

Title:

“Hypo-methylation mediates Chromosomal Instability in pancreatic NET”

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Short title:

Methylation and genomic instability in PanNET

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Summary:

DAXX and or ATRX loss occur in 40% of Pancreatic Neuro-endocrine Tumors (PanNETs). PanNETs negative for DAXX or ATRX show an increased risk of relapse. The tumor-associated pathways activated upon DAXX or ATRX loss and how this event may induce Chromosomal Instability (CIN) and Alternative Lengthening Telomeres (ALT) are still unknown. Both DAXX and ATRX are involved in DNA methylation regulation. DNA methylation of heterochromatin and of non-coding sequences is extremely important for the maintenance of genomic stability. We analysed the association of DAXX and or ATRX loss and CIN with global DNA methylation in human PanNET samples and the effect of DAXX knock down on methylation and cell proliferation.

We assessed *LINE1* as well as global DNA methylation in 167 PanNETs and we found that DAXX and or ATRX negative tumors and tumors with CIN were hypo-methylated. DAXX knock-down in PanNET cell lines blocked cells in G1/G0 phase and seemed to increase CIN in QGP-1 cells. However, no direct changes in DNA methylation were observed after DAXX knock down *in vitro*.

In conclusion our data indicate that epigenetic changes are crucial steps in the progression of PanNETs loss and suggest that DNA methylation is the mechanism via which CIN is induced, allowing clonal expansion and selection.

1 **Introduction**

2 The molecular pathways and mechanisms underlying initiation and progression of pancreatic
3 neuroendocrine tumors (PanNET) are still poorly understood. Mutations in *DAXX* (Death
4 Domain Associated Protein) and *ATRX* (ATR-X) with correspondent loss of protein expression in
5 the tumor tissue occur in 40% of PanNETs (Jiao, et al. 2011). *DAXX* and or *ATRX* loss
6 correlates with Chromosomal Instability (CIN) and predicts for relapse in low stage patients
7 (Stage I to III in the absence of distant metastasis) (Marinoni, et al. 2014). *DAXX* and or *ATRX*
8 negative tumors show Alternative Lengthening of Telomeres (ALT), a telomerase independent
9 mechanism for telomere length maintenance, based on homologous recombination (Heaphy, et al.
10 2011). The mechanisms and the sequence of events linking *DAXX/ATRX* mutation, ALT and CIN
11 are unknown.

12 In addition to mutations, the importance of epigenetic changes is increasingly recognized for
13 many cancer types (reviewed in (Das and Singal 2004). *DAXX* and *ATRX* participate in
14 maintaining the epigenetic status of the cells by regulating both DNA methylation and H3.3
15 deposition at telomeric and peri-centromeric regions. *DAXX* interacts and recruits DNA methyl-
16 transferase enzyme 1 (DNMT1) to specific promoters including *RASSF1* and *RELB* (Puto and
17 Reed 2008). *ATRX* contains the ADD domain as does DNMT3-DNMT3L, important for
18 establishing and maintaining DNA methylation pattern (Noh, et al. 2016).

19 Impairment of DNA methylation in PanNETs has been shown in several genes (*VHL*, *cdkn2a*
20 (p16), *RASSF1*, *DAPK1*, *TIMP3*, *PAX5*, *HIC1*, *CADMI*, *PYCARD*, *ESR1*, *VHL*, *RARB*, *WT1* and
21 *MGMT*). (House, et al. 2003; Malpeli, et al. 2011; Schmitt, et al. 2014; Schmitt, et al. 2009;
22 Stefanoli, et al. 2014). Genome wide methylation profiling of PanNET has shown a difference of
23 methylation pattern between *DAXX* and *ATRX* negative tumors, suggesting that mainly *DAXX*
24 loss is driving DNA methylation changes in PanNETs (Pipinikas, et al. 2015).

