1	A missing link between facilitation and plant species coexistence: nurses
2	benefit generally rare species more than common ones
3	
4	Santiago Soliveres ^{1*} , Fernando T. Maestre ² , Miguel Berdugo ² and Eric Allan ¹
5	
6	¹ Institute of Plant Sciences, University of Bern, Altenbergrain 21, 3013 Bern, Switzerland
7	
8	² Área de Biodiversidad y Conservación, Departamento de Biología y Geología, Física y
9	Química Inorgánica, Escuela Superior de Ciencias Experimentales y Tecnología, Universidad
10	Rey Juan Carlos, Calle Tulipán S/N, 28933 Móstoles, Spain
11	
12	*Correspondence author. E-mail: <u>Santiago.soliveres@ips.unibe.ch</u> .
13	

Running headline: Facilitation and coexistence

15 Summary

16	1.	Positive interactions among plants can increase species richness by relaxing
17		environmental filters and providing more heterogeneous environments. However, it is
18		not known if facilitation could affect coexistence through other mechanisms. Most
19		studies on plant coexistence focus on negative frequency-dependent mechanisms
20		(decreasing the abundance of common species); here we test if facilitation can
21		enhance coexistence by giving species an advantage when rare.
22	2.	To test our hypothesis, we used a global dataset from drylands and alpine
23		environments and measured the intensity of facilitation (based on co-occurrences with
24		nurse plants) for 48 species present in at least 4 different sites and with a range of
25		abundances in the field. We compared these results with the degree of facilitation
26		experienced by species which are globally rare or common (according to the IUCN
27		Red List), and with a larger database including over 1200 co-occurrences of target
28		species with their nurses.
29	3.	Facilitation was stronger for rare species (i.e., those having lower local abundances or
30		considered endangered by the IUCN) than for common species, and strongly
31		decreased with the abundance of the facilitated species. These results hold after
32		accounting for the distance of each species from its ecological optimum (i.e., the
33		degree of functional stress it experiences).
34	4.	Synthesis: Our results highlight that nurse plants not only increase the number of
35		species able to colonize a given site, but may also promote species coexistence by
36		preventing the local extinction of rare species. Our findings illustrate the role that
37		nurse plants play in conserving endangered species and link the relationship between
38		facilitation and diversity with coexistence theory. As such they provide further
39		mechanistic understanding on how facilitation maintains plant diversity.

40 Key-words: biodiversity, coexistence, conservation biology, competition, frequency-

41 dependent mechanisms, plant-plant interactions, stabilizing mechanisms

42 Introduction

Positive interactions among plants are ubiquitous in nature, being present in biomes ranging 43 from tundra, deserts or alpine environments to rainforests (Brooker et al. 2008; Holmgren & 44 Scheffer 2010; McIntire & Fajardo 2013). These interactions are widely acknowledged to 45 increase plant diversity either because nurse plants improve environmental conditions beneath 46 47 their canopies (Bruno, Stachowicz & Bertness 2003; Brooker et al. 2008) or reduce competitive exclusion among their neighbours (Levine 1999; Soliveres et al. 2011). Indeed, 48 facilitation helps to preserve evolutionary lineages that are less well adapted to the local 49 environment (Valiente-Banuet et al. 2006), and over a quarter of plant species from dryland 50 and alpine environments may depend on facilitative interactions globally (Soliveres & 51 52 Maestre 2014). However, to effectively maintain diversity, facilitation needs to not only increase the number of species able to colonize a given site, but also to promote stable 53 54 coexistence between them. The large research effort devoted to understanding the 55 implications of facilitative interactions for the maintenance of diversity has mostly focused on how these interactions reduce environmental constraints, and therefore increase the number of 56 species able to colonize a given site (e.g., Hacker & Gaines 1997; Cavieres & Badano 2009; 57 Soliveres & Maestre 2014). However, the role of these interactions in promoting species 58 coexistence has rarely been addressed, and the few attempts have used theoretical or 59 modelling approaches (Molofsky, Bever & Antonovics 2001; Gross 2008; Greenspoon & 60 61 M'Gonigle 2013; but see Hart & Marshall 2013; Gross et al. 2015). Species coexistence is enhanced by stabilizing mechanisms, which result in negative 62

frequency-dependent population growth rates, or through processes that equalize fitness
between species (Chesson 2000). Most studies of coexistence conducted to date have focused

on negative interactions such as increased herbivore or pathogen attack on abundant species,
or higher competition within than between species. These mechanisms lead to lower
population growth rates for abundant species and prevent them from competitively excluding
other species (e.g. Levine & HilleRisLambers 2009; Bagchi *et al.* 2014). However, much less
attention has been paid to the potential for positive interactions to benefit species when they
are rare and to increase their abundance (but see Gross 2008; Hart & Marshall 2013; Gross *et al.* 2015).

