

1 **A low affinity penicillin-binding protein 2x is required for**
2 **heteroresistance in *Streptococcus pneumoniae***

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30 **Abstract**

31 Heteroresistance to penicillin in *Streptococcus pneumoniae* is the ability of
32 subpopulations to grow at a higher antibiotic concentration than expected from the
33 minimal inhibitory concentration (MIC). This may render conventional resistance
34 testing unreliable and lead to therapeutic failure. We investigated the role of the
35 primary β -lactam resistance determinants, penicillin binding proteins PBP2b and
36 PBP2x and secondary resistance determinant PBP1a in heteroresistance to
37 penicillin. Transformants containing PBP genes from heteroresistant strain
38 Spain^{23F}₂₃₄₉ in non-heteroresistant strain R6 background were tested for
39 heteroresistance by population analysis profiling (PAP). We found that *pbp2x*, but not
40 *pbp2b* or *pbp1a* alone, conferred heteroresistance to R6. However, a change of
41 *pbp2x* expression is not observed and therefore expression does not correlate with
42 an increased proportion of resistant subpopulations. Additional *ciaR* disruption
43 mutants which have been described to mediate PBP-independent β -lactam
44 resistance revealed no heteroresistant phenotype by PAP.

45 We also showed, that the highly resistant subpopulations (HOM*) of transformants
46 containing low affinity *pbp2x* undergo an increase in resistance upon selection on
47 penicillin plates which partially reverts after passaging on selection-free medium.
48 Shotgun proteomic analysis showed an upregulation of phosphate ABC transporter
49 subunit proteins *pstS*, *phoU*, *pstB* and *pstC* in these highly resistant subpopulations.
50 In conclusion, the presence of low affinity *pbp2x* enables certain pneumococcal
51 colonies to survive in the presence of beta lactams. Upregulation of phosphate ABC
52 transporter genes may represent a reversible adaption to antibiotic stress.

53

54 **Introduction**

55 *Streptococcus pneumoniae* is an important human pathogen causing up to 11% of
56 child deaths per year (1). Although initially very susceptible to penicillin, resistance to
57 this antibiotic in *S. pneumoniae* has become a global concern within a few decades.
58 Today, a small number of resistant clones dominate the global resistance
59 epidemiology (2, 3). The three main penicillin resistance determinants are altered
60 penicillin-binding proteins PBP2x, PBP2b and PBP1a, which are responsible for the
61 final crosslinking of the peptidoglycan in the bacterial cell wall (4, 5). PBP variants
62 with low affinity to penicillin are acquired by horizontal gene transfer followed by
63 homologous recombination events with commensal streptococci as donor species,
64 giving rise to mosaic genes (4, 6-8). As detected for a clone of serotype 23F, which
65 spread intercontinentally, the presence of low affinity variants confers increased
66 penicillin resistance (9).

67 Besides PBPs, mosaic structures in the first cell wall branching enzyme (MurM) allow
68 pneumococci to synthesize branched cell wall muropeptides which contribute to high
69 penicillin resistance in some strains (10, 11). In resistant laboratory mutants,
70 mutations in the two-component signal transduction system CiaRH (Competence
71 induction and altered cefotaxime susceptibility) have been identified that also
72 mediate β -lactam resistance. In addition, CiaRH is implicated in maintenance of cell
73 integrity, competence and virulence (12-14). Thus many resistance components have
74 to be optimized for high penicillin resistance to occur. However, other resistance
75 determinants have been occasionally described in resistant strains, too (15).

76 Heteroresistance is thought to facilitate the development of high penicillin resistance
77 (16). A heteroresistant bacterial strain has one or several subpopulations at a
78 frequency of 10^{-7} to 10^{-3} which can grow at higher antibiotic concentrations than
79 predicted by the minimal inhibitory concentration (MIC) for the majority of cells, which

