherapy regimen. Retrospective data suggested that administration of trastuzumab in multiple treatment lines is beneficial compared with discontinuing trastuzumab at first progression [6–14]. These retrospective data were subsequently confirmed in a prospective randomized trial conducted by the German Breast Group (GBG26) in which patients with progression on trastuzumab-containing therapy received either capecitabine alone or capecitabine combined with trastuzumab beyond disease progression [15]. Compared with capecitabine alone, continuation of trastuzumab was associated with a higher response rate and significantly improved TTP (primary endpoint; hazard ratio 0.69; median 8.2 vs. 5.6 months, respectively). Despite these observations, considerable variation exists in the use of trastuzumab both in the first-line setting and after trastuzumab failure. The aim of this retrospective cohort analysis was to describe and understand treatment decision algorithms and to document patient outcomes in Swiss clinical practice.

## Patients and Methods

The main objective of the study was to describe therapeutic strategies in Swiss clinical practice in patients with HER2-positive MBC. Physicians from six Swiss breast cancer centers participated in this retrospective cohort analysis. Medical records were reviewed to identify all patients with HER2-positive tumors (immunohistochemistry, IHC, 3+ or fluorescence in situ hybridization, FISH, positive) who received treatment in any line between January 2006 and December 2007, and who experienced progression on trastuzumab-containing therapy. From these records, data on tumor and patient characteristics, HER2 testing method, anti-HER2 therapy, chemotherapy, endocrine therapy, tumor response, duration of therapy, sites of metastasis, disease progression, survival and safety were collected on a case report form and entered into a central database. The data were then analyzed primarily using descriptive statistics. Data collection was finished in December 2009. Comparisons of TTP and overall survival according to chemotherapy regimen were performed using the Mann-Whitney U test and one- and two-sided Monte Carlo tests. Overall survival was calculated from the time of introduction of first non-adjuvant trastuzumab treatment. The Pearson  $\chi^2$  test was used to compare metastatic sites between the treatment groups.

The study was approved (if required) by the local ethics committee at each of the six participating institutions. The study was also approved by the Swiss Federal Commission of Professional Secrecy in Medical Research.

## Results

### Patient Population

In total, 72 patients were identified for analysis from six Swiss breast cancer centers. All had HER2-positive (IHC3+ or FISH-positive) MBC and had shown disease progression during or following trastuzumab given in the metastatic or relapsed setting. The date of the first breast cancer diagnosis ranged from 1990 to 2007. The median age of patients was 58 years (range 28–81). Two patients had received adjuvant trastuzumab. Nineteen patients had metastatic (stage IV) disease at first diagnosis. Details of tumor biology and prior therapy are shown in table 1.

### First-Line Treatment

The preferred first-line anti-HER2 treatment was trastuzumab in combination with chemotherapy ( $n = 49$ ), typically vinorelbine ( $n = 23$ ) or a taxane ( $n = 22$ ). The remaining 23 patients received trastuzumab monotherapy. No significant difference in patient characteristics was detected between the trastuzumab-alone group and the combination group (table 2). However, there was a trend ( $p = 0.08$ ) towards a higher proportion of patients

**Table 1.** Summary of baseline characteristics (n = 72)

	Value
Median age, years	58 (28–81)
Disease status	
M1 (primary metastatic)	19 (26)
Hormone receptor status at first diagnosis	
Estrogen receptor positive	40 (56)
Progesterone receptor positive	21 (29)
Prior treatment before trastuzumab	
Neoadjuvant chemotherapy	5 (7) <sup>a</sup>
Adjuvant chemotherapy	29 (40)
Anthracycline-based	22 (31) <sup>b</sup>
CMF alone	6 (8)
Other	1 (1)
Adjuvant trastuzumab	2 (3)
Adjuvant endocrine therapy	29 (40)
Prior treatment for advanced disease (non-trastuzumab)	24 (33)
Chemotherapy	14 (19) <sup>c</sup>
Endocrine therapy	20 (28)

Data presented as n (%) or median (range). CMF = Cyclophosphamide, methotrexate, 5-fluorouracil.

<sup>a</sup> Anthracycline-based in 4 of 5 patients, without anti-HER2 therapy in all cases.

