

1 **Source apportionment of elemental carbon in Beijing, China: insights from**  
2 **radiocarbon and organic marker measurements**

3 Yan-Lin Zhang<sup>1,2,3\*</sup>, Jürgen Schnelle-Kreis<sup>4</sup>, Gülcin Abbaszade<sup>4</sup>, Ralf Zimmermann<sup>4,5</sup>,  
4 Peter Zotter<sup>2,#</sup>, Rong-rong Shen<sup>6</sup>, Klaus Schäfer<sup>6</sup>, Longyi Shao<sup>7</sup>, André S.H. Prévôt<sup>2</sup> and  
5 Sönke Szidat<sup>1</sup>

6 <sup>1</sup>Department of Chemistry and Biochemistry & Oeschger Centre for Climate Change  
7 Research, University of Bern, 3012 Berne, Switzerland

8 <sup>2</sup>Paul Scherrer Institute (PSI), 5232 Villigen-PSI, Switzerland

9 <sup>3</sup>Yale-NUIST Center on Atmospheric Environment, Nanjing University of Information  
10 Science and Technology, 210044, Nanjing, China

11 <sup>4</sup>Joint Mass Spectrometry Center, Cooperation Group Comprehensive Molecular  
12 Analytics, Helmholtz Zentrum München, 85764 Neuherberg, Germany

13 <sup>5</sup>Joint Mass Spectrometry Centre, Chair of Analytical Chemistry, Institute of Chemistry  
14 University of Rostock, 18059 Rostock, Germany

15 <sup>6</sup>Institute of Meteorology and Climate Research (IMK-IFU), Karlsruhe Institute of  
16 Technology (KIT), 82467 Garmisch-Partenkirchen, Germany

17 <sup>7</sup>State Key Laboratory of Coal Resources and Safe Mining, School of Geoscience and  
18 Surveying Engineering, China University of Mining and Technology (Beijing), Beijing  
19 100083, China.

20 # now at: Lucerne School of Engineering and Architecture, Bioenergy Research, Lucerne  
21 University of Applied Sciences and Arts, 6048 Horw, Switzerland

22 \* To whom correspondence should be addressed. Email: dryanlinzhang@gmail.com

23 Phone: +41 31 631 4308 FAX: +41 31 631 43 99

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25 **Accepted version**

26

27 **Published in**

28 **Environmental Science and Technology 49 (2014), 8408-8415**

29 **<http://dx.doi.org/10.1021/acs.est.5b01944>**

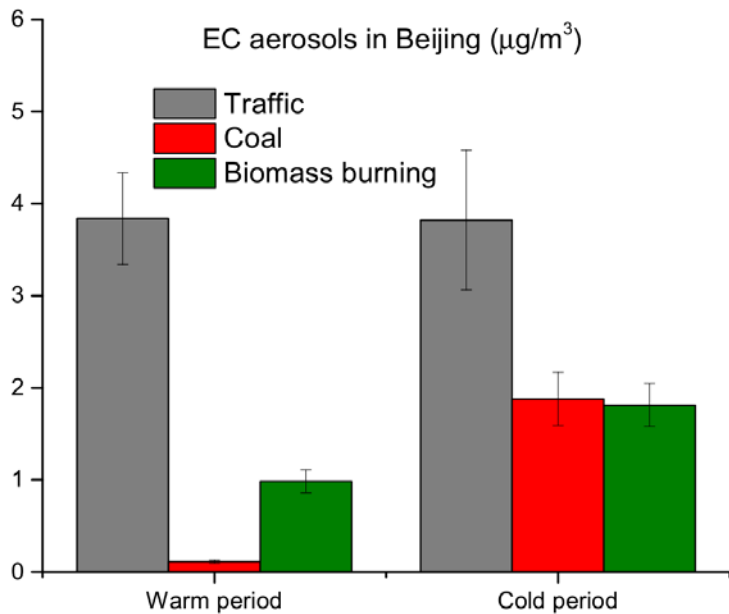
30

31 **Abstract**

32 Elemental carbon (EC) or black carbon (BC) in the atmosphere has a strong influence on  
33 both climate and human health. In this study, radiocarbon ( $^{14}\text{C}$ ) based source  
34 apportionment is used to distinguish between fossil fuel and biomass burning sources of  
35 EC isolated from aerosol filter samples collected in Beijing from June 2010 to May 2011.  
36 The  $^{14}\text{C}$  results demonstrate that EC is consistently dominated by fossil-fuel combustion  
37 throughout the whole year with a mean contribution of  $79\% \pm 6\%$  (ranging from 70% to  
38 91%), though EC has a higher mean and peak concentrations in the cold season. The  
39 seasonal molecular pattern of hopanes (i.e. a class of organic markers mainly emitted  
40 during the combustion of different fossil fuels) indicates that traffic-related emissions are  
41 the most important fossil source in the warm period and coal combustion emissions are  
42 significantly increased in the cold season. By combining  $^{14}\text{C}$  based source apportionment  
43 results and picene (i.e. an organic marker for coal emissions) concentrations, relative  
44 contributions from coal and vehicle to EC in the cold period were estimated as  $25\pm 4\%$  and  
45  $50\pm 7\%$ , respectively, whereas the coal combustion contribution was negligible or very  
46 small in the warm period.

47 **TOC**

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49

## 50 1 Introduction

51 Atmospheric aerosols adversely affect human health by causing respiratory and  
 52 cardiopulmonary diseases associated with increased morbidity and mortality [1, 2].  
 53 Carbonaceous components are a major fraction of atmospheric aerosols and are often  
 54 classified into the sub-fractions organic carbon (OC) and elemental carbon (EC) or black  
 55 carbon (BC) [3]. In this study, BC is used as a qualitative and descriptive term not referring  
 56 to measurement results of any specific properties, whereas BC mass quantified by thermal-  
 57 optical methods is specified as EC [4]. As the major light-absorbing part of carbonaceous  
 58 material, BC exhibits the second largest anthropogenic radiative forcing after carbon  
 59 dioxide ( $\text{CO}_2$ ) [5]. Recently, it was estimated that 640 - 4900 premature human deaths  
 60 could be prevented annually by utilizing available mitigation measures to reduce BC in the  
 61 atmosphere [6]. Due to a relatively short life time ( $\sim$ days) in atmosphere, reducing BC  
 62 emissions may rapidly improve both climate and human health [7, 8]. Therefore, the  
 63 identification and quantification of different BC sources and their emission source  
 64 strengths is crucial for the implementation of effective mitigation strategies.

