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## Split ring resonator based THz-driven electron streak camera featuring femtosecond resolution

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Through combined three-dimensional electromagnetic and particle tracking simulations we demonstrate a THz driven electron streak camera featuring a temporal resolution on the order of a femtosecond. The ultrafast streaking field is generated in a resonant THz sub-wavelength antenna which is illuminated by an intense single-cycle THz pulse. Since electron bunches and THz pulses are generated with parts of the same laser system, synchronization between the two is inherently guaranteed.

ltrafast generation of electrons and ultrafast manipulation of their trajectories is a rapidly developing field and is, in most cases, tightly intertwined with ultrafast laser technology. Ultrafast lasers illuminate metallic plane photocathodes or nanotips to produce correspondingly short electron bunches through photo- or field emission. One major driving force behind ultrashort electron pulse generation and measurement developments has been the field of ultrafast electron diffraction in the femtosecond time domain, which has recently been reviewed by Miller<sup>1,2</sup>. Notable work in this field includes studies on chemical reactions in the gas phase<sup>3</sup>, ultrafast melting dynamics of metals<sup>4,5</sup>, ultrafast dynamics in charge density wave materials<sup>6,7</sup>, and insulator to metal phase transitions in organic salts<sup>8</sup>, to name but a few. The success of these experiments has depended heavily on advances in ultrafast electron source technology. In the 10-100 kV electron energy regime, pulsed electron guns based on planar photocathodes are capable of producing electron bunches with pulse durations in the few hundred femtosecond regime<sup>4,9-12</sup> while nanotip sources<sup>13-17</sup> and bunch re-compression based systems<sup>8,18-20</sup> are, at least in principle, capable of reaching the 10-100 fs time domain. Pulsed electron sources in the MeV are expected to have a similar performance in terms of electron pulse duration<sup>21,22</sup>. Full temporal characterization of ultrashort electron wavepackets is a prerequisite for their use not only in ultrafast electron diffraction experiments, but also electron rescattering in atoms, as well as seeding of femtosecond x-ray free electron lasers (XFEL)<sup>23</sup>. This issue is especially acute for systems with non-negligible pulse-to-pulse fluctuations, in which single-shot characterization techniques are required. While temporal characterisation of ultrashort light pulses in the visible and near-visible regime with sub-100 fs precision is routine, measurements of similar precision on ultrashort electron pulses are currently considered a technical challenge. The literature therefore abounds with reports of both conceptual and experimental realizations of temporal electron pulse characterisation in the femtosecond regime. Streak cameras have been applied in the field of ultrafast electron diffraction (UED), initially in single-shot mode, to obtain sub-picosecond pulse duration measurements<sup>5,10</sup>. Subsequently, Kassier et al. demonstrated a low-jitter streak camera suitable for accumulation mode measurements of electron pulses with a temporal resolution of 150 fs<sup>12</sup>. Large improvements to the temporal resolution obtained by this streak camera may be difficult due to limitations of the maximum voltage that can be applied to the GaAs photo switches. In addition, it is not clear how large the jitter is on a sub-100 fs timescale. Streak cameras based on deflection mode RF cavities with sub-100 fs resolution have been demonstrated in the keV and MeV electron energy regimes<sup>16,24</sup>, yet the issue of phase jitter in RF cavities is currently a problem, typically limiting temporal resolutions in accumulation mode to >100 fs<sup>25</sup>. Cross correlation techniques of electron pulses with laser pulses have also yielded temporal resolutions of order 100 fs in the sub-relativistic regime<sup>11</sup>. The main factor limiting the temporal resolution here is the transit time of the electrons through the laser focus, which is about 30 fs assuming a minimal laser focal spot size of 10 microns. Recently, optical cycle modulation of electron energy has been demonstrated by cross correlation of a laser pulse with an electron pulse at the interface of a thin metal film, showing promise as a technique for femtosecond and even attosecond resolution of high energy electron pulses<sup>26</sup>. The temporal