25 DNA methylation not only regulates gene expression, but also genomic stability. Indeed hetero-
26 chromatin and silenced regions are usually hyper-methylated resulting in a highly condensed
27 chromatin structure not accessible to the transcription machinery. Hypo-methylated DNA regions
28 are usually highly transcribed. Repetitive and mobile elements such *LINE1* (Long Interspersed
29 Element-1) and *ALU* (*Arthrobacter luteus*) sequences are preserved from transcription and
30 activation by DNA hyper-methylation. Once activated, these sequences move within the genome
31 and thereby generate chromosomal instability. Hypo-methylation of *LINE1* and *ALU* sequences
32 occurs in several tumors including PanNETs (Choi, et al. 2007; Stefanoli et al. 2014). It is
33 currently unknown whether *LINE1* and *ALU* hypo-methylation is directly linked to *DAXX* and or
34 *ATRX* mutation. Regulation of transcription of the telomeric sequences TERRA (Telomeric
35 repeat containing RNA) and telomere stability is also dependent on the DNA methylation status.
36 Dnmt1 deficient mouse embryonic stem cells show elongated telomeres, increased recombination
37 at telomeric regions and ALT activation (Gonzalo, et al. 2006).

38 In the present study we aimed to analyse the effect of *DAXX* and or *ATRX* loss on global
39 methylation in ex-vivo human PanNETs samples and *in vitro* in BON-1 and QGP-1 cell line-
40 models. *In vitro* we also assessed genomic instability induction upon *DAXX* knock down. We
41 found that *DAXX* and or *ATRX* negative PanNETs showed global hypo-methylation but not
42 *LINE1* hypo-methylation compare to positive tumors. Additionally we were able to find a link
43 between *LINE1* hypo-methylation and CIN in PanNETs. *DAXX* knock down does not induce
44 methylation changes in BON-1 and QGP-1 cells.

45

46 **Material and Methods**

47 **Human samples**

48 167 out of 207 resected well differentiated PanNETs (G1-G2) and 11 matching control were used

49 in the study based on DNA viability and informative IHC results (Marinoni et al. 2014).
50 CIN data obtained by Comparative Genomic Hybridization (CGH) were previously reported
51 (Marinoni et al. 2014).
52 CIN were defined as showing a total number of gains and losses of 8 or more in conventional
53 CGH and of 20 or more in array CGH. The use of patient material was approved by the local
54 ethics committees (Number 105/2015). The composition of samples is described in table 1 and in
55 the supplementary figure 1.

56 **Cell line culture conditions and treatments**

57 The pancreatic neuroendocrine cell line BON-1 (pancreatic carcinoid) was provided by E.J.
58 Speel, Department of Pathology, Maastricht, The Netherlands and metastatic somatostatinoma
59 derived QGP-1 cell line was obtained from Japanese Health Sciences Foundation, Osaka, Japan.
60 Both cell lines authentication was performed by STRs analysis (Mycrosynth, Balgach,
61 Switzerland) upon arrival (2011) and in the present year. QGP-1 cells resulted 100% matching
62 with the QGP-1 profile (GNE586559, Genentech, Roche, Basel, Switzerland). BON-1 cells are
63 not commercially available therefore no comparison is possible, however STRs analysis of these
64 cells revealed no matching with any data available in the ATCC database, excluding any
65 contamination with other cell lines. Additionally the expression of the neuro-endocrine markers
66 Synaptophysin and Chromogranin-A was checked yearly. Both QGP-1 and BON-1 cells express
67 the two markers. Cells were kept in culture for a maximum of 20-25 passages.

68 Both cell lines were cultured at 37°C under 5% CO₂. BON-1 were cultured in DMEM/Nutrient
69 Mixture F-12 Ham (D6421, Sigma-Aldrich, Buchs SG, Switzerland) and QGP-1 in RPMI 1640
70 Dutch modification (R7638, Sigma-Aldrich), both media supplemented with 10% FBS (GIBCO,

71 Thermo Fisher Scientific, Paisley, UK), 2nM L-alanyl-L-glutamin (Sigma-Aldrich), 100µg/ml
72 streptomycin and 100units/ml of penicillin (Sigma-Aldrich).