65 The emission sources of BC are exclusively combustion processes of fossil and non-fossil  
 66 fuels, although the relative contribution of these two sources still remains uncertain. In  
 67 recent years, the radiocarbon ( $^{14}\text{C}$ ) measurement of EC has been proven to be a powerful

68 tool for the differentiation between modern (i.e. biomass burning) and fossil (i.e. traffic  
69 and coal) sources.  $^{14}\text{C}$  is completely depleted in fossil fuel emissions due to its half-life (i.e.  
70 5730 years), whereas  $^{14}\text{C}$  in non-fossil carbonaceous materials contains a similar  
71 composition as atmospheric  $\text{CO}_2$  [9, 10]. Therefore,  $^{14}\text{C}$  measurement of the EC fraction  
72 directly enables the quantification of its biomass-burning and fossil sources [11]. However,  
73 the  $^{14}\text{C}$  measurement of EC still remains challenging in comparison to total carbon (TC)  
74 due to its complex properties [12] and since a clear physical separation between OC and  
75 EC is necessary to avoid artefacts in the  $^{14}\text{C}$  signal. Nevertheless, recent developments and  
76 method adaptations from different groups show more consistent approaches and yield more  
77 robust  $^{14}\text{C}$  results [13, 14].

78 Beijing, the capital of China with about 19.6 million inhabitants in 2010, is one of the  
79 largest cities in the world and has become a heavily polluted area due to rapid urbanization  
80 and industrialization over the past two decades [15]. In the last decade, many studies have  
81 reported the chemical composition and sources of aerosols in Beijing [16-23]. Most of  
82 these studies have focused on source apportionment of organic aerosols (organic matter,  
83 OM or OC) by positive matrix factorization (PMF) [24] and chemical mass balance (CMB)  
84 models [21] from off-line organic markers measurement or online aerosol mass  
85 spectrometer measurement. However, only a few studies have reported year-round source  
86 apportionment results of BC. For example, Duan et al. (2004) demonstrated biomass  
87 burning and traffic and/or industry emissions are the major sources of both OC and EC  
88 during summer, while coal combustion is the dominant contributor during the winter  
89 heating period, although quantification of contributions from each source still remains  
90 uncertain. Based on PMF model analysis, about 50% of OC and EC in Beijing were  
91 associated with biomass-burning processes [25]. In contrast, most recent source-diagnostic  
92  $^{14}\text{C}$  studies suggested ~80% contribution from fossil fuels in winter for EC in China [15,  
93 23, 26]. A quantitative understanding of the temporal variations and source apportionment  
94 of EC in Beijing is still missing and thus crucially necessary. In this study, we determine  
95 fossil and biomass-burning contributions to year-round EC aerosols in Beijing by  
96 measuring  $^{14}\text{C}$  of EC and organic markers for fossil emissions (i.e. hopanes and picene).

## 97 **2 Experimental**

### 98 **2.1 Sampling**

99 24-h integrated PM<sub>4</sub> samples (n=155) were collected at the ground level on pre-baked  
100 (650 °C, 4 h) quartz-fibre filters (diameter: 150mm) using a high-volume sampler (Digitel  
101 DHA-80, Switzerland) at a flow rate of ~167 l/min during June 2010 to May 2011 at the  
102 campus of the China University of Geosciences, a residential area in North West of Beijing.  
103 It should be noted that during the whole campaign the actual sampling flow of ambient air  
104 was 167 instead of 500 l/min as a default setting due to an airflow shortcut from the interior  
105 of the sampler. As a consequence to the changed flow volume, the cut off of the sampler  
106 (original setting: 2.5 μm) had to be recalculated following the impactor design theory [27,  
107 28]. It was found that particles smaller than 4 μm (i.e., PM<sub>4</sub>) were collected onto the filters.  
108 However, a comparison of <sup>14</sup>C results obtained from the current study was not significantly  
109 different from those found for PM<sub>2.5</sub> samples during winter 2013 (see Supplementary  
110 information, Figure S1) [29]. Our previous work has also shown that there is no significant  
111 difference of EC source signatures (fossil vs. non-fossil) between PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub>  
112 at other locations (Table S1) [30]. Further, since EC almost exclusively derives from  
113 combustion sources, the size of EC particles from China's source samples is mostly smaller  
114 than 1 μm [31, 32] and the majority of EC mass (~80%) in urban site of China resides in  
115 particles smaller than 3.2 μm in diameter and the fine mode peaks at around either 0.42 μm  
116 or 0.75 μm [33]. As a result, the cut size present in our study generally would not affect the  
117 results of relative fossil and non-fossil contribution of EC of aerosols because EC is  
118 dominated in the fine particles. After sampling, filters were wrapped in aluminum foil and  
119 stored in a freezer at -20°C before analysis. Every second week, one field blank was  
120 collected.

### 121 **2.2 Elemental carbon measurement**

122 A filter cut of 1,5 cm<sup>2</sup> was used for EC measurement. The EC concentrations were  
123 measured using a thermo-optical OC/EC analyzer (Model 4L, Sunset Laboratory Inc, USA),  
124 equipped with a non-dispersive infrared (NDIR) detector following the thermal-optical  
125 transmittance protocol (TOT) EUSAAR2 [34]. A high uncertainty of 20% is considered

126 for all measured EC concentrations to account for possible differences between different  
127 TOT protocols [35, 36]. It should be noted that only the absolute EC concentration is  
128 affected by this additional uncertainty, whereas the relative fossil and non-fossil  
129 contribution is only influenced by the combined uncertainty of the  $^{14}\text{C}$  measurement of EC  
130 and the bomb peak correction, which is on average 5% (see below). No EC was detected  
131 on blank filters and consequently no blank correction was necessary.

### 132 **2.3 Radiocarbon ( $^{14}\text{C}$ ) measurement of EC**

133 A filter cut of 1 to 6  $\text{cm}^2$  (corresponding to 5 to 30  $\mu\text{gC}$ ) was used for  $^{14}\text{C}$  analysis. The  
134 Swiss\_4S protocol was applied for the EC isolation for the  $^{14}\text{C}$  analysis using a Sunset  
135 OC/EC analyzer connected to a gas preparation line as described by [14]. This special  
136 protocol is optimized to minimize the bias in the  $^{14}\text{C}$  result of EC from OC charring or  
137 losses of the least refractory EC during the OC removal. In brief, to minimize positive  
138 artefacts from OC charring, water-soluble OC is first eliminated by a water-extraction pre-  
139 treatment and the remaining water-insoluble OC is then removed using the Sunset OC/EC  
140 analyzer with a thermal treatment in three steps: (1) 375  $^\circ\text{C}$  for 150 s in pure oxygen ( $\text{O}_2$ );  
141 (2) 475  $^\circ\text{C}$  for 180 s in  $\text{O}_2$ ; (3) 450  $^\circ\text{C}$  for 180 s followed by 180 s at 650  $^\circ\text{C}$  in helium.  
142 Finally, in step four EC is isolated by the combustion of the remaining carbonaceous  
143 material at 760  $^\circ\text{C}$  for 150 s in  $\text{O}_2$ . EC recovery is estimated by the ratio  $\text{ATN}_t/\text{ATN}_0$ , where  
144  $\text{ATN}_0$  is the initial attenuation ( $\text{ATN}$ , see Supporting Information), which is related to the  
145 total amount of EC on the filter, and  $\text{ATN}_t$  is the attenuation at the time  $t$ , when the EC step  
146 (i.e., step 4) begins. By using the Swiss\_4S protocol, OC charring is minimized to  $4\pm 3\%$   
147 compared to EC, which may lead a negligible overestimation of non-fossil EC by less than  
148 3%. This assures the accuracy of  $^{14}\text{C}$  measurement in EC (see Supporting Information).  
149 The EC recovery in this study was estimated as  $85\pm 5\%$ , thus presenting almost the entire  
150 continuum of EC.  $^{14}\text{C}$  results in EC were extrapolated to 100% EC recovery ( $f_{\text{M},\text{EC},\text{corrected}}$   
151 = slope \* (1 – EC recovery) +  $f_{\text{M},\text{EC}}$ ) to account for the less refractory EC, mainly from  
152 wood burning, which is removed during steps 1 to 3 [14]. The slope of 0.31 is deduced  
153 from linear regression of the EC recovery and  $f_{\text{M},\text{EC}}$  [14]. The uncertainty of the reported  
154  $f_{\text{M},\text{EC}}$  is obtained by an error propagation of all possible uncertainties including an assigned  
155 uncertainty of 10% for the slope, the measurement uncertainty of  $f_{\text{M},\text{EC}}$  (2%) and an