We hypothesize that positive interactions among plants can be an important 72 mechanism enhancing the populations of rare, rather than abundant, plant species, and 73 74 therefore promoting species coexistence. This notion of facilitation as a coexistence mechanism differs from, and complements, that of microhabitat amelioration (or niche 75 creation), which is often invoked as the main mechanism behind the positive effect of 76 77 facilitation on diversity (reviewed in Bruno et al. 2003; McIntire & Fajardo 2013). Habitat amelioration increases the performance of species less adapted to local environmental 78 79 conditions regardless of their abundance. Indeed, some manipulative experiments using relatively common species have successfully shown that they are facilitated under 80 environmental conditions to which they are less well adapted (e.g., Tielbörger & Kadmon 81 82 2000; Callaway et al. 2002). Negative frequency-dependent facilitation, instead, might increase the populations of species that are locally rare, regardless of whether they are under 83 optimal ecological conditions or not. Rare species might be rare simply because they are poor 84 competitors or because they show low reproductive performance (see Dawson, Fischer & Van 85 Kleunen 2012 and references therein), and do not need to be suffering more stress than the 86 species that are more common in the same location. Several mechanisms may operate to 87 promote stronger facilitation for locally rare than for common species, although these have 88 been rarely addressed. First, nurses provide heterogeneous habitats that could help rare plants 89

to escape from competition by altering the identity of neighbouring species, and the 90 91 competitive interactions among them, beneath their canopies (Levine 1999; Soliveres et al. 2011; McIntire & Fajardo 2013). Second, the beneficial effects of nurse plants on 92 reproduction (e.g., Tielbörger & Kadmon 2000; Callaway *et al.* 2002) may be particularly 93 strong for rarer species because they have lower seed set than common species (e.g., Holmes, 94 James & Hoffmann 2008). Third, nurse plants can reduce the amount of pathogens present in 95 96 soil (Van der Puten 2009), which would benefit rare species as they are especially sensitive to them (Klironomos 2002). 97

Here, we hypothesize that rare species generally benefit more from facilitation than 98 99 common species. To test this hypothesis, we used co-occurrence data for 48 species, which varied strongly in local abundance across the different sites in which they occurred. We 100 compare these results with a global database including over 1200 co-occurrences of target 101 102 species and their potential nurses. Finally, for some species we were also able to acquire data on rarity from the International Union for Conservation of Nature (IUCN) red list and to test 103 104 whether facilitation was more likely for globally rare than globally common species. A 105 relationship between abundance and facilitation could be due to: i) negative frequencydependence (i.e., facilitation is more important for rare species), or ii) stronger facilitation for 106 a species when it is at low abundance because it is far from its ecological optimum (Choler, 107 Michalet & Callaway 2001; Greiner La Peyre et al. 2001; Liancourt, Callaway & Michalet 108 2005; Valiente-Banuet et al. 2006; Gross et al. 2010; Soliveres et al. 2011). To separate these 109 processes we used both i) the average abundance of each species (a widely accepted measure 110 of rarity; Gaston 1994; Pimm & Jenkins 2010) and ii) the distance of each species to its 111 ecological optimum (i.e., functional stress; Lortie 2010) as predictors of facilitation. 112

- 113
- 114

115 Materials and Methods

116 THE DATABASE

We used the database presented in Soliveres & Maestre (2014) and extracted the available 117 118 information on pairwise co-occurrences between the dominant nurse plant species and the rest of the species present in the community. From the 2685 pairwise combinations obtained, we 119 selected those species occurring in at least 4 sites in the database (48 species accounting for 120 121 320 occurrences in total) for further analyses. These occurrences came from 18 different studies performed along elevational, latitudinal or aridity gradients, and covered sites in 10 122 different countries (see details in Appendix S1; full database in Appendix S2). To 123 complement these results, we also used all the pairwise combinations from Soliveres & 124 Maestre (2014) in which the target species had a minimum of 10 individuals (N = 1215), and 125 126 analyzed whether or not they were facilitated and how this changed with their level of local 127 rarity.

We used two different and complementary criteria for the quantification of rarity: i) 128 129 local abundance (as a continuous variable) and ii) IUCN category (as a categorical variable). First, we measured rarity as the average number of individuals present in areas away from the 130 nurse plants, across all sites in which each species occurred. Together with range size, 131 132 abundance is widely used as a measure of rarity (e.g., Rabinowitz 1981; Gaston 1994). A low average number of individuals indicates that the species is locally rare (Pimm & Jenkins 133 2010), although the spatial scale at which this abundance is measured is, of course, important 134 (Gaston 1994). We used abundance at the local scale because it is the most relevant spatial 135 scale to quantify the role of facilitation as a coexistence mechanism. In order to coexist 136 species must be able to increase from rare (Chesson 2000; Levine & HilleRisLambers 2009) 137 and therefore for facilitation to promote coexistence it must be stronger for species that are at 138 low abundance within a community than for those which are dominant. We used species' 139

abundances in areas away from nurse plants (i.e., open interspaces) as the measure of rarity 140 because this excludes the effect of plant-plant interactions. Our second criterion (IUCN's 141 category) is probably the most widespread formal use of "rarity" in ecology (Gaston 1994), 142 143 and directly links our results with biological conservation. It therefore provides a complementary definition of rarity, which is fully independent from our measure of 144 facilitation (see below), adding confidence to our results. In this regard, we were able to 145 146 classify 32 species from the database according to their extinction risk, using data from the IUCN red list (http://www.iucnredlist.org/search; see details below). 147