<sup>b</sup> With taxane in 2 patients; with sequential CMF in 4 patients.

<sup>c</sup> Multiple lines in 5 patients.

**Table 2.** Patient characteristics before first-line therapy

	Trastuzumab		P value
	mono-therapy	with chemo-therapy	
Median age, years	55	59	–
Number of metastatic sites, %			
1	26	30	–
≤2	78	69	–
Metastatic sites, %			
Liver	34	59	0.08
Lung	34	31	0.79
Bone	70	55	0.31
Disease status at inclusion			
Primary metastatic, %	26	27	–
Disease-free interval, months <sup>a</sup>	45	43	–

Values of p calculated using Pearson's  $\chi^2$  test.

<sup>a</sup> n = 53, excludes patients presenting with metastatic disease.

**Table 3.** Summary of TTP according to first-line regimen

	Patients, n	Median TTP months	p value
Trastuzumab monotherapy	23	8.1	
Trastuzumab combined with chemotherapy	49	8.1	0.88
Taxane regimen	22	8.0	
Non-taxane regimen	27	7.9	0.88

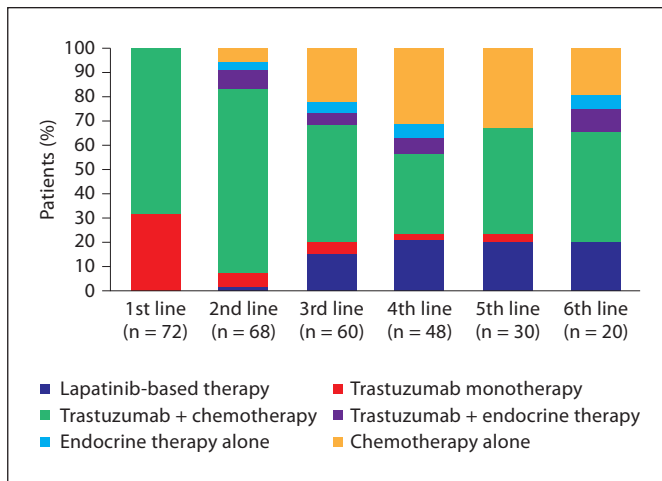
Values of p calculated with Mann-Whitney U test.

with liver metastases in the combination group (59 vs. 34% in the monotherapy group) that may have influenced treatment outcome. TTP data according to first-line trastuzumab regimen are shown in table 3. Median TTP was very similar in both treatment cohorts, irrespective of combination partner (no chemotherapy vs. chemotherapy; taxane vs. non-taxane).

#### Second and Subsequent Treatment Lines

After progression on the first line of trastuzumab-containing therapy, 68 of the 72 patients (94%) received further anti-HER2 therapy. This consisted of trastuzumab in all but 1 patient, who received lapatinib in combination with capecitabine. In the majority of cases, second-line treatment comprised trastuzumab in combination with chemotherapy (n = 51, 75%). As in the first-line setting, the predominant chemotherapy partners were vinorelbine (n = 27) or a taxane (n = 16). Eight patients receiving second-line chemotherapy with trastuzumab were re-exposed to the same trastuzumab-chemotherapy regimen as they had received in the first line (paclitaxel in 2 patients, docetaxel in 1 patient, vinorelbine in 5 patients). There was no detectable difference in TTP during second-line therapy between patients receiving the same versus another chemotherapy in combination with trastuzumab (median 8.6 vs. 7.1 months, respectively; p = 0.503). However, the patient numbers in these analyses are too small for meaningful comparison. With further treatment lines, the use of lapatinib combined with capecitabine increased, from 15% in the third-line setting to 20% in the sixth line (fig. 1), but it was still selected less frequently than trastuzumab-containing regimens.

The median total duration of anti-HER2 therapy was 20 months (range 0.7–60). After progression on first-line trastuzumab-containing treatment, patients received a median of 4 distinct lines of anti-HER2 therapy (range



**Fig. 1.** Summary of treatment according to line.

1–12). With every line of therapy after first administration of trastuzumab, there was a decrease in the median duration of anti-HER2 therapy and, in most cases, TTP (table 4). There was no apparent correlation between second-line TTP and previous use of trastuzumab as monotherapy or in combination with chemotherapy (median 5.1 months with trastuzumab monotherapy vs. 7.1 months with trastuzumab combined with chemotherapy;  $p = 0.92$ ). There was, however, a weak non-significant correlation between TTP in the first- and second-line settings.