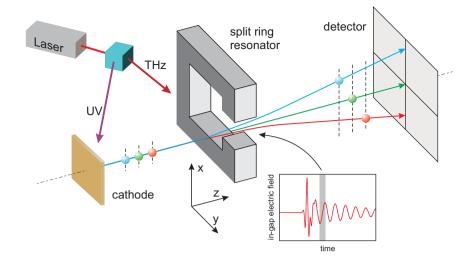


Figure 1 | Schematic of the experimental setup (details see text).

resolution would, however, be severely hampered in the case of electron pulses with a moderate to large energy bandwidth, essentially limiting the technique to single- or few-electron pulses. In the relativistic regime, techniques based on autocorrelation of coherent transition radiation have been demonstrated for sub-picosecond resolution<sup>27</sup>. While obtaining temporal resolutions in the 10–100 fs regime is conceivable with current proven technologies, fewfemtosecond resolution seems out of reach for both relativistic and sub-relativistic electron energy regimes.

Here, we propose a route to accomplish temporal electron bunch characterization with the potential capability of achieving few-femtosecond temporal resolution for high-energy electron pulses (10-100 kV range) as well as relativistic electrons in the MeV range. This is to be achieved by combining resonant THz sub-wavelength structures with intense single-cycle THz pulse generation. Very recent experiments proof that it is indeed feasible to manipulate electron trajectories through nanostructures illuminated by THz pulses<sup>28</sup>. Essentially, the design is reminiscent of a streak camera with the streaking field being replaced by the THz pulse illuminating a socalled split-ring resonator. Since electron bunches and THz pulses are generated with the same laser system, synchronization between the two is inherently guaranteed under ideal conditions (e.g. no intensity fluctuations of the laser system, temperature, and humidity etc.). The THz split-ring resonator is designed to feature a resonant response and a moderate field enhancement in order to alleviate the demands on the driving laser. Its resonance frequency can be varied between 100 GHz and several THz simply by changing the ring's geometry, allowing for THz streak field rise times between hundreds of femtoseconds to several picoseconds<sup>29</sup>. Combined with a sensitive electron detector, THz-driven streaking is therefore well adapted to measure ultrashort electron bunches, even on a single-shot basis.

Figure 1 shows a schematic of the proposed THz streak camera. While part of the driving laser system or a second synchronized laser system produces the electron bunches, the other part is used to generate intense single-cycle THz pulses which are subsequently focused to the split-ring resonator. Electron bunches are commonly generated through photo-effect at flat cathodes using UV pulses with photon energies above the work function of the metal<sup>30</sup> or through field ionization with NIR pulses at isolated nanotips<sup>13</sup> and arrays thereof<sup>14</sup>. The electron bunch length can be tuned between about 50 fs and tens of picoseconds depending on the application by changing the pulse duration of the driving laser. The intense single-cycle THz pulses can be produced through optical rectification in suitable inorganic or organic crystals, such as LiNbO3<sup>29,31</sup> or DAST<sup>32</sup>. Field strengths on the order of tens of MV/m have been reported at

diffraction-limited THz focal spots<sup>33,34</sup>. If a split-ring resonator is positioned at the focal spot, the resonantly absorbed THz radiation induces a current flow in the ring that results in an accumulation of charge carriers across the gap region. The capacitive charging leads to an in-gap enhancement of the electric field whose magnitude depends on the gap geometry and leads to field enhancement factors as high as several orders of magnitude. A simulated electric field distribution is shown in Figure 2. The in-gap field distribution is very close to that of a parallel-plate capacitor. Effectively, the split ring shaped sub-wavelength structure serves as an efficient resonant antenna absorbing the incident THz radiation and concentrating it in the gap volume thereby increasing the field strength by a factor that is roughly given by the ratio of the structure size and the gap area. For a gap area of 10 µm by 10 µm and a resonance frequency of 0.3 THz the field enhancement is approximately 100, boosting the maximum attainable electric fields of today's THz sources to the GV/ m level<sup>35</sup>. While typical electron pulses in ultrafast electron diffraction guns have a diameter of order 100 µm, such beams can be apertured for purposes of temporal characterisation; this is also necessary for other temporal characterisation techniques such as the ponderomotive cross correlation method, or the compact streak camera implemented by Kassier et al. The electron pulses pass through this gap region approximately at the zero-crossing of the in-gap electric field and are deflected by the time varying electric field distribution. For a judicious choice of the split ring's resonance fre-