73

74 **Immuno-histo-chemistry (IHC)**

75 Four-micrometer sections were taken from a TMA including 207 pNETs derived from patients
76 who underwent surgery at the Inselspital Bern, Switzerland previously described (Marinoni et al.
77 2014) and stained with an anti-5-methylcytidine antibody BI_MECY_0100 (Eurogentec, Kaneka,
78 Liege, Belgium). The Immunohistochemical staining was performed on an automated staining
79 system (Leica Bond III; Leica Biosystems, Nunningen, Switzerland). Antigen retrieval was
80 performed by heating citrate buffer at 100° for 30 minutes. The primary antibody was incubated
81 for 30 minutes at a dilution of 1:200. Visualization was performed using the avidin-biotin
82 complex method, which yielded a brown staining signal. Normal pancreatic islets show strong
83 positive staining indicating a certain level of DNA methylation thus we scored as high-
84 methylated samples showing similar or stronger staining than normal islets and low-methylated
85 samples showing weaker staining. To exclude false-negative samples, only samples with positive
86 nuclear staining of non-neoplastic cells and negative tumor nuclei were scored as negative (153
87 of 207 samples remaining informative). 54 Samples with both negative tumor nuclei and non-
88 neoplastic stromal and endothelial cells were scored as non-informative and excluded from
89 further analysis.

90

91 **DNA extraction, bisulfite conversion and global methylation analysis**

92 DNA was extracted from cell pellet of about 6×10^5 cells or from paraffin embedded human
93 PanNETs (55 tumor samples with more than 80% tumor content and 11 matching normal
94 pancreatic tissues, Table 1 and supplementary figure 1) using Nucleo Spin kit by Macherey-

95 Nagel (Düren, Germany) according to the manufacturer's instructions. For bisulfite conversion of
96 gDNA the EZ DNA Methylation-Gold kit by Zymo Research Corporation (Freiburg, Germany)
97 was used following the manufacturer's instructions.

98 Genomic DNA of 10 tumor samples was analyzed by its global methylation status using
99 EpiSeeker methylated DNA Quantification Kit (ab117128, Abcam, Cambridge, United
100 Kingdom), according to the supplier's instructions. Colorimetric measurements were done on an
101 ELISA reader (Tecan, Männerdorf, Switzerland). Hyper-methylated and hypo-methylated DNAs
102 (Zymo Research) were used as controls.

103 PCR and pyrosequencing investigation for *LINE-1* for 51 samples (GenBank accession number
104 X58075) methylation analysis was performed using PyroMark kit (Qiagen, Hilden, Germany). To
105 analyse sequence methylation of *LINE-1*, the PyroMark Q24 CpG LINE-1 (4x24) Methylation
106 detection assay was used (Qiagen). Amplifications were performed with Master Mix PyroMark
107 PCR Kit (Qiagen) according to supplier's instructions on a Veriti System gradient apparatus
108 (Applied Biosystems, Thermo Fisher Scientific). PCR product was bound to Streptavidin
109 Sepharose HP (Amersham Biosciences, GE Healthcare, Little Chalfont, United Kingdom),
110 purified, washed, denatured and washed again with the PyroMark Q24 Vacuum Workstation
111 220V (Qiagen). Pyrosequencing was performed using the Qiagen PyroMark Q24 System with the
112 pyromark Q24 Cartridge Method 0011 (Qiagen). The assay setups and analysis were performed
113 with PyroMark Assay Design Software V.1.0.6 (Qiagen).

114 MGMT (O⁶-methylguanine-DNA-methyltransferase) promoter methylation was assessed in 25
115 tumor samples as previously described (Vassella, et al. 2011). Samples with methylation level
116 higher and equal to 5% were considered hyper-methylated and samples with methylation level
117 <5% were considered hypo-methylated.

