Determination of vertebral range of motion using inertial measurement units in 27 Franches-Montagnes stallions and comparison between conditions and with a mixed population

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Summary

Reasons for performing study: The diagnosis of equine back disorders is challenging. Objectively determining movement of the vertebral column may therefore be of value in a clinical setting.

Objectives: To establish whether surface-mounted inertial measurement units (IMUs) can be used to establish normal values for range of motion (ROM) of the vertebral column in a uniform population of horses trotting under different conditions.

Study design: Vertebral ROM was established in Franches-Montagnes stallions and a general population of horses and the variability in measurements compared between the two groups. Repeatability and the influence of specific exercise condition (on ROM) were assessed. Finally, attempts were made to explain the findings of the study through the evaluation of factors that might influence ROM.

Methods: Dorsoventral (DV) and mediolateral (ML) vertebral ROM was measured at a trot under different exercise conditions in 27 Franches-Montagnes stallions and six general population horses using IMUs distributed over the vertebral column.

Results: Variability in the ROM measurements was significantly higher for general population horses than for Franches-Montagnes stallions (both DV and ML ROM). Repeatability was strong to very strong for DV measurements and moderate for ML measurements. Trotting under saddle significantly reduced the ROM, with sitting trot resulting in a significantly lower ROM than rising trot. Age is unlikely to explain the low variability in vertebral ROM recorded in the Franches-Montagnes horses, while this may be associated with conformational factors.

Conclusions: It was possible to establish a normal vertebral ROM for a group of Franches-Montagnes stallions. While within-breed variation was low in this population, further studies are necessary to determine variation in vertebral ROM for other breeds and to assess their utility for diagnosis of equine back disorders.

Keywords: horse; back; vertebral column; objective; range of motion; inertial measurement unit

Introduction

Objective measurements of head and trunk symmetry as an adjunct to subjective lameness evaluation are now routinely performed in a field setting using small surface-mounted inertial measurement units (IMUs) [1–3]. The inability to directly assess the three-dimensional range of motion (ROM) of the vertebral column and the lack of reliability in subjectively detecting movement asymmetries below a threshold level of 25% [4] limits the value of the information that can be obtained during clinical evaluation of horses with suspected back pain. A recent study validated IMUs against motion capture for determining thoracolumbar ROM and showed acceptable accuracy and good levels of consistency [5].

The purpose of the current study was to establish whether surface-mounted IMUs can be used to establish normal values for ROM of the vertebral column in a uniform population of horses trotting under different conditions. For the purpose of this study Franches-Montagnes horses were used. This light cold-blood breed, indigenous to Switzerland, is represented in most equestrian disciplines.

Our specific hypotheses were as follows:

• Variability of ROM measurements (total dorsoventral (DV) motion measured in millimetres and total mediolateral (ML) motion measured in millimetres) at the same sensor locations between horses and within a condition is significantly higher in the general horse population than in a group of Franches-Montagnes stallions, trotted in-hand (without a saddle).
• Repeatability of objectively measured vertebral ROM in 10 Franches-Montagnes stallions without clinical signs of back pain and trotted in-hand is high.
• Changing the condition under which the Franches-Montagnes stallions are measured results in systematic changes in ROM.

Age, rump length, height at withers and the ratio of rump length to height at withers (RL:HW) were evaluated as factors potentially influencing or explaining our findings.

Material and methods

Horses

For inclusion horses had to be free of any clinical evidence of back pain, pass a subjective lameness evaluation and have an objectively measured symmetry index that fell within a previously reported normal range [2,6]. These evaluations were performed solely for the purpose of this study. After the exclusion of 11 Franches-Montagnes horses and one general population horse that did not meet these criteria, the Franches-Montagnes group consisted of 27 stallions (age range: 4–21 years, height range: 151–162 cm, rump length range: 150–166 cm); the general population consisted of six horses (one stallion, four geldings, one mare; breeds: Lusitano, Swiss Warmblood, age range: 5–13 years, height range: 160–176 cm, rump length range: 154–179 cm). Horses were instrumented and measured at the Swiss National Stud. All horses were in daily exercise at the time they were measured. While the Franches-Montagnes stallions were exercised in a 3-day cycle (1 day ridden, the second driven and the third exercised on the horse-walker), the general population horses were used for dressage, in daily exercise or competition.

