

# Accepted Manuscript

The Freedom SOLO bovine pericardial stentless valve: Single-center experience, outcome and long-term durability

Olaf Stanger, MD, MBA, FETCS, Irina Bleuel, MD, Fabian Gisler, MD, Volkhard Göber, MD, Sylvia Reineke, MD, Brigitta Gahl, MSc, Thierry Aymard, MD, Lars Englberger, MD, Thierry Carrel, MD, Hendrik Tevaearai, MD, MBA

PII: S0022-5223(15)00123-3

DOI: [10.1016/j.jtcvs.2015.01.060](https://doi.org/10.1016/j.jtcvs.2015.01.060)

Reference: YMTC 9362

To appear in: *The Journal of Thoracic and Cardiovascular Surgery*

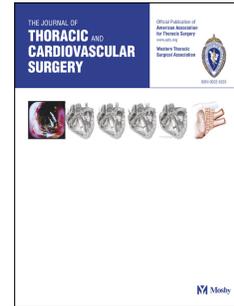
Received Date: 7 November 2014

Revised Date: 8 January 2015

Accepted Date: 24 January 2015

Please cite this article as: Stanger O, Bleuel I, Gisler F, Göber V, Reineke S, Gahl B, Aymard T, Englberger L, Carrel T, Tevaearai H, The Freedom SOLO bovine pericardial stentless valve: Single-center experience, outcome and long-term durability, *The Journal of Thoracic and Cardiovascular Surgery* (2015), doi: 10.1016/j.jtcvs.2015.01.060.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.



**The Freedom SOLO bovine pericardial stentless valve:  
Single-center experience, outcome and long-term durability**

Olaf Stanger, MD, MBA, FETCS<sup>1</sup>, Irina Bleuel, MD<sup>1</sup>, Fabian Gisler, MD<sup>1</sup>, Volkhard Göber, MD<sup>1</sup>, Sylvia Reineke, MD<sup>1</sup>, Brigitta Gahl, MSc<sup>1</sup>, Thierry Aymard, MD<sup>1</sup>, Lars Englberger, MD<sup>1</sup>, Thierry Carrel, MD<sup>1</sup>, Hendrik Tevæearai, MD, MBA<sup>1</sup>

<sup>1</sup> Department of Cardiovascular Surgery, Inselspital University Hospital Berne, Switzerland

Correspondence:

Olaf Stanger, MD, MBA, FETCS

Clinic for Cardiovascular Surgery, Inselspital University Hospital and University of Berne

Freiburgstrasse 18

CH-3010 Berne, Switzerland

Tel: 0041 79 193251

FAX: 0041 31 6329766

oh.stanger@gmail.com

**There is no ethical problem, source of funding, or conflict of interest, particularly as relationship with industry, associated with the manuscript for all authors.**

word count: abstract (240); ultramini abstract (49); text (3529), references [33]

**Abstract**

**OBJECTIVES:** We report our institutional experience and long-term results with the Sorin Freedom SOLO bovine pericardial stentless bioprosthesis.

**METHODS:** Between January 2005 and November 2009, 149 patients (mean age  $73.6 \pm 8.7$  years, 68 [45.6%] female) underwent isolated ( $n=75$ ) or combined ( $n=74$ ) aortic valve replacement (AVR) using the SOLO in our institution. Follow-up was 100% complete with an average follow-up time of  $5.9 \pm 2.6$  years (maximum 9.6 years) and a total of 885.3 patient years.

**RESULTS:** Operative (30-day) mortality was 2.7% (1.3% for isolated AVR [ $n=1$ ] and 4.0% for combined procedures [ $n=3$ ]). All causes of death were not valve-related. Preoperative peak (mean) gradients of  $74.2 \pm 23.0$  mmHg ( $48.6 \pm 16.3$  mmHg) decreased to  $15.6 \pm 5.4$  ( $8.8 \pm 3.0$ ) after AVR, and remained low for up to 9 years. The postoperative effective orifice area (EOA) was  $1.6 \pm 0.57$  cm<sup>2</sup>,  $1.90 \pm 0.45$  cm<sup>2</sup>,  $2.12 \pm 0.48$  cm<sup>2</sup> and  $2.20 \pm 0.66$  cm<sup>2</sup> for the valve sizes 21, 23, 25 and 27, respectively; with absence of severe prosthesis-patient-mismatch (PPM) and 0.7% ( $n=1$ ) moderate PPM. During follow-up, Twenty-six patients experienced structural valve deterioration (SVD) and 14 patients underwent explantation. Kaplan-Meier estimates for freedom from death, explantation and SVD at 9 years averaged 0.57 [0.47–0.66], 0.82 [0.69–0.90] and 0.70 [0.57–0.79], respectively.

**CONCLUSIONS:** The Freedom SOLO stentless aortic valve is safe to implant and shows excellent early and mid-term hemodynamic performance. However, SVD was observed in a substantial number of patients after only 5–6 years and the need for explantation increased markedly, suggesting low durability.

**Ultramini abstract**

We report our institutional outcome in 149 patients receiving the Freedom SOLO bioprosthesis and up to 9.6 years of follow-up. The SOLO valve is safe to implant with excellent early hemodynamic performance. However, SVD and need for explantation increased markedly after only 5–6 years, suggesting low durability.

**Keywords:** aortic valve • stentless • bioprosthesis • cardiac surgery • valve surgery

1 Stentless bioprostheses were introduced as an attractive alternative to stented valves, combining the  
2 advantages of non-obstructive effective orifice area (EOA) and a flexible aortic root that was believed  
3 to be essential for natural leaflet stress distribution. Correspondingly, unstented xenografts, with  
4 minimal disruption of the aortic root anatomy and function, are expected to reduce dynamic stress on  
5 leaflets, and thereby limit valve degeneration and failure [1]. Whereas some earlier stentless porcine  
6 root prostheses showed unsatisfactory results with premature failure [2–4], more advanced models  
7 were aimed at optimizing the tissue type, preservation and anticalcification treatments, as well as  
8 valve design.