148

149 MEASURING FACILITATION

We quantified facilitation by comparing the number of individuals of a given species found 150 beneath nurse plants vs. those found in areas away from neighbours (hereafter open 151 152 interspaces), taking into account the sampling effort spent in each microsite (nurse and open areas). From these co-occurrence data we calculated the Relative Interaction Index (RII 153 154 hereafter; Armas, Ordiales & Pugnaire 2004), which is a relativized metric of facilitation ranging from -1 (strong competition) to 1 (strong facilitation). $RII = (P_N - P_O)/(P_N + P_O)$, where 155 P_N is the number of individuals beneath the nurse and P_0 the number in the open. 156 Observational approaches are insufficient to tease apart whether spatial associations are 157 caused by facilitation or by other mechanisms (e.g. habitat sharing). This is a clear limitation 158 that should be considered when interpreting the results of any observational study. However, 159 although this might affect an overall estimate of facilitation we do not expect it to affect the 160 relationship between facilitation and abundance because it is unlikely that these other 161 processes would lead to rare rather than common species occurring more frequently with 162 nurses. 163

The number of individuals of each species in the areas away from the nurse plants was 165 used both as our measure of rarity and to derive the facilitation metrics used, which may 166 cause spurious correlations between our measures of facilitation and local abundance. To 167 avoid these spurious correlations, appropriate randomizations are required to isolate the 168 spurious effects (those when all biological mechanisms are removed) and test the significance 169 170 and effect size of the relationship between facilitation and abundance, (Brett 2004). Thus, we 171 performed 1000 randomizations of the occurrence of individuals (see Cavieres & Badano 2009, Dvorsky et al. 2013 for related approaches). We did this for each pairwise interaction 172 by swapping individuals between the two microsites (nurse and open) whilst keeping the total 173 174 number of individuals observed per target species constant. This ensures that the relative abundance of each species did not vary. From these randomizations we calculated the 175 standardized effect size (SES) of the observed RII as $SES = (M_{obs} - M_{sim})/SD_{sim}$ (Gotelli 176 2000), where Mobs are the RII values obtained from our data, and Msim and SDsim are the 177 average and standard deviations, respectively, of the RII values obtained from the 1000 178 randomizations. Standardized effect sizes are frequently used in other contexts (e.g., analyses 179 of species co-occurrence or phylogenetic dispersion; Gotelli 2000; Kembel et al. 2010) to 180 181 produce a metric corrected for the effect of spurious correlations that allows comparison between communities differing in species richness or species differing in local abundances. 182 Hence, we use the SES of the RII (RIIses, hereafter) for further analyses. Positive values of 183 RII_{ses} are interpreted as more positive associations of the target species with the nurse than 184 expected by chance (i.e. more facilitation than expected by chance), while negative values 185 indicate the opposite. Results comparing the raw data with the randomizations instead of the 186 RII_{ses} were qualitatively the same (Appendix S3; Fig. S3.1) and these are not further 187 discussed. 188

189

190 STATISTICAL ANALYSES

We conducted four analyses to test the relationship between rarity and facilitation: first, we tested whether generally rare species (i.e., those with low abundances in all the sites of our dataset) are more facilitated than generally common ones. To do this, we fitted a linear regression to evaluate the relationship between the mean abundance (individuals in the open) and mean RII_{ses} (across all sites where the species was present) for each of the 48 species present in four or more sites.

Second, we used all co-occurrence data from target species with more than 10 197 individuals in total (including those in the open and beneath a given nurse; 1215 pairwise co-198 199 occurrences in total). We divided these co-occurrences in 11 abundance classes based upon the number of individuals of the target species in the open (our surrogate of rarity): 0-5 (N =200 299), 6-10 (*N* = 245), 11-15 (*N* = 160), 16-20 (*N* = 119), 21-25 (*N* = 97), 26-30 (*N* = 60), 31-201 202 35 (*N* = 26), 36-40 (*N* = 35), 41-45 (*N* = 24), 46-50 (*N* = 19), 51-60 (*N* = 23), 61-70 (*N* = 21), 71-100 (N = 21), 101-200 (N = 35), 201-300 (N = 9) and > 300 (N = 22) individuals in the 203 204 open. We then plotted the number of individuals in the open (x-axis) vs. the number of individuals beneath the nurse (y-axis). Values higher than the 1:1 line would indicate 205 facilitation, whereas those below would indicate competition. We compared significant 206 207 departures from the 1:1 line (individuals in the open = individuals beneath the nurse) by using t-tests including all species within each abundance class. 208

Third, we used a widely accepted global classification of rarity, the IUCN red list, to support results from the first two analyses, and to provide a link between facilitation research and conservation biology. Within the 2685 occurrences in our dataset, 27 species (71 occurrences in our data) were considered common ("least concern" according to the UICN classification), and five species (9 occurrences) were considered rare ("endangered" or "threatened" according to the IUCN). When the same species was found in several sites, results were averaged across all sites to obtain a single measure for each one of the 32 species.
We compared the RII_{ses} between these two groups (rare and common). For each group
separately we also tested whether the RII was significantly different to 0 by using t-tests.