Equipment

Five IMUs (MTx™) were attached to a wireless transmitter (XBus™) via serial connections and then attached to the horses with adhesive dressing® at the following landmarks: poll (1), summit of the dorsal spinous process of
the 12th thoracic vertebrae (2) and 3rd lumbar vertebrae (3), between the
tuber sacrale (4) and summit of the dorsal spinous process of the 3rd
sacral vertebrae (5) (Fig 1). These landmarks were identified by a qualified
chiropractor.

Each IMU consists of a 3-axis accelerometer (full scale ± 180 m/s²), a
3-axis gyroscope (full scale ± 1200°/s) and a 3-axis magnetometer (full
scale ± 750 mGauss) resulting in a static accuracy of <0.5° for roll and
pitch and <1° for heading measurements. With a rider in place IMU 2 was
discarded. Data were transmitted to a laptop computer at a sampling rate
of 50 Hz running MT Manager software® and processed using custom
software6.

Data collection

Each horse was given an initial ridden warm-up of 10–15 min prior to being
instrumented and measured. Horses were trotted over a distance of 50 m
(repeated until sufficient strides (at least 25) of synchronised data were
recorded). Exercise conditions under which ROM was measured were as
follows:

- Trotting in-hand without saddle.
- Trotting in-hand with saddle.
- Rising trot under saddle.
- Sitting trot under saddle.

All horses were ridden by the same experienced rider and
measurements made in the same sand-based arena. To assess
repeatability 10 horses underwent a repeat measurement approximately
1 month following acquisition of the first set of data.

Data analysis

A standard right-handed orthogonal Cartesian coordinate system was used
for orientation (cranio-caudal or x: positive axis directed along the line of
progression; DV or z: axis vertical [aligned with gravitational field] and
positive in the upward direction; ML or y: axis perpendicular to the first two
axes). Cranio-caudal (x), ML (y) and DV (z) displacement data in the horse
based reference system were calculated following published methods [7,8]
with modified highpass filter frequencies chosen as 1.5 Hz for DV and
0.75 Hz for lateral movement. Range of motion was calculated for each
stride [9] as the difference between the maximum and the minimum value
measured within each stride. The median ROM value for each horse under
each exercise condition was then used for statistical analysis.

Statistical analysis was carried out in NCSS® and data were considered
significant for P<0.05. Normality of the data was tested using the Shapiro–
Wilk test. For all means, 95% lower and upper confidence limits (LCL and
UCL) were calculated with the formula mean ± (1.96× standard error of
the mean). Similarly, LCL and UCL were calculated for the standard
deviations. Hypothesis 1 was tested by comparing 95% lower and upper
confidence intervals for the standard deviation between the Franches-
Montagnes stallions and general population groups. The Modified Levene Equal
Variance Test was used to test the differences in variance within the
groups for statistical significance. Repeatability of the ROM measurements
(Hypothesis 2) was assessed by the Pearson correlation coefficient of the
two measures within the same horse. In order to assess the effect of
changing conditions on ROM (Hypothesis 3), data were analysed using a
repeated measures ANOVA. A mixed model which allows simultaneous
inclusion of categorical and continuous predictor variables was used for
this analysis. In case of significance, a pair-wise comparison of the different
conditions was performed (P values Bonferroni corrected). Stride
frequency as a proxy for trotting speed [10–12] was included in the mixed
model, trotting speed affecting most movement features even in sound
horses [12] and therefore being a main factor of the motion.

The biomechanical variables age, rump length (distance from shoulder
to tuber ischadicum), height at withers and RL:HW were determined and
the size of the standard deviation and its confidence intervals
compared between Franches-Montagnes stallions and general population
horses. The Modified Levene Equal Variance Test was used to assess the
statistical significance of different variances. Additionally, the biomechanical
variable age was analysed by dividing the study population (Franches-
Montagnes stallions and general population horses) into age groups (2, 3 or 4
groups) and using a repeated measures ANOVA to investigate interactions
between age and DV and ML ROM.