9 The third-generation bovine pericardium Freedom SOLO (henceforth SOLO) stentless bioprosthesis  
10 (Sorin Group, Saluggia, Italy) emerged as a modified version of the Pericarbon Freedom stentless  
11 valve in 2004 [5], and has recently received FDA approval for use in the US (June 24, 2014). The  
12 prosthesis is made of two bovine pericardial sheets for supra-annular subcoronary implantation using  
13 only one single suture line, thus reducing cross-clamp time. Furthermore, the SOLO is manufactured  
14 with a unique process that includes homocysteic acid (HCA) as an anticalcification treatment, to bind  
15 and neutralize free glutaraldehyde (GA) residues for optimal durability.

16 Numerous reports have documented superior early and mid-term hemodynamic results for stentless  
17 valve prostheses, including the SOLO, in comparison to stented bioprostheses [6–10]. Although the  
18 SOLO stentless valve has been used since 2004, no long-term outcome data (beyond mean  $1.2 \pm 0.8$   
19 years) is available [10]. Thus, we are only now reaching an observation period that allows evaluation  
20 of long-term outcome, particularly durability, which will eventually define non-inferiority compared  
21 to available alternative stented bioprostheses. As our institution introduced the SOLO stentless  
22 bioprosthesis at a particularly early stage, we report our operative results with the aim of assessing  
23 long-term clinical results, i.e. durability and freedom from major adverse events after up to 9.6 years  
24 of follow up.

25

26

27

## 28 **MATERIALS AND METHODS**

29

30

### 31 **PATIENT POPULATION**

32

33 Between January 2005 and November 2009, 149 patients (mean age  $73.6 \pm 8.7$  years, 68 [45.6%]  
34 female) underwent isolated (n=75) or combined (n=74) AVR using the SOLO bovine pericardial  
35 stentless valve bioprosthesis in our institution. The decision to use the SOLO stentless valve or an  
36 alternative, conventional stented prosthesis was at the surgeon's discretion. The SOLO stentless valve  
37 was not considered suitable in cases with severe calcification of the aortic root, and in patients with  
38 true bicuspid valve and ectasia of the ascending aorta. The local ethics committee approved the review  
39 of patient data and patient consent was waived for the retrospective analysis. The patients'

40 characteristics are shown in Table 1. One patient was operated with acute bacterial endocarditis  
41 (staphylococcus aureus), and four cases were re-do procedures. At the time of surgery, left ventricular  
42 ejection fractions (LVEF)  $\leq 40\%$  was present in 24 patients (16.1%).

43  
44

#### 45 **Surgical and postoperative management**

46  
47 AVR procedures were all performed under routine general anesthesia and with a median sternotomy,  
48 using standard cardiopulmonary bypass and mild hypothermia (34°C). Cold blood cardioplegia was  
49 routinely used for myocardial protection. Aortotomy was performed approximately 1cm above the  
50 sinotubular junction (STJ). The diseased valve was then excised and the annulus carefully decalcified.  
51 The SOLO valve was implanted without rinsing in the supra-annular subcoronary position, with 3  
52 continuous suture lines using 4/0 prolene monofilament as reported in detail elsewhere [11]. In brief,  
53 sutures started at the base of each sinus, continued to the top of the commissures, and ended with  
54 extraaortic fixation. Transesophageal echocardiography (TEE) was routinely performed  
55 intraoperatively before and after AVR to assess the function of the prosthesis. No oral anticoagulation  
56 after hospital discharge was required in patients with the SOLO valve.

57  
58

#### 59 **Data collection, follow-up and definitions**

60  
61 Perioperative data were retrieved from our prospectively managed institutional database (Dendrite  
62 Clinical Systems LTD, Henley-on-Thames, UK). Closing date for all follow-up investigations was Oct.  
63 1<sup>st</sup>, 2014. Follow-up was 100% complete with an average follow-up time of  $5.9 \pm 2.6$  years (maximum  
64 9.6 years) and a total of 885.3 patient years.

65 All patients were routinely examined with transthoracic echocardiography (TTE) before hospital  
66 discharge, at 6 months post-operatively and yearly thereafter. Intervals were shortened when changes  
67 or signs of degeneration were observed. Transvalvular pressure gradients and EOA were calculated  
68 using the modified Bernoulli equation and the continuity equation, respectively. Clinical status and  
69 adverse events were carefully assessed at each visit or by consultation with the referring physician.  
70 Dates of death were confirmed with data from local public authorities.

71 Data analysis was performed as follows. Baseline characteristics and risk factors were defined  
72 according to EuroScore II criteria. Mortality and morbidity (rate of adverse events) were reported  
73 according to established guidelines [12, 13]. These guidelines define structural valve deterioration  
74 (SVD) as change in function or deterioration of an operated valve resulting from an intrinsic  
75 abnormality of the valve that causes stenosis or regurgitation, exclusive of infection or thrombosis.  
76 SVD includes wear, fracture, poppet escape, calcification, leaflet tear, stent creep, and suture line  
77 disruption of an operated valve [12, 13]. In absence of established reference values for prosthetic SVD,  
78 particularly for stentless valves, we defined intrinsic prosthetic stenosis, under normal flow conditions  
79 (EF>50%) and after normal postoperative function, when echocardiographic evidence of distinctive

