

1 Atmospheric patterns driving Holocene productivity in the Alboran Sea (Western  
2 Mediterranean): a multiproxy approach

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16 **Abstract**

17 High-resolution paleoproductivity variations have been reconstructed in a productive cell in the Alboran  
18 Sea for the Holocene. Fossil coccolithophore assemblages have been studied along with the  $U^{k'}$ <sub>37-</sub>  
19 estimated sea-surface temperature (SST) and other paleoenvironmental proxies. The appearance of this  
20 cell is suggested at 7.7 ka cal BP and was linked to the establishment of the western anticyclonic gyre.  
21 From that time until the present, the nannofossil accumulation rate of *Florisphaera profunda* has revealed  
22 successive episodes of weakening and strengthening of upwelling conditions in the Alboran Sea that have  
23 been simultaneous to changes in Western Mediterranean Deep Water (WMDW) formation in the Gulf of  
24 Lions. A two-phase scenario operating at millennial-centennial time-scale is proposed to explain this  
25 climatic and oceanographic variability: [1] coeval with more arid climate conditions, weaker  
26 northerlies/north-westerlies blowing over the Gulf of Lions would have triggered a slackening of WMDW

27 formation. This together with a minor Atlantic Jet (AJ) inflowing into the Alboran Sea would have led to  
28 less vertical mixing, and hence, a more stable water column in the study area; [2] wetter climate  
29 conditions would have prevailed in the region while stronger northerlies/north-westerlies would have  
30 enabled WMDW reinforcement in the Gulf of Lions simultaneous to an intensification of the AJ that  
31 migrated southward. This would have increased vertical mixing, intensifying upwelling conditions in the  
32 study area. Here, the winter North Atlantic Oscillation (NAO) is considered to be an important forcing  
33 mechanism for this variability, influencing WMDW formation, which in turn has been linked to short-  
34 term productivity variations during the last 7.7 kyr in the Alboran Sea.

### 35 **Keywords**

36 Coccolithophore productivity, Holocene, Western Mediterranean Deep Water, North Atlantic Oscillation,  
37 Alboran Sea

### 38 **Introduction**

39 In recent years, climatic variability during the Holocene (11.7 kyr to present) has been a challenging  
40 issue. Recent studies have shown that this period has been characterised by several abrupt climatic events  
41 as well as pervasive short-term oscillations (Mayewski et al., 2004; Wanner et al., 2011), ruling out the  
42 perception of the Holocene as having been stable. As the most recent geological period, the variability  
43 recorded by the natural system during this time must be taken into account when attempting to project  
44 future climatic scenarios (IPCC, 2013). Identifying the causes of this variability, as well as the  
45 mechanisms transferring it from one region to another, is crucial if we are to gain an overall  
46 understanding of the system.

47 The Western Mediterranean is an essential region as regards determining climatic teleconnections  
48 with the North Atlantic area (Cacho et al., 1999; Martrat et al., 2004; Sierro et al., 2005). Current sea-  
49 level, temperature and precipitation variability in the Western Mediterranean have been linked to the  
50 fluctuation of the atmospheric gradient formed by the Azores high- and the Icelandic low- pressure  
51 centers: the North Atlantic Oscillation (NAO) (Lionello et al., 2006; Tsimplis and Josey, 2001), a natural  
52 mode of atmospheric variability that has a pronounced effect on the climate of western central Europe at  
53 decadal time scales (Hurrell, 1995). The intensity of a NAO-like pattern has already been suggested as a

54 likely driving mechanism for several short-term environmental oscillations in the Western Mediterranean  
55 during the Holocene (Fletcher et al., 2012; Fletcher and Zielhofer, 2011; Frigola et al., 2007; Goy et al.,  
56 2003; Jalut et al., 2000; Jalut et al., 1997). However, little evidence is available concerning the impact of  
57 short-term climatic oscillations on past ocean productivity in the Mediterranean Sea (Lionello, 2012) or  
58 regarding its probable connection with North Atlantic climatic processes. Within the generalized  
59 oligotrophic character of the Mediterranean Sea, the Alboran Sea is considered an exception, exhibiting  
60 quasi-permanent areas of upwelling (Sarhan et al., 2000) where local vertical mixing is the main factor  
61 controlling marine productivity (Dafner et al., 2003). Upwelling dynamics are steered by local  
62 hydrography and atmospheric circulation (García-Gorriz and Carr, 1999). The pattern is as follows:  
63 offshore upwelling associated with southward drifting of the Atlantic Jet (AJ), and wind-induced coastal  
64 upwelling on the shore, promoted by winds blowing along the Spanish coast (Sarhan et al., 2000). The  
65 semi-enclosed features of the Alboran Sea lead to partial isolation of these phenomena, making it an ideal  
66 region for the study of the impact of short-term climatic oscillations on ocean productivity. Its latitudinal  
67 position and its connection with the Atlantic Ocean also provide a reasonable area for the study of ocean-  
68 climate teleconnections between northern processes and lower latitudes.

69 Coccolithophores are Haptophyte algae with calcified scales (coccoliths). Living forms are one of the  
70 major oceanic primary producers, and they are strongly influenced by nutrient availability, dissolved CO<sub>2</sub>  
71 concentrations in sea water, and SST, among others (Thierstein and Young, 2004). Thus, fossil forms of  
72 coccoliths preserved in deep-sea sediments are commonly used as a widespread proxy to reconstruct  
73 some of these variables as well as productivity (Baumann and Freitag, 2004; Colmenero-Hidalgo et al.,  
74 2004; Flores et al., 2000; Flores et al., 1999; Giraudeau, 1992).

75 The main objective of the present study was to reconstruct past productivity in an upwelling area of  
76 the Alboran Sea during the Holocene and to elucidate the climatic and oceanographic mechanisms  
77 involved in past variations in productivity, especially those related to North Atlantic climatic and  
78 oceanographic dynamics. For this reason, we report here a marine productivity record reconstructed from  
79 fossil coccolithophores along with data on oxygen isotopes, alkenone-estimated SST and other organic  
80 biomarkers for the last 12 kyr and correlate them with data referring to paleoenvironmental variations.

81 **Area of study: modern water masses and climatic dynamics**

82 Core HER-GC-T1 was recovered off the coast of Malaga (Figure 1b) in the Alboran Sea (Western  
83 Mediterranean). This is a transitional region where Atlantic Water (AW) enters the Mediterranean Sea  
84 through the Strait of Gibraltar as a jet of water called the Atlantic Jet (AJ) (García Lafuente et al., 2000),  
85 and becomes two quasi-permanent anticyclonic gyres on its way to the east: the Western Anticyclonic  
86 Gyre (WAG) and the eastern one (EAG) (Heburn and La Violette, 1990). The interaction between AW  
87 and the more saline and warmer Mediterranean water (MW) results in the formation of a geostrophic  
88 front at the northern limit of the WAG, called the Alboran Front (where HER-GC-T1 is located) (Minas  
89 et al., 1991) (Figure 1b). Southward migrations of the AJ allow the water from below, relatively warm  
90 and fresh, to upwell in the study area (Sarhan et al., 2000) forming a high-productivity cell referred to  
91 here as the “Malaga cell”.