80 all are identical genetically. Most studies focus on heteroresistance to methicillin,
81 oxacillin and vancomycin in staphylococci (17-23) but the phenomenon has been
82 described for pathogens of various species such as *Acinetobacter baumannii* (24, 25),
83 *Pseudomonas aeruginosa* (26, 27), *Enterococcus faecium* and *Mycobacterium*
84 *tuberculosis* (28, 29), but also fungi such as *Cryptococcus* spp (30, 31). In
85 pneumococci, heteroresistance has been reported to penicillin and fosfomycin, and is
86 likely to be produced via distinct mechanisms (16, 32). Understanding heterogeneity
87 between single cells is challenging as conventional assays of microbial populations
88 consider averaged values of thousands or millions of cells (33). Therefore, the
89 mechanism of heteroresistance remains unclear to date. A categorization into four
90 classes according to the frequencies of subpopulations with higher resistance has
91 been suggested based on work on methicillin resistant staphylococci (23). For
92 pneumococci, class II and class III heteroresistance have been observed (16). A
93 strain with class II heteroresistance grows subpopulations with higher resistance at a
94 frequency of 10^{-6} to 10^{-4} . Furthermore, presence of several subpopulations with
95 different MICs is characteristic leading to a continuous decreased frequency of
96 subpopulations in the PAP curve. In contrast, in a class III heteroresistant strain one
97 subpopulation, represented by a plateau in the PAP curve, is predominant.

98 In this study we uncover a mechanism of penicillin heteroresistance in *S.*
99 *pneumoniae* by transferring *pbp* genes between heteroresistant and non-
100 heteroresistant strains and by a shotgun proteomic approach to study the highly
101 resistant subpopulations.

102

103 **Materials and Methods**

104 **Bacterial strains and growth conditions.** Spain^{23F}₂₃₄₉ and Spain^{6B}-two international
105 reference strains of *Streptococcus pneumoniae*, the laboratory strain R6 and a
106 selection of transformants and progeny of these strains were used in this study. All
107 strains used to investigate the heteroresistance phenomenon are listed in Table 1.
108 Strains used for cloning are listed in Table S1. Bacterial conservation and growth
109 procedures have been described before and are briefly mentioned in Materials and
110 Methods in the supplemental material (16).

111 **Antibiotic Susceptibility Testing.** MICs were determined by E-test method
112 (bioMérieux, Switzerland) according to the manufacturer's protocol. All isolates were
113 tested in triplicate and the plates were incubated at 5% CO₂.

114 **DNA techniques.** Pneumococcal chromosomal DNA or cell pellet were used as PCR
115 templates (34). DNA fragments were amplified with high-fidelity iProof polymerase
116 (BioRad). Constructs were fused with either iProof or Phusion high-fidelity
117 polymerase (Thermo Scientific) as described elsewhere (35). Introduced genes were
118 sequenced as described here (36) to confirm correct insertion and absence of
119 additional mutations. DNA oligonucleotides used for PCR and sequencing are listed
120 in Table S2.

121 **Transformation procedure.** Transformation of *S. pneumoniae* was performed
122 according to published procedures (37, 38). The β -lactam concentrations used to
123 select mosaic *pbp2b* and *pbp2x* are specified below. Streptomycin (CAS 3810-74-0),
124 kanamycin (CAS 25389-94-0) and spectomycin (CAS 22189-32-8) all from Sigma
125 were used at 200 μ g/ml.

126 **Introduction of low-affinity mosaic PBP2b₂₃₄₉.** First, a 1858 bp gene fragment
127 containing the mosaic block was amplified from *S. pneumoniae* Spain^{23F}₂₃₄₉ using
128 Taq polymerase (Qiagen) and primers *pbp2b_for* and *pbp2b_rev*. Then, the PCR

129 product was cloned into pGEM-T Easy (Promega) creating plasmid pGEM-2bRes. *E.*
130 *coli* DH5 α was transformed with the ligation product and selected with X-gal/IPTG-LB
131 agar plate containing 100 μ g/ml ampicillin. Next, *S. pneumoniae* R6 was transformed
132 with pGEM-2bRes, the resistant clones were selected on CSBA plates containing
133 0.05 μ g/ml piperacillin (CAS 59703-84-3). One transformant, R6::*pbp2b*₂₃₄₉, which
134 contains a complete mosaic block (codon 982 to 1472) from Spain^{23F}₂₃₄₉ was used
135 for further study.