80 and pronounced degenerative changes (such as severely impaired cusp movements due to thickened,  
81 sclerosed or calcified leaflets) was present (repeat measurements with different investigators) and at  
82 least two of the following criteria were met: (i)  $\geq 3$ -fold increase of mean gradients compared to early  
83 postoperative measurements (before discharge) in (ii)  $\geq 25$  mmHg mean gradient; (iii) EOA of  $<1.5$   
84  $\text{cm}^2$  and iEOA  $< 0.9 \text{ cm}^2/\text{m}^2$  and peak velocity  $\geq 3$  m/sec or velocity time integral (VTI) of 0.5–0.25  
85 (VTI was measured in the left ventricular outflow tract (LVOT) and the aortic valve (AoV) and  
86 expressed as LVOT/AoV). SVD due to regurgitation was defined as at least moderate regurgitation  
87 with pressure half time (PHT)  $\leq 500$ ms and width of vena contracta (VC)  $\geq 5$  mm (if limited to one jet)  
88 or diastolic flow reversal in the descending or abdominal aorta in combination with echocardiographic  
89 evidence of abnormal prosthesis structure or motion. According to the generally accepted concept of  
90 prosthesis-patient-mismatch (PPM), the best variable for defining PPM is the ratio of prosthetic EOA  
91 to the patient's body surface area (BSA) [14]. PPM mismatch was defined as an indexed EOA (iEOA)  
92 between  $0.85 \text{ cm}^2/\text{m}^2$  and  $0.65 \text{ cm}^2/\text{m}^2$  (moderate) and less than  $0.65 \text{ cm}^2/\text{m}^2$  (severe), which are the  
93 established cut-off values for all types of prosthetic valves [14].

94

95

#### 96 **Statistical analysis**

97

98 Demographic data are presented as mean values and standard deviation for continuous variables, and  
99 by number and percentages for categorical variables. Outcome data are presented as operative  
100 mortality, defined as death from any cause during or after surgery within 30 days if the patient was  
101 discharged, or within any interval if the patient was not discharged [12], or as Kaplan-Meier estimates  
102 of freedom from the following endpoints: death, SVD, explantation, thrombosis, endocarditis, or a  
103 combination of all. We used multivariate Cox proportional hazard ratio models to identify associations  
104 between patient- or procedure-related factors and endpoints. We used two linear mixed models, the  
105 first to analyze the effect of the valve replacement on the mean gradient, and the second to analyze the  
106 time effect on the mean gradient. Inspecting the residual plots with respect to the random and fixed  
107 effects, we detected outliers in the second model corresponding to those patients who developed SVD.  
108 As these patient provide particularly important information, we accepted the larger variance. All p-  
109 values and confidence intervals are two-sided. All statistical analyses were performed using Stata  
110 (version 12, StataCorp, College Station, Texas USA).

111

112

113

## 114 **RESULTS**

115

116

### 117 **Operative data, mortality and early complications**

118

119 The mean extracorporeal circulation (ECC) and cross-clamp times were, respectively,  $64 \pm 14$ min (47  
120  $\pm 13$  min) and  $95 \pm 31$  ( $71 \pm 23$  min) for isolated and combined procedures, with no significant  
121 differences among sizes 21, 23, 25 and 27. All patients left the operating room with no or trivial  
122 regurgitation.

123 Overall operative (in-hospital) mortality was 2.7% (1.3% for isolated AVR [n=1] and 4.0% for  
124 combined procedures [n=3]). The corresponding EuroScore II overestimated the observed mortality  
125 considerably (Table 1). Causes of early death were not valve-related, i.e. low cardiac output and  
126 myocardial infarction (n=3) and embolism of the basilar artery (n=1) (Table 2). The four non-  
127 surviving patients were older ( $77.9 \pm 2.6$  years vs.  $73.4 \pm 0.7$  years,  $p=0.038$ ) and had a significantly  
128 higher EuroScore II risk score ( $20.42 \pm 20.74$  vs.  $5.29 \pm 8.12$ ,  $p<0.001$ ) as compared to the 145  
129 surviving patients.

130 In total, 25 patients experienced in-hospital complications (multiple complications possible), including  
131 temporary hemofiltration (HF) therapy for renal failure (of which four patients had renal impairment  
132 preoperatively). There were eight cerebral events, seven of which were fully reversible by the time of  
133 hospital discharge (three patients had previously suffered cerebral events). Five patients required  
134 drainage for pericardial or pleural effusions. There were two sternal re-explorations for impaired  
135 sternal healing. One permanent pacemaker was implanted due to complete AV-block. Including all  
136 patients with these early complications combined, the discharge from hospital occurred after a median  
137 length of stay (LOS) of 10.0 and 10.5 days for isolated and combined procedures, respectively.