92 (Insert\_Figure\_1)

93 At depth, water circulation may be simplified with a three-layer model: on the surface, the mixing of  
94 AW and MW forms the Modified Atlantic Water (MAW), occupying the photic zone (100-200 m).  
95 Below, Levantine Intermediate Water (LIW), formed in the eastern part of the Mediterranean Sea, flows  
96 at a depth of 200-600 m towards the Strait of Gibraltar. Below the LIW, Western Mediterranean Deep  
97 Water (WMDW) flows at 600-3000 m depth in the same direction (Millot, 1999). WMDW is formed in  
98 the open sea off the Gulf of Lions (Figure 1c) (MEDOCGROUP, 1970). This deep water convection has a  
99 thermohaline origin and is linked to buoyancy preconditions determined by the heat flux, which in turn is  
100 steered by the blowing winds: the Tramontana (northerlies) and/or Mistral (north-westerlies) (Font et al.,  
101 2007; Mertens and Schott, 1998; Rixen et al., 2005; Smith et al., 2008). These cold dry winds blowing  
102 over the area induce heat losses and the evaporation of the MAW, which becomes saltier and colder and  
103 finally sinks owing to its high density, to form the WMDW (Font et al., 2007).

104 Present climate conditions in the region are influenced by an atmospheric high-pressure center above  
105 the Azores archipelago in the Atlantic Ocean resulting in hot dry summers and wetter winters (Sumner et  
106 al., 2001). At decadal and inter-annual time-scales, climatic variability in the North Atlantic region is  
107 modulated by the NAO (Hurrell, 1995). Currently, winter anomalies of the NAO in the Mediterranean

108 have been shown to influence sea level variability (Tsimplis and Josey, 2001), wave climate (Cañellas et  
109 al., 2010) and temperature and precipitation trends to a significant extent (Lionello et al., 2006). The  
110 NAO has been suggested to exert an indirect influence on net water flux in the Strait of Gibraltar via its  
111 high correlation with regional evaporation, precipitation and runoff (Fenoglio-Marc et al., 2013). The  
112 winter-NAO has also been correlated with heat flux anomalies that determine the buoyancy preconditions  
113 of deep water convection in the Gulf of Lions (Rixen et al., 2005), although Josey et al. (2011), using a  
114 broader definition of winter (October-March), concluded that the NAO only plays a secondary role when  
115 other modes of variability related to the heat flux are considered.

## 116 **Material and methods**

117 We analyzed the top 183 cm of gravity core HER-GC-T1 (Lat. 36°22'12''N, Long. 4°17'57''W),  
118 recovered by the BIO Hesperides during the Hermeseone research cruise in 2009, from a depth of 658.9  
119 meters below sea level (mbsl). The sediments recovered are mainly composed of dark greenish-gray mud  
120 rich in planktonic foraminifers.

### 121 *Age model*

122 Radiocarbon ages were determined on six samples of selected foraminiferal shells using the  
123 accelerator mass spectrometry (AMS) technique (Table 1) at the Poznan Radiocarbon Laboratory and  
124 Woods Hole Oceanographic Institution. Conversion from radiocarbon ages to calibrated calendar years  
125 was performed using the OxCal 4.2 online software (Bronk Ramsey, 2008) and the Marine13 calibration  
126 dataset curve (Reimer et al., 2013), which includes a correction of 400 yr for the global marine reservoir  
127 effect. The regional difference from this global reservoir correction ( $\Delta R$ ) (Stuiver and Braziunas, 1993)  
128 proved to be  $-22 \pm 35$  years (Siani et al., 2000) and was also considered. The age model for the last 12 kyr  
129 was based on linear interpolation between these six calendar ages (Figure 2) performed with the  
130 AnalySeries Version 1.1 (Paillard et al., 1996). The average sedimentation rate was found to be  $18.41 \pm$   
131  $5.4 \text{ cm} \cdot \text{kyr}^{-1}$ . All dates reported in the text are given in calendar ages BP.

132 (Insert\_Figure\_2)

133 **Table 1.** Age model for core HER-GC-T1. <sup>a</sup>Poznan Radiocarbon Laboratory. <sup>b</sup>Woods Hole  
 134 Oceanographic Institution.

Radiocarbon (Sample/Laboratory code)	Foram Type	Depth (cm)	Radiocarbon Age (yr BP)	Calendar Age (2-sigma error range) (yr cal. BP)
SEC1_2/ Poz-53233 <sup>a</sup>	<i>G. inflata</i>	2	440 ± 25	88±62
SEC1_21/OS-87586 <sup>b</sup>	<i>G. inflata</i>	21	1,810 ± 25	1,379±54
SEC1_63/ Poz-53234 <sup>a</sup>	<i>G. inflata</i>	63	4,175 ± 35	4,284±76
SEC2_17/ Poz-53235 <sup>a</sup>	<i>G. inflata</i>	107	6,100 ± 40	6,550±68
SEC2_54/OS-87587 <sup>b</sup>	<i>G. inflata</i> and <i>N. pachyderma</i>	144	7,350 ± 35	7,834±58
SEC3_12/ Poz-53236 <sup>a</sup>	<i>N. pachyderma</i>	202	10,400 ± 60	11,539±162

135 *Coccolithophore analysis and taxonomy*

136 Eighty-five samples were taken systematically every 2-3 cm. The resulting sampling gives an average  
 137 time resolution of ~140 years. Slides for micropaleontological analyses were prepared following the  
 138 settling technique proposed by Flores and Sierro (1997). Qualitative and quantitative analyses were  
 139 performed using a Nikon Eclipse 80-i petrographic microscope with a phase contrast device at 1000x  
 140 magnification. Nannofossil census counts were based on at least 500 specimens identified in a first count,  
 141 which is representative for studying the main species (Fatela and Taborda, 2002). In a second count, 25  
 142 fields of view were observed in order to avoid underestimation and/or overestimation of the taxa whose  
 143 abundance was less than 1% in the first count. The Nannofossil Accumulation Rate (NAR) is given in  
 144 numbers of coccoliths\*cm<sup>-2</sup>\*kyr<sup>-1</sup>, and was calculated in each sample by considering dry-sediment  
 145 density, the sedimentation rate and the absolute abundance of each species (number of coccoliths\*g<sup>-1</sup>).  
 146 The total NAR was interpreted as a proxy of primary productivity. Relative abundance (%) was also  
 147 calculated. All taxa identified in this study have been reported previously for the sampling location  
 148 (Álvarez et al., 2010; Weaver and Pujol, 1988). The “small *Gephyrocapsa*” group is integrated by all  
 149 *Gephyrocapsa* specimens smaller than 3 µm (Flores et al., 1999). Along with *Emiliania huxleyi* (<4 µm),  
 150 they were lumped together as “small placoliths”. Other taxa identified in this study were *Gephyrocapsa*  
 151 *muelleriae*, *Gephyrocapsa oceanica*, *Helicosphaera carteri*, *Syracosphaera* spp. and *Florisphaera*  
 152 *profunda* (as dominant taxa). The rare taxa identified were *Braarudosphaera bigelowii*, *Calcidiscus*

153 *leptoporus*, *Calciosolenia murrayi*, *Coccolithus pelagicus* subsp. *braarudii*, *Coccolithus pelagicus* subsp.  
154 *pelagicus*, *Gephyrocapsa caribbeanica*, *Oolithotus fragilis*, *Pontosphaera* spp., *Rhabdosphaera*  
155 *clavigera*, *Umbilicosphaera sibogae*, *Umbellosphaera* spp. and *Discosphaera tubifera*. The latter four  
156 were lumped together as the warm-water group (WWG) owing to their common and relatively high  
157 record in warm waters (McIntyre and Bé, 1967; Okada and Honjo, 1973). Taxa pertaining to older  
158 stratigraphic levels (regularly older than the Pliocene in this study) were deposited again after their  
159 resuspension and transport to the core location and were also counted as rare species and designated  
160 “reworked specimens”.