136 **Introduction of mosaic PBP1a**₂₃₄₉. Transformants R6::*pbp1a*₂₃₄₉ and
137 R6::*pbp2b1a*₂₃₄₉ were constructed as described before (34). Janus cassette was
138 amplified from R6*pbp2x*_{T338G}*pbp1a*::Janus with Ja-*pbp1a*_for and Ja-*pbp1a*_rev.
139 Presence of *pbp1a*₂₃₄₉ was verified in resulting mutants by DNA sequencing.
140 Construction of R6::*pbp2b2x*₂₃₄₉, R6::*pbp2b2x1a*₂₃₄₉, mosaic PBP2_{x6B} and PBP2_{x2349}
141 transformants and of loss-of-function CiaR derivative was done as described in
142 Materials and Methods in the supplemental material.

143 **Population analysis profiles (PAP).** PAP were performed for penicillin as
144 described earlier (16). Briefly, strains were streaked out from frozen stock on CSBA
145 plates and incubated for 24 h in a 5% CO₂ atmosphere at 37°C. Then an overnight
146 culture of 5 ml brain heart infusion (BHI) (BD Difco) with 5 % FBS (Biochrom AG,
147 Germany) was prepared and inoculated with 5 – 20 colonies. 100 μ l of overnight
148 culture was subcultured in 5 ml BHI + FBS and grown to mid log phase (OD_{600nm} =
149 0.7). The culture was diluted 10⁻² to 10⁻⁴ and 10⁻⁶ in PBS (pH = 7.4) and 100 μ l plated
150 on Müller-Hinton broth (MHB) agar plates (BD Difco) with 5% sheep blood. Penicillin
151 G (CAS 113-98-4) concentrations in MHBA plates ranged from 0 – 5.0 μ g/ml.
152 Colonies were counted by eye after 48 h of incubation at 37 °C in 5% CO₂.

153 **Growth curves.** Growth curves from double-mutants R6::*pbp2b2x*₂₃₄₉ and
154 R6::*pbp2x1a*₂₃₄₉ and their HOM* progeny strains were obtained in BHI+FBS 5% as

155 described before (39). A total of 5×10^5 CFU from frozen stock was used for
156 inoculation.

157 **Gene expression studies.** Bacteria were grown overnight in BHI+FBS 5% for 9h at
158 37°C. Attention was paid that OD_{600nm} of overnight culture did not exceed 0.8. 100 µl
159 of the overnight culture was rediluted in 5ml BHI+FBS and grown to an OD_{600nm} =
160 0.5. 5ml of the culture were added to 10ml RNA protect (Qiagen), RNA extracted and
161 expression of *pstS*, *pstB*, *pbp2x* and *pbp2b* was quantified as described elsewhere
162 by real-time RT-PCR (39). For primers and probes see Table S2. The remaining
163 culture was pelleted, then resuspended in 200 µl PBS + 15% glycerol and frozen at –
164 80°C.

165 **Detection of penicillin-binding proteins and comparison of protein expression
166 patterns by LC-MS/MS.**

167 Penicillin-binding proteins were detected as described previously (38). A brief
168 description is given in Materials and Methods in the supplemental material. The LC-
169 MS/MS method is described in the supplemental material, too.

170

171 **Results**172 **Heteroresistance to penicillin depends on the presence of a low-affinity PBP2x.**

173 First, we looked at the role of the primary and secondary resistance determinants,
174 PBP2b, PBP2x and PBP1a variants with low affinity to penicillin, in heteroresistance
175 to penicillin. Transformants carrying mosaic blocks of the *pbp* genes of the
176 heteroresistant strain Spain^{23F}₂₃₄₉ in the background of the non-heteroresistant
177 laboratory strain R6 were characterized in population analysis profiles (PAP).
178 Comparing the single-transformants carrying *pbp2b*₂₃₄₉ or *pbp1a*₂₃₄₉ no difference in
179 the heteroresistance phenotype from that of strain R6 was observed (Figure 1 A).
180 However, R6::*pbp2x*₂₃₄₉ showed class III heteroresistance, characterized by growth
181 of one subpopulation with higher resistance at a frequency of 10⁻⁴ to 10⁻³ (Table 1;
182 Figure 1A). The double-transformants carrying *pbp2b*₂₃₄₉ and *pbp1a*₂₃₄₉ in R6
183 background showed no heteroresistance, meaning no subpopulations growing with
184 higher resistance than the MIC (Figure 1 B). However, the combination of *pbp2b*₂₃₄₉
185 and *pbp2x*₂₃₄₉ led to class II heteroresistance, characterized by presence of several
186 subpopulations at a frequency of 10⁻⁴ to 10⁻¹ (Table 1). The transformant with
187 *pbp2x*₂₃₄₉ and *pbp1a*₂₃₄₉ showed higher resistant subpopulations at a frequency 10⁻⁵
188 to 10⁻⁴. Uniting the three resistance genes in a triple-transformant led to a phenotype
189 close to wild-type Spain^{23F}₂₃₄₉ with a class II heteroresistance pattern (Figure 1 C).
190 Replicates of PAP of R6 wild-type, *pbp* single, double and triple mutants were highly
191 reproducible (Figures S1 and S2). Thus, all transformants containing *pbp2x*₂₃₄₉
192 independent on the presence of other PBP genes from *S. pneumoniae* 2349 showed
193 heteroresistance phenotypes, but those with other PBP genes did not.