118 The MEN1 gene was analysed in 26 tumor samples by semi-conductive sequencing using an Ion
119 Torrent PGM (Life Technologies). Protein coding exons were amplified by multiplex polymerase
120 chain reaction using 2 primer pools designed by the Ion AmpliSeq Designer (Life Technologies).
121 Library construction, emulsion polymerase chain reaction, and sequencing were performed
122 according to the manufacturer's recommendations. The Torrent Suite 5.0.3 platform was used for
123 sequence alignment with the hg19 human genome reference. Variant calling was performed with
124 the variant caller 5.0.3.5. and the IonReporter 5.0 software (Life Technologies, Grand Island,
125 NY). The average base coverage depth for most samples was more than 2000 reads. MEN1
126 mutations found are summarized in table 1 in the Supplementary Material.

127 **DAXX knock down**

128 For virus infection 6×10^5 cells were seeded in 6 wells plate the day before. pLKO.1 lentiviral
129 vectors expressing small hairpin (sh) RNAs targeting DAXX (Sh-DAXX_H2410: and Sh-
130 DAXX_2503) or a nontargeting shRNA control (SHCOO2) were purchased from Sigma-Aldrich
131 Lentiviral production and transduction were done as described in (Tschan, et al. 2003).
132 Transduced cells were selected by puromycin treatment (1.5 μ g/ml, Invitrogen). For micro-nuclei
133 counting, cells were seeded in 24-well plates on coverslips coated with FBS, 1×10^4 cells per well.
134 Cells were fixed with 4% Paraformaldehyde for 30 min at room temperature. DAPI was used to
135 stain nuclei. Micronuclei were counted under a fluorescent microscope (Axiophot2, Zeiss).

136 **Viability and apoptosis assays**

137 For MTT assay cells were seeded into 96-well plates at the density of 16'000 cells per well. After
138 24h medium was replaced by 100ul of medium with 10% MTT (0.5mg/ml final concentration)
139 (Sigma-Aldrich) and incubate 1h at 37°. After incubation the medium was removed and 200 μ l of
140 DMSO (Sigma-Aldrich) and 25 μ l of Sorensen solution (0.1M Glycine, 0.1M NaCl, pH 10.5 in

141 water) were added into each well. Absorbance at 570nm was determined on a spectrophotometer
142 (Tecan).

143 **Cell cycle analysis with FACS**

144 Briefly, cells were seeded 5×10^5 cells in duplicates or triplicates in 6-well plates. The day after
145 cells were treated with Nocodazol. After 16h of treatment cells were fixed in ice cold EtOH
146 overnight. PI staining was performed directly before measurement on BD-FACS LSR SorpII
147 using BD FACSDiva 6.1 software (BD Bioscience, Heidelberg, Germany). Results were
148 analyzed using FlowJo v9.8 software (TreeStar, USA).

149 **Protein extraction and Western blot analysis**

150 For western blotting Cells were cultivated in 6-well plates and lysate in Urea buffer. Forty ng
151 proteins were loaded on 4-20% Mini-PROTEAN® TGX Stain-Free™ Gel (Bio-Rad, Cressier,
152 Switzerland). Transfer was performed using transfer turbo blot system (Bio-Rad). Primary
153 monoclonal antibodies rabbit anti DAXX (clone 25C12, 1:1000 in 5% BSA, Cell signaling,
154 Cambridge, United Kingdom) and mouse anti human α -tubulin (clone B-5-1-2, 1:1000 in 5%
155 BSA, Sigma), GAPDH (clone 6c5, 1:1000 in 5% skimmed milk, Merk Millpore, Darmstadt,
156 Germany) were used. As secondary antibodies goat anti-rabbit DyLight® 650 conjugate
157 (LabForce, Muttenez, Switzerland) and goat anti-mouse DyLight® 550 conjugate (LabForce) both
158 diluted 1:500 in 5% milk were used. Signal was detected with ChemiDoc MP System (Bio-Rad)
159 and analyzed in ImageJ (freeware, 1.48v, Bethesda, USA). Protein levels were normalized to α -
160 tubulin, GAPDH or total protein.