161 The preservation of the coccolithophore assemblages is good (little or no evidence of dissolution;  
162 diagnostic features fully preserved) (Flores and Marino, 2002). Moreover, the NARs were transformed  
163 into coccoliths\*m<sup>-2</sup>\*day<sup>-1</sup>. The results are comparable to the annual flux found by Bárcena et al. (2004) in  
164 the same area for sediment-trap samples, showing that dissolution and taphonomic effects are negligible  
165 in this core.

#### 166 *Oxygen stable isotopes*

167 Up to 20 well-preserved specimens of the planktic foraminifer *Globigerina bulloides* were picked  
168 from the >200 µm size fraction in 66 samples. The individuals were crushed, subjected to ultrasound and  
169 cleaned with methanol before isotopic analyses were performed with a SIRA mass spectrometer equipped  
170 with a VG isocarb common acid bath system at the University of Barcelona. Calibration to the Vienna  
171 Pee Dee Belemnite (VPDB) standard scale (Coplen, 1996) was accomplished using the NBS-19 standard,  
172 and analytical precision was better than 0.06 ‰ for δ<sup>18</sup>O.

#### 173 *Molecular biomarkers*

174 A total of 86 samples, taken every 2-3 cm, were selected for the analysis of fossil organic compounds  
175 (long chain alkenones, alcohols and hydrocarbons). The experimental procedures used are described in  
176 Villanueva et al. (1997). Samples were analysed with a Varian Gas Chromatograph (GC) model 450, an  
177 autoSampler 8400, a Cold On-Column (COC) Injector 1093 and a Flame Ionization Detector (FID). The  
178 carrier gas was hydrogen (2.5 mL/min). C<sub>37</sub> unsaturated alkenones (di-unsaturated and tri-unsaturated) are  
179 synthesized by coccolithophorid flora. Their identification and quantification allow the calculation of the

180  $U_{37}^k$  index, which was calibrated according to the equation proposed by Müller et al. (1998) in order to  
181 measure SST. The total concentration of  $C_{37}$  alkenones ( $[C_{37:2}+C_{37:3}]$ ) was also calculated and is  
182 interpreted as a proxy for poor/good preservation of organic matter in deep sea sediments in relation to  
183 well/poorly ventilated deep waters (Cacho et al., 2002). The *n*-hexacosan-1-ol index was calculated  
184 through the relative ratio of *n*-hexacosan-1-ol ( $C_{26}OH$ ) to the sum of ( $C_{26}OH$ ) plus *n*-nonacosane ( $C_{29}$ ).  
185 Since *n*-hexacosanol is more labile to degradation processes than *n*-nonacosane, decreases in the *n*-  
186 hexacosan-1-ol index can be interpreted as a higher ventilation of the deep basin. (Cacho et al., 2000).

### 187 *Statistical approaches*

188 In order to search for possible relationships between some of the proxies addressed here, statistical  
189 cross-correlation, which is suitable for temporal series, was carried out using PAST 3.01 software  
190 (Hammer, 2001). This method requires evenly sampled temporal data, which were interpolated regularly  
191 every 0.14 kyr (the lowest resolution among those from all of the records correlated).

## 192 **Results**

### 193 *Calcareous nannoflora distribution*

194 On average, small placoliths constitute up to 80% of the nannofossil assemblage (Figure 3). Their  
195 NAR records maximum values from 7.7 to 6.2 ka. *G. muelleriae* (Figure 3) is the only species that records  
196 its highest NAR from 12 to 10.5 ka, followed by a decreasing trend from 10.5 ka onwards, interrupted  
197 only by higher values from 7.7 to 6.2 ka. The NAR of the WWG is scarce for the whole period studied,  
198 except from 7.7 to 6.4 ka when it records higher values (Figure 3). *F. profunda* and small *G. oceanica*  
199 exhibit similar general variability (Figure 3): a low NAR up to 7.7 ka, when a large peak is observed;  
200 from then onwards up-core, they record higher NAR values as well as large oscillations.

201 Reworked specimens are mainly constituted by Upper Cretaceous, Paleogene and Neogene  
202 specimens. On average the percent of this allochthonous group relative to autochthonous taxa (Fig. 3) is  
203 0.7% for the whole record. However, it shows five peaks up to 1.6% at 7.0, 6.2, 5.0, 4.1 and 2.8 ka.

204 The total NAR (Figure 3) shows an increasing trend from 12.5 to 7.7 ka. From that time onwards up  
205 to 6.5 ka, it records its highest values followed by a decreasing trend up-core.

206 (Insert\_Figure\_3)



207 *Sea-Surface Temperature*

208  $U_{37}^k$ - SST (Figure 3) has its absolute minimum (14.6°C) at 12 ka, and its absolute maximum (20.1°C)  
209 at 8.9 ka. A decreasing trend is observed from 8.9 ka up-core, characterized by a generalized smooth  
210 internal variability. More specifically, short cooling events (up to 1°C) are seen centered at 11.5, 10.4,  
211 8.5, 7.7, 5.3, and 0.8 ka.

212 (Insert\_Figure\_4)

213 *Oxygen isotopic record*

214 As from 12 ka (Figure 4c) this record tends to lower values, its absolute minimum being reached at  
215 7.8 ka and its values varying up to 1.48 ‰ during that period. From 7.8 to 3.8 ka several slight depletions  
216 interrupt a trend to higher values, increasing by a total of 0.98 ‰. From 3.8 ka to the top,  $\delta^{18}O$  tends  
217 towards slightly lower values, with a range of 0.43 ‰.

218 *Total concentration of  $C_{37}$  alkenones and the  $n$ -hexacosan-1-ol index*

219 The total concentration of  $C_{37}$  alkenones (Figure 4d) shows higher values from 12 to 9 ka. This record  
220 is affected by a decreasing trend up to 7.5 ka, and from that time onwards up-core it shows low values  
221 and little variability. The  $n$ -hexacosan-1-ol index (Figure 4e) tends towards lower values from 12 to 7.5  
222 ka. After the absolute minimum recorded at 7.5 ka, a trend towards higher values is recorded up-core,  
223 punctuated by several depletions.

224 **Discussion**

225 *General primary productivity and SST trends*

226 Holocene productivity can be divided into three periods according to the total NAR variability (Figure  
227 3): from 25.5 to 7.7 ka, characterised by low productivity and a slight increasing trend; from 7.7 to 6.2 ka,  
228 when productivity reached its highest values; and from 6.2 ka onwards, a period affected by lower  
229 productivity and a slight decreasing trend up-core.

230 Specifically from 12 to 10.5 ka, low SST values correspond to a high *G. muelleriae* NAR and relative  
231 abundances and a low WWG NAR and relative abundances (Figure 3), customarily used as cold- and

232 warm-water proxies, respectively (McIntyre and Bé, 1967; Okada and Honjo, 1973; Weaver and Pujol,  
233 1988).

234 The long-term cooling trend shown by the  $U^{k^*}_{37}$ -SSTs from 9 ka during the Holocene is in agreement  
235 with the findings of Marchal et al. (2002) from the study of seven cores from the northeast Atlantic and  
236 the Mediterranean Sea. However, from 7.7 to 6.2 ka there is no agreement between the total NAR and the  
237 SST cooling trend, suggesting that factors other than SST would have controlled coccolithophore  
238 production and variability during that time. Specifically, the signal of WWG (Figure 3) results from the  
239 sum of all taxa with a preference for warm waters. This does not exclude the possibility that other  
240 environmental parameters such as salinity, nutrients, eddies and species-specific biogeography could  
241 affect their distribution and variability (Baumann et al., 2005) and hence their signal in palaeorecords.