Fourth, it may be argued that our target species have low average abundances 218 because they are far from their environmental optimum rather than because they are generally 219 220 rare. We therefore tested whether or not target species that were far from their environmental 221 optimum experienced greater facilitation. In each site (N = 320) we calculated the abundance of each of the 48 species (from analysis one) relative to its maximum abundance across any 222 site in our database (hereafter relative abundance). A lower relative abundance in areas away 223 224 from neighbours indicates that a given species is at a greater distance from its optimum in a given site, and thus that the species is experiencing greater stress in that location (Lortie 225 2010). We then analysed the effect of relative abundance and the average frequency of a 226 227 given species (our measure of overall rarity; log-transformed to obtain a linear relationship) on facilitation. Both variables were only weakly correlated ($\rho = -0.21$); thus, they could both 228 be included as predictors in the same model. The fourth analysis, therefore, was a linear 229 mixed model with average frequency and relative abundance as fixed effects and with study 230 site and species as random effects. If average frequency remains a significant predictor in this 231 model, after accounting for changes in the abundance relative to the maximum (i.e. distance to 232 the ecological optimum), then functional stress could not entirely account for negative 233 frequency-dependence in facilitative interactions. We assessed the significance of fixed 234 effects using Likelihood ratio tests. We did not measure functional stress as the decline in 235 236 abundance across environmental gradients because i) some sites were not sampled across such gradients, and this would reduce our sample size, and ii) some species were sampled across 237 more than one environmental gradient, which could confound our results. 238

239 Simulations were performed using MATLAB version 7.0 (The MathWorks Inc.,

240 Natick, Massachusetts). The rest of analyses were performed using the lme4 version 1.0-5

(Bates, Maechler & Bolker 2011) package for R version 3.0.2 (R Development Core Team
2013).

243

244 **Results**

Rare species were more strongly facilitated than common species (Figs. 1 and 2). Facilitation 245 decreased with the average number of individuals of each target species in open areas, our 246 247 surrogate of rarity (Fig. 1) Comparison between these results and the null envelope formed by the 1000 random simulations (shown as grey boxes in Fig. 1) indicated that this relationship 248 was much weaker when analyzing randomized data, and therefore the relationship between 249 250 rarity and facilitation was ecologically significant and not only a spurious correlation (Fig. 1). The weaker relationship in the simulated data is shown by a shallower slope, and lower 251 intercept and R^2 than in the observed data (see box-plots in Fig. 1). The analysis of the larger 252 database gave the same result and also showed that target species were generally more 253 254 facilitated when they were at low local abundance in the open (Fig. 2). Facilitative 255 interactions, instead, shifted to neutral or negative (i.e. competition) when the target species 256 became locally abundant.

We also found evidence of higher facilitation for endangered than common species according to the IUCN classification. Rare ("endangered" or "threatened") species (RII_{ses} = 0.85 ± 0.44 , mean \pm SE, N = 5) experienced more facilitation than common ones (RII_{ses} = - 0.06 ± 0.19 , N = 27), although this difference was not significant, probably because of the small number of rare species in our sample (Fig. 3). For both rare and common species, RII_{ses} was not significantly different from zero (t < 2; P > 0.10 in both cases). However, 80% of the RII_{ses} for rare species were positive, and removing an outlier resulted in a significantly

positive RII_{ses} for rare species (t = 3.8; df = 3; P < 0.05; t = 3.9; df = 7; P < 0.01 if using all data available instead of the averages by species). Conversely, ~56% of the RII_{ses} for common species were negative. This indicates that a large majority of the interactions involving rare species were facilitative, whereas for common species competition was as common as facilitation.

269 The stronger facilitation for rare than for common species remained even after 270 accounting for functional stress, suggesting that this result was not only driven by the distance of each target species to its optimum (linear mixed model [effect of rarity on facilitation]: $\chi^2 =$ 271 20.8; P < 0.0001; $\beta = -1.009 \pm 0.201$; t = -5.01). The relative abundance of the species (our 272 measure of functional stress), which varied between 0 and 100% within our dataset, was also 273 an important predictor of facilitation. RIIses linearly decreased with reduced functional stress 274 275 (increased relative abundance; Fig. 4). This indicates that species growing in conditions further from their ecological optimum, and therefore experiencing greater stress, did benefit 276 more from facilitation. These results are also very unlikely to be driven by spurious 277 correlations as our response variable (percentage decline in abundance regarding the 278 279 maximum observed) is not directly a function of the predictor (facilitation metric calculated 280 with local abundances; see Fig. S3.2 in Appendix S3). These results indicate that facilitation 281 is stronger for both rarer species and those suffering more stress.

282

283 **Discussion**

The role that positive interactions among plants play in the maintenance of biodiversity has received considerable attention (e.g., Hacker & Gaines 1997; Brooker *et al.* 2008; Cavieres & Badano 2009; McIntire & Fajardo 2013; Soliveres & Maestre 2014). However, previous research efforts have focused on how facilitation increases the number of species that can colonize a given site, but have rarely evaluated how facilitation can enhance coexistence by

benefiting the rare species within a community more than the common ones (see also Gross 289 290 2008; Hart & Marshall 2013; Gross et al. 2015). Our results, although observational, suggest that facilitation is stronger for rare species, meaning that this mechanism could help maintain 291 292 diversity and stabilize coexistence. The empirical evidence from multiple species and sites provided here builds upon previous modelling (Gross 2008), two-species (Hart & Marshall 293 2013) and regional (Gross et al. 2015) studies, and suggests a link between facilitation and 294 295 plant coexistence. To fully test for density dependence in facilitation further studies should manipulate species abundances and measure facilitation, however our results provide 296 evidence that a key condition for facilitation to promote coexistence is met in natural 297 communities. 298