161 **Statistics**

162 Statistical analysis was performed using GraphPAD prism 5 software (La Jolla, CA, USA).
163 Statistical differences were calculated using unpaired two-tailed Student's t test. The χ^2 test or the
164 Fisher exact test was used to calculate contingency tables. *P* values less than .05 were considered
165 statistically significant.

166

167 **Results**

168 **Ex-vivo analysis of human PanNET samples**

169 **DAXX and or ATRX negative PanNET are hypo methylated**

170 In order to first assess whether DAXX/ATRX loss had an impact on the DNA methylation
171 pattern we evaluated global DNA methylation on 10 PanNET samples. DNA extracted from 5
172 PanNET samples positive for DAXX/ATRX IHC staining, negative for ALT activation and CIN
173 negative (DAXX/ATRX+/ALT-/CIN-) and from 5 PanNET samples negative for DAXX/ATRX
174 staining, positive for ALT activation and CIN positive (DAXX/ATRX-/ALT+/CIN+) were
175 analyzed for global DNA methylation with the colorimetric EpiSeeker assay (Methylated DNA
176 Quantification Kit, Abcam). As shown in figure 1-A DAXX/ATRX-/ALT+/CIN+ tumors exhibit
177 a significantly lower level of DNA methylation compared to DAXX/ATRX+/ALT-/CIN- ones
178 ($p < 0.01^{**}$), which is comparable to the un-methylated control. To confirm our findings in a
179 larger setting and with an additional method, we stained 2 TMAs, composed as described in the
180 material and methods section and in (Marinoni et al. 2014) with an anti-5-methylcytidine
181 antibody (Eurogentec) that specifically recognizes the methylated base and does not cross-react
182 with the un-methylated one. We obtained results on 153 samples; the characteristic of these
183 samples is reported in table 1.

184 We observed that strong 5-methylcytidine staining associates with DAXX and ATRX proficient
185 tumors while weak signal correlates with DAXX/ATRX deficient ones $p < 0.05^*$ (Figure 1 B-G
186 and Table 2), suggesting that DAXX/ATRX deficient tumor show global hypo-methylation
187 compared to the positive ones. Normal islets showed a strong 5-methylcytidine staining (Figure 1
188 B-C).

189 To examine the DNA methylation level of non-coding regions we evaluated the (Long
190 Interspersed Element) *LINE1* methylation status as a marker of global DNA methylation in 55
191 PanNET and 11 non-neoplastic pancreatic specimens, by pyro-sequencing (Qiagen). We
192 observed that *LINE1* is hypo-methylated in PanNETs compared to normal pancreas ($p < 0.001^{***}$)
193 (Figure 2-A) as previously reported (Choi et al. 2007; Stefanoli et al. 2014). *LINE1* hypo-
194 methylation indeed associates with CIN ($p < 0.05^*$) (Figure 2-B), as we hypothesized. However,
195 no differences in *LINE1* methylation were observed in either DAXX/ATRX positive and
196 negative tumors, ALT positive and negative (Figure 2 C-E). In order to assess if *MEN1*
197 mutations impact on *LINE1* methylation levels we sequenced *MEN1* gene in 26 samples. Eleven
198 samples resulted to be mutated. The mutations found are summarized in Supplementary Material
199 Table 1. No difference in *LINE1* methylation status were observed between mutant and wild type
200 tumors (Figure 2 F). Interestingly, *LINE1* hypo-methylation predicts for shorter disease free
201 survival ($p < 0.05^*$), (figure 3 supplementary material) in agreement with the results reported by
202 Stefanoli et al. (Stefanoli et al. 2014); while no differences in survival are detectable in tumors
203 positive and negative for 5-methylcytidine staining (data not shown).