242 Despite this general cooling trend, the *G. muelleriae* NAR and relative abundances decrease from 6.2  
243 ka up-core, showing similar variability to that of the small placoliths, which are eurythermal species  
244 linked to the presence of nutrients (Okada and Wells, 1997). Both taxa could have been controlled by the  
245 same factors since they show similar responses. Flores et al. (1997) found low abundances of *G.*  
246 *muelleriae* after the early part of the last Pleniglacial (73.9 kyr) in the Western Mediterranean, suggesting  
247 that the affinity of this species for cold-water conditions is unclear. We suggest that the abundance of *G.*  
248 *muelleriae* has been responding not only to colder waters but also to nutrient availability as from 7.7 ka.

249 The NAR and relative abundances of *F. profunda* (Figure 3) increase at 7.7 ka and undergo several  
250 high-amplitude oscillations up-core as from that time. This species inhabits the lower photic zone (LPZ)  
251 and is abundant under a deep nutricline and an upper photic zone (UPZ) impoverished in nutrients  
252 (Molfinio and McIntyre, 1990), a characteristic that affected the water column in the Alboran Sea during  
253 several periods of the last 7.7 ka.

254 The general trends shown by the SST profile are similar to that shown by the  $\delta^{18}O$  record (Figure 4).  
255 From 8.9 ka both profiles follow a decreasing trend in agreement with that of the insolation curve (Figure  
256 4a) (Berger, 1978). This suggests that insolation could have played an important role from that time  
257 onwards along the Holocene as a long-term factor influencing the SST, which in turn would have had an  
258 important effect on the isotopic composition of oxygen recorded in foraminiferal shells.

259 With regard to deep basin conditions, a period of well-preserved organic matter from 12 to 9.5 ka can  
260 be deduced from the higher concentration of C<sub>37</sub> alkenones (Figure 4d). This period coincides with the  
261 last part of the Organic Rich Layer (ORL-1) reported by Cacho et al. (2002) in the Alboran Sea due to an  
262 oxygen-depleted environment during the deglaciation. The preservation of organic matter declines until  
263 7.5 ka, coherent with the gradual increase in deep water ventilation shown by the *n*-hexacosan-1-ol index  
264 (Figure 4e). From these conditions, we deduce a period of thermohaline reactivation that gradually  
265 decreases from 7.5 ka onwards. During this latter period, the preservation of the organic matter is  
266 relatively low, although a slight increasing trend up-core can be recognized in the total concentration of  
267 C<sub>37</sub> alkenones, in agreement with the gradual reduction in deep water ventilation (Figure 4e), while,  
268 according to the total NAR, lower productivity affects the photic zone (Figure 3).

269 According to Fletcher et al. (2012), continental aridity is evidenced by pollen analysis of the marine  
270 core MD95-2043 (Figure 1b and 4f). This record shows a decreasing abundance of Mediterranean and  
271 temperate pollen grains up-core, pointing to a gradual decline in forest mass and more arid conditions  
272 during the Holocene.

#### 273 *Short-term changes*

274 The 7.7 ka event. At 7.7 ka there is a simultaneous increase in the NAR of all dominant taxa (Figure 3).  
275 The high sedimentation rate from 7.7 to 6.2 ka (Figure 2) is partly responsible for this increase in NARs,  
276 since these depend on the former for its calculation. Nevertheless, the absolute abundances (coccoliths\*g<sup>-1</sup>)  
277 of these taxa also reflect this sharp increase at that time (data not shown), from which it may be  
278 deduced that the simultaneous increase in the NAR at 7.7 ka is not an artifact of the sedimentation rate. In  
279 addition, *F. profunda* NAR shows several high-amplitude oscillations from 7.7 ka, pointing to successive  
280 periods of high productivity in the LPZ and therefore, a change in the configuration of the upper water  
281 column may be deduced from then onwards up-core. Because all taxa were affected, even though some of  
282 them have different and/or opposite ecological preferences (e.g. nutrient availability and SST), we argue  
283 that the same ecological factors (or at least one) control them. We propose an increase in the Atlantic  
284 inflow in the Western Mediterranean Sea, as shown by the maximum flooding of the Southern coast of  
285 Spain dated at 7.4 ka (Zazo et al., 1994), and the ensuing establishment of the WAG in the Alboran basin

286 as a possible cause for this simultaneous peak in all NAR profiles. Directly associated with the  
287 appearance of the WAG, the productive “Malaga cell” would have been settled at that time, implying a  
288 nutrient input that would favour the blooming of all species.

289 It is worth noting that at 7.5 ka the *n*-hexacosan-1-ol index records its absolute minimum coeval with  
290 low values of the total concentration of C<sub>37</sub> alkenones (Figure 4d, e), pointing to a well-ventilated deep  
291 basin. In this regard, Naranjo et al. (2012) demonstrated that the intensification of the WAG is able to  
292 ventilate deep waters in the Alboran Sea. Rohling et al. (1995) reported the establishment of the WAG at  
293 around 8 ka from the interpretation of an abrupt faunal change in the planktic foraminiferal assemblage,  
294 also identified and dated by Pérez-Folgado et al. (2003) at 7.7 ka. A change in the benthic foraminiferal  
295 assemblage was also recognised by Melki et al. (2009) at 8 ka in the Gulf of Lions. Jimenez-Espejo et al.  
296 (2007) deduced a remarkable redox event in the Algero-Balearic basin between 7.5 and 7 ka, interpreted  
297 as the redoxcline reaching the seabed due to intensification of the thermohaline circulation. From the  
298 analyses of pollen records, Fletcher et al. (2012) reported a long-term decline in Mediterranean forest  
299 levels from 7.5 ka. Reviewing many palaeoenvironmental records from marine and continental sites in the  
300 study area, Cortés Sánchez et al. (2012), deduced a long-term environmental crisis due to sea-level rise  
301 and changes in the thermohaline circulation at that time. Based on our results, we suggest that the 7.7 ka  
302 event observed in the NAR records would correspond to the appearance of the “Malaga cell” as a  
303 consequence of the establishment of the WAG. Ultimately, this would have been due to a major inflowing  
304 AJ along with an intensification of the thermohaline circulation in the Western Mediterranean at that  
305 time.

306 Variability of the productive “Malaga cell”. The *F. profunda* NAR and relative abundances show  
307 large oscillations from the proposed appearance of the “Malaga cell” onwards. The relative abundance of  
308 this species has classically been used as a nutricline depth indicator in palaeoceanographic reconstructions  
309 in low latitudes, where *F. profunda* shows high relative abundances and may have dominated the  
310 assemblages during some periods (Beaufort et al., 1997; Flores et al., 2000; Incarbona et al., 2008;  
311 Molino and McIntyre, 1990). In our records, *F. profunda* ranges up to 8% of the total assemblage and its  
312 average contribution (3.3%) is low, in agreement with sediment-trap analyses from the Alboran Sea (4%

313 and 2.5%) (Bárcena et al., 2004; Hernández-Almeida et al., 2011). Regarding relative abundances, the  
314 decrease/increase of one species may occur when other species increase/decrease, even though the  
315 absolute value of the former does not change. Because the changes in the relative abundance of *F.*  
316 *profunda* are of small magnitude, we interpret the NAR as a more suitable expression of *F. profunda*  
317 abundance and variability in this study. This species requires nutrients available in the LPZ (deeper  
318 nutricline) and low turbidity (lower productivity in the UPZ) (Ahagon et al., 1993) to allow light to reach  
319 the LPZ, and hence high values of *F. profunda* NAR can be suggested to represent these conditions. This  
320 argument is supported by the study of sediment-trap samples in the Alboran Sea, where the highest fluxes  
321 of *F. profunda* have been reported, along with water column stratification (Hernández-Almeida et al.,  
322 2011). Similarly, in a recent study carried out in Bay of Bengal, Mergulhao et al. (2013) linked high  
323 fluxes of *F. profunda* to a deep nutricline when oligotrophic conditions prevailed at the surface.