Most facilitation research to date has focused on the increase in facilitation intensity 299 with functional stress or across environmental gradients (Choler et al. 2001; Greiner la Peyre 300 301 et al. 2001; Liancourt et al. 2005; Gross et al. 2010; Fig. 4). Our results indicate that, in addition to these effects frequency-dependent processes could be major drivers of facilitation. 302 303 This pattern proved to be general across a database of 18 different studies covering a wide 304 range of environmental conditions and community types (Figs. 1 and 2). This result is of particular importance, as it places changes in the strength of pairwise interactions across 305 multiple species within the context of population dynamics, a fundamental first step to link 306 facilitation with species coexistence (Hart & Marshall 2013). Additionally, our findings help 307 to link the spatial storage effect (Sears & Chesson 2007) and facilitation. The operation of the 308 spatial storage effect relies on the correlation between response to environment (E) and 309 310 competition (C) for the dominant species. In short, this means that dominant species occupy the most productive patches but it comes at a cost of a much higher intra-specific competition 311 that controls their population growth rates. However, the spatial storage effect also assumes 312 the lack of E-C correlations for the rare species (Sears & Chesson 2007). In this regard, our 313

results and previous literature suggests that nurse plants may provide environmentally 314 315 favorable sites for the rare species that are free from strong competition by the dominant ones (see also Soliveres et al. 2011; McIntire & Fajardo 2014). To further support these results and 316 317 to fully test the conditions necessary for the operation of the spatial storage effect, competition-removal experiments performed beneath and outside the nurse (such as in Cuesta 318 319 et al. 2010) combined with a manipulation of target species abundance would be needed. 320 Such experiments could fully test the idea that nurses increase coexistence by providing competition free sites for species as they become rarer. 321

Our observational study does not allow us to test the potential mechanisms behind the 322 323 positive effect of nurses on rare species; however, these may include the provision of safe sites from competition (discussed above), the beneficial effects of neighbours on reproductive 324 performance, or a reduction in pathogen damage for rare species. Interestingly, these factors 325 326 have been shown separately to be important drivers of rarity within communities (Klironomos et al. 2002, Holmes et al. 2008, Dawson et al. 2012) and have also been identified as 327 328 mechanisms of facilitation (Tielbörger & Kadmon 2000, Van der Puten 2009, McIntire & 329 Fajardo 2013). However, to the best of our knowledge, no study to date has assessed the role of these factors as mechanisms driving negative frequency-dependence in facilitation, 330 something that certainly deserves further attention. Experiments addressing the effect of 331 control vs. sterilized soil from beneath the nurse (e.g., Rodríguez-Echevarría et al. 2013) on 332 species differing in local rarity, or quantifying the abundance of pathogens (e.g., Gómez-333 Aparicio et al. 2012), can help to disentangle the role of pathogens in the frequency-334 dependent component of facilitation. Lastly, we also see great potential for experiments 335 calculating population growth rates in response to neighbours (number of seeds or new 336 seedlings per capita; e.g., Hart & Marshall 2013) of species differing in local abundance (see 337

also Choler *et al.* 2001) and quantifying niche and fitness differences (e.g., Godoy, Kraft &
Levine 2014) for species in the presence and absence of nurses.

Interestingly, our results reconcile the highly unstable dynamics and species 340 extinctions predicted by mathematical models for systems governed by facilitative 341 interactions ("evolutionary suicide"; Gyllenberg & Parvinen 2001; Kéfi et al. 2008) with the 342 positive relationships between facilitation and diversity found in many studies (e.g., Cavieres 343 344 & Badano 2009; Soliveres & Maestre 2014). Evolutionary suicide occurs when facilitated species, which depend on nurses to recruit, grow and competitively exclude their own nurses. 345 This prevents the further recruitment of this species along with other facilitated species, and 346 theoretically leads to species extinctions or to sharp reductions in population size (Gyllenberg 347 & Parvinen 2001). However, our results suggest that facilitation predominantly occurs for the 348 rarer species, which constitute the majority of the species in communities (Odum 1954; 349 350 Gaston 1994). Thus, the facilitated species are less likely to reach densities high enough to outcompete their nurses, and they may benefit less from facilitation the more common they 351 352 become, meaning that facilitation will generally increase species richness. This is in line with a recent global study on bidirectional relationships between nurse species and their 353 neighbours, in which the authors found that cover, but not richness, of the facilitated species 354 reduced nurse performance (Schöb et al. 2014). Facilitating dominant species (those 355 accounting for a major proportion of plant cover) could drive a nurse to local extinction, as 356 anticipated by mathematical models; however, facilitating rare species (those forming the 357 bulk of species richness) might not reduce nurse performance. Facilitating rare species could 358 even be beneficial. For example, Schöb et al. (2014) found that a higher richness amongst the 359 facilitated species increased the reproductive performance of nurses. This example illustrates 360 how the often overlooked frequency-dependent component of facilitative interactions helps to 361

reconcile the contrasting empirical and modelling results regarding the role of facilitation inthe maintenance of species diversity.