138  
139  
140  
141

#### 141 **Hemodynamic and hematologic data**

142  
143 The mean preoperative LVEF of  $55.4 \pm 12.3$  improved to  $58.6 \pm 11.1$ ,  $61.5 \pm 12.7$ ,  $61.7 \pm 10.1$ ,  $62.4 \pm$   
144  $10.1$  and  $63.0 \pm 8.5$  at 6 months, 1, 2, 3 and 4 years postoperatively ( $p<0.001$ ). Preoperative peak  
145 gradients of  $76.3 \pm 25.3$  mmHg decreased to  $17.9 \pm 9.8$  mmHg postoperatively. Mean gradients  
146 decreased by  $-39.2$  mmHg [ $p<0.001$ , 95% confidence interval from  $-42.4$  to  $-35.9$  mmHg] on average  
147 in every patient following AVR (Figure 1). Following the first postoperative measurement, the mean  
148 gradient increased by  $.94$  mmHg [ $p<0.001$ , 95% confidence interval from  $.74$  to  $1.1$ ] per year, but this  
149 was driven by 12 patients who reached a mean gradient  $>30$  mmHg. Gradients showed a non-  
150 significant trend for lower values with increasing valve size. The postoperative EOA (mean  $\pm$  SD) for  
151 the valve sizes 21, 23, 25 and 27 were  $1.67 \pm 0.57$  cm<sup>2</sup>,  $1.90 \pm 0.45$  cm<sup>2</sup>,  $2.12 \pm 0.48$  cm<sup>2</sup> and  $2.20 \pm$   
152  $0.66$  cm<sup>2</sup>, respectively. With the definition of PPM as the ratio of prosthetic EOA to the patient's body  
153 surface area (BSA) and the use of established cut-off values [14], severe PPM was completely absent,  
154 and moderate PPM occurred in one patient (0.7%), however BMI was 37.7 and BSA was 2.02.  
155 Daily platelet counts were performed as part of the standard patient management protocol. Excluding  
156 patients with HF, infection and re-exploration, minimum platelet counts occurred following an  
157 average decrease of 59.9%. After reaching a nadir on the second postoperative day, platelet count

158 returned to baseline value, on average, on the 8<sup>th</sup> postoperative day. No excess or unexpected bleeding  
159 or re-exploration was associated with the SOLO valve.

160  
161

### 162 **Long-term survival and freedom from major adverse events**

163  
164 54 patients died during the follow-up period. The survival rate at 7, 8 and 9 years was 66%, 59% and  
165 57%, respectively (Table 3, Figure 2). Multivariate Cox regression analysis identified age (HR=1.06  
166 [1.02–1.11], p=0.008) and renal dysfunction (HR=1.94 [1.02–3.68], p=0.044) as parameters  
167 independently associated with survival, in contrast to arterial hypertension (HR=2.75 [0.85–8.85],  
168 p=0.091), concomitant coronary artery bypass grafting (CABG) (HR=1.18 [0.70–2.05], p=0.544),  
169 combined procedures (HR=1.12 [0.65–1.93], p=0.680) and indexed PPM (EOA/BSA as continuous  
170 variable; HR=1.00 [0.73–13.76], p=1.000).

171 In 14 patients, the SOLO prostheses required explantation due to valve-independent dysfunction (n=5;  
172 i.e. thrombus formation, oversizing, aortic dilatation, endocarditis and suture dehiscence) or valve-  
173 dependent failure (n=9). Of these, five SOLO required explantation due to severe functional stenosis  
174 and gross calcification that was always strikingly severe and included the entire aortic root. Four cases  
175 presented with acute regurgitation due to leaflet rupture, all of which were size 23 and 25 prostheses.  
176 In all these cases of non-sclerotic SVD, vertical tears were notably located in close proximity to the  
177 non-coronary/right-coronary commissure (NCC/RCC), and in our series they occurred, on average, 1.5  
178 years (6.0 vs. 7.5 years) earlier than explantation for degenerative stenosis. Two patients (14.3%) did  
179 not survive reoperation; one due to sudden cardiac arrest of unknown cause on the 8<sup>th</sup> postoperative  
180 day, and the second, because of right ventricular failure.

181 There were 26 cases of SVD documented during the follow-up period (Figure 2, Table 3), of  
182 which only 10 underwent reoperation. The remaining 16 patients were not re-operated because of  
183 presumed excessive surgical risk, stable valve dysfunction or because the patient declined surgical  
184 treatment. Multivariate Cox regression analysis identified younger age (HR=0.93 [0.89–0.97],  
185 p=0.002) as an independent predictor for SVD, but not renal dysfunction (HR=1.15 [0.26–5.14],  
186 p=0.855), diabetes (HR=1.39 [0.54–3.55], p=0.495), arterial hypertension (HR=2.60 [0.62–10.80],  
187 p=0.189), nor PPM (EOA/BSA) as continuous variable (HR=0.10 [0.10–7.26], p=0.855).

188 Four patients experienced endocarditis, two of which underwent valve explantation and replacement,  
189 and the two other patients did not undergo re-operation and died 3 and 8 months after diagnosis,  
190 respectively. One patient presented with a large thrombotic adhesion on the NCC and underwent  
191 reoperation 5 months after the primary AVR.

192 Combining all endpoints, 78 (52%) patients experienced an event. We included age, gender, isolated  
193 procedure, hypertension, indexed EOA, renal dysfunction, diabetes and EuroScore II in a Cox  
194 regression analysis with the combined endpoint; only EuroScore II showed an association (HR=1.02  
195 [0.02–1.00], p=0.018), suggesting heterogeneous associations between independent variables and

196 individual endpoints, and indicating that no patient- or procedure-specific parameter alone permits  
197 prediction of prosthesis failure.

198

199

200

201 **COMMENT**

202

203 In the present study, we report our clinical results in a cohort of 149 patients with the longest follow-  
204 up available to date for the third generation SOLO stentless bioprosthesis. Our data suggest that the  
205 valve is safe to implant, and provides an excellent early hemodynamic performance. However,  
206 freedom from SVD and explantation decreased markedly in our single center study after only 5–6  
207 years, implying that the SOLO durability is considerably lower than that of conventional stented  
208 prostheses.

209 The SOLO represents the most advanced stentless bioprosthesis that combines the single-suture,  
210 subcoronary implantation technique with the latest-generation bovine pericardial tissue and a novel  
211 anticalcification treatment. Consistent with previous reports [7–10], we demonstrate excellent early  
212 results of the SOLO, relatively easy implantation with acceptable cross-clamp times, low gradients  
213 and large EOA, as well as near absence of PPM.

214 As a unique SOLO-dependent side-effect, and consistent with previous reports [7, 8, 10, 15, 16], we  
215 observed postoperative thrombocytopenia following implantation, with a mean decrease of 59.9% in  
216 platelet numbers on the second postoperative day, followed by full recovery within 8 days.