204 We performed quantitative methylation specific PCR to assess whether *LINE1* hypo-methylation
205 or DAXX/ATRX loss correlate with hyper-methylation of *MGMT* promoter, due to its clinical
206 relevance. We found 8 samples with *MGMT* promoter hyper-methylated and 17 samples where
207 *MGMT* promoter was un-methylated. No correlation between *LINE1* hypo-methylation or

208 DAXX/ATRX loss and MGMT methylation level was found (Supplementary Figure 4),
209 suggesting two independent mechanisms for the two events, as previously proposed (Stefanoli et
210 al. 2014).

211

212 **In vitro upon DAXX knock-down**

213 **Induction of genomic instability and G1 arrest**

214 In order to assess if DAXX loss is sufficient to induce genomic instability, we knocked down
215 DAXX in two PanNET cell lines, QGP-1 and BON-1 by Lentiviral transduction of SH-RNAs.
216 DAXX knock down was evaluated by western blotting 9, 17 and 24 days after lentiviral
217 infection. Two different DAXX specific vectors (1 and 2) and scramble control were used in both
218 cell lines. DAXX expression is highly reduced after 9 days from infections in both cell lines
219 (Figure 3-A and supplementary material Figure 5-A). After 17 days DAXX expression is down-
220 regulated to a lesser extent while both cell lines re-express DAXX after 24 days. Therefore, all
221 experiments were performed within the first 10 days after infection. Of note, the knock down
222 efficiency was higher with vector SH-DAXX-1 than SH-DAXX-2; consistently we observed a
223 stronger phenotype on the cells transduced with the first vector.

224 Interestingly, we observed that in QGP-1 cells DAXX knock down induced an increased number
225 of micronuclei formation and anaphase bridges while no micro-nuclei formation was observed in
226 BON-1 cells. DAXX knock down QGP-1 cells showed an increased number of micronuclei
227 formation compared to scramble (Figure 3 B-D). This result suggests an increased genomic
228 instability induced by DAXX loss in QGP-1 cells.

229 DAXX knock down in both QGP-1 and BON-1 does not induce ALT activation as detected by
230 telomere FISH *in vitro* (data not shown), similarly to what has been shown by others in ATRX
231 knock down cells (Napier, et al. 2015).

232 DAXX knock down reduced cell viability, measured by MTT assay (Figure 3-E and
233 supplementary material Figure 5-B), indicating that DAXX has not a classical tumor suppressor
234 gene function. No significant increase in apoptosis was observed in DAXX knock down
235 samples compared to the scramble control (Supplementary Figure 6). FACS analysis revealed
236 that DAXX knock down induces G1 arrest in both BON-1 cells and QGP-1 (Figure 3-F and
237 Supplementary Figure 7).

238

239 **Short time DAXX knock down does not affect *LINE1* and global methylation.**

240 Since in human samples we observed that DAXX and or ATRX loss correlates with DNA hypo-
241 methylation we wanted to investigate whether DAXX knock down impairs DNA methylation *in*
242 *vitro* as well. No difference in *LINE1* methylation as well as global methylation were observed
243 after 10 days of DAXX knock down in both BON-1 and QGP-1 cells (Supplementary Figure 8
244 and data not shown). Similarly, no difference in MGMT promoter methylation were observed
245 upon DAXX knock down (data not shown).

246

247 **Discussion**

248 The sequence of the events and the mechanism by which DAXX and or ATRX loss induces ALT
249 and CIN are still unknown. Here we provide evidence, that epigenetic mechanisms could be
250 involved in this process. In detail, we showed by immunohistochemistry and biochemical
251 methods that DAXX and or ATRX negative PanNET are globally hypo-methylated. Hypo-
252 methylation of repeated sequences has been described in several cancers in correlation with
253 progressive increase of the grade of malignancy (Ehrlich 2002). Long interspersed nuclear
254 elements (*LINE1*) are the most abundant mobile DNAs in the human genome and hypo-
255 methylation of these sequences has been shown in different type of cancer including PanNETs

256 (Choi et al. 2007; Stefanoli et al. 2014). Indeed, we could confirm that *LINE1* is hypo-methylated
257 in PanNET compared to normal pancreas. Here we additionally showed that PanNETs showing
258 CIN have a lower level of *LINE1* methylation than chromosomally stable PanNET. We
259 previously showed that DAXX and or ATRX loss in PanNETs correlates with CIN (Marinoni et
260 al. 2014). We hypothesize that DAXX and ATRX loss in PanNET cells result in a decreased
261 DNA methylation thus promoting CIN. Indeed, we found that DAXX/ATRX negative PanNET
262 show lower level of global methylation assessed by IHC and by a colorimetric assay.