Results

Hypothesis 1

Dorsoventral and ML vertebral ROM is shown in Figures 2 and 3 for
Franches-Montagnes stallions and the general population. Absolute
measurements can be found in the supporting information (Supplementary
Items 1 and 2). The variance of the ROM measurements of Franches-
Montagnes stallions and general population horses confirmed a significantly
larger variance in general population ROM measurements (DV: P<0.02, ML:
P<0.001).

Hypothesis 2

The results of the Pearson correlation, reflecting degree of repeatability of
the measurements made at each of the IMU landmarks under each of the
conditions are listed in Table 1.

In general a strong to very strong repeatability was noted with
regard to DV ROM, irrespective of exercise condition. Mediolateral
ROM was less repeatable, ranging from a weak to a very strong
correlation.

Hypothesis 3

Changes in DV and ML ROM that occurred at the different IMU locations in
the Franches-Montagnes stallions when changing condition are shown in
Table 2 and Figures 4 and 5. For IMU 2 (summit of the dorsal spinous
process of the 12th thoracic vertebrae), comparison was not always
possible as this location was lost under the saddle.

No significant difference was noted in DV ROM when comparing horses
trotted in-hand with and without a saddle alone. Mean DV ROM was
significantly less when trotting under saddle compared with when trotting
in-hand. DV ROM was significantly less during sitting trot when compared
with rising trot. Stride frequency was significantly associated with increased
DV movement in the ANOVA model (P<0.001). The interaction term
between exercise condition and stride frequency was not significant, and
the effect of exercise condition on DV ROM remained unchanged after
correction for stride frequency in a multivariate ANOVA model.

No significant difference was noted in ML ROM when comparing horses
trotted in-hand with and without a saddle alone. Trotting in-hand
conditions differ significantly from trot under saddle conditions. While there
is significantly greater ML ROM at IMU 1 (poll) under saddle, there is a
trend towards less ML ROM at the more caudal locations, some of these
differences being significant. Significantly less lateral ROM was noted at
both IMU 1 (poll) and IMU 3 (summit of the dorsal spinous process of the
3rd lumbar vertebrae) when horses were ridden in rising trot, when
compared with sitting trot. As noted with DV ROM, controlling for stride
correlation in a multivariable model did not alter the effect of exercise
condition on ML ROM.

Factors

There was no significant difference in variance between age of Franches-
Montagnes stallions and general population horses; however, all other
factors associated with conformation (rump length, height at withers and the ratio of rump length to height at withers [RL:HW]) differed significantly in their variance and are therefore potential candidate factors associated with the difference in ROM variance between Franches-Montagnes stallions and general population horses (Table 3).

The results of the repeated measures ANOVA can be found in the supporting information (Supplementary Items 3–5). Only one significant influence on DV ROM was found when horses were split into 2 groups according to age, and exercised at rising trot under saddle (condition 3). All other comparisons were not significant.

Discussion

In horses trotted in-hand IMU 1 (poll) measured least DV ROM of all IMUs. In the sound horses included in this study, this finding may support a stabilising role of a stationary head for the remaining vertebral column, with larger movements of this lever arm only occurring when redistribution of the centre of mass is necessary, such as in the case of lameness [4]. In agreement with numerous in vitro and in vivo studies, our study observes a gradual decrease in DV ROM from cranial to caudal [13–17]. Our data are also comparable with those obtained from preliminary studies using IMUs applied dorsal to the vertebrae in ponies [5]. Absolute DV ROM data are, however, consistently higher in both groups studied here.

Mediolateral ROM is less consistent than DV ROM. A possible explanation for this apparent greater variation may be the ‘restrictive’ influence of gravity in a DV direction compared with the unrestricted freedom to move laterally. Restricting lateral movement (e.g. by imposing the centripetal forces of a circle) may be required to make ML ROM a more consistent measure. However, other confounding factors such as circle radius and speed should be taken into consideration [18,19]. Mediolateral output data from surface-mounted IMUs must also be interpreted with caution. Given the discrepancy between the movement of the IMU

Fig 2. Mean dorsoventral range of motion (DV ROM; in mm) of the vertebral column at the five locations along the spine shown in Figure 1 recorded in 27 Franches-Montagnes stallions (a), and six general purpose horses (b). Error bars represent 95% lower and upper confidence limits of the mean.
attached to the skin and the underlying bony structure (due to skin mobility), these units are unable to differentiate between true lateral bending and axial rotation of the vertebral column [20]. This so-called skin displacement artefact is a source of error well recognised in human and equine gait analysis [21–23].