194 **Heteroresistance is also conferred by *pbp2x* of Spain^{6B}-2.** To assess whether
195 different C-terminal regions in *pbp2x* affect the heteroresistance phenotype, we
196 additionally created double-transformants carrying *pbp2x*_{6B} from non-heteroresistant

197 strain Spain^{6B}-2 instead of *pbp2x*₂₃₄₉ from heteroresistant strain Spain^{23F}₂₃₄₉. The
198 *pbp2x* sequence differences between both strains are shown in Figure S3.
199 Performing PAP, we found that combining *pbp2b*₂₃₄₉ and *pbp2x*_{6B} in R6 background
200 (Figures 2A and S4) leads to class II heteroresistance as observed for the
201 combination *pbp2b*₂₃₄₉ plus *pbp2x*₂₃₄₉ (Figure 1 B). Identical findings were also
202 obtained when combining *pbp1a*₂₃₄₉ and *pbp2x*_{6B} as this led to class III
203 heteroresistance as seen with *pbp2b*₂₃₄₉ plus *pbp2x*₂₃₄₉ (Figures 2B and S4).
204 Introduction of *pbp2x*_{6B} into R6 therefore led to the identical heteroresistance pattern
205 as did *pbp2x*₂₃₄₉. Compared to Spain^{6B}-2 and Spain^{23F}₂₃₄₉, non-heteroresistant R6
206 has a unique transpeptidase domain while its PASTA domain is nearly equal to
207 Spain^{6B}-2 (with the exception of Amino acid No. 693; Figure S3). This therefore
208 shows that the transpeptidase domain of PBP2x but not the two C-terminal PASTA
209 domains are involved in the heteroresistance phenotype.

210 **No influence of *ciaR* disruption on heteroresistant phenotype.** As the CiaRH
211 system can mediate PBP-independent β -lactam resistance we investigated whether
212 heteroresistance was lost upon silencing the CiaRH system by disruption of the
213 response regulator gene *ciaR*. It was found that *ciaR* disruption did not affect MICs
214 (Table 1) although in PAP R6 Δ *ciaR* displayed a slightly higher susceptibility to
215 penicillin than R6 WT. However, R6*pbp2x*₂₃₄₉ Δ *ciaR* retained heteroresistance to
216 penicillin as compared to R6::*pbp2x*₂₃₄₉ (Figures 2C and S4).

217 **PAP of highly resistant sub-populations (HOM*) progeny strains show shift**
218 **towards higher resistance which reverts partially after passaging on selection-**
219 **free media.** From PAP, highly resistant sub-populations (HOM*) progeny strains
220 were obtained. HOM* progeny strains were grown from a single colony picked from a
221 PAP plate with highest or second highest penicillin concentration showing growth.
222 The colony picked from PAP of the original transformant was HOM*1, progeny