324         Conversely, the decreases in the *F. profunda* NAR were likely due to a shallower nutricline and/or  
325 higher turbulence in the UPZ, characteristic conditions of upwelling intensification. Small placoliths are a  
326 classic indicator of rich-nutrient waters in the UPZ (Okada and Wells, 1997). However, they show scarce  
327 variability from 7.7 ka onwards and, as is the case of the relative abundance of *F. profunda*, changes of  
328 small magnitude (Figure 3), and hence it is not possible to deduce periods of higher productivity from  
329 their record. Nevertheless, we argue that decreases in the *F. profunda* NAR would have been linked to the  
330 intensification of the upwelling conditions, as demonstrated by Ziveri et al. (1995) from the study of  
331 sediment-trap samples, where the lowest fluxes of *F. profunda* were linked to a shoaling of the nutricline  
332 during the upwelling period in southern California.

333         From this, we deduce that the high variability shown by *F. profunda* NAR points to pulses of  
334 weakening and intensification of upwelling conditions in the study area, implying that the semi-  
335 permanent nature of the productive "Malaga cell" has characterized it for the last 7.7 kyr.

336         Upwelling intensity in the study area is linked to AJ dynamics (Sarhan et al., 2000). This implies that  
337 more factors would have influenced productivity, since these dynamics in turn are steered by the water  
338 exchange controlled hydraulically in the Strait of Gibraltar (García Lafuente et al., 2002). This water  
339 exchange determines a net water inflow at the Strait that compensates the freshwater loss at the sea-

340 surface induced by evaporation in the Mediterranean Sea (Fenoglio-Marc et al., 2013). With regard to  
 341 outflow, this is essentially formed by the LIW and WMDW. The latter occupies the bottom layer and  
 342 from the study of CTD profiles, the core location has been reported to have been under its direct influence  
 343 (Ercilla, 2013, personal communication). Frigola et al. (2007) analyzed the UP10 fraction (fraction  
 344 coarser than 10  $\mu\text{m}$ ) (Figure 5a) from marine core MD99-2343 (Figure 1c) and interpreted the occurrence  
 345 of episodes of WMDW reinforcement in the Gulf of Lions for the last 12 kyr called the “Minorca  
 346 events” (Table 2). There is a general correspondance between periods of maximum UP10 fraction and  
 347 minimum *F. profunda* NAR, indicating a WMDW reinforcement in the Gulf of Lions coeval with  
 348 intensification of the upwelling conditions in the Alboran Sea (Table 2, Figure 5). By contrast, during  
 349 most of the periods in which the UP10 fraction records its lowest values, *F. profunda* NAR shows large  
 350 peaks, indicating a relaxation of the upwelling and a more stable water column in the Alboran Sea during  
 351 periods of WMDW weakening (Figure 5). The cross-correlation between the UP10 fraction and the NAR  
 352 of *F. profunda* is  $R = -0.56$ ,  $n = 85$ ,  $p\text{-value} = 0.01$  (correlation significant at 99%). This result highlights  
 353 the notion that both proxies are anticorrelated at a moderate value. Because Holocene climate records are  
 354 imperfect proxies for processes containing complicated mixtures of periodic and random signals  
 355 (Thomson, 1990), complex effects difficult to identify, isolate and remove, can affect the correlation  
 356 parameter. In addition, owing to chronological uncertainties another significant concern arises when  
 357 comparing records based on different age models. Thus, it is important to bear in mind that this relatively  
 358 moderate correlation value results from proxies of different nature (physical and biological), which were  
 359 measured in different cores from adjacent basins.

360 (Insert\_Figure\_5)

361 **Table 2.** Timing of Minorca Events (WMDW reinforcement) in the Gulf of Lions (Frigola et al., 2007)  
 362 and timing of the lower values of *F. profunda* NAR in the Alboran Sea.

Minorca Event	WMDW reinforcement periods in Frigola et al. (2007)	<i>F. profunda</i> NAR decreases in the Alboran Sea
	Age interval (ka cal BP)	Age interval (ka cal BP)

M8	9.0-7.8	9.2-7.8
M7	7.4-6.9	7.4-6.9
M6	6.5-5.8	6.6-6.1
M5	5.3-4.7	5.7-4.7
M4	4.2-4.0	4.2-3.1
M3	3.4-3.1	
M2	2.6-2.3	2.6-2.1
M1	1.8-1.4	
M0	0.8-0.2	0.9-0.2

363 According to García-Lafuente et al. (2007), the seasonal cycle of the outflow is linked to that of the  
364 annual formation of WMDW. The same authors (2002) demonstrated that the inflow and outflow  
365 fluctuate in-phase. In light of this, it could be suggested that a reinforcement of WMDW would have been  
366 simultaneous to an enhanced Atlantic inflow in order to compensate the evaporative losses that promoted  
367 WMDW formation. Changes in the AJ velocity forced a change in its incoming direction as follows:  
368 higher velocities would have forced the AJ to migrate southward and, as a consequence, upwelling would  
369 have occurred offshore since a volume of uplifted waters replaced the space left behind; conversely,  
370 lower velocities would have caused the northward displacement of the AJ and the development of the  
371 WAG (Cheney and Doblar, 1982; Sarhan et al., 2000; Vargas-Yáñez et al., 2002).

372 The SST short-term variability shows changes of small amplitude (up to 1 °C) that coincide with most  
373 of the six short cooling events (1-1.5 °C) recognized by Cacho et al. (2001) in a  $U_{37}^k$ - SST record from  
374 the Alboran Sea. Cacho et al. (2001) studied these cooling events along a longitudinal transect, and  
375 observed that their amplitude was larger eastward. The authors argued that these were transmitted by  
376 North Atlantic inflowings but were amplified across the Mediterranean by strong winter winds. Taking  
377 into account the location of core HER-GC-T1 in this transect, the smaller magnitude of the SST cooling  
378 events is in agreement with the Mediterranean amplification effect reported by Cacho et al. (2001).

379 At millennial-centennial time-scale, SST and  $\delta^{18}\text{O}$  variations are not simultaneous. Owing to the  
380 oxygen isotope ratios within shells of *G. bulloides* are a function of the local temperature in which the  
381 shells forms and the variations in continental ice sheets (Emiliani, 1955), discrepancies between both  
382 records may be related to surface water salinity changes and/or ecological repercussions from the  
383 different planktic groups employed (i.e. the different depths at which *G. bulloides* calcifies and alkenones  
384 are produced).

385 The five largest peaks shown by the reworked specimens (Figure 5d) may be negligible owing to their  
386 low relative abundance (up to 1.6%). Nevertheless, we submit that they could have paleosignificance  
387 related to the processes described below due to their correspondence with periods of WMDW formation  
388 (Figure 5). In the study area, reworked specimens have been linked to terrigenous input from exposed  
389 continental margins and fluctuation in the sea level (Colmenero-Hidalgo et al., 2004; Flores et al., 1997).  
390 We discard this hypothesis since the estimated changes in relative mean sea levels during the Holocene  
391 did not exceed 1.3 m in the Alboran Sea (Goy et al., 2003). Nevertheless, reworked specimens can be also  
392 transported by rivers flowing into the study area (Guadalfeo River and Guadalhorce River (Figure 1b)).  
393 Southward migrations of the North Atlantic westerlies (i.e. blowing over the Gulf of Lions) allows the  
394 penetration of winter storm tracks in the Mediterranean region. This was observed for the Holocene by  
395 Fletcher et al. (2012), who linked southward displacements of the North Atlantic westerlies with forest  
396 expansion in the Western Mediterranean. Higher precipitation during times of prevailing westerlies would  
397 have involved enhanced fluvial discharges, supporting the transport of reworked specimens by rivers  
398 during periods of WMDW formation.