156 assigned uncertainty of 10% for the EC yield, which results to a total average uncertainty  
157 of 4 %.

158 The evolving CO<sub>2</sub> in step 4 was separated from interfering gaseous products, cryo-trapped  
159 and sealed in glass ampoules for <sup>14</sup>C measurements. <sup>14</sup>C measurements of the CO<sub>2</sub> was  
160 carried out with the **MI**ni radio**CA**rbon **DA**ting System, MICADAS [37] using a gas ion  
161 source [38]. The <sup>14</sup>C results are presented as fraction of modern (f<sub>M</sub>) denoting the <sup>14</sup>C/<sup>12</sup>C  
162 content of the sample related to that of the reference year 1950 [39]. Oxalic acid (HOxII)  
163 reference material (f<sub>M</sub>=1.3407) and of <sup>14</sup>C-free materials (f<sub>M</sub>=0) are used for normalization  
164 background correction. The f<sub>M</sub> values were further corrected for δ<sup>13</sup>C fractionation and for  
165 <sup>14</sup>C decay between 1950 and the year of measurement [40]. The f<sub>M</sub> measurement  
166 uncertainty for the EC samples is ~2%.

#### 167 **2.4 Organic marker (hopanes and picene) measurements**

168 A filter cut of 1-6 cm<sup>2</sup> was used for organic marker's measurement. The organic markers  
169 picene and hopanes (see Table 2) were quantified using in-situ derivatization thermal  
170 desorption gas-chromatography time of flight mass spectrometry (IDTD-GC-MS, Orasche  
171 et al. [41]). Briefly, the filter punches were placed into glass liners suitable for an automated  
172 thermal desorption unit. Isotope-labelled standard compounds were spiked directly onto  
173 the filter surface to account for influences of the matrix for later quantification.  
174 Derivatization was performed on the filter by adding of liquid derivatization reagent N-  
175 methyl-N-trimethylsilyl-tri fluoroacetamide (MSTFA, Macherey-Nagel, Germany).  
176 During 16 min of desorption time, in addition an in-situ derivatization with gaseous  
177 MSTFA was carried out. Desorbed molecules were trapped on a pre-column before  
178 separation by gas chromatography (BPX-5 capillary column, SGE, Australia). The  
179 detection and quantification of compounds was carried out on a Pegasus III time-of-flight  
180 mass spectrometer (TOF) using the ChromaTOF software package (LECO, St. Joseph, MI).  
181 The blank values of hopanes and picene were below the detection limit (0.02 ng/m<sup>3</sup>).

182

## 183 **3 Results and Discussions**

### 184 **3.1 Temporal variation of EC**

185 Figure 1 shows EC concentrations during the whole sampling period. EC concentrations  
186 range from 0.8 to 11.8  $\mu\text{g}/\text{m}^3$ , and the average of  $4.0 \pm 2.2 \mu\text{g}/\text{m}^3$  is within the range (2.3~7.4  
187  $\mu\text{g}/\text{m}^3$ ) reported by previous studies for Beijing [21, 42]. The EC concentrations are  
188 significantly lower (t-test with  $p < 0.05$ ) during the warm period (i.e. average from March  
189 to October is  $3.6 \pm 1.5 \mu\text{g}/\text{m}^3$ ) than in the cold period (i.e. average from November to  
190 February is  $4.8 \pm 2.9 \mu\text{g}/\text{m}^3$ ). It should be noted that the frequency of samples with EC larger  
191 than  $4.5 \mu\text{g}/\text{m}^3$  in the cold period is much larger than that in the warm period, indicating a  
192 higher primary particulate pollution from enhanced anthropogenic emissions during the  
193 cold period. A similar seasonal trend was also observed by [43]. This seasonality is likely  
194 attributed to increased emissions from residential heating using coal or biofuel. The lower  
195 EC abundance in the warm period is mainly caused by reduced heating-related coal/biofuel  
196 emissions on the one hand and a higher mixing layer on the other hand. It should be pointed  
197 out that EC concentration in summer at the studied site is still higher than those observed  
198 in many other cities during summer such as Barcelona, Spain ( $1.2 \mu\text{g}/\text{m}^3$ ) [44], Paris,  
199 France ( $1.4 \mu\text{g}/\text{m}^3$ ) [45] or Pittsburgh, USA ( $0.89 \mu\text{g}/\text{m}^3$ ) [46].

### 200 **3.2 $^{14}\text{C}$ results of EC: fraction of modern**

201 In order to further investigate the sources of EC, fourteen samples from different seasons  
202 were selected for the analysis of  $^{14}\text{C}$  of the EC fraction (Table 1). In order to address the  
203 air quality problems of Beijing[15], we characterized EC sources for days with medium  
204 and heavy air pollution during the warm and cold periods. Therefore, samples were selected  
205 for radiocarbon analysis with EC concentrations  $> 3 \mu\text{g}/\text{m}^3$ , which includes about 2/3 of all  
206 daily samples shown in Figure. 1, representing ~82% of the integrated EC burden of all  
207 samples. However, EC sources of background days are not considered. The values for  
208  $f_{\text{M}}(\text{EC})$  ranged from 0.10 to 0.34 with a mean of  $0.23 \pm 0.06$ , indicating a dominance of  
209 fossil sources of EC in Beijing throughout the year. Since EC is only emitted as primary  
210 aerosol by combustion from either biomass or fossil fuels (i.e. coal and vehicle emissions),  
211  $f_{\text{M}}(\text{EC})$  particularly tracks the change of EC sources. The lowest  $f_{\text{M}}(\text{EC})$  is found in summer



212 (0.15), indicating the importance of vehicle emissions since the coal consumption is much  
213 reduced compared to other seasons.  $f_M(\text{EC})$  is higher by 60% in the rest of the year than in  
214 summer, suggesting that EC from biomass burning becomes substantial during the other  
215 seasons. Further discussions of source apportionment of fossil EC will be presented in Sec.  
216 3.4.