223 selected from its PAP, HOM*2. The third generation HOM*3 was subjected to PAP
224 and also to 15 passages on antibiotic free medium to obtain HOM*3p. For
225 R6::*pbp2b2x*₂₃₄₉ HOM*1 a shift towards higher penicillin resistant subpopulations was
226 observed (Figure 3 A). The MIC determined for the majority of the population was
227 0.094 µg/ml penicillin. For HOM*2 progeny too, a shift towards higher resistance to
228 penicillin was observed for the subpopulations without change in the MIC. A
229 subsequent clone, HOM*3, however, possessed subpopulations with similar
230 resistance to penicillin to HOM*2 and also the MIC of HOM*3 progeny was the same
231 as for HOM*2 (Figure 3 A). After 15 passages on selection-free medium the MIC
232 determined for R6::*pbp2b2x*₂₃₄₉ HOM*3p had reverted to the initial level of 0.064
233 µg/ml penicillin (Table 1). Also, increase of resistance in subpopulations and MIC for
234 the entire population was observed for HOM* progeny of R6::*pbp2x1a*₂₃₄₉ (Figure 3
235 B). Again, no further increase in resistance was obtained for HOM*3 compared to
236 HOM*2. Also MICs as determined for the majority of cells increased from 0.047 µg/ml
237 penicillin for HOM*1 to 0.38 µg/ml penicillin for HOM*3 and reverted to 0.064 µg/ml
238 for HOM*3p. For HOM*2, HOM*3 and HOM*3p subpopulations grew at
239 concentrations up to 2 to 7 times MIC determined for the majority of cells within the
240 inhibition zone of E-Test (Table 1). Again, replicates of PAP of R6::*pbp2b2x*₂₃₄₉ and
241 its HOM were highly reproducible (Figures S5). Therefore, both R6::*pbp2b2x*₂₃₄₉
242 (Figure 3A) and R6::*pbp2x1a*₂₃₄₉ (Figure 3B) shift towards higher resistance despite
243 the heteroresistance class differ among the two original transformants (Figure 1B).
244 Also, MIC values measured for HOM*3p switch back to initial levels (Table 1).

245 **Altered growth for HOM* progeny strains**

246 For PAP, CFU are counted after 48 h of incubation at 37°C to account for potential
247 reduced growth of the subpopulation strains with higher resistance. However, to
248 characterize more precisely differences in growth phenotypes for double-

249 transformants, HOM*3 and HOM*3p progeny growth curves of these strains were
250 obtained. The original strains both grew to a maximum OD_{450nm} of 0.3 (Figure S6).
251 However, the R6::*pbp2b2x₂₃₄₉* HOM*3 strain showed a tendency to grow to a higher
252 OD than the original transformant (Figure S6 A), whereas for R6::*pbp2x1a₂₃₄₉* the
253 opposite was observed. The R6::*pbp2b2x₂₃₄₉* HOM*3p strain grew in a similar way to
254 the original transformant. Finally, HOM*3p progeny R6::*pbp2x1a₂₃₄₉* exhibited clearly
255 impaired growth (Figure S6 B).

256 **Altered protein expression levels in highly resistant sub-population progeny**
257 **strains.**

258 In order to identify components that might be responsible for the highly resistant
259 subpopulations, the protein profiles of R6::*pbp2b2x₂₃₄₉* original transformant and its
260 HOM*3 progeny were investigated by a shotgun liquid chromatography–tandem
261 mass spectrometry (LC–MS/MS) analysis. All of the quantifiable proteins (899 in
262 total) had expression differences smaller than 1.5 (0.5 in LOG₂ values as displayed
263 in Figure 4A). A small fraction of fifteen proteins had a statistically apparent
264 expression difference between the two samples, $p < 0.05$ (Students T-Test)
265 (Supplementary table S3). Within these, four had a ratio (HOM/WT) of > 0.25 and
266 were annotated as *pstS*, *phoU*, *pstB* and *pstC*, which are all subunit proteins of the
267 same phosphate ABC transporter operon (Figure 4A). No significant differences
268 were observed for the penicillin-binding proteins in this shotgun proteomic analysis.
269 Expression of two of the four genes (*pstS* and *pstB*) was subsequently quantified by
270 real time RT-PCR for strain R6::*pbp2b2x₂₃₄₉* and its HOM*1 and HOM*3 progeny
271 (Figure 4 B). Whilst no difference in gene expression was measured for HOM*1
272 progeny, HOM*3 progeny expressed *pstS* and *pstB* to about 100 fold higher levels
273 compared to the unselected population. We were able to confirm the increased
274 expression for *pstS* and *pstB* for the wt (low expression) and HOM*3 (high

275 expression) in two additional lineages (Figure S7). As for HOM*1, the findings are in
276 contrast as compared to the original experiment (Figure 4B) as we observed an
277 increased expression. However, heterogeneity for HOM*1 may be interpreted as a
278 'transition state' between wt and HOM*3. RT-PCR results therefore matched the LC-
279 MS/MS results showing significant upregulation of *pstS* and *pstB* in HOM*3. No
280 difference in *pstS* and *pstB* expression was detected in R6 WT compared to the
281 single and double mutants (data not shown).