364

365 CONCLUSION

Facilitation (measured as spatial association between species) has an important frequency-366 dependent component and it is stronger for rare than for common species. This may enhance 367 368 species coexistence by reducing local extinctions of rare species, and also has important implications for the conservation of globally endangered species. Most studies seeking 369 mechanisms by which rare species can be maintained in communities have focused on the 370 371 processes reducing the abundance of dominant species, and thus have seldom considered positive interactions that could increase the abundance of rare species. Our findings 372 empirically show negative frequency-dependence in facilitation, a mechanism previously 373 374 ignored by most theories attempting to explain how facilitation can maintain plant diversity. It also adds to previous attempts to introduce facilitation into mainstream ecological theory by 375 376 providing a necessary link between the known positive effects of facilitation on diversity and 377 modern coexistence theory.

378

379 Acknowledgements

We greatly appreciate the information provided by the original authors of all the studies
gathered in our database. Especially we wish to thank Fabien Anthelme, Cristina Armas,
Mark Bilton, Matthew A. Bowker, Philippe Choler, Francesco de Bello, Omar Cabrera,
Mchich Derak, Miroslav Dvorsky, David J. Eldridge, Carlo I. Espinosa, Wahida Ghiloufi,
Julio Gutierrez, Rosa Mary Hernández, Kari Klanderud, Ramiro Pablo López, Richard
Michalet, Jorge Monerris, Sara Mouro, Lei Ning, Zouhier Noumi, José Luis Quero, Christian
Schöb, Joshua Tewksbury, Katja Tielbörger and Fei-Hai Yu, who provided their raw data.

- 387 Two anonymous reviewers and Andrew Kleinhesselink provided numerous comments that
- improved a previous version of this work. This research was partially funded by the European
- 389 Research Council under the European Community's Seventh Framework Programme
- 390 (FP7/2007-2013)/ERC Grant agreement 242658 (BIOCOM). FTM acknowledges support
- 391 from the Salvador de Madariaga program of the Spanish Ministry of Education, Culture and
- 392 Sports (PRX14/00225) during the writing of the manuscript.
- 393

394 Data Accessibility

- 395 The data used in this study are fully accessible as Appendix S2 and in figshare:
- 396 Soliveres, S. & Maestre, F.T. (2014) Data from "plant–plant interactions, environmental
- 397 gradients and plant diversity: a global synthesis of community-level studies".figshare,

398 http://dx.doi.org/10.6084/m9.figshare.963585

399

400 **References**

- 401 Armas, C., Ordiales, R. & Pugnaire, F.I. (2004). Measuring Plant Interactions: A New
 402 Comparative Index. *Ecology*, 85, 2682–2686.
- 403 Bagchi, R., Gallery, R.E., Gripenberg, S., Gurr, S.J., Narayan, L., Addis, C., et al. (2014).
- 404 Pathogens and insect herbivores drive rainforest plant diversity and composition. *Nature*,
 405 **506**, 85–88.
- Bates, D., Maechler, M. & Bolker, B.M. (2011). Lme4: linear mixed-effects models using S4
 classes 0.999375-42.
- Brett, M.T. (2004). When is a correlation between non-independent variables "spurious"?.
- 409 *Oikos*, **105**, 647–656

- 410 Brooker, R.W., Maestre, F.T., Callaway, R.M., Lortie, C.L., Cavieres, L.A., Kunstler, G., et
- *al.* (2008). Facilitation in plant communities: the past, the present, and the future. *Journal of Ecology*, **96**, 18–34
- Bruno, J.F., Stachowicz, J.J. & Bertness, M.B. (2003). Inclusion of facilitation into ecological
 theory. *Trends in Ecology and Evolution*, 18, 119–125.
- 415 Callaway, R.M., Brooker, R.W., Choler, P., Kikvidze, Z., Lortie, C.L., Michalet, R., et al.
- 416 (2002). Positive interactions among alpine plants increase with stress. *Nature*, 417, 844–
 417 848.
- 418 Cavieres, L.A. & Badano, E.I. (2009). Do facilitative interactions increase species richness at
- the entire community level?. *Journal of Ecology*, **97**, 1181–1191.
- 420 Chesson, P. (2000). Mechanisms of maintenance of species diversity. Annual Review in
- 421 *Ecology and Systematics*, **31**, 343–366.
- 422 Choler, P., Callaway, R.M. & Michalet, R. (2001). Facilitation and competition on gradients
 423 in alpine plant communities. *Ecology*, 82, 3295–3308.
- 424 Cuesta, B., Villar-Salvador, P., Puértolas, J., Rey Benayas, J.M. & Michalet, R. (2010).
- 425 Facilitation of *Quercus ilex* in Mediterranean shrubland is explained by both direct and
- 426 indirect interactions mediated by herbs. *Journal of Ecology*, **98**, 687–696.
- 427 Dawson, W., Fischer, M. & Van Kleunen, M. (2012). Common and rare plant species respond
- differently to fertilisation and competition, whether they are alien or native. *Ecology*
- 429 *letters*, **15**, 873–880.
- 430 Dvorský, M., *et al.* (2013). Testing the Stress-Gradient Hypothesis at the roof of the World:
- effects of the cushion plant Thylacospermum caespitosum on species assemblages. *PLoS ONE*, 8, e53514
- 433 Gaston, K.J. (1994). *Rarity*. Chapman & Hall, London (UK).