### 217 **3.3 Fossil vs. biomass burning EC**

218 The fraction of modern ( $f_M$ ) is not identical to the fraction of non-fossil ( $f_{NF}$ ) due to  
219 increased  $^{14}\text{C}$  content of the atmosphere from the nuclear bomb test in the 1950s and 1960s.  
220 A reference value representing the modern  $^{14}\text{C}$  content of biomass burning aerosols ( $f_{M,bb}$ )  
221 during the sampling period compared to 1950 before the bomb test is used to convert  $f_M$  to  
222  $f_{NF}$ :

$$223 f_{NF}(\text{EC}) = f_M(\text{EC}) / f_{M,bb} \text{ (Eq. 1)}$$

224 The value of  $f_{M,bb}$  is estimated as  $1.12 \pm 0.05$  [47]. Since biomass burning (including biofuel  
225 combustion) is the only source of non-fossil EC, the fraction of non-fossil ( $f_{NF}$ ) equals to  
226 the fraction of biomass burning ( $f_{BB}$ ). The fraction of fossil fuels ( $f_{FF}$ ) is determined by:

$$227 f_{FF} = 1 - f_{BB} \text{ (Eq. 2)}$$

228 Fossil-fuel and biomass-burning EC concentrations (i.e.  $\text{EC}_{FF}$  and  $\text{EC}_{BB}$ , respectively) are  
229 calculated as follows:

$$230 \text{EC}_{FF} = \text{EC} * (1 - f_{BB}) \text{ (Eq. 3)}$$

$$231 \text{EC}_{BB} = \text{EC} * f_{BB} \text{ (Eq. 4)}$$

232 Figure 2 shows the source apportionment results of EC. The  $\text{EC}_{FF}$  concentrations range  
233 from 2.5 to 7.5  $\mu\text{g}/\text{m}^3$ , whereas the corresponding range for EC from biomass burning  
234 ( $\text{EC}_{BB}$ ) was 0.4 to 2.4  $\mu\text{g}/\text{m}^3$ .  $\text{EC}_{FF}$  values are on average 4.6 times higher than  $\text{EC}_{BB}$ ,  
235 corresponding to a mean contribution of  $\text{EC}_{FF}$  to total EC of  $79\% \pm 6\%$  (ranging from 70%  
236 to 91%). The measured fossil contributions to EC are comparable to those previously  
237 reported with a similar  $^{14}\text{C}$ -based approach in Beijing during winter 2011 [48] and winter  
238 2013 [29], but are higher than for an urban site in Guangzhou, China (winter 2012/2013:

239 71±10%) [49] and a background site on the Hainan Island, South China (annual average  
240 2005/2006: 25-56%) [47] as well as 16 urban and rural sites across Switzerland (winter  
241 2007/2008–2011/2012: 13-88%) [11]. Higher EC<sub>FF</sub> concentrations were observed in the  
242 cold period, most probably associated with larger coal combustion for heating. However,  
243 relative contributions from fossil combustion are even lower in the cold season than in the  
244 warm season, implying that biomass-burning emissions are also considerably important for  
245 the EC increment in the cold season. It should be noted that it is common practice to burn  
246 maize and wheat residues especially in the rural areas without central space heating and  
247 gas supplying systems and a large fraction of this biomass burning is emitted as OC and  
248 EC [50]. The contribution of these biomass-burning emissions to EC in cold seasons is  
249 likely very important due to lower combustion efficiency for residential biomass burning  
250 than for coal boilers. By subtracting mean values of EC<sub>BB</sub> and EC<sub>FF</sub> in the warm period  
251 from those in the cold period, the excess is estimated as 0.82±0.40 µg/m<sup>3</sup> and 1.75±0.52  
252 µg/m<sup>3</sup> for EC<sub>BB</sub> and EC<sub>FF</sub>, respectively. Biomass burning accounted for on the average 32%  
253 of the excess during the cold period, which is significantly higher than the contribution of  
254 EC<sub>BB</sub> (19%) during the warm period, but lower than estimations from PMF model analysis  
255 (50%) [25].

### 256 **3.4 Fossil EC from coal combustion and vehicle emissions**

257 Hopanes are abundant in crude oils, coal and lubricants [51]. They have been identified in  
258 emissions from heating oil burning [52], coal burning [53] and vehicles [54]. Table 2  
259 presents hopane concentrations in the warm and cold periods and the difference between  
260 these two seasons. As shown in Figure 3 and Table 2, the total identified hopanes mass  
261 concentrations show a clearly seasonal trend with maximum in the cold period (68.6±28.7  
262 ng/m<sup>3</sup>) and minimum in the warm period (17.9±6.5 ng/m<sup>3</sup>). The hopane molecular patterns  
263 differ substantially with the type of the fossil source, and therefore potentially allow a  
264 distinction of coal combustion and vehicle emissions [55, 56]. For example, the ab-  
265 hopane/(ab-hopane+ba-hopanes) ratio (i.e. 30ab/(30ab+30ba)) increases with increasing  
266 diagenesis and catagenesis of the sediments. This ratio, also called hopane index, is >0.9  
267 in crude oil [57] and 0.1-0.6 in different types of coal [53, 58]. In typical petroleum, the  
268 R/S-epimerization at C22 has an equilibrium S/(S+R) ratio, the so-called homo-hopane

269 index (i.e.  $31\text{abs}/31\text{abs}+31\text{abR}$ ) of  $\sim 0.6$  [59], whereas this ratio ranges from about 0.1 for  
270 lignite coal to  $\sim 0.4$  for bituminous coal. As seen in Table 2, both the hopane index ( $0.84 \pm$   
271  $0.11$ ) and the homo-hopane index ( $0.56 \pm 0.04$ ) in the warm period are very close to those  
272 in vehicle exhausts, suggesting that contribution of coal burning was negligible or very  
273 small in summer in Beijing. In contrast, both ratios found in the cold period ( $0.57 \pm 0.06$  and  
274  $0.46 \pm 0.07$  for hopane index and the homo-hopane index, respectively) are between those  
275 of petroleum and coal-burning emissions, indicating additional fossil-fuel emissions from  
276 solid coal combustion. Moreover, picene (a specific marker of coal combustion) was also  
277 determined in our study (Figure 3 and Table 2) and considerable concentrations are  
278 observed during the cold period (i.e. ranging from  $0.34$ - $4.48$   $\text{ng}/\text{m}^3$  with a mean of  
279  $1.82 \pm 0.99$   $\text{ng}/\text{m}^3$ ), in contrast to the warm period, when concentrations were often below  
280 the detection limit or very small. If we assume that meteorological factors (i.e. wind speed  
281 and boundary layer height) equally affected both the  $\text{EC}_{\text{FF}}$  and picene concentrations then  
282 the difference between the cold and the warm periods for  $\text{EC}_{\text{FF}}$  and picene (i.e.  $\Delta\text{EC}_{\text{FF}}$  and  
283  $\Delta\text{picene}$ , respectively) can be attributed only to additional coal combustion. This  
284 assumption is also supported by a recent study, in which primary organic aerosols from  
285 traffic-related emissions were only found to be  $\sim 10\%$ , which was even smaller for high  
286 pollution events than for low pollution events in winter Beijing [15]. The emission ratio  
287 picene/EC for coal combustion is therefore estimated as  $1.1$  ( $\text{ng}/\mu\text{g}$ ) by:

$$288 \quad (\text{picene}/\text{EC})_{\text{coal}} = \Delta\text{picene}/\Delta\text{EC}_{\text{FF}} \quad (\text{Eq. 5})$$