282 **No differences in the expression of penicillin-binding proteins**

283 Although LC-MS/MS did not indicate any differences in the expression of the
284 penicillin-binding proteins, their expression was quantified and compared between
285 the double-transformants and their HOM*3 progeny strains using a different method.
286 Production of PBPs was determined by staining with BocillinFL, a fluorescence-
287 labeled β -lactam, and separation by SDS-PAGE. However, no increase in PBP was
288 detected in HOM*3 progeny compared to the original double transformants (Figures
289 4 C). This was also true when measuring *pbp* gene expression by real time RT-PCR
290 (Figure 4D).

291

292

293 **Discussion**

294 Heteroresistance describes the presence of one or several subpopulations of
295 bacterial cells within a clonal strain that can grow at higher antibiotic levels than
296 determined for the majority of cells. The phenomenon has been described for
297 pneumococci without shedding light on the molecular mechanism (16). In this study
298 we aimed to identify the relevant mechanisms to produce heteroresistance to
299 penicillin.

300 Our data suggest that a low-affinity variant of PBP2x is required for a heteroresistant
301 phenotype, which therefore assumes a key role in heteroresistance to penicillin. This
302 finding is similar to previous work in staphylococci where heteroresistance to
303 methicillin was observed upon insertion of *mecA* encoded PBP2a (19, 22).
304 Interestingly we found the combination of low-affinity PBPs to determine the
305 heteroresistance class of a strain and therefore the frequency of heteroresistant
306 subpopulations as established in *S. aureus* (23). As observed previously the
307 expressed heteroresistance class is a stable phenotypic trait (16, 23). PBP2b and
308 PBP2x are monofunctional enzymes catalyzing transpeptidation only, whereas
309 PBP1a exhibits transpeptidation and transglycosylation activity. It has been
310 suggested that at penicillin concentrations close to the MIC, transglycosylation
311 activity of PBP1a, not targeted by β -lactams, confers a critical degree of cell wall
312 integrity for growth as peptidoglycan is incompletely cross-linked as PBP
313 transpeptidase activity is hampered by penicillin (40, 41). Hence, in R6::*pbp2b2x*₂₃₄₉
314 transformant, only the transpeptidase activity of the susceptible PBP1a_{R6} is inhibited
315 by penicillin. The low-affinity PBP2x₂₃₄₉ might to some degree replace this function
316 (42). In R6::*pbp2x1a*₂₃₄₉ however, the essential PBP2b is targeted by penicillin (43).
317 The subpopulations with higher resistance arise therefore with lower frequency, but
318 when they occur they can grow to higher resistance levels. This is in agreement with

319 the observation that modified PBP1a are required for high penicillin resistance (44).
320 We report low-affinity PBP2x to be an essential tool in the production of penicillin
321 heteroresistant phenotype.

322 It has been hypothesized before, that auxiliary resistance genes in concert with low-
323 affinity PBP-variants produce a heteroresistant phenotype (16). Therefore we also
324 disrupted *ciaR* to test the influence of silenced CiaRH system, which mediates PBP
325 independent β -lactam resistance (12), on the heteroresistant phenotype. We found
326 heteroresistance to be conserved and conclude that the CiaRH system has a
327 negligible effect on the phenomenon. A previous study reports that total PBP
328 amounts found within bacteria do not differ between resistant and susceptible
329 pneumococci, nor does the amount increase when a subinhibitory concentration of
330 penicillin is present in the growth medium (45). We confirm this finding, as we could
331 not detect increased amounts of PBP or overexpressed *pbp* genes.

332 However, proteomic analysis of R6::*pbp2b2x*₂₃₄₉ original transformant and its HOM*3
333 progeny revealed a significant overexpression of some phosphate ABC transporter
334 subunit proteins. The function of most of these components is unknown. However,
335 increased expression of *pstS* has also been identified by proteomic analysis for a
336 clinical isolate of serotype 23F, recently (46). This is intriguing as the common finding
337 between our group and that of Soualhine *et al*, clearly shows the importance of *pstS*
338 for both penicillin resistance and heteroresistance within *S. pneumoniae*. Soualhine
339 *et al* furthermore described an excellent correlation between resistance and
340 increased expression of *pstS* by RT-PCR (46). In contrast, we did not find any
341 expression differences in R6 WT compared to the single and double mutants.
342 However, if and how the presence of different penicillin binding proteins affects *pstS*
343 expression has to be further investigated in the future.