- 434 Godoy, O., Kraft, N.J.B. & Levine, J.M. (2014). Phylogenetic relatedness and the
- determinants of competitive outcomes. *Ecology Letters*, **17**, 836–844.
- 436 Gómez-Aparicio L., Ibáñez, B., Serrano, M.S., De Vita, P., Ávila, J.M., Pérez-Ramos, I.M.,
- 437 García, L.V., Sánchez, M.E. & Marañón, T. (2012). Spatial patterns of soil pathogens in
- 438 declining Mediterranean forests: implications for tree species regeneration. *New*
- 439 *Phytologist*, **194**, 1014–1024.
- Gotelli, N.J. (2000). Null model analysis of species co-occurrence patterns. *Ecology*, 81,
 2606–2621.
- 442 Greiner La Peyre, M.K., Hahn, E., Mendelssohn, I.A. & Grace, J.B. (2001). The importance
- of competition in regulating plant species abundance along a salinity gradient. *Ecology*, 82,
 62–69.
- Greenspoon, P.B. & M'Gonigle, L.K. (2013). Can positive frequency dependence facilitate
 plant coexistence?. *Trends in Ecology and Evolution*, 28, 317–318.
- 447 Gross, K. (2008). Positive interactions among competitors can produce species-rich
- 448 communities. *Ecology Letters*, **11**, 929–936.
- 449 Gross N., Liancourt P., Choler P., Suding K.N. & Lavorel, S. (2010). Strain and vegetation
- 450 effects on limiting resources explain the outcomes of biotic interactions. *Perspectives in*
- 451 *Plant Ecology, Evolution and Systematics*, **12**, 9–19.
- 452 Gross, N., Liancourt, P., Butters, R., Duncan, R.P. & Hulme, P.E. (2015). Functional
- 453 equivalence, competitive hierarchy and facilitation determine species coexistence in highly
- 454 invaded grasslands. *New Phytologist*, **206**, 175–186.
- Gyllenberg, M. & Parvinen, K. (2001). Necessary and sufficient conditions for evolutionary
 suicide. *Bulletin of Mathematical Biology*, 63, 981–993.
- 457 Hacker, S. & Gaines, S.D. (1997). Some implications of direct positive interactions for
- 458 community species diversity. *Ecology*, **78**, 1990–2003.

- Hart, S.P. & Marshall, D.J. (2013). Environmental stress, facilitation, competition, and
 coexistence. *Ecology*, 94, 2719-2731.
- 461 Hijmans, R.J., Cameron, S.E., Parra, J.L., Jones, P.G. & Jarvis, A. (2005). Very high
- resolution interpolated climate surfaces for global land areas. *International Journal of*
- 463 *Climatology*, **25**, 1965–1978..
- 464 Holmes, G.D., James, E.A. & Hoffmann, A.A. (2008). Limitations to reproductive output and
- genetic rescue in populations of the rare shrub *Grevillea repens* (Proteaceae). *Annals of Botany*, **102**, 1031–1041
- Holmgren, M. & Scheffer, M. (2010). Strong facilitation in mild environments: the stress
 gradient hypothesis revisited. *Journal of Ecology*, **98**, 1269–1275,
- 469 Holzapfel, C., Tielbörger, K., Parag, H.A., Kigel, J. & Sternberg, M. (2006). Annual plant–
- shrub interactions along an aridity gradient. *Basic and Applied Ecology*, **7**, 68–279.
- 471 Kéfi, S., van Baalen, M., Rietkerk, M. & Loreau, M. (2008). Evolution of local facilitation in
 472 arid ecosystems. *The American Naturalist*, **172**, E1–E17.
- 473 Kembel, S.W., Cowan, P.D., Helmus, M.R., Cornwell, W.K., Morlon, H., Ackerly, D.D.,
- 474 Blomberg, S.P. & Webb, C.O. (2010). Picante: R tools for integrating phylogenies and
- 475 ecology. *Bioinformatics*, **26**, 1463–1464.
- 476 Klironomos, J.N. (2002). Feedback with soil biota contributes to plant rarity and invasiveness
- 477 in communities. *Nature*, **417**, 67–70.
- 478 Levine, J.M. (1999). Indirect facilitation: evidence and predictions from a riparian
- 479 community. *Ecology*, **80**, 1762–1769.
- 480 Levine, J.M. & HilleRisLambers, J. (2009). The importance of niches for the maintenance of
- 481 species diversity. *Nature*, **461**, 254–257.
- 482 Liancourt, P., Callaway, R.M. & Michalet, R. (2005). Stress tolerance and competitive-
- response ability determine the outcome of biotic interactions. *Ecology*, **86**, 1611–1618.