217 Importantly, and unexpectedly, SOLO-related excess bleeding complications, thromboemboli or  
218 increased re-exploration rates have not been observed despite this transient thrombocytopenia.

219 Furthermore, there is no evidence for excess platelet activation, platelet consumption, or change in  
220 postoperative platelet function [17]. Causal hemodynamic flow-dependent mechanical damage  
221 appears highly unlikely given the large EOA and low gradients with correctly sized SOLO valves,  
222 with performances similar to native aortic valves at rest and under stress conditions [9, 18]. Contrary  
223 to observation, the platelet-damaging effect would be expected to persist if SOLO-related  
224 hemodynamic stress was causal. In agreement with the suggestion of a patient-independent effect  
225 derived from a study with propensity matched design [16], we hypothesize that a temporary,  
226 chemistry-induced lysis leads to lower platelet counts in patients with the SOLO, although the precise  
227 mechanism of thrombocytopenia remains to be identified.

228 A number of stentless valve prostheses have been developed and introduced, but all have been  
229 fundamentally different with respect to design, tissue type and anticalcification treatment, rendering  
230 comparisons difficult. Concerns have been raised for stentless prostheses regarding long-term  
231 durability; most likely resulting from experiences with earlier (porcine root) models, for which, after  
232 approximately 10 years, freedom from SVD and reoperation dropped dramatically, e.g. the O'Brien

233 (CryoLife, Atlanta, GA) [2], Shelhigh (Shelhigh, Inc, Millburn, NJ) [3], Biocor [19] and Toronto SPV  
234 (both St. Jude Medical, St. Paul, MN) [4].

235 In our single institution experience freedom from thromboembolism and endocarditis was high and  
236 comparable to that reported for other stentless and stented bioprosthesis [20–24], but freedom from  
237 SVD and explantation in our series was much lower than expected. Freedom from explantation after 9  
238 years was only 0.82 in our cohort is, comparing to 0.97 and 0.98 reported for the conventional stented  
239 Hancock II (Medtronic Inc, Minneapolis, MN) [21, 22], and Perimount Magna (Edwards Lifesciences,  
240 Irvine, CA) [22, 23] bioprostheses at 10 years. Prostheses with tears and cusp ruptures in our series  
241 were relatively easy to replace; however, cases with severe calcification turned out to require very  
242 difficult and demanding re-operations. The freedom from SVD in our series was only 0.70 after 9  
243 years, substantially lower than rates of 0.86 to 0.97 reported for conventional stented valves at 10  
244 years, i.e. the Hancock II [21, 22], Mosaic (Medtronic Inc, Minneapolis, MN) [24], and Perimount  
245 Magna [22].

246 The morphological and hemodynamic criteria defined in this study for SVD indicate intrinsic changes  
247 in the valve suggesting at least moderate aortic stenosis associated with left ventricular hypertrophy  
248 [26], substantial complications and event rates from AS [27], impaired event-free survival and  
249 increased overall mortality [28]. Thus the definition for SVD in our study is rather conservative  
250 considering the generally larger EOA and lower gradients of stentless vs. stented valves.

251  
252 In general, SVD is influenced by the tissue structure (e.g. bovine vs. porcine), the design of the valve,  
253 as well as its mechanical wear and stress absorption properties. Notably, chemical fixation and the  
254 anticalcification treatment are considered key elements in valve manufacturing aimed at enhancing  
255 valve durability, and avoiding premature calcification, SVD and reoperation [29]. All biological tissue  
256 valves including the SOLO primarily undergo chemical fixation with GA to provide mechanical  
257 stability, at the expense of susceptibility to calcification. In a unique treatment, Sorin uses HCA  
258 featuring strong electronegative sulfonic groups as post-fixation treatment bonding to neutralize free  
259 toxic aldehyde groups in the SOLO valve [30]. In a subcutaneous rat model, GA-HCA-treated bovine  
260 pericardium showed less calcification than GA alone after explantation (14-84 days) [30]. The  
261 effectiveness, however, has been questioned because this model ignores mechanical and dynamic  
262 stress or blood-surface contact [31]. In fact, results from the subcutaneous rat model were the exact  
263 opposite of those from the blood contact and the pulsatile models, emphasizing the necessity of blood  
264 contact in preclinical valve testing [31]. Furthermore, and perhaps even more important, stentless  
265 valve implantation techniques are generally more demanding, less reproducible and standardized, and  
266 more dependent on the surgeon's skill and experience. Importantly, the ideal concept of a stentless  
267 valve prosthesis assumes that it can replace and imitate a native valve, thus adopting nearly identical  
268 functional durability. However, this theoretical idea ignores that the stentless valve may not seat  
269 adequately in the native aortic root. In detail, correct sizing and perfectly symmetrical implantation to

270 ensure low leaflet stress is only rarely obtained with heterogeneous strain and elongation, compression,  
271 shear, and torsional deformation for the three sinuses [32], whereas the SOLO is constructed perfectly  
272 symmetrical and thereby causes stress variations on the leaflets [1, 33]. In the sheep model, the left-  
273 and non-coronary sinuses were found to undergo clockwise torsion during the ejection phase, while  
274 the right sinus undergoes counterclockwise torsion [32]. This puts stress on the NCC/RCC  
275 commissure and could explain why tears were predominantly seen close to this particular location.  
276 Any malpositioning and asymmetry between the native anatomy and the stentless tissue valve may  
277 cause small distortions with eccentric regurgitation, increased chronic mechanical stress, potentially  
278 leading to fatigue over time and premature valve deterioration [33]. Given the large individual  
279 variability in root anatomy, particularly of the non-coronary sinus, which is usually larger than left-  
280 and right-coronary sinuses (with a larger volume, increased height, width, leaflet size and thickness) [1,  
281 32], symmetric implantation and tension-free positioning can hardly be guaranteed. As a consequence,  
282 the observation of root anatomy, correct sizing and symmetric implantation of the SOLO must be  
283 given particular attention.