263 However, we could not find a correlation between DAXX and or ATRX loss, ALT activation and
264 *LINE1* methylation. *LINE1* methylation could therefore be mediated by other unknown events.
265 On the other hand, a multi-step model in which DAXX and ATRX loss progressively impairs
266 DNA methylation and chromatin structure would also explain this discrepancy. Indeed, our cell
267 line results could point into this direction: Short time DAXX knock down did not induce any
268 changes in global or *LINE1* DNA methylation. Either DAXX knock down is not sufficient to
269 induce global hypo-methylation without other events, or it is instead a matter of time and
270 additional cell cycles are needed.

271 Impairment of DNA methylation, particularly at telomeres has been described in glioblastoma
272 with ALT activation as well as in Astrocytoma with low ATRX expression (Cai, et al. 2015;
273 Sturm, et al. 2012). Recently it has been shown that DAXX deficient PanNET showed higher
274 methylation variation compared to ATRX negative ones (Pipinikas et al. 2015). However this
275 report did not focus on global methylation levels, which could largely be influenced by non-
276 coding sequences, such as *LINE1* and telomeric regions.

277 A reduction of methylation in the subtelomeric regions, allowing DNA recombination, might also
278 promote ALT activation (Gonzalo et al. 2006). Upon DAXX knock-down in PanNET cell lines
279 we did not observed ALT activation, in keeping with other finding showing that DAXX and

280 ATRX loss does not induce ALT activation *in vitro* in telomerase positive cells (Napier et al.
281 2015). In QGP-1 cells we observed a higher number of micronuclei upon DAXX knock down
282 compared to control which might be a sign of increased genomic instability already at this short
283 time-point and without ALT phenotype and impairment in DNA methylation. However, the small
284 percentage, of cells showing micro nuclei formation upon DAXX knock out might not be
285 sufficient to detect DNA methylation changes when this is assessed in the whole cell population.
286 The phenotype of DAXX knock down cells has confirmed the non-conventional tumor
287 suppressor role. DAXX silencing *in vitro* in BON-1 and QGP-1 cells induced G1/G0 cell cycle
288 arrest. Similarly, ATRX knock down in other tumor models reduced cell proliferation and
289 induced genomic instability (Cai et al. 2015; Huh, et al. 2016; Huh, et al. 2012; Lovejoy, et al.
290 2012). It is important to point out that BON-1 and QGP-1 cells are mainly a model for G3
291 PanNECs with mutations in genes, which are usually not altered in G1-G2 PanNETs (i.e. *TP53*)
292 (Vandamme, et al. 2015). In this context DAXX knock down may impact on the cell cycle
293 regulation and genomic instability differently than in the slow progressive tumors with a different
294 mutational spectrum. Additionally the difference in the mutations background between QGP-1
295 and BON-1 may explain the different results in the micronuclei formation. Recently it has been
296 shown that Daxx knock-down in a rat insulinoma cell line increased cell proliferation, suggesting
297 that this model might be more appropriate for Daxx functional studies (Feng, et al. 2016).
298 However rodent cells usually show minor and different genomic instability pattern compared to
299 human cells and they rarely activate ALT mechanism for telomeres lengthening, even in absence
300 of telomerase (Hermsen, et al. 2015) (Argilla, et al. 2004). This suggests that even this model
301 harbours some limitation in the study of DAXX loss impact on genomic instability, ALT
302 activation and DNA methylation which was the main focus of our work.