399 The several changes seen in the *n*-hexacosan-1-ol index (Figure 5c) do not reveal any evident  
400 correlation with those of the UP10 fraction. It is expected that after its formation the WMDW would have  
401 ventilated the seafloor on its way to the Strait. However, fluvial discharges in the study area during those  
402 periods could have partly masked the short-term ventilation signal shown by the *n*-hexacosan-1-ol index.

403 From these results, we propose a two-phase scenario to explain the behavior of the productive Malaga  
404 cell at millennial-centennial time-scale from its appearance at 7.7 ka: [1] more arid climate conditions and  
405 weaker northerlies/north-westerlies in the Gulf of Lions, the latter leading to a reduction in WMDW



406 formation concurrent with a weakening of the AJ inflow. This would have promoted a reduction in  
407 vertical mixing (Figure 6a), triggering a weakening of the upwelling conditions and leading to a more  
408 stable water column in the Alboran Sea; [2] wetter climate conditions and an intensification of  
409 northerly/north-westerly winds in the Gulf of Lions would have prompted a WMDW reinforcement  
410 simultaneous with a stronger AJ and its southward migration. This would have caused an increase in  
411 vertical mixing, leading to an intensification of the upwelling conditions in the Alboran Sea (Figure 6b).

412 *Proposed forcing mechanism.*

413 Atmospheric pressure over the Mediterranean is the main driving force of water exchange in the  
414 Strait (García Lafuente et al., 2002) as well as of the wind intensity, which determines the WMDW  
415 formation (Leaman and Schott, 1991; Rixen et al., 2005). On a longer time-scale, a NAO-like mode of  
416 atmospheric circulation could represent a mechanism influencing climate variability and productivity at a  
417 millennial-centennial time-scale in the study area due to the well-known climatic teleconnection of the  
418 Western Mediterranean with northern latitudes (Cacho et al., 1999; Martrat et al., 2004). This relationship  
419 has already been suggested by several authors (Fletcher et al., 2012; Frigola et al., 2007; Goy et al., 2003;  
420 Moreno et al., 2004; Sánchez-Goñi et al., 2002), although the interpretations are limited by the absence of  
421 a robust paleo-NAO reconstruction. Trouet et al. (2009) reconstructed the winter-NAO circulation pattern  
422 for the past 900 years, and later, Olsen et al. (2012) expanded it to 5,200 years (Figure 7). A comparison  
423 between the winter-NAO reconstruction and the UP10 fraction by Frigola et al. (2007) reveals a good  
424 visual match (Figure 7b), supported by the reasonable value of its cross-correlation:  $R= 0.60$ ,  $n=45$ ,  $p$   
425  $value= 0.002$ .

426 (Insert\_Figure\_6)

427 Trouet et al. (2009) and Olsen et al. (2012) also inferred the NAO index (Figure 7a), which is the  
428 normalized December-to-March sea-level pressure between the Azores high- and the Icelandic low-  
429 pressure centers, expressed as a bipolar circulation pattern. Previous work suggests that  
430 northerly/westerly winds over the Gulf of Lions as well as enhanced precipitation in the Western  
431 Mediterranean would have been triggered during negative NAO-like conditions (Combourieu Nebout et  
432 al., 2002; Fletcher et al., 2012; Roberts et al., 2012; Trigo et al., 2004). However, a firm relationship

433 between the timing of periods of intensified upwelling conditions in the Alboran Sea and bimodality in  
434 the winter-NAO phases cannot be established from their comparison (Figure 7, dark bars). Nevertheless,  
435 we propose the winter-NAO as a likely forcing mechanism directly involved in the intensity of  
436 northerly/north-westerly winds that drove WMDW formation, a physical process that here is in turn  
437 proposed to be related to the variability of the “Malaga cell” since its appearance at 7.7 ka .

438 (Insert\_Figure\_7)

#### 439 **Conclusions**

440 Down-core variations in the NAR are tightly linked to changes in marine paleoproductivity. A  
441 dramatic change observed in the NAR of all coccolithophore taxa at 7.7 ka has been related to a  
442 prominent fast-flowing AJ and the ensuing establishment of the WAG. These conditions would have  
443 favoured the establishment of the semi-permanent productive “Malaga cell” at 7.7 ka. From that time  
444 throughout the Holocene, the area was affected by a general cooling trend, as revealed by alkenone-  
445 estimated SST. Nannofossil records point to a general decreasing trend in productivity, altered by higher  
446 variability based on the alternation of events of weakened and intensified upwelling conditions since the  
447 establishment of the “Malaga cell”. These variations in productivity have been found to be synchronous  
448 with periods of WMDW reinforcement in the Gulf of Lions, in such a way that whenever WMDW  
449 formation was strengthened, a productive event would have occurred in the Alboran Sea.

450 A two-phase scenario (Figure 6) is proposed to describe the main climatic and oceanographic features  
451 prevailing in the Western Mediterranean along the Holocene at millennial-centennial time-scale: phase  
452 [1] together with more arid climate conditions, weaker northerly/north-westerly winds would have  
453 resulted in a reduction in WMDW formation in the Gulf of Lions, which would have coincided with a  
454 minor AJ influx. As a result, a weakening of the upwelling conditions would occur and a stable water  
455 column would have characterized the study area; phase [2] together with wetter climate conditions,  
456 stronger northerlies/north-westerlies blowing over the Gulf of Lions would have driven a major WMDW  
457 formation simultaneous to an enhancement of the AJ influx that would have migrated southward. This  
458 would have prompted vertical mixing in the study area intensifying upwelling conditions.

459 The winter-NAO circulation pattern has proved to be a highly influential mechanism in the processes  
460 explained by both phases. These results highlight the sensitivity of the Western Mediterranean to high-  
461 latitude climatic systems and point to the “Malaga cell” as a location of high interest in the Alboran Sea,  
462 since it provides an information that in turn can be used to unravel the climatic and oceanographic  
463 patterns that characterized the Western Mediterranean in the past.

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472 All original data in this study necessary to understand, evaluate and replicate this research are accessible  
473 via correspondence with the main author, who will willingly make them available for free to anyone upon  
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475