284

285

#### 286 **Limitation**

287 At the time of introduction of the SOLO stentless prosthesis, no prior experience was available, and  
288 surgeons were engaged in proctoring and teaching, which could have influenced patient selection and  
289 technical precision. This study was neither designed to investigate the cause of SOLO-associated  
290 postoperative thrombocytopenia, nor the structural cardiac changes, i.e. the mass regression and its  
291 influence on survival. With regard to long-term adverse events, not all causes of death could be  
292 clarified. However, it must be expected that SVD in some patients contributed to premature death,  
293 particularly because 2/3 of patients diagnosed with SVD did not undergo re-operation for various  
294 reasons, and concomitant procedures do not fully explain the difference in mortality between isolated  
295 and combined procedures. Thus, competing events may potentially have influenced the assessment of  
296 other aortic-valve related adverse events. Alternatively, we combined all endpoints to evaluate overall  
297 successful AVR with the SOLO stentless prosthesis; albeit at the cost of losing clinically relevant  
298 information. Because our data reports outcomes from a single institution, we caution a premature final  
299 conclusion regarding the SOLO; additional data from other centers are warranted to help to determine  
300 long-term durability of the SOLO prosthesis.

301

302 In conclusion, the SOLO stentless valve is safe to implant, shows excellent hemodynamic  
303 performance as well as early- and mid-term results. There were 26 cases of SVD during the follow-up  
304 period. Multivariate Cox regression analysis identified only younger age as an independent predictor  
305 for SVD, but not renal dysfunction, diabetes, arterial hypertension, nor PPM as continuous variable.  
306 However, actuarial freedom from SVD and explantation decreased markedly after only 5–6 years and

307 was only 70% and 82% at 9 years, implying that the SOLO durability is lower than that of  
308 conventional stented prostheses in our institution.

309

310

311 **Acknowledgements:** The authors are thankful to Sarah Longnus, Dorothee Keller, Marija  
312 Trojancevic, Laetitia Krummen-Bayard, Monika Sperisen, Bettina Kohler, and Sara Baumberger  
313 for valuable support in conducting this study and preparation of the manuscript.

## REFERENCES

1. Dagum P, Green GR, Nistal FJ, Daughters GT, Timek TA, Foppiano LE, et al. Deformational dynamics of the aortic root: modes and physiologic determinants. *Circulation*. 1999;100:II54-62.
2. Pavoni D, Badano LP, Ius F, Mazzaro E, Frassani R, Gelsomino S, et al. Limited long-term durability of the Cryolife O'Brien stentless porcine xenograft valve. *Circulation*. 2007;116:I307-13.
3. Carrel TP, Schoenhoff FS, Schmidli J, Stalder M, Eckstein FS, Englberger L. Deleterious outcome of No-React-treated stentless valved conduits after aortic root replacement: why were warnings ignored? *J Thorac Cardiovasc Surg*. 2008;136:52-7.
4. David TE, Feindel CM, Bos J, Ivanov J, Armstrong S. Aortic valve replacement with Toronto SPV bioprosthesis: optimal patient survival but suboptimal valve durability. *J Thorac Cardiovasc Surg*. 2008;135:19-24.
5. Repossini A, Kotelnikov I, Bouchikhi R, Torre T, Passaretti B, Parodi O, et al. Single-suture line placement of a pericardial stentless valve. *J Thorac Cardiovasc Surg*. 2005;130:1265-9.
6. Funder JA. Current status on stentless aortic bioprosthesis: a clinical and experimental perspective. *Eur J Cardiothorac Surg*. 2012;41:790-9.
7. Thalmann A, Kaiblinger J, Krausler R, Pisarik H, Veit F, Taheri N, et al. Clinical experience and mid-term results with the Freedom SOLO stentless aortic valve in 277 consecutive patients. *Ann Thorac Surg*, in press
8. Iliopoulos DC, Deveja AR, Androutopoulou V, Filias V, Kastelanos E, Satratzemis V, et al. Single-center experience using the Freedom SOLO aortic bioprosthesis. *J Thorac Cardiovasc Surg*. 2013;146:96-102.
9. Repossini A, Rambaldini M, Lucchetti V, Da Col U, Cesari F, Mignosa C, et al. Early clinical and haemodynamic results after aortic valve replacements with the Freedom SOLO bioprosthesis (experience of Italian multicenter study). *Eur J Cardiothorac Surg*. 2012;41:1104-10.
10. Beholz S, Repossini A, Livi U, Schepens M, El Gabry M, Matschke K, et al. The Freedom SOLO valve for aortic valve replacement: clinical and hemodynamic results from a prospective multicenter trial. *J Heart Valve Dis*. 2010;19:115-23.
11. Stanger O, Tevaerara H, Carrel T. The Freedom SOLO bovine pericardial stentless valve. *Res Rep Clin Cardiol*. 2014;5:1-13.
12. Edmunds LH, Clark RE, Cohn LH, Miller DC, Weisel RD. Guidelines for reporting morbidity and mortality after valvular operations. *Ann Thorac Surg*. 1988;46:257-59.
13. Vahanian A, Alfieri O, Andreotti F, Artunes MJ, Baron Esquivias G, Baumgartner H, et al. Guidelines on the management of valvular heart disease (version 2012): the Joint Task Force on the Management of Valvular Heart Disease of the European Society of Cardiology (ESC) and the European Association for Cardio-Thoracic Surgery (EACTS). *Eur J Cardiothorac Surg*. 2012;42:S1-44.