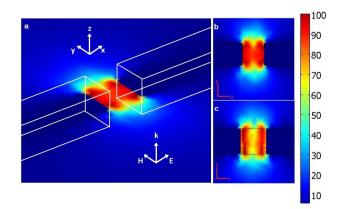


Figure 2 | Simulated electric field distribution. (a)  $E_x$  component normalized to the incident field in a plane through the middle of the gap of the split-ring resonator (SRR) at 300 GHz, (b) horizontal and (c) vertical field distributions across the gap.



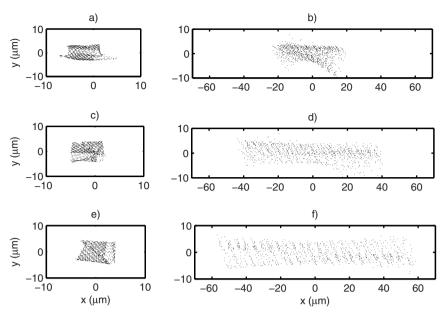


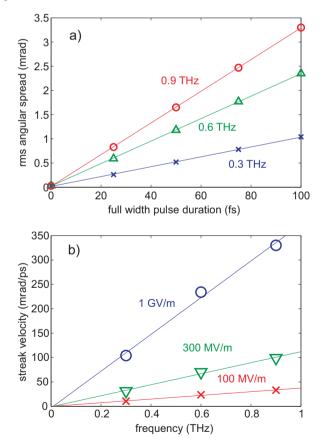
Figure 3 | Simulated transverse electron distributions captured at 20 mm propagation distance downstream from the split ring for different THz frequencies and initial electron pulse durations. For graphs a), c), and e), the initial temporal duration was set to zero, while graphs b), d) and f) correspond to 50 fs initial temporal duration (top hat profile). Graphs a) and b), c and d), and e) and f) correspond to THz frequencies of 0.3 THz, 0.6 THz, and 0.9 THz, respectively. The peak transverse electric field in the gap was 100 MV/m in all cases.

quency the longitudinal charge distribution of the passing electron bunch is mapped onto the transverse momentum axis. That is, electrons from different longitudinal positions within the electron bunch propagate in different directions after passing through the split ring's gap region. Finally, the electrons are detected by a spatially resolving detector, e.g. a micro channel plate (MCP), with the x-axis now being directly proportional to the time axis.

The use of a split-ring resonator is essential for several reasons. First, it generates a field distribution close to that of a plane capacitor which is beneficial for the streaking process. Second, the interaction volume is determined by the gap volume rather than the focused THz spot size and can, thus, be adapted to the electron pulse energy. Finally and most importantly, a focused free space electromagnetic pulse does not transfer net energy to free electrons (Woodward-Lawson-Palmer theorem), other than via the ponderomotive force which is rather small for the electron energies considered here. The metallic split-ring resonator introduces boundary conditions which render energy and momentum transfer possible.