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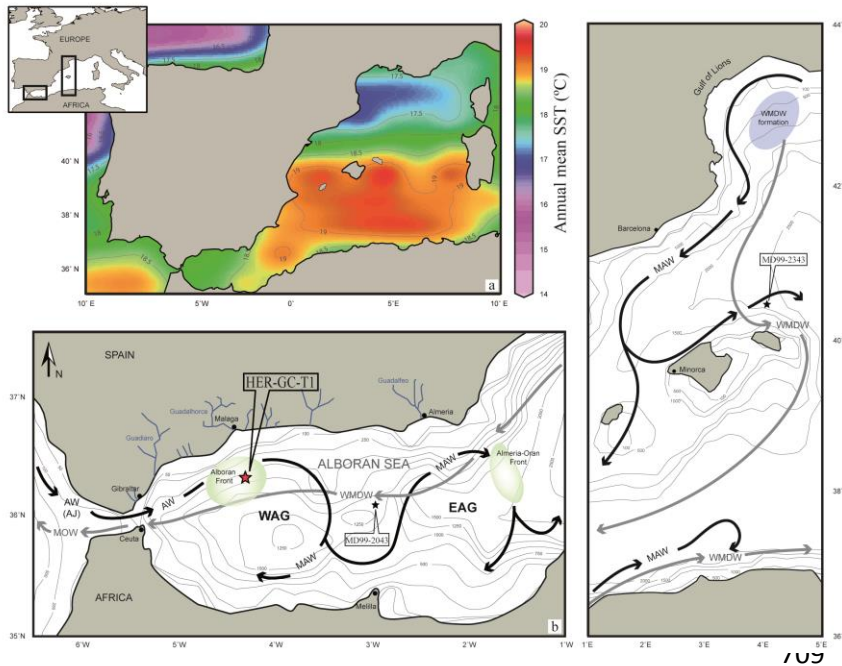
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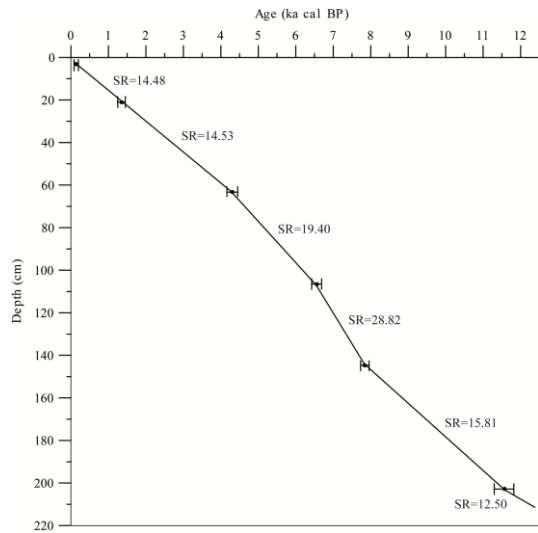
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710 Figure 1. Study area. a) Map of the annual mean SST (°C) in the Western Mediterranean Sea plotted with  
 711 Ocean Data View (Brown, 1998). b) Study area and HER-GC-T1 core location in the Alboran Sea. c)  
 712 Gulf of Lions area where WMDW formation occurs. Black arrows represent general superficial  
 713 circulation. Grey arrows trace general deep circulation. AW: Atlantic Water, entering the Alboran Sea as  
 714 the Atlantic Jet: AJ. MAW: Modified Atlantic Water. WMDW: Western Mediterranean Deep Water.  
 715 MOW: Mediterranean Outflowing Water. WAG: Western Anticyclonic Gyre. EAG: Eastern Anticyclonic  
 716 Gyre.

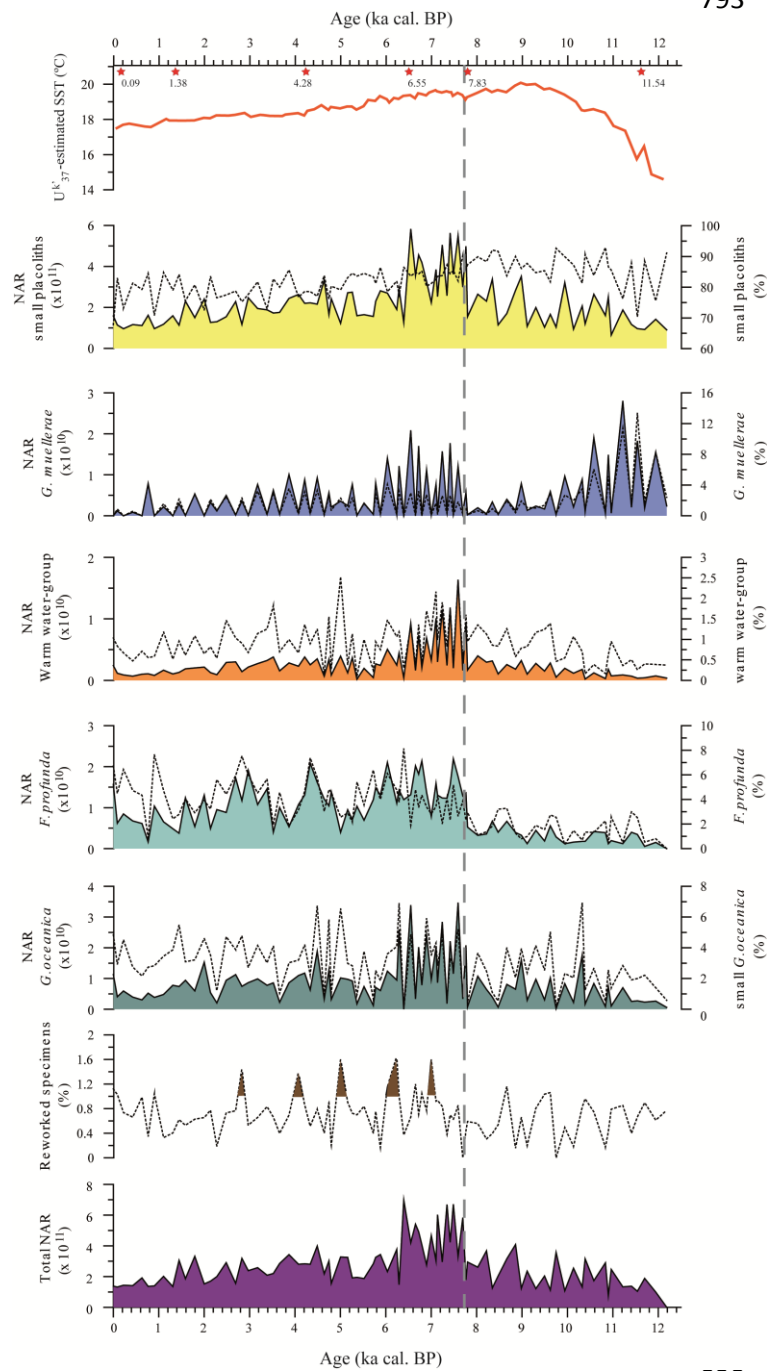
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Figure 2. Age-depth model. Age control points are marked by a black dot and associated error bars. The solid line joining them is the age given in ka cal. BP. SR stands for sedimentation rate, given in  $\text{cm} \cdot \text{kyr}^{-1}$ .



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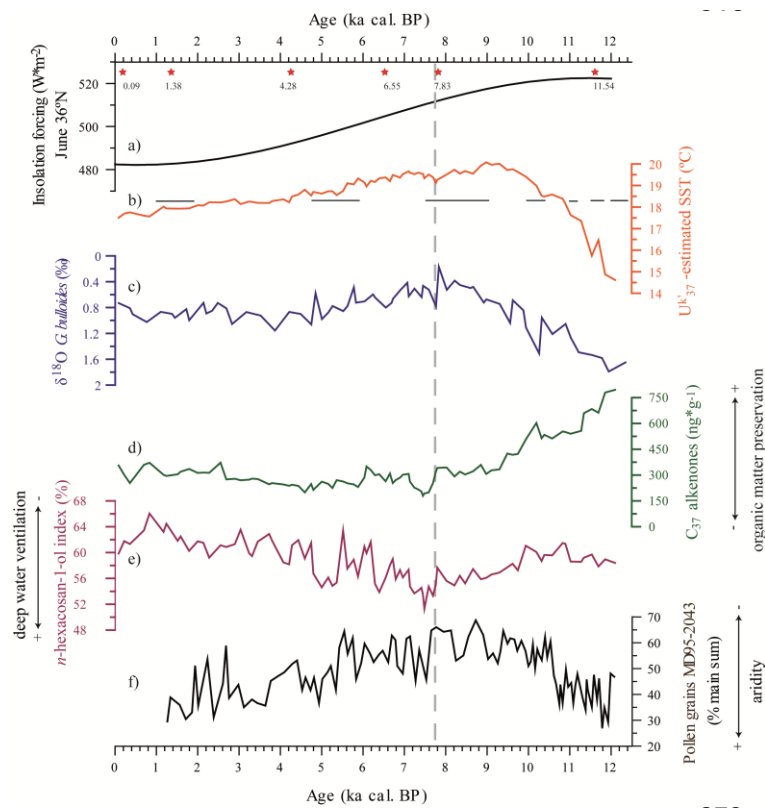
839 Figure 3. U<sup>k</sup><sub>37</sub>-estimated SST (°C). Nannofossil Accumulation Rate (NAR) (black lines) and relative  
 840 abundance (%) (dashed line) of the coccolithophore assemblage. The grey dashed vertical line indicates  
 841 the 7.7 ka cal. BP event. Red stars represent age control points (ka cal. BP) (Table 1).