289 The uncertainty of  $(\text{picene}/\text{EC})_{\text{coal}}$  is estimated to be  $0.22$  by an error propagation of  
290 possible uncertainties including by assigning  $10\%$  as the uncertainty of  $\Delta\text{picene}$  and  $20\%$   
291 as the uncertainty of  $\Delta\text{EC}_{\text{FF}}$  associated with an overestimation of the  $\text{EC}_{\text{coal}}$  or  $\text{EC}_{\text{traffic}}$  in  
292 winter.

293 It should be pointed out that picene may not be stable in summer [60], however, the  
294 emission ratio estimated by our approach would only change by  $<3\%$  if assuming  $0$ - $50\%$   
295 of picene in summer has decayed through photochemical transformations. This emission  
296 ratio is comparable to the calculated emission ratios for Chinese residential bituminous  
297 coal ( $0.8$ ) combustion but much lower than those found in residential anthracite ( $2.7$ ), and  
298 coal briquette ( $3.2$ ) combustion [61]. Similarly, the hopane and homo-hopane indexes for  
299 the excess between the cold and warm period is estimated as  $0.49$  and  $0.35$  (Table 2),

300 respectively, which are very close to those in residential combustion of bituminous coal  
301 (0.52 and 0.37 for the hopane and homo-hopane indexes, respectively), but very different  
302 from typical emission ratios in mineral-oil-based sources (i.e. fuel oil consumption and  
303 vehicular emissions) (i.e. hopane index  $>0.9$  and homohopane index in the range of 0.54-  
304 0.67) [53, 56, 59]. This suggests that the bituminite is a dominant contributor of the  
305 excess EC, which is associated with the highest EC emission factor from bituminous  
306 coals compared to other coal types on one hand and a larger percentage (78%) of  
307 bituminous coal in total raw coal in 2000 in China on the other hand [62].  
308 The fraction of traffic and coal combustion to EC particles is further calculated by:

309 
$$EC_{\text{coal}} = \text{picene} / (\text{picene}/EC)_{\text{coal}} \text{ (Eq. 6)}$$

310 
$$EC_{\text{traffic}} = EC_{\text{FF}} - EC_{\text{coal}} \text{ (Eq. 7)}$$

311 The “best estimate” and its associated uncertainty are obtained by Latin-hypercube  
312 sampling (LHS) [23]. This approach is comparable to Monte Carlo simulation which has  
313 been reported in many  $^{14}\text{C}$ -based source apportionment studies [63-66]. The emission ratio  
314 of picene/EC for coal combustion or  $(\text{picene}/EC)_{\text{coal}}$  may be overestimated by 25% or  
315 underestimated by 50%, if the traffic EC in winter is actually lower or higher than that in  
316 summer, respectively. Considering the overall uncertainty of  $(\text{picene}/EC)_{\text{coal}}$ , a range from  
317 0.75 to 1.5 with a central value of 1.0 is used as the input. The LHS simulation is conducted  
318 by generating 3000 random sets of variables. Simulations producing negative solutions are  
319 excluded and the median value from the remaining simulations is used as the best estimate,  
320 and the 10<sup>th</sup> and 90<sup>th</sup> percentiles of the solutions are used as uncertainties [23].

321 As shown in Figure 4, EC is divided into three major sources:  $EC_{\text{BB}}$ ,  $EC_{\text{traffic}}$  and  $EC_{\text{coal}}$ .  
322 The changes in the source pattern between the warm and cold season are substantial, though  
323 vehicle emissions are the most important source of EC in both the warm and cold periods  
324 with a mean contribution of  $79 \pm 6\%$  and  $50 \pm 7\%$ , respectively. However, the biomass-  
325 burning contribution slightly increased (from 19% to 24%) and the coal combustion  
326 contribution increased dramatically in the cold period. The excess of EC between the cold  
327 and warm seasons was shared by coal ( $68 \pm 4\%$ ) and biomass burning combustion ( $32 \pm 4\%$ )  
328 sources. The importance of coal contribution in the cold period is also evident by the

329 occurrence of picene and hopanes indices. The current results imply that wintertime aerosol  
330 pollution in Beijing is likely driven by increased coal combustion and possible secondary  
331 formation of other aerosol components such as nitrate, sulfate and organic carbon co-  
332 emitted with EC [15, 29, 61, 67].

333 In summary, the sources of elemental carbon (EC) from ambient samples collected in  
334 Beijing were investigated based on both radiocarbon ( $^{14}\text{C}$ ) and organic marker  
335 measurements. The results demonstrate that EC is dominated by fossil emissions  
336 throughout the year with a mean contribution of  $79\% \pm 6\%$ . To further identify and quantify  
337 traffic-related emissions and coal combustion contributions to fossil EC, hopanes and  
338 picene were also measured. The concentrations of the total identified hopanes are  
339  $68.6 \pm 28.7 \text{ ng/m}^3$  and  $17.9 \pm 6.5 \text{ ng/m}^3$  in the cold and the warm period, respectively. The  
340 seasonal molecular pattern of hopanes indicates that vehicle emissions are the most  
341 important fossil source in the warm period and coal combustion emission is increased  
342 significantly in the cold season. By combining the  $^{14}\text{C}$  and organic marker's measurements,  
343 relative contributions from coal and biomass-burning to the excess of EC between the cold  
344 and warm seasons were estimated as 67% and 33%, respectively. Based on published data  
345 from source samples, the hopane and home-hopane indexes as well as the picene-to-EC  
346 ratios are compared among different kinds of coal types. The comparison shows that the  
347 bituminite is a dominant coal type used during winter in Beijing.

#### 348 **Acknowledgements**

349 Y.-L. Zhang acknowledges partial support from the Swiss National Science Foundation  
350 Fellowship. This work is also partially the KIT Centre for Climate and Environment and  
351 the Helmholtz Zentrum München, German Research Center for Environmental Health.  
352 Rong-rong Shen acknowledges the PhD Scholarship from the China Scholarship Council  
353 (CSC).

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579 emissions in China and India, 1996-2010. *Atmos. Chem. Phys.* **2011**, *11*, (18), 9839-9864.