Median overall survival in the entire study population was 32.3 months. There was no statistically significant difference in overall survival between those receiving trastuzumab as monotherapy in the first-line setting and those receiving trastuzumab in combination with chemotherapy (median 36.6 vs. 30.0 months, respectively; Mann-Whitney U test,  $p = 0.063$ ).

#### Brain Metastases

Overall, 31 of the 72 patients (43%) developed brain metastases at some point during the study period. Treatments for brain metastases are summarized in table 5. Median overall survival after diagnosis of brain metastases was 9.8 months (95% CI 2.8–16.7). Trastuzumab therapy was the predominant treatment immediately after diagnosis of brain metastasis (17 of 31 patients, 55%). Lapatinib was used in 3 patients (10%) after diagnosis of brain metastases and in an additional 8 patients with brain metastases in subsequent lines. In total, 68% of all patients with brain metastasis received at least one anti-HER2 therapy during further lines of therapy.

**Table 4.** Summary of treatment duration and TTP according to line of therapy

	Treatment line					
	1st	2nd	3rd	4th	5th	6th
Median treatment duration of anti-HER2 therapy, months <sup>a</sup>	7.5	6.0	4.0	3.0	3.0	2.0
Median duration of chemotherapy, months	3.9	4.0	3.7	3.0	3.0	2.0
Median time to progression months	8.0	7.0	5.0	3.9	5.0	2.0

<sup>a</sup> Including maintenance treatment.

**Table 5.** Treatments in patients with brain metastases (n = 31)

	Patients n
<i>Systemic therapy immediately after diagnosis of brain metastases</i>	
Trastuzumab	17
Lapatinib <sup>a</sup>	3
Chemotherapy alone <sup>b</sup>	3
No systemic therapy	6
Unknown	2
<i>Anti-HER2-based treatment any time after diagnosis of brain metastases</i>	
Lapatinib and trastuzumab (all sequentially)	8
Trastuzumab alone <sup>c</sup>	10
Lapatinib alone	3
Neither lapatinib nor trastuzumab	8
Unknown	2

<sup>a</sup> 1 patient received trastuzumab in a subsequent line.

<sup>b</sup> 1 patient received lapatinib in a subsequent line.

<sup>c</sup> Including 2 patients for whom additional (sequential) lapatinib use is unknown.

#### Discussion

Trastuzumab is considered the standard first-line treatment for HER2-positive MBC and is typically administered in combination with chemotherapy [16]. Our observational study of clinical practice and treatment patterns indicates that in Swiss breast cancer centers, trastuzumab was administered as monotherapy (without chemotherapy) in one third of patients receiving first-line trastuzumab-based treatment for HER2-positive MBC.



The median TTP with first-line treatment was 8.1 months, both in patients receiving trastuzumab monotherapy and those in whom trastuzumab was combined with chemotherapy. The strategy of first-line trastuzumab monotherapy in selected patients did not appear to negatively influence overall survival. In fact, there were no detectable differences between the cohorts in age, number of metastatic sites before first-line therapy, initial disease status, disease-free interval and institution, although there was a numerically higher proportion of patients with liver metastases in the combination group (59 vs. 34% in the trastuzumab monotherapy group). This may have influenced outcome, and suggests that patients receiving trastuzumab alone had a better prognosis than those in whom trastuzumab combined with chemotherapy was selected. Consistent with this hypothesis, median TTP in our trial (8 months) is higher than the 4- to 5-month median TTP reported in trials of trastuzumab monotherapy in the literature [4].