14. Pibarot P, Dumesnil JG. Prosthesis-patient mismatch: definition, clinical impact, and prevention. *Heart* 2006;92:1022-9.
15. Pozzoli A, De Maat GE, Hillege HL, Boogaard JJ, Natour E, Mariani MA. Severe thrombocytopenia and its clinical impact after implant of the stentless Freedom Solo bioprosthesis. *Ann Thorac Surg.* 2013;96:1581-6.
16. Piccardo A, Rusinaru D, Petitprez B, Marticho P, Vaida I, Tribouilloy C, et al. Thrombocytopenia after aortic valve replacement with freedom solo bioprosthesis: a propensity study. *Ann Thorac Surg.* 2010;89:1425-30.
17. Tarzia V, Bottio T, Buratto E, Spiezia L, Simioni P, Gerosa G. Freedom Solo Stentless Aortic Valve: Quantitative and Qualitative Assessment of Thrombocytopenia. *Ann Thorac Surg.* 2011;92:1935.
18. Khoo JP, Davies JE, Ang KL, Galinanes M, Chin DT. Differences in performance of five types of aortic valve prostheses: haemodynamic assessment by dobutamine stress echocardiography. *Heart.* 2013;99:41-7.
19. Dellgren G, Eriksson MJ, Brodin LA, Rådegran K. Eleven years' experience with the Biocor stentless aortic bioprosthesis: clinical and hemodynamic follow-up with long-term relative survival rate. *Eur J Cardiothorac Surg.* 2002;22:912-21.
20. Desai ND, Merin O, Cohen GN, Herman J, Mobilos S, Sever JY, et al. Long-term results of aortic valve replacement with the St. Jude Toronto stentless porcine valve. *Ann Thorac Surg.* 2004;78:2076-83.
21. David TE, Armstrong S, Maganti M. Hancock II bioprosthesis for aortic valve replacement: the gold standard of bioprosthetic valves durability? *Ann Thorac Surg.* 2010;90:775-81.
22. Chan V, Kulik A, Tran A, Hendry P, Masters R, Mesana TG, et al. Long-term clinical and hemodynamic performance of the Hancock II versus the Perimount aortic bioprostheses. *Circulation.* 2010;122:S10-6.
23. Dellgren G, David TE, Raanani E, Armstrong S, Ivanov J, Rakowski H. Late hemodynamic and clinical outcomes of aortic valve replacement with the Carpentier-Edwards Perimount pericardial bioprosthesis. *J Thorac Cardiovasc Surg.* 2002;124:146-54.
24. Celiento M, Ravenni G, Milano AD, Pratali S, Sciotti G, Nardi C, et al. Aortic valve replacement with the Medtronic Mosaic bioprosthesis: a 13-year follow-up. *Ann Thorac Surg.* 2012;93:510-5.
25. Borger MA, Prasongsukarn K, Armstrong S, Feindel CM, David TE. Stentless aortic valve reoperations: a surgical challenge. *Ann Thorac Surg.* 2007;84:737-44.
26. Cramariuc D, Rieck AE, Staal EM, Wachtell K, Eriksen E, Rossebø AB, et al. Factors influencing left ventricular structure and stress-corrected systolic function in men and women with asymptomatic aortic valve stenosis (a SEAS Substudy). *Am J Cardiol.* 2008;101:510-15.
27. Kennedy KD, Nishimura RA, Holmes DR, Bailey KR. Natural history of moderate aortic stenosis. *J Am Coll Cardiol.* 1991;17:313-9.

28. Rosenhek R, Klaar U, Schemper M, Scholten C, Heger M, Gabriel H, et al. Mild and moderate aortic stenosis. Natural history and risk stratification by echocardiography. *Eur Heart J*. 2004;25:199-205.
29. Schoen FJ, Levy RJ. Calcification of tissue heart valve substitutes: progress towards understanding and prevention. *Ann Thorac Surg*. 2005;79:1072–80.
30. Valente M, Pettenazzo E, Thiene G, Molin GM, Martignago F, De Giorgi G, et al. Detoxified glutaraldehyde cross-linked pericardium: tissue preservation and mineralization mitigation in a subcutaneous rat model. *J Heart Valve Dis*. 1998;7:283-91.
31. Ozaki S, Herijgers P, Flameng W. Influence of blood contact on the calcification of glutaraldehyde-pretreated porcine aortic valves. *Ann Thorac Cardiovasc Surg*. 2003;9:245-52.
32. Dagum P, Green GR, Nistal FJ, Daughters GT, Timek TA, Foppiano LE, et al. Deformational dynamics of the aortic root: modes and physiologic determinants. *Circulation*. 1999;100:II54-62.
33. Grande KJ, Cochran RP, Reinhall PG, Kunzelmann KS. Stress variations in the human aortic root and valve: The role of anatomic asymmetry. *Ann Biomed Eng*. 1998;26;534-45.