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583 **Table 1.** Sampling dates and temperature (T) of the selected aerosol samples for  $^{14}\text{C}$   
 584 measurements and their corresponding EC concentration, fraction of modern ( $f_M$ ), fraction  
 585 of biomass burning ( $f_{BB}$ ), fraction of fossil-fuel ( $f_{FF}$ ), biomass-burning EC ( $\text{EC}_{BB}$ ) and  
 586 fossil-fuel EC concentrations ( $\text{EC}_{FF}$ ).

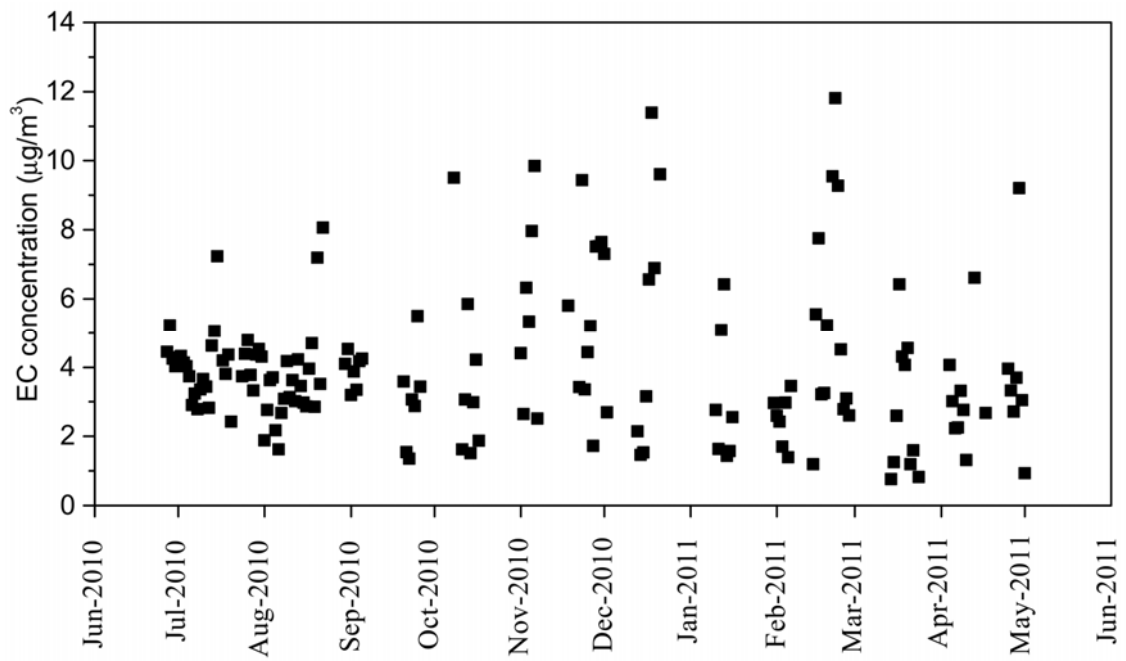
| Date       | T<br>°C | EC<br>( $\mu\text{g}/\text{m}^3$ ) | $f_M$     | $f_{BB}$  | $f_{FF}$  | $\text{EC}_{BB}$<br>( $\mu\text{g}/\text{m}^3$ ) | $\text{EC}_{FF}$<br>( $\mu\text{g}/\text{m}^3$ ) |
|------------|---------|------------------------------------|-----------|-----------|-----------|--|--|
| 7/3/2010   | 30      | 4.30                               | 0.15±0.02 | 0.14±0.02 | 0.86±0.02 | 0.59±0.07  | 3.7±0.46   |
| 7/5/2010   | 32      | 3.88                               | 0.10±0.01 | 0.09±0.01 | 0.91±0.01 | 0.35±0.05  | 3.53±0.55  |
| 7/25/2010  | 30      | 4.38                               | 0.18±0.01 | 0.17±0.01 | 0.83±0.01 | 0.73±0.06  | 3.65±0.29  |
| 7/27/2010  | 31      | 3.90                               | 0.19±0.01 | 0.18±0.01 | 0.82±0.01 | 0.69±0.05  | 3.21±0.25  |
| 10/8/2010  | 17      | 9.50                               | 0.25±0.02 | 0.23±0.02 | 0.77±0.02 | 2.19±0.21  | 7.31±0.7   |
| 11/28/2010 | -1      | 7.30                               | 0.34±0.02 | 0.31±0.02 | 0.69±0.02 | 2.26±0.15  | 5.04±0.33  |
| 11/30/2010 | -1      | 7.64                               | 0.28±0.02 | 0.25±0.02 | 0.75±0.02 | 1.95±0.13  | 5.7±0.39   |
| 2/15/2011  | -6      | 5.55                               | 0.25±0.02 | 0.23±0.02 | 0.77±0.02 | 1.27±0.09  | 4.28±0.31  |
| 2/16/2011  | -3      | 7.75                               | 0.25±0.02 | 0.23±0.02 | 0.77±0.02 | 1.77±0.13  | 5.98±0.45  |
| 2/21/2011  | 1       | 9.34                               | 0.21±0.01 | 0.19±0.01 | 0.81±0.01 | 1.82±0.14  | 7.52±0.57  |
| 3/18/2011  | 8       | 4.27                               | 0.23±0.02 | 0.21±0.02 | 0.79±0.02 | 0.91±0.08  | 3.36±0.29  |
| 3/20/2011  | 7       | 4.50                               | 0.30±0.02 | 0.27±0.02 | 0.73±0.02 | 1.23±0.09  | 3.27±0.23  |
| 4/13/2011  | 17      | 6.61                               | 0.26±0.02 | 0.24±0.02 | 0.76±0.02 | 1.59±0.13  | 5.02±0.4   |
| 4/30/2011  | 16      | 3.06                               | 0.21±0.02 | 0.19±0.02 | 0.81±0.02 | 0.58±0.06  | 2.48±0.27  |

588 **Table 2.** Range and mean ( $\pm$  standard deviation) concentrations of hopanes ( $\text{ng/m}^3$ ), picene ( $\text{ng/m}^3$ ), total EC ( $\mu\text{g/m}^3$ ), fossil-fuel EC  
 589 ( $\text{EC}_{\text{FF}}$ ) ( $\mu\text{g/m}^3$ ) and picene-to- $\text{EC}_{\text{FF}}$  emission ratio ( $\text{ng}/\mu\text{g}$ ) in the warm and cold periods and the excess in the cold period.