#### Results

Through combined three-dimensional electromagnetic and particle tracking simulations we analyse the performance of such a THzdriven streak camera with respect to angular streak velocity, time resolution, and intrinsic time smearing due to, for example, electric field inhomogeneities. Initially, we assume the actual electron bunches to consist of 1000 quasi-randomly distributed electrons with a square transverse distribution of 4  $\mu m \times$  4  $\mu m$  and a zero transverse emittance, and without considering space charge forces. The electron energy is fixed to 30 keV and the temporal duration of the initial bunch length is varied in the 0-100 fs range in order to properly evaluate the potential performance of this device for sub-100 fs electron pulse characterisation. After passing through the split-ring's gap the electron bunches propagate for 20 mm before their transverse spatial distribution is measured. While a propagation distance of 20 mm is not realistic from an experimental point of view due to the resultant small electron spot size on the detector, it is used here for convenience in the rather time consuming simulations. In the absence of space charge, the parameters of importance such as angular streak velocity and temporal resolution are invariant with respect



**Figure 4** | a) Simulated rms angular spread versus full width initial temporal pulse duration of 30 kV electron pulses for 0.3 THz (crosses), 0.6 THz (triangles) and 0.9 THz (circles). The gradients of the linear fits shown in the figure yield angular streak velocities of  $v_{s,\theta} = 10$  mrad/ps, 23 mrad/ps and 33 mrad/ps respectively. The peak transverse electric field was 100 MV/m for all cases. b) Angular streak velocity versus resonance frequency for different peak streak electric fields. Crosses represent a peak field of 100 MV/m while triangles and circles correspond to 300 MV/m and 1 GV/m, respectively.

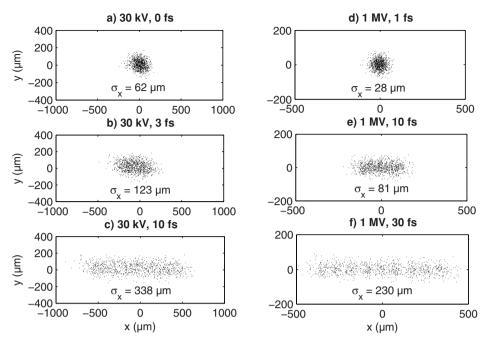


Figure 5 | Simulated transverse electron distributions for a 0.9 THz split ring resonator with a peak gap field of 1 GV/m. Electron bunches with an rms size  $\sigma_x$  and  $\sigma_y = 2 \ \mu$ m, transverse normalized emittance  $\varepsilon_n = 1 \ n$ m and aerial space charge density  $\sigma_Q = 10^7$  electrons/mm<sup>2</sup> were propagated through the split ring resonator towards the detector which was located 10 cm and 50 cm further along the beam line for the 30 keV (sub-figures a) through c)) and 1 MeV (sub-figures d) through f)) respectively. Space charge effects are taken into account in this simulation, and the rms bunch size in the x- direction  $\sigma_x$  are indicated in the figure. The relative rms energy spread was assumed to be 0.1% and 1% for the 30 keV and 1 MeV cases, respectively.

to the chosen detector distance. We assume more realistic camera lengths in the subsequent section with simulations that take account of space charge.

Figure 3 depicts the simulated transverse electron distributions for a peak electric field  $E_x$  set to 100 MV/m. Subfigures a) and b) correspond to the  $f_r = 0.3$  THz split ring resonance frequency and electron pulse durations of 0 fs and 50 fs, respectively. Similarly, subfigures c) and d) represent the  $f_r = 0.6$  THz case and e) and f) the  $f_r = 0.9$  THz case with the same initial electron bunch length. The value of 50 fs was chosen here since this is comparable to the current state of the art for bunched highly charged electron pulses<sup>18</sup>. Showing for comparison the 0-fs pulse duration case allows one to distinguish between effects of the streak field on the one hand and distortion effects due to transverse beam size on the other. It should be noted that not only the arrival time of electrons, but also their transverse position in the bunch, affects their net deflection. It must be concluded that a small but not vanishing lensing effect must be attributed to the streaking field, which inherently limits the achievable temporal resolution. From figure 3 it is already apparent that at a fixed peak electric field, here  $E_x = 100$  MV/m, the streak velocity increases with the resonance frequency.