344 In conclusion, we show the importance of classical resistance mechanisms,
345 represented by a low-affinity variant of PBP2x in the phenomenon of
346 heteroresistance to penicillin. Furthermore, we detected increased expression of
347 phosphate ABC transporter genes in the HOM* strains representing a reversible
348 adjustment to antibiotic stress. Improved understanding of the mechanism of
349 heteroresistance may lead to an improved diagnostics and to an adjustment of
350 antibiotic treatment.

351

352

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361

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495

Table 1: Strains of *S. pneumoniae* used in this study

Strain	MLST	Serotype	MIC penicillin [µg/ml]	Frequency of cells with higher penicillin resistance [†]	Heteroresistance class	Reference or source
Wild types						
R6	128	nt	0.023	-	-	(34, 47)
Spain ^{23F} ₂₃₄₉	81	23F	2.0	10 ⁻⁴ -10 ⁻³	II	(8, 34)
Spain ^{6B} -2	90	6B	1.5	-	-	(16)
<i>pbp</i>₂₃₄₉ transformants						
R6:: <i>pbp1a</i> ₂₃₄₉	128	nt	0.032	-	-	This study
R6:: <i>pbp2b</i> ₂₃₄₉	128	nt	0.032	-	-	This study
R6:: <i>pbp2x</i> ₂₃₄₉	128	nt	0.032	10 ⁻⁴ -10 ⁻³	II	(34)
R6:: <i>pbp2b1a</i> ₂₃₄₉	128	nt	0.032	-	-	This study
R6:: <i>pbp2b2x</i> ₂₃₄₉	128	nt	0.094	10 ⁻⁴ -10 ⁻¹	II	This study
R6:: <i>pbp2b2x</i> ₂₃₄₉ HOM*1	128	nt	0.094	10 ⁻⁵ -10 ⁻¹	II	This study
R6:: <i>pbp2b2x</i> ₂₃₄₉ HOM*2	128	nt	0.125	10 ⁻⁴ -10 ⁻²	II	This study
R6:: <i>pbp2b2x</i> ₂₃₄₉ HOM*3	128	nt	0.125	10 ⁻⁴ -10 ⁻²	III	This study
R6:: <i>pbp2b2x</i> ₂₃₄₉ HOM*3p	128	nt	0.094	10 ⁻⁴ -10 ⁻¹	II	This study
R6:: <i>pbp2x1a</i> ₂₃₄₉	128	nt	0.032	10 ⁻⁵ -10 ⁻⁴	III	(34)
R6:: <i>pbp2x1a</i> ₂₃₄₉ HOM*1	128	nt	0.064 (0.25) [‡]	10 ⁻⁵ -10 ⁻²	II	This study
R6:: <i>pbp2x1a</i> ₂₃₄₉ HOM*2	128	nt	0.064 (0.5) [‡]	10 ⁻⁴ -10 ⁻²	II	This study
R6:: <i>pbp2x1a</i> ₂₃₄₉ HOM*3	128	nt	0.094 (0.38) [‡]	10 ⁻⁵ -10 ⁻²	II	This study
R6:: <i>pbp2x1a</i> ₂₃₄₉ HOM*3p	128	nt	0.047 (0.5) [‡]	10 ⁻⁴ -10 ⁻²	III	This study
R6:: <i>pbp2x2b1a</i> ₂₃₄₉	128	nt	1.5	10 ⁻⁵ -10 ⁻³	II	This study
R6:: <i>pbp2b</i> ₂₃₄₉ <i>pbp2x</i> _{6B}	128	nt	0.125	10 ⁻⁵ -10 ⁻¹	II	This study
R6:: <i>pbp1a</i> ₂₃₄₉ <i>pbp2x</i> _{6B}	128	nt	0.125	10 ⁻⁵ -10 ⁻⁴	III	This study
<i>ciaR</i> disruption mutants (Δ<i>ciaR</i>)						
R6 <i>ciaR</i> :: <i>aad9</i>	128	nt	0.012	-	-	(48)
R6 <i>pbp2x</i> ₂₃₄₉ <i>ciaR</i> :: <i>aad9</i>	128	23F	0.012	10 ⁻² -10 ⁻⁴	II	This study

497

498 *MIC as determined by E-test, which was performed three times. Values were within one doubling dilution.