Mediolateral ROM was observed to increase caudally. Although this finding is consistent with a single study using IMUs [5], it is in contrast to some previous studies [13,14,16]. Data for the latter studies were generated on a treadmill and recorded using motion capture techniques. Consistent with Gomez Alvarez et al. [24], the authors speculate that restriction in lateral ROM on the treadmill may explain the difference between studies.

Variability of both the DV and ML ROM measurements was significantly higher for general population horses than for Franches-Montagnes stallions. Our first hypothesis can therefore be upheld. In the 10 horses that were measured a second time the overall Pearson correlation value for each exercise condition indicated a strong to very strong repeatability for the DV ROM measurements. Mediolateral measurements are less reliable with only moderate repeatability. Possible explanations for these findings are discussed above.

The systematic changes in mean ROM measurements seen with changes in exercise condition are summarised in Table 2. The finding that the saddle alone has no significant influence on either DV or ML ROM is consistent with previous studies using motion capture techniques [17]. In the current study, the presence of a rider was associated with a significant decrease in DV ROM at almost all IMU locations and a decrease in ML ROM at some IMU locations when compared with the conditions without a rider. The weight of the rider has a direct biomechanical effect on the musculoskeletal system of the horse [25–27], the magnitude of which is directly associated with the rider’s body weight. The bow and string model dictates that a weight exerted on the horse’s thoracolumbar spine will result in its extension [28]. This has been confirmed using a dead weight [17]. Additional kinematic studies have shown variable results ranging from

![Graphical representation of mean mediolateral range of motion (ML ROM; in mm) of the vertebral column at the five locations along the spine shown in Figure 1 recorded in 27 Franches-Montagnes stallions (a) and six general purpose horses (b). Error bars represent 95% lower and upper confidence limits of the mean.](image)
no overall change to increased ROM at the trot whether a dead weight was used or the horse was ridden [29,30]. Our results suggest an overall reduction in vertebral ROM with a rider in place. This may be explained by an increased synergistic stabilising activity of the rectus abdominis and longissimus dorsi muscles restricting excessive movement during ridden trot and the associated increase in force [31,32].

Despite the use of an experienced dressage rider in the current study, ML movement of the head was significantly greater under saddle, demonstrating a horse-rider interaction [33,34]. Consistent with a limb-back-head motion chain, in which each unit collaborates to maintain balance [24,35–40], we speculate that increased head and neck movement may compensate for a decrease in spinal movement further caudally. It is generally assumed that riding technique influences the loading of the horse’s back, with rising trot being less demanding than sitting trot [41]. Assuming stabilising muscular activity counteracts the greater force peaks that occur during sitting trot, it is unsurprising that the current study recorded significantly lower ROM during sitting trot when compared with rising trot, consistent with previous studies [30].

We assessed parameters relevant to the biomechanics of the equine vertebral column in an attempt to explain the low variability and high repeatability in movement measures within the current population of Franches-Montagnes stallions. Age has been shown to influence equine back movement; for example, older horses have decreased flexion and extension at the transition between the thoracic and lumbar back at the trot [42]. Dividing horses into age groups for analysis was largely