Figure 4a) shows the behaviour of the streak angle as a function of pulse duration for different THz frequencies at a value of  $E_x = 100$  MV/m. As indicated by the good linear fits, the streak angle is linear with pulse duration, yielding a constant angular streak velocity  $v_{s,\theta}$  of 10 mrad/ps, 23 mrad/ps, and 33 mrad/ps for gapped ring oscillator frequencies of 0.3 THz, 0.6 THz, and 0.9 THz, respectively. The achievable temporal resolution corresponding to these streak velocities depends on the "background" angular spread of the beam  $\sigma_0$ , which in the best case (zero emittance electron beam in the absence of post-streaking space charge effects) is dependent on the distortions introduced by the streak field on an electron pulse with zero temporal duration. As already seen in Figure 3, such distortions are small but not vanishing. For  $E_x = 100$  MV/m, we find  $\sigma_0 = 0.026$  mrad, 0.035 mrad, and 0.028 mrad for the 0.3 THz, 0.6 THz, and 0.9 THz cases, respectively. The achievable temporal

resolution is given by  $\Delta t = \sigma_0 / v_{s,\theta}$ , yielding 2.6 fs, 1.5 fs, and 0.9 fs in the 0.3 THz, 0.6 THz, and 0.9 THz cases. In Figure 4b), the angular streak velocity is plotted as a function of the split ring's resonance frequency for different values of  $E_x$ . Clearly, the angular streak velocity increases linearly with both frequency and field amplitude, culminating in a very large streak velocity of 330 mrad/ps at 0.9 THz and 1 GV/m peak electric field. Since the "background" angular spread varies in the same proportion as the streak velocity with changing  $E_x$ , the maximal achievable temporal resolution is still only about 0.9 fs for this case. However, a larger streak field has the advantage of yielding a higher temporal resolution with realistic electron beams that do not have a vanishing emittance. For example, assuming an electron pulse angular spread of  $\sigma_0 = 0.5$  mrad for splitring oscillator parameters of 0.9 THz and  $E_r = 1$  GV/m yields a temporal resolution of 1.5 fs, while the corresponding temporal resolution for the 100 MV/m case is only 15 fs.

For a more realistic evaluation of the streak camera performance, space charge forces were included for 4  $\mu$ m  $\times$  4  $\mu$ m sized electron bunches with a transverse normalized emittance of  $\varepsilon_n = 1$  nm, a bunch charge of  $Q = 6.4 \times 10^{-9}$  nC (40 electrons), and a relative rms energy spread of  $\Delta E_r = 0.1\%$  (1%) in case of 30 keV (1 MeV) electron bunch centre energy. The simulations were performed using the 0.9 THz resonance frequency with a peak transverse electric field of 1 GV/m. For the two cases considered (30 kV and 1 MV electron energy), the gapped ring oscillator to detector distances were 10 cm and 50 cm, respectively. The results of these simulations, depicting the streaking pattern at the detector plane, are given in Figure 5. For the 30 keV case, it is clear that a pulse duration of 3 fs (Figure 5b) already results in significant broadening relative to a "zero length" pulse duration (Figure 5a). Using the result of Figure 5c, a streak velocity  $v_s = 33.8 \,\mu\text{m/fs}$  at the detector plane can be calculated (corresponding to an angular streak velocity of  $v_{s,\theta} = 0.338$  mrad/ fs), from which the temporal resolution  $\Delta t = \sigma_0 / v_s = 1.8$  fs with the un-streaked pulse width  $\sigma_0$  equal to 62  $\mu$ m, the result of Figure 5a. Similarly for the 1 MeV case, the temporal resolution is 3.7 fs. Thus, the proposed streak camera is expected to be capable of few femto-

Table 1   The total streaking window and the rms time resolution for different split ring resonator frequencies and field strengths. A bunch
centre electron energy of 30 keV and an rms angular spread of 0.5 mrad imminently prior to streaking are assumed