| Substance  | Abbreviation | Warm period (n=22) |                  | Cold period (n=15) |                  | Excess |      |
|--|--------------|--------------------|------------------|--------------------|------------------|--------|------|
|  |              | Range              | Mean             | Range              | Mean             | Mass   | %    |
| 18 $\alpha$ (H)-22,29,30-trisnorneohopane  | Ts           | 0.39-3.80          | 1.52 $\pm$ 1.18  | 0.93-3.69          | 2.21 $\pm$ 0.85  | 0.69   | 46   |
| 17 $\alpha$ (H)-22,29,30-trisnorhopane   | Tm           | 0.58-2.68          | 1.12 $\pm$ 0.49  | 2.13-13.14         | 7.58 $\pm$ 3.38  | 6.46   | 577  |
| 17 $\alpha$ (H),21 $\beta$ (H)-30-norhopane  | 29ab         | 0.87-6.01          | 3.68 $\pm$ 1.45  | 4.92-33.66         | 16.13 $\pm$ 7.61 | 12.45  | 339  |
| 17 $\beta$ (H),21 $\alpha$ (H)-30-norhopane+17 $\alpha$ (H),21 $\alpha$ (H)-30-norhopane | 29ba         | 0.33-2.84          | 1.27 $\pm$ 0.77  | 1.68-16.31         | 10.41 $\pm$ 4.75 | 9.13   | 718  |
| 17 $\alpha$ (H),21 $\beta$ (H)-30-hopane   | 30ab         | 1.33-6.68          | 4.14 $\pm$ 1.53  | 3.03-20.64         | 12.60 $\pm$ 5.61 | 8.45   | 204  |
| 17 $\beta$ (H),21 $\alpha$ (H)-30-hopane   | 30ba         | 0.49-2.21          | 1.02 $\pm$ 0.51  | 1.7-16.43          | 9.67 $\pm$ 4.54  | 8.65   | 847  |
| 17 $\alpha$ (H),21 $\beta$ (H)-22S-homohopane  | 31abS        | 0.69-3.35          | 1.98 $\pm$ 0.78  | 1.62-5.14          | 3.31 $\pm$ 1.16  | 1.33   | 67   |
| 17 $\alpha$ (H),21 $\beta$ (H)-22R-homohopane  | 31abR        | 0.5-2.28           | 1.54 $\pm$ 0.50  | 0.95-7.49          | 3.99 $\pm$ 1.63  | 2.45   | 159  |
| 17 $\alpha$ (H),21 $\beta$ (H)-22S-bishomohopane   | 32abS        | 0.63-2.45          | 1.52 $\pm$ 0.48  | 0.94-4.43          | 2.89 $\pm$ 1.02  | 1.37   | 90   |
| 17 $\alpha$ (H),21 $\beta$ (H)-22R-bishomohopane   | 32abR        | 0.35-4.30          | 1.46 $\pm$ 0.92  | 0.83-3.81          | 2.48 $\pm$ 0.91  | 1.03   | 70   |
| Subtotal   |              | 2.49-24.36         | 17.88 $\pm$ 6.45 | 17.79-120.98       | 68.63 $\pm$ 28.7 | 50.75  | 284  |
| hopane index: 30ab/(30ab+30ba)   |              | 0.61-1             | 0.84 $\pm$ 0.11  | 0.49-0.66          | 0.57 $\pm$ 0.06  | 0.49*  |      |
| homo-hopane index: 31abS/(31abS+31abR)   |              | 0.46-0.63          | 0.56 $\pm$ 0.04  | 0.38-0.63          | 0.46 $\pm$ 0.07  | 0.35*  |      |
| picene   |              | 0-0.17             | 0.02 $\pm$ 0.05  | 0.34-4.48          | 1.82 $\pm$ 0.99  | 1.79   | 7576 |
| EC <sup>#</sup>  |              | 3.1-9.5            | 4.9 $\pm$ 2.0    | 5.6-9.3            | 7.5 $\pm$ 1.4    | 2.6    | 52   |
| EC <sub>FF</sub> <sup>#</sup>  |              | 2.5-7.3            | 3.9 $\pm$ 1.4    | 4.3-7.5            | 5.7 $\pm$ 1.2    | 1.7    | 43   |
| (picene/EC <sub>FF</sub> ) <sup>#</sup>  |              | 0-0.09             | 0.02 $\pm$ 0.03  | 0.24-0.41          | 0.34 $\pm$ 0.07  | 1.0*   |      |

590 \* These ratios are determined from the masses of the individual components for the excess in the cold period.

591 <sup>#</sup> The values are obtained from a subset of samples, which are measured for radiocarbon (n=9 and 5 for the warm and cold periods, respectively).