| TABLE 2: Repeated measures ANOVA of differences in dorsoventral and mediolateral range of vertebral movement recorded at five spinal locations under four exercise conditions in Franches-Montagnes stallions |
|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
|                | Poll            | 12th thoracic vertebrae | 3rd lumbar vertebrae | Tuber sacrale | 3rd sacral vertebrae |
| DV ROM          | Mean            |  ≠                | Mean            |  ≠                | Mean            |  ≠                | Mean            |  ≠          |
| 1 Trotting in-hand without saddle | 70.44 3 | 97.12 * | 91.84 3,4 | 90.88 3,4 | 83.72 4 |
| 2 Trotting in-hand with saddle | 69.74 3 | 96.96 * | 91.48 3,4 | 90.30 3,4 | 82.44 4 |
| 3 Rising trot under saddle | 65.19 1,2 | 111.67 * | 86.07 1,2,4 | 86.52 1,2,4 | 81.19 4 |
| 4 Sitting trot under saddle | 68.23 1,2,3 | 100.46 * | 77.19 1,2,3 | 77.62 1,2,3 | 76.54 1,2,3 |
| P value         | <0.001          | *                | <0.001          | <0.001          | <0.001          |
| ML ROM          | Mean            |  ≠                | Mean            |  ≠                | Mean            |  ≠                | Mean            |  ≠          |
| 1 Trotting in-hand without saddle | 37.44 3,4 | 35.56 * | 38.78 3 | 40.04 3 | 42.67 4 |
| 2 Trotting in-hand with saddle | 34.19 3,4 | 44.00 * | 38.68 3 | 39.88 3 | 41.44 4 |
| 3 Rising trot under saddle | 52.63 1,2,3 | 31.22 * | 32.89 1,2,4 | 35.19 1,2 | 39.67 4 |
| 4 Sitting trot under saddle | 66.42 1,2,4 | 30.77 * | 36.08 3 | 36.65 3 | 38.62 1 |
| P value         | <0.001          | *                | <0.001          | <0.002          | <0.04 |

DV, dorsoventral; ML, mediolateral; ROM, range of vertebral motion. Recording locations are shown in Figure 1. Column ≠ lists exercise condition(s) in which a difference was found. *no comparison possible as data recorded from this location were inconsistent.

Fig 4: Mean dorsoventral range of motion (DV ROM; in mm) of the vertebral column at the five locations along the spine shown in Figure 1 during trot under different conditions. Error bars represent 95% lower and upper confidence limits of the mean. Note: measurements were not made from the 12th thoracic vertebral region when the horses were ridden.

Fig 5: Mean mediolateral range of motion (ML ROM; in mm) of the vertebral column at the five locations along the spine shown in Figure 1 during trot under different conditions. Note: measurements were not made from the 12th thoracic vertebral region when the horses were ridden.
Inference values can be determined for other breeds with a more or less general horse population is not possible. It remains to be seen if normal utilisation and exercise history, extrapolating the current results to those of a suspected to have back pain. Due to variation in conformation, sex, from the general population. These normal reference values may therefore be evident when comparing with the range recorded in a random sample of Franches-Montagnes horses and may correlate with consistent low variability and high repeatability. Using IMU technology in a relatively uniform population (i.e. Franches-Montagnes stallions), we were able to establish normal values for ROM with a relatively narrow range. This was confirmed through the comparison of the lumbar region of dressage horses with show jumpers. Dressage horses had both longer lumbar backs and greater lateral movement [42]. These studies clearly show the influence of back length on ROM and therefore support our observation that low variability in this parameter may be associated with the low variability in ROM measured in the Franches-Montagnes stallions of this study.

The RL:HW ratio also influences back movement, and Johnston et al. [44] showed increased ML movement in horses with a rectangular shape. Franches-Montagnes horses are generally compact and square in shape. Attempts to determine differences in ROM by grouping the Franches-Montagnes stallions in this study according to their minimal differences in RL:HW failed to show any significant differences, highlighting their uniform conformation. Significantly greater variability in conformational factors (RL, HW, RL:HW) was shown in the general population horses when compared with Franches-Montagnes horses and may correlate with consistent movement through the vertebral column.

In order to define normal reference values, measurements should have low variability and high repeatability. Using IMU technology in a relatively uniform population (i.e. Franches-Montagnes stallions), we were able to establish normal values for ROM with a relatively narrow range. This was most evident when comparing with the range recorded in a random sample from the general population. These normal reference values may therefore serve as a benchmark for this breed and serve for comparison in cases suspected to have back pain. Due to variation in conformation, sex, utilisation and exercise history, extrapolating the current results to those of a general horse population is not possible. It remains to be seen if normal reference values can be determined for other breeds with a more or less uniform conformation.