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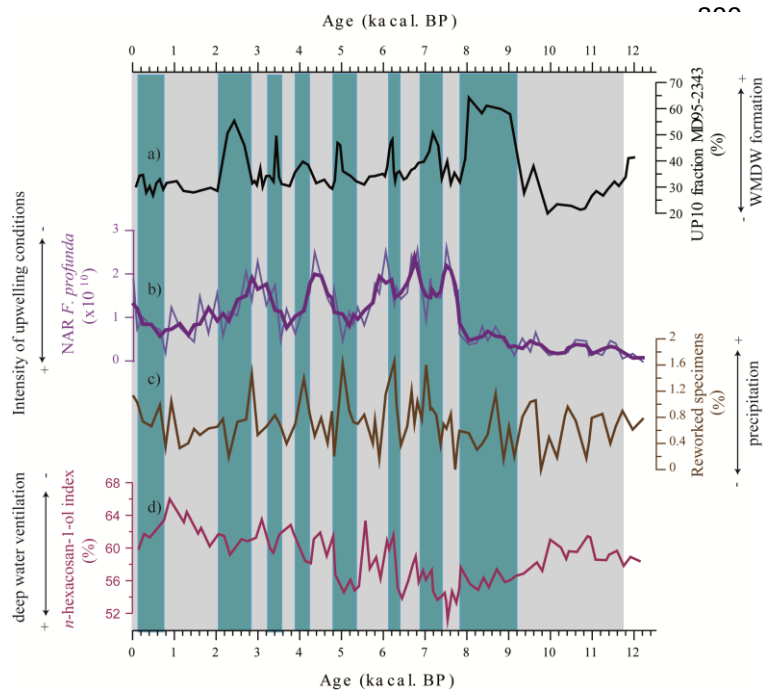
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873 Figure 4 a) Insolation curve (June, 36° N) (Berger, 1978). b)  $U^{k}_{37}$ -estimated SST (°C). Horizontal lines  
 874 mark the rapid Holocene cooling events identified by Cacho et al. (2001) c)  $\delta^{18}O$  record (‰) (Note that  
 875 the vertical axis is reversed). d) Concentration of  $C_{37}$  alkenones ( $[C_{37:2}+C_{37:3}]$ ) ( $ng \cdot g^{-1}$ ). e) *n*-hexacosan-1-  
 876 ol index (%). f) Pollen grains from core MD95-2043 in the Alboran Sea for all temperate and  
 877 Mediterranean forest taxa.(Fletcher et al., 2012).

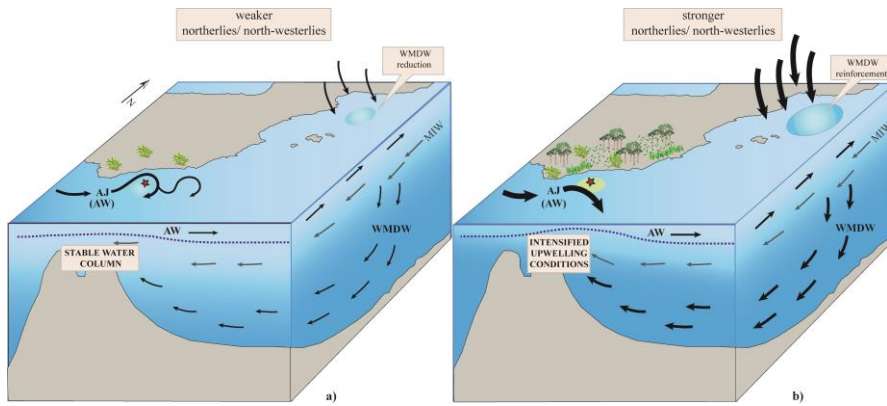
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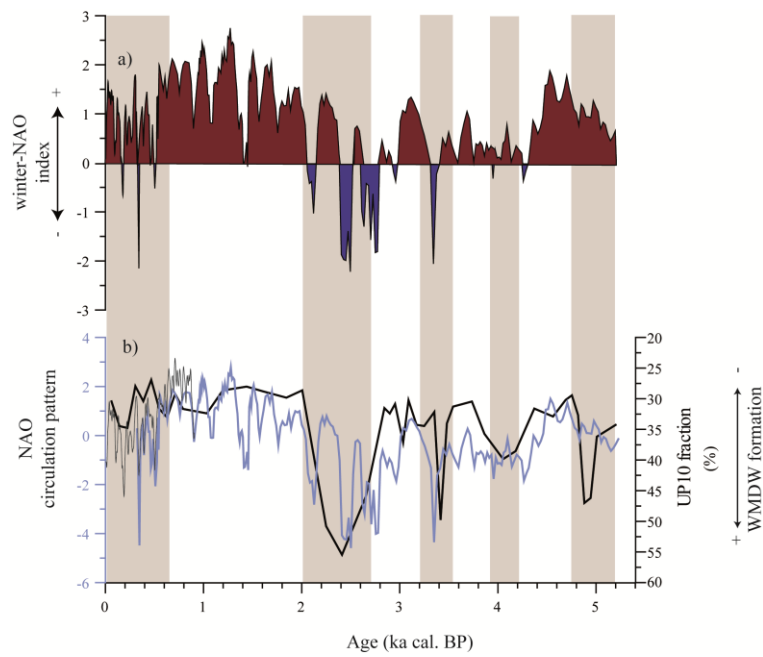
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922 Figure 5. a) UP10 fraction record from core MD95-2343 north of Minorca (Frigola et al., 2007) (%). b) *F.*  
 923 *profunda* NAR from core HER-GC-T1 (coccoliths\*cm<sup>-2</sup>\*kyr<sup>-1</sup>). The thin line represents original values  
 924 while the thick line represents the original data fitted to a 3-point moving average smoothing spline. c)  
 925 Relative abundance of reworked specimens (%). d) *n*-hexacosan-1-ol index (%). Blue bars represent the  
 926 timing of WMDW reinforcement periods and intensified upwelling conditions in the Alboran Sea. Pale  
 927 grey bars represent the timing of WMDW weakening periods along with the steadier water column  
 928 deduced in this study.

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 966 Figure 6. Proposed two-phase scenario: a) phase [1] and b) phase [2] as explained in the text. AW:  
 967 Atlantic Water; AJ: Atlantic Jet; MIW: Intermediate Water; WMDW: Western Mediterranean Deep  
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Figure 7. a) Winter-NAO index (Trouet et al., 2009; Olsen et al., 2012). Comparison of the winter-NAO circulation pattern according to Trouet et al. (2009), in brown, and to Olsen et al. (2012), in blue, with: b) the UP10 fraction (reversed vertical axis) from core MD95-2343 (Frigola et al., 2007). Pale brown bars represent the timing of periods of WMDW reinforcement and intensified upwelling conditions in the Alboran Sea.