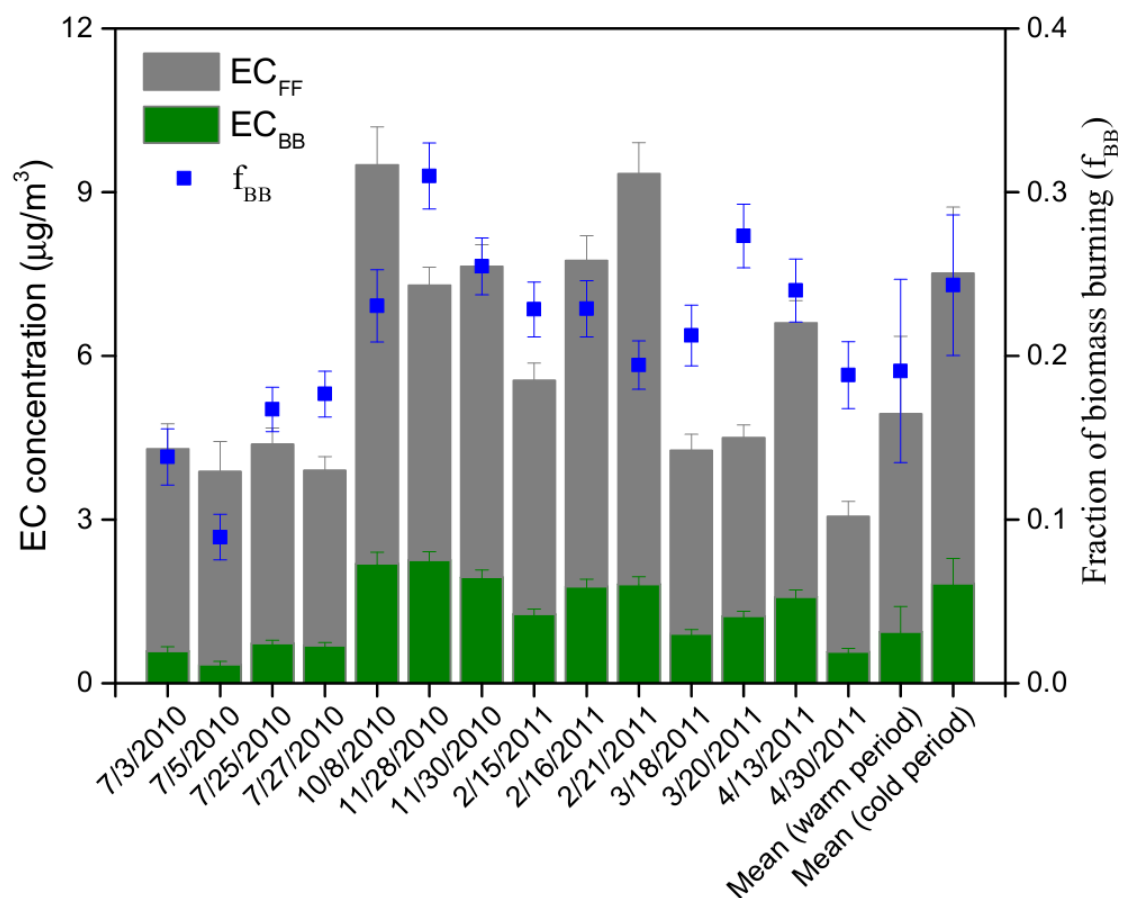


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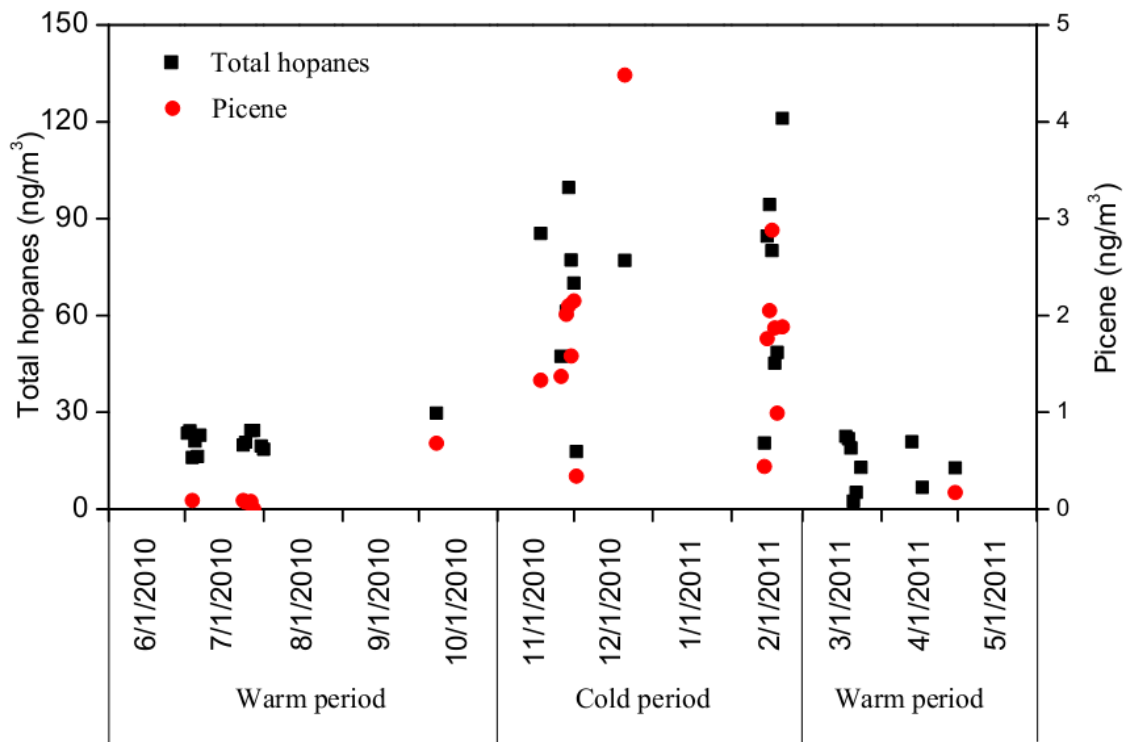
**Figure 1.** Temporal variation of EC concentrations ( $\mu\text{g}/\text{m}^3$ ,  $n=155$ ) in Beijing, China.





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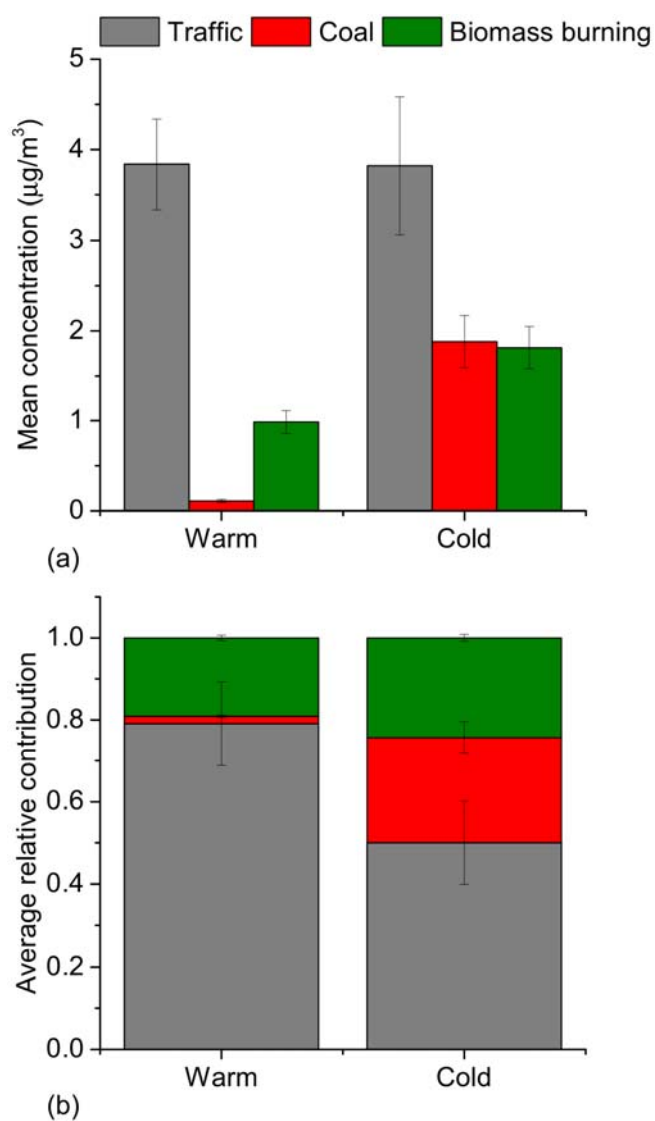
596 **Figure 2.** Mass concentrations ( $\mu\text{g}/\text{m}^3$ ) of EC from biomass burning and fossil-fuel  
 597 combustion ( $\text{EC}_{\text{BB}}$  and  $\text{EC}_{\text{FF}}$ , respectively) as well as fractions of biomass-burning EC to  
 598 total EC ( $f_{\text{BB}}$ ) in Beijing with  $1\sigma$  uncertainties.



599

600 **Figure 3.** Temporal variation (n=35) of total identified hopanes (see in Table 2) and  
 601 picene concentrations (ng/m<sup>3</sup>) in Beijing, China. The interval of x-axis is 30 days.

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603

604 **Figure 4.** Average EC concentrations (a) and relative contributions (b) from traffic-related,  
605 coal and biomass-burning emissions in the warm (March to October) and cold (November  
606 to February) periods. Uncertainty bars represent 10<sup>th</sup> and 90<sup>th</sup> percentiles from LHS  
607 calculations. The integrated probability distribution from the LHS simulation is shown in  
608 the Figure S3 (see Supporting Information).

609