		Temporal resolution [fs]		
Resonance frequency [T	Hz] Total streaking window [fs]	100 MV/m	300 MV/m	1000 MV/m
0.3	717	48	16	4.8
0.6 0.9	358	21	7.1	2.1
0.9	239	15	5.1	1.5

second resolution for the measurement of realistic electron bunches in the high energy and in the relativistic energy regimes. It should also be highlighted that the recorded streaking pattern depends negligibly on the chosen relative electron energy bandwidth in the 0-1%range, making the split ring resonator an ideal tool for electron bunch measurements in UED experiments and femtosecond RF guns such as injectors for FELs or relativistic UED setups.

#### Discussion

The proposed THz-driven streak camera is a very promising device for temporal electron pulse measurements in the few femtosecond up to few hundred femtosecond time domain. While the temporal resolution, as shown above, depends on a variety of parameters, the total streaking window is determined by the resonance frequency of the split-ring resonator alone. If we allow for a 5% deviation from the linear time-to-space mapping the total streaking window is approximately given by  $T = 0.11/f_r$ . Table 1 summarizes the performance parameters of the THz driven streak camera for a hypothetical electron bunch with vanishing emittance and energy bandwidth. Clearly, a temporal resolution close to 1 fs is achievable for suitable parameters such as electron beam energy, THz frequency and peak THz field, with increasing THz frequency and field and decreasing electron energy leading to better temporal resolution. The presence of transverse pulse distortions also for zero temporal duration pulses suggests that the THz streak camera exhibits lensing effects due to the spatial curvature of the electric field. These distortions seem to confine the achievable temporal resolution to the 0.1-1 fs range. While optical cycle streaking techniques show promise of temporal resolutions deep in the attosecond range, the present streak camera would be more ideal for measuring temporal durations in the 1 fs to 100 fs range. In addition, we find no discernable effect of the energy bandwidth of the measured electron bunches on the streak pattern up to relative bandwidths of 1%, thereby making our THz streak camera an ideal tool for electron bunch diagnostics in beam lines with highly charged bunches and compressor elements, as found in UED setups, synchrotrons, and XFEL's.

#### **Methods**

Three-dimensional electromagnetic simulation. The numerical simulations are based on the finite element method (FEM)36 and are performed with a commercial software package (COMSOL Multiphysics). The three-dimensional simulations are carried out in the frequency-domain which allows including the frequencydependent THz properties of the metal structures through a complex conductivity, as obtained from the Drude model37. The plasma frequency and damping constant of gold are taken from reference<sup>38</sup>. The split ring resonator is positioned at the centre of a cubic simulation domain and the refractive index of the surrounding dielectric is set to unity (vacuum). The incident THz field is approximated by a plane electromagnetic wave, which is justified because the width and the height of a split ring resonator are typically given by the fundamental resonance wavelength over 8, i.e.  $\lambda_{res}/8$ , and the focal spot size of a THz pulse centred at  $\lambda_{res}$  is approximately 4  $F^{*}$   $\lambda_{res}/\pi,$  with the fnumber F#. That is, even for an f-number of 1 the focal spot of the THz pulse is about 10 times larger than the size of the split ring resonator and a plane wave excitation is a reasonable approximation. The plane wave is launched from one of the boundaries and the frequency is chosen as a scanned parameter. For the other boundaries scattering boundary conditions are applied to avoid unwanted reflections. The simulation domain is discretized using a tetrahedral mesh and additional mesh layers at all metal vacuum interfaces are added in order to account for the different length scales of the free-space THz wavelength (~1 mm) and the interaction length in the metal (~100 nm). The size of the split ring resonator is chosen to 370  $\mu$ m, 200  $\mu$ m,