- 484 Lortie, C.J. (2010). Synthetic analysis of the stress-gradient hypothesis, in Pugnaire F.I. (ed.)
- 485 Positive plant interactions and community dynamics, pp 125-149. Fundación BBVA and
 486 CRC Press, Taylor and Francis; Boca Raton, Florida (USA).
- 487 McIntire, E.J.B. & Fajardo, A. (2014). Facilitation as a ubiquitous driver of biodiversity. *New*488 *Phytologist*, **201**, 403–416.
- 489 Molofsky, J., Bever, J.D. & Antonovics, J. (2001). Coexistence under positive frequency
- 490 dependence. *Proceedings of the Royal Society of London, series B*, **268**, 273-277.
- 491 Odum, E.P. (1954). *Fundamentals of ecology*. W. B. Saunders Company; Philadelphia.
- 492 Pimm, S. & Jenkins, C. (2010) Extinctions and the practice of preventing them. *Conservation*493 *Biology*, 1, 181–199.
- Rabinowitz, D. (1981). *Seven forms of rarity*, in Synge, H. (ed.) The biological aspects of rare
 plants conservation, pp 205–217. Wiley, New York (USA).
- 496 Rodríguez-Echeverría, S., Armas, C., Pistón, N., Hortal, S. & Pugnaire, F.I. (2013). A role for
- 497 below-ground biota in plant–plant facilitation. *Journal of Ecology*, **101**, 1420–1428.
- 498 Sears, A.L.W. & Chesson, P. (2007). New methods for quantifying the spatial storage effect:
- an illustration with desert annuals. *Ecology*, **88**, 2240–2247.
- 500 Siepielski, A.M. & McPeek, M.A. (2010). On the evidence for species coexistence: a critique
- 501 of the coexistence program. *Ecology*, **91**, 3153–3164.
- 502 Schöb C., Michalet, R., Cavieres, L.A., Pugnaire, F.I., Brooker, R.W., Butterfield, B.J., et al.
- 503 (2014). A global analysis of bidirectional interactions in alpine plant communities shows
- facilitators experiencing strong reciprocal fitness costs. *New Phytologist*, **202**, 95–105.
- 505 Soliveres, S., Eldridge, D.J., Maestre, F.T., Bowker, M.A., Tighe, M. & Escudero, A. (2011).
- 506 Microhabitat amelioration and reduced competition among understorey plants as drivers of
- 507 facilitation across environmental gradients: towards a unifying framework. *Perspectives in*
- 508 *Plant Ecology Evolution and Systematics*, **13**, 247–258.

- 509 Soliveres, S. & Maestre, F.T. (2014). Plant-plant interactions, environmental gradients and
- 510 plant diversity: a global synthesis of community-level studies. Perspectives in Plant

511 *Ecology Evolution and Systematics*, **16**, 154–163.

- 512 Soliveres, S., Torices, R. & Maestre, F.T. (2012). Evolutionary relationships can be more
- 513 important than abiotic conditions in predicting the outcome of plant–plant interactions.
- 514 *Oikos*, **121**, 1638–1648.
- 515 Tielbörger, K. & Kadmon, R. (2000). Temporal environmental variation tips the balance
 516 between facilitation and interference in desert plants. *Ecology*, 81, 1544–1553.
- 517 Valiente-Banuet, A., Rumebe, A.V., Verdú, M. & Callaway, R.M. (2006). Modern
- 518 Quaternary plant lineages promote diversity through facilitation of ancient Tertiary
- 519 lineages. *Proceedings of the National Academy of Sciences USA*, **103**, 16812–16817.
- 520 Van der Puten, W.H. (2009). A multitrophic perspective on functioning and evolution of
- facilitation in plant communities. *Journal of Ecology*, **97**, 1131–1132.

523	Supporting Information
524	Additional supporting information may be found in the online version of this article:
525	Appendix S1. Details of the dataset
526	Appendix S2. Main dataset used in this study
527	Appendix S3. Sensitivity analyses
528	

529 Figure legends

530 Figure 1. The relationship between facilitation and rarity for those species occurring in, at least, four different sites (N = 48 species, 320 occurrences). The relationship between the 531 standardized effect size of our facilitation metric (Relative Interaction Metric; RIIses) and the 532 number of individuals of each target species across all the sites in which it was present is 533 plotted. The regression line (black) and 95% confidence interval (blue) fitted to the data are 534 shown. The regression line (red) and 95% confidence interval (grey) of the 1000 random 535 simulations are also shown for comparison with the observed results. The inset panel shows 536 the comparison between the regression parameters of the observed data (blue dash) and the 537 538 1000 random simulations (box plots showing the median, 25% and 75% quartiles). 539 Figure 2. Relationship between the number of individuals found in the open and those found 540 541 associated to a nurse for 11 different local abundance classes (see Material & Methods). For this analyses all species from our database with more than 10 individuals in a given site were 542 543 selected (N = 1215). Significant departures (t-test comparing individuals in the open vs. nurse microsites) from the 1:1 line are shown in green (competition) or red (facilitation). 544

545

Figure 3. The degree of facilitation metric for those species from our database considered rare (N = 5) or common (N = 27) in the IUCN Red List.

548

549 Figure 4. Relationship between the standardized effect sizes of our facilitation metric

550 (Relative Interaction Metric; RII_{ses}) and functional stress of all species occurring in, at least,

- four sites (N = 48 species, 320 occurrences). Functional stress was calculated based on
- relative abundance (% of change in local abundance relative to the maximum observed for
- each species). Slopes, t-values and p-values for the effect of functional stress come from

- 554 linear mixed models. These parameters were obtained after accounting for study site, species
- and average frequency (the latter centered to ease interpretation).



