Results from two small randomized trials comparing trastuzumab monotherapy with the combination of trastuzumab and chemotherapy as first-line treatment contrast in part with our observations. In the HERTAX trial ( $n = 99$ ), PFS was significantly worse with monotherapy compared with combination therapy (hazard ratio 2.51,  $p < 0.001$ ; median 3.9 vs. 9.4 months, respectively) [17]. However, when TTP was measured for the sequence of trastuzumab followed by chemotherapy, efficacy was similar (hazard ratio 1.33,  $p = 0.20$ ; median 9.9 vs. 9.4 months, respectively). There was no statistically significant difference in overall survival between the two groups. In the second trial ( $n = 107$ ), conducted in Japan, the sequence of trastuzumab monotherapy followed by chemotherapy and trastuzumab resulted in similar PFS (to second progression in the sequential arm) to first-line trastuzumab in combination with chemotherapy (median 12.4 vs. 14.6 months, respectively) [18]. However, overall survival was significantly superior for the combination treatment, resulting in premature termination of the trial. As with our study, there are some important caveats when interpreting the results of these two trials. In the HERTAX trial, patients starting with trastuzumab monotherapy were switched to chemotherapy at progression and trastuzumab maintenance therapy was not planned. Furthermore, in both trials there was no central HER2 testing. Consequently, less rigorous patient selection may have led to inappropriate use of trastuzumab monotherapy in some patients. In addition, both trials included relatively small numbers of patients.

In our retrospective cohort study, the proportions of patients receiving taxane or vinorelbine chemotherapy in combination with first-line trastuzumab were similar. There was no significant difference in TTP between these two regimens. This observation is consistent with results of two randomized trials, which showed similar efficacy with taxane or vinorelbine chemotherapy in combination with trastuzumab in the first-line setting [19, 20].

Despite the proven efficacy of trastuzumab in MBC, there is still variation in the approach to anti-HER2 therapy, particularly following disease progression on trastuzumab-containing therapy. The anti-HER2 treatment strategy for MBC in Swiss breast cancer centers typically involves multiple trastuzumab-containing regimens. Our results, which are similar to other retrospective studies, indicate that durable disease control can be achieved with repeated or prolonged exposure to trastuzumab. The median TTP of 6 months with second-line treatment in our study is consistent with reports in the literature [21, 22]. The predominant chemotherapy partners in the second-line setting were vinorelbine and taxane, as in the first-line setting.

Although the combination of lapatinib and capecitabine was approved in Switzerland in May 2007 after trastuzumab failure, and an early access program was available in 2007, the regimen was reserved until the third-line setting in the majority of patients in our study. Furthermore, even in later lines, the use of trastuzumab-based regimens was at least twice as high as that of lapatinib-based regimens. This finding is surprising when considering that data from the randomized E100151 trial of lapatinib and capecitabine were available during the study period, whereas use of trastuzumab beyond progression was supported only by retrospective and anecdotal data at that time. Data from the randomized GBG26 trial suggesting benefit with trastuzumab beyond progression were first presented in December 2007. It is possible that some patients in our study were not eligible for lapatinib, as the regimen was registered only for treatment after exposure to an anthracycline and a taxane. However, this is unlikely to explain why the use of lapatinib was so rare in later lines of therapy, where selection of trastuzumab remained more frequent. A more plausible reason for the preference for trastuzumab over lapatinib concerns practical difficulties with the use of lapatinib. Certainly during the treatment period analyzed in this study, many physicians were more familiar with trastuzumab treatment and were less experienced in the management of lapatinib side effects, and thus may have felt less comfortable with administering lapatinib than trastuzumab.

The proportion of patients with brain metastases in our study is in line with previous reports and showed a gradual increase with each line of therapy.

In conclusion, in our observational study, trastuzumab monotherapy was chosen in one third of patients in the first-line setting. In this selected patient population, trastuzumab monotherapy appeared to be as effective as trastuzumab in combination with chemotherapy in terms of TTP. However, it remains to be elucidated whether these selected patients may have had an even better outcome if they had received combined first-line immunotherapy. The randomized SAKK 22/99 trial has been designed to address this question by comparing trastuzumab alone vs. trastuzumab in combination with chemotherapy as first-line therapy for HER2-positive MBC, and its results are eagerly awaited.

Surprisingly, lapatinib was not used until the third-line setting; even in later lines, trastuzumab remained the preferred approach and only a minority of patients received lapatinib. The clinical practice adopted in Switzerland between 2006 and 2007, typically involving continued exposure to anti-HER2 treatment across several lines of chemotherapy, provided sustained disease control and median overall survival of 32 months.

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