499

†Frequency of subpopulations with higher penicillin resistance levels as determined by PAP

500

‡MIC for subpopulation growing in the zone of inhibition of E-test

501

nt = non typeable

502

503

504 **Figure legends**

505 **Figure 1: Population analysis profiles (PAP) for single, double and triple**
506 **transformants.**

507 PAP for penicillin of *S. pneumoniae* penicillin-binding protein (*pbp*) transformants
508 harbouring one resistance gene, *pbp2b*, *pbp2x* or *pbp1a*, of heteroresistant strain
509 Spain^{23F}₂₃₄₉ in the background of the non-heteroresistant strain R6 compared to R6
510 wild-type (A), double-transformants with two *pbps*₂₃₄₉ compared to R6 (B) and a
511 triple-transformant containing all three *pbp* genes in R6 background compared to
512 Spain^{23F}₂₃₄₉ and R6 wild-type (C). The concentration of penicillin G used to select
513 subpopulations with higher penicillin resistance levels is shown against the frequency
514 of bacteria able to grow at that concentration. Representatives of three independent
515 experiments are shown. Replicates are reported in supplementary information
516 (Figures S1 & S2).

517 **Figure 2: Influence of *pbp2x* gene sequence, genetic background, and CiaRH**
518 **system on heteroresistance**

519 PAP for penicillin of transformants harbouring *pbp2b* of heteroresistant strain
520 Spain^{23F}₂₃₄₉ plus *pbp2x* of non-heteroresistant strain Spain^{6B}-2 (A) and *pbp1a*₂₃₄₉ plus
521 *pbp2x*_{6B} (B) in the background of non-heteroresistant strain R6 are shown. Mutants
522 with silenced CiaRH system through disruption of *ciaR* in mutants of R6::*pbp2x*₂₃₄₉
523 and R6 compared to the original strains are shown, too (C). Replicates are reported
524 in Figure S4.

525 **Figure 3: PAP for HOM* strains of double-transformants with heteroresistance**
526 **to penicillin.**

527 HOM*1, HOM*2 and HOM*3 stand for derivatives of the respective strains obtained
528 by selection of single colonies during successive PAP experiments. HOM*3p is
529 progeny of HOM*3 which has been passaged 15 times on selection- free media.

530 Single-colonies were picked from plates with highest or second-highest penicillin
531 concentration showing bacterial growth. Original and progeny of double-
532 transformants harbouring *pbp2b* and *pbp2x* of heteroresistant strain Spain^{23F}₂₃₄₉ in
533 R6 background (A) and *pbp2x* and *pbp1a* in R6 background (B) are shown.
534 Additional HOM* lineages are reported in Figure S5.

535 **Figure 4: Protein and mRNA expression levels between R6::*pbp2b2x*₂₃₄₉**
536 **original transformant, HOM*1 and HOM*3 progeny.**

537 Shotgun protein expression profiles were compared between R6::*pbp2b2x*₂₃₄₉
538 original transformant and HOM*3 progeny (A). Relative mRNA expression levels of
539 *pstS* and *pstB* of R6::*pbp2b2x*₂₃₄₉ and its HOM*1 and HOM*3 progeny are shown (B).
540 Penicillin-binding protein quantities were compared for R6::*pbp2b2x*₂₃₄₉ original
541 transformant and HOM*3 progeny stained with BocillinFL after separation on SDS-
542 PAGE (left lanes), and total protein amount staining with Coomassie brilliant blue
543 (right lanes) (C). mRNA expression of *pbp2x* and *pbp2b* genes were compared
544 between R6::*pbp2b2x*₂₃₄₉ original transformant and HOM*3 progeny (D). Gene
545 expression is displayed as the value relative to that of the isolate with the lowest
546 expression, after normalization using 16S RNA gene expression. Means of three
547 independent experiments are shown. Error bars indicate SEM. MW ; Molecular
548 weight marker. WT; wild type. HOM*; highly resistant subpopulation

549 **** $p \leq 0.0001$, *** $p \leq 0.001$.

550