Table 1 Patient characteristics

Table 2 Operative data

Figure 1 Gradients

Table 3 Freedom from death, SVD, explantation, endocarditis, thrombosis, and combined overall failure

Figure 2 Kaplan-Meier-Estimates for major adverse events

**Table 1:** Patient preoperative characteristics

Number of patients	149
Age (y)	73.6 ± 8.7 (46.1-87.4)
Gender	
Male (n, %)	81 (54.4)
Female (n, %)	68 (45.6)
BMI (kg/m <sup>2</sup> )	27.0 ± 5.9 (16.9-29.4)
BSA (Dubois) m <sup>2</sup>	1.82 ± 0.29 (1.27-2.20)
Diabetes mellitus (n, %)	34 (22.8)
Arterial hypertension (n, %)	130 (87.2)
Renal impairment (n, %)	24 (16.1)
Peripheral artery disease (n, %)	62 (41.1)
Carotid stenosis (n, %)	14 (10.1)
COPD (n, %)	25 (9.4)
LVEF (%)	55.4 ± 12.3
History of cerebral events (n, %)	17 (11.4)
NYHA class	
NYHA I	14 (9.4)
NYHA II	62 (41.6)
NYHA III	54 (36.2)
NYHA IV	19 (12.8)
Valve pathology	
Stenosis	126 (84.6)
Regurgitation	10 (6.7)
Combined	13 (8.7)
Preoperative rhythm	
Sinus Data as mean ± SD	120 (80.5)
Chronic atrial fibrillation	21 (14.1)
Heart block	2 (1.3)
Paced	6 (4.0)
EuroScore II, total	5.70 ± 8.88
EuroScore II, isolated AVR	2.69 ± 3.36
EuroScore II, combined procedures	8.67 ± 11.34

BMI=body mass index, BSA=body surface area, COPD=chronic obstructive pulmonary disease, LVEF=left ventricular ejection fraction, NYHA=New York Heart Association, Data as mean ± SD

**Table 2:** Operative data

Procedures	149
Isolated AVR	75
Combined procedures*	74
CABG, n	59
Grafts, n	1.9 ± 1.0
CABG+MVR, n	5
CABG+TVR, n	1
MVR (DVR), n	1
MVR (DVR)+TVR, n	1
Tricuspid annuloplasty, n	1
Ascendens tube graft, n	3
Ablation, n	2
PFO-closure, n	8
Other, n	4
Labeled valve size	
#19	3 (2.0)
#21	28 (18.8)
#23	44 (29.5)
#25	39 (26.2)
#27	35 (23.5)
CPB time (min)	
Isolated procedures	64 ± 14
Combined procedures	95 ± 31
Cross-clamp time (min)	
Isolated procedures	47 ± 13
Combined procedures	71 ± 23
RBC units <sup>a</sup>	
Isolated procedures	2.4 ± 1.3
Combined procedures	3.2 ± 2.1
platelets <sup>b</sup>	
Isolated procedures	1.5 ± 0.9
Combined procedures	1.8 ± 1.1
30-day mortality	
Isolated procedures	1/75 (1.3)
Combined procedures	3/74 (4.0)
Overall	4/149 (2.7)

\*one or more concomitant procedures, AVR=aortic valve replacement, MVR=mitral valve repair/replacement, CABG=coronary artery bypass grafting, TVR=tricuspid valve repair (tricuspid annuloplasty), DVR=double valve replacement, PFO=persistent foramen ovale, CPB=cardiopulmonary bypass, RBC=red blood cells, <sup>a</sup> 51.1% of patients received one or more RBC units, <sup>b</sup> 14.4% of patients received one or more platelet units, values are n (%)

**Table 3:** Estimates on freedom from major adverse events

estimate	year 1	year 2	year 3	year 4	year 5	year 6	year 7	year 8	year 9	year 10
freedom from										
death	0.94 [0.89–0.97]	0.91 [0.85–0.94]	0.86 [0.80–0.91]	0.80 [0.73–0.86]	0.75 [0.67–0.81]	0.69 [0.61–0.76]	0.66 [0.57–0.73]	0.59 [0.50–0.68]	0.57 [0.47–0.66]	0.57 [0.47–0.66]
SVD	1.00 [1.00–1.00]	0.99 [0.94–1.00]	0.99 [0.94–1.00]	0.97 [0.92–0.99]	0.92 [0.86–0.96]	0.88 [0.81–0.93]	0.81 [0.72–0.88]	0.73 [0.62–0.81]	0.70 [0.57–0.79]	0.60 [0.37–0.77]
explantation	0.99 [0.95–1.00]	0.96 [0.92–0.99]	0.96 [0.92–0.99]	0.96 [0.91–0.98]	0.95 [0.89–0.97]	0.95 [0.89–0.97]	0.92 [0.86–0.96]	0.85 [0.75–0.92]	0.82 [0.69–0.90]	0.82 [0.69–0.90]
explantation for SVD	1.00 [1.00–1.00]	0.99 [0.94–1]	0.99 [0.94–1.00]	0.99 [0.94–1.00]	0.98 [0.93–0.99]	0.98 [0.93–0.99]	0.95 [0.88–0.98]	0.88 [0.77–0.94]	0.84 [0.71–0.92]	0.84 [0.71–0.92]
endocarditis	0.99 [0.95–1.00]	0.99 [0.95–1.00]	0.98 [0.93–0.99]	0.98 [0.93–0.99]	0.98 [0.93–0.99]	0.98 [0.93–0.99]	0.97 [0.93–0.99]	0.97 [0.91–0.99]	0.97 [0.91–0.99]	0.97 [0.91–0.99]
thromboembolism	0.99 [0.99–0.93]	0.99 [0.95–1.00]	0.99 [0.95–1.00]	0.99 [0.95–1.00]	0.99 [0.95–1.00]	0.99 [0.95–1.00]	0.99 [0.95–1.00]	0.99 [0.95–1.00]	0.99 [0.95–1.00]	0.99 [0.95–1.00]
combined overall failure	0.93 [0.87–0.96]	0.88 [0.82–0.92]	0.84 [0.77–0.89]	0.77 [0.69–0.83]	0.69 [0.61–0.76]	0.60 [0.52–0.68]	0.53 [0.45–0.61]	0.45 [0.36–0.53]	0.41 [0.31–0.50]	0.35 [0.22–0.48]

SVD = structural valve deterioration