and 140 µm to obtain fundamental resonances at 0.3 THz, 0.6 THz, and 0.9 THz, respectively. The structure width and thickness are set to 10 µm and the gap width is 10 µm. Resonances occur whenever the length of the unfolded split ring resonator corresponds to integer multiples of half the wavelength. Due to the symmetry of the modes relative to the linearly polarized incident THz pulse odd-numbered resonances are excited when the electric field of the THz pulse is parallel and even numbered when it is polarized perpendicular to the gap<sup>38,39</sup>. Here, we consider electric field coupling, that is, the electric field is parallel to the side containing the gap and the E, H, and k triad of the incoming field is oriented along the x, y and z axes (see Figure 2). We focus on the fundamental resonance of the split ring resonator which we refer to as the C mode, since it is characterized by a circulating current flow leading to a build-up of charge across the gap40. The next higher order resonances would appear at 0.9 THz, 1.8 THz, and 2.7 THz. In all three cases discussed we assume that the spectrum of the incident THz pulse is centred approximately at the respective resonance frequencies. Moreover, higher order resonances show a lower field enhancement since the charge distribution on the split ring resonator is no longer localized solely around the gap. Therefore, the spectral amplitude at the third order resonances and their contribution to the streak field may safely be neglected. Finally, the three-dimensional electric and magnetic field distributions in a volume around the gap region are exported for further use in the ASTRA particle tracking simulations. The dimensions of the volume were chosen large enough to capture the enhanced electromagnetic fields.

Electron tracking simulation. The electron optical behaviour of the THz split ring resonators is evaluated via particle trajectory simulations using the ASTRA particle tracking code<sup>41</sup>. In order to assess the potential streaking performance of the split ring resonators, an initial particle distribution consisting of 1000 quasi-randomly distributed electrons is generated. The root mean square transverse (x and y) size of the square initial distribution is 4 µm, and a zero angular spread, and consequently zero transverse emittance, is assumed. The bunch momentum in the z-direction corresponds to an electron energy of 30 keV. The temporal duration of the initial bunch length is set to various values in the 0-100 fs range. The ASTRA code is capable of full three dimensional space charge tracking, but space charge effects are ignored initially so as not to obscure the effect of the split ring resonator's electromagnetic field. Subsequently, simulations of electron pulses with more practically realistic bunch parameters, along with the inclusion of space charge forces and considering the case of relativistic electrons, have been performed. The same initial rms bunch size was assumed, but a finite transverse normalized emittance of 1 nm, as well as a space charge density of 107 electrons/mm2, corresponding to 40 electrons per bunch, was assumed. In addition, a relative rms energy spread of 0.1% and 1% was imparted on the initial electron pulse for the 30 keV and 1 MeV cases respectively, which are realistic values for bunched electron beams. Space charge tracking was implemented by approximating the electron bunch as a  $12 \times 12 \times 12$  three-dimensional grid of line charges with the "3D-FFT" algorithm in the ASTRA code<sup>41</sup> and particle tracking with a 4th order Runge-Kutta integration. The simulated electromagnetic field distributions are incorporated into ASTRA by defining two overlapping RF cavities with a three-dimensional electromagnetic field input  $(E_x, E_y, E_z, B_x, B_y, B_z)$ . The phase difference of the two cavities is set to 90 degrees such that one cavity represents the real part of the electromagnetic field, while the other corresponds to the imaginary. Electromagnetic fields of three different centre frequencies (0.3 THz, 0.6 THz, and 0.9 THz) are considered in these simulations. The magnitudes of the electromagnetic field components are scaled such that the peak electric field amplitude in the xdirection corresponded to 100 MV/m, 300 MV/m, and 1 GV/m, respectively. The position of the cavities is set such that the initial electron distribution passes exactly through the centre of the cavities in the x-y plane. To visualise the electron optical effect of the THz field, the electron pulses are allowed to travel some distance beyond the position of the split ring resonator before their spatial distribution is analysed.

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#### Author contributions

J.F. performed the electromagnetic simulations and G.K. the electron trajectory simulations. J.F., G.K. and T.F. contributed to the conceptual design and the writing of the paper. All authors reviewed the manuscript.

#### Additional information

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