### TABLE 3: Factors potentially influencing range of motion of the vertebral column and associations with different variability in the range of movement measurements in 27 Franches-Montagnes stallions and six general population horses analysed with the Modified Levene Equal Variance Test

<table>
<thead>
<tr>
<th></th>
<th>Rump length</th>
<th>Height</th>
<th>Rump length:height at withers</th>
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<tbody>
<tr>
<td><strong>Franches-Montagnes</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mean</td>
<td>10.44</td>
<td>159.70</td>
<td>157.19</td>
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<tr>
<td>Standard deviation (s.d.)</td>
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<td>95% LCL of the s.d.</td>
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<td>3.16</td>
<td>2.21</td>
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<tr>
<td>95% UCL of the s.d.</td>
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<td>5.49</td>
<td>3.84</td>
</tr>
<tr>
<td>95% LCL</td>
<td>8.55</td>
<td>158.12</td>
<td>156.08</td>
</tr>
<tr>
<td>95% UCL</td>
<td>12.34</td>
<td>161.29</td>
<td>158.29</td>
</tr>
<tr>
<td><strong>General population</strong></td>
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<td></td>
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<tr>
<td>Mean</td>
<td>10.00</td>
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<td>168.50</td>
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<tr>
<td>Standard deviation</td>
<td>3.10</td>
<td>7.13</td>
<td>6.66</td>
</tr>
<tr>
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<tr>
<td>95% UCL of the s.d.</td>
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<td>16.32</td>
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<tr>
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<td>161.52</td>
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<tr>
<td>95% UCL</td>
<td>13.25</td>
<td>177.44</td>
<td>175.48</td>
</tr>
<tr>
<td>Modified Levene (P value)</td>
<td>&gt;0.05</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>

The uniform nature of the population of horses and the conditions under which they were measured in this study is unquestionable. The presence of clinical back pain or lameness was excluded based on clinical examination. However, the acclimatisation to ridden exercise was variable as, although all horses were accustomed to ridden exercise, some were more routinely driven. The warm-up period prior to measurement was designed to compensate for this. Nonetheless variability in the ‘condition’ of the back within the population of Franches-Montagnes stallions and its influence on movement was not more closely accounted for. Further work is required to evaluate the feasibility of determining normal values for both individuals and populations of horses and to establish whether they are a useful basis for the diagnosis of clinical abnormalities. The IMUs used in this study are only validated for measuring total DV or ML excursion. Differentiating flexion, extension and left or right lateral excursion is likely to be of greater clinical relevance.

### Authors' declaration of interests

No competing interests have been declared.

### Ethical animal research

All the horses in the Franches-Montagnes group and two horses of the general population group are owned by the National Stud. Permission to examine them was granted by a representative of the stud. The remaining horses in the general population group are privately owned. Owners gave informed consent for their horses to be included.

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### Authorship

All authors contributed to the study’s conception and design and acquisition or analysis and interpretation of the data. While C. Heim drafted the article, the other authors revised it critically for important intellectual content. All authors have approved the final version of the manuscript.

### Manufacturers' addresses

1. Xsens Technologies B.V., P.O. Box 559, 7500 An Enschede, The Netherlands.
3. The Mathworks, Natick, Massachusetts, USA.
4. NCSS, LLC, Kaysville, Utah, USA.

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Supporting Information

Additional Supporting Information may be found in the online version of this article at the publisher’s website.

Supplementary Item 1. Dorsoventral (DV) and mediolateral (ML) range of motion of the vertebral column measurements of 27 Franches-Montagnes stallions. Mean, standard deviation (s.d.) and 95% lower (LCL) and upper confidence limits (UCL) in five spinal locations (shown in Fig 1) under various exercise conditions.
Supplementary Item 2. Dorsoventral (DV) and mediolateral (ML) range of motion of the vertebral column measurements of 6 general population horses. Mean, standard deviation (s.d.) and 95% lower (LCL) and upper confidence limits (UCL) in 5 spinal locations (shown in Fig 1) under various exercise conditions.

Supplementary Item 3. Repeated measures ANOVA examining the impact of age on range of movement of the vertebral column in 27 Franches-Montagnes stallions in various exercise conditions by dividing them into two age groups (DV, dorsoventral; ML, mediolateral).

Supplementary Item 4. Repeated measures ANOVA examining the impact of age on range of movement of the vertebral column in 27 Franches-Montagnes stallions in various exercise conditions by dividing them into three age groups (DV, dorsoventral; ML, mediolateral).

Supplementary Item 5. Repeated measures ANOVA examining the impact of age on range of movement of the vertebral column in 27 Franches-Montagnes stallions in various exercise conditions by dividing them into four age groups (DV, dorsoventral; ML, mediolateral).