303 Our finding very likely only show a part of a more complex situation as other factors than DAXX
304 and ATRX mutation can influence the epigenetic status. DAXX and or ATRX loss is frequently
305 accompanied by *MEN1* mutations and this possibly contributes to the epigenetic status. MEN1 is
306 mutated in almost 44% of sporadic PanNETs (Jiao et al. 2011). *MEN1* gene, encodes the
307 transcription factor menin, which recruits the H3K4me3 histone methyltransferase mixed lineage
308 leukaemia (MLL1) complex that plays an essential role in chromatin remodelling and gene
309 expression (Agarwal, et al. 1999; Agarwal and Jothi 2012; Yang, et al. 2013). However MEN1
310 mutations in PanNETs are not associated with CIN nor with ALT phenotype. In agreement with a
311 model of epigenetically induced CIN, our results showed no correlation between LINE1
312 methylation level and MEN1 mutation status. Similarly we did not detect any correlation between
313 global methylation assessed by IHC and MEN1 mutations (data not shown).

314 In conclusion our data provide evidence that DAXX and ATRX loss impact on global DNA
315 methylation of PanNETs cells, which seem to be involved in chromosomal instability. This could
316 enable PanNET to acquire clonal heterogeneity leading to a selection of more aggressive clones.

317

318 **Declaration of interest**

319 The authors disclose no potential conflicts of interested.

320

321 **Author contributions**

322 Ilaria Marinoni: experimental design, supervision and execution of *in vitro* and ex-vivo
323 experiments, manuscript writing.

324 Astrid Wiederkeher: execution of the *in vitro* experiments

325 Tabea Wiedmer: execution of the FACS analysis and critical reading of the manuscript

326 Sophia Pantasis: *LINE1* pyrosequencing.

327 Rasmus Frank: *LINE1* pyrosequencing and MGMT methylation.

328 Annunziata Di Domenico: DNA extraction and samples collection.

329 Erik Vassella: MEN1 sequencing and MGMT methylation analysis.

330 Anja Schmitt: Acquisition of clinical data and critical reading of the manuscript

331 Aurel Perren: experimental design, data acquisition of ex-vivo experiments, morphological
332 analysis and manuscript writing.

333

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343

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421 **Figure legends:**

422
 423 **Figure 1: Global methylation in PanNETs.** A) Global methylation levels of DNA isolated from
 424 human PanNETs using EpiSeeker methylated DNA Quantification Kit (Abcam). Highly
 425 methylated DNA and unmethylated DNA were used as controls. DAXX/ATRX-/ALT+/CIN+
 426 tumors showed hypo-methylation compared to DAXX/ATRX+/ALT-/CIN- ones ($p < 0.01^{**}$). B-
 427 G) IHC with anti-5-methylcytidine antibody (Millipore) on human tissues. (B-C) Normal
 428 pancreas: (*) pancreatic islets and (**) exocrine pancreas. D-E two PanNETs samples showing
 429 low 5-methylcytidine expression and F-G two PanNET samples showing high 5-methylcytidine
 430 expression.

431
 432 **Figure 2: LINE-1 methylation in PanNETs.** A) PanNET samples showed a significant lower
 433 level of methylation compared to normal surrounding tissues ($p < 0.001^*$). B) PanNETs with high
 434 CIN showed a lower level of *LINE1* methylation ($p < 0.05$) compared to tumors with no CIN. C-
 435 D) DAXX/ATRX- tumors and ALT+ tumors do not show difference in *LINE1* methylation
 436 compared to respectively DAXX/ATRX+ and ALT- tumors. E) Tumors which are both
 437 DAXX/ATRX- and ALT+ did not show difference in *LINE1* methylation level compared to
 438 tumors, which are DAXX/ATRX+ and ALT-, F) MEN1 mutated and wild type PanNET do not
 439 show differences in *LINE1* methylation levels.

440
441 **Figure 3: DAXX knock-down in QGP-1 cell lines.** A) Western blotting showing DAXX knock-
442 down after 9, 17 and 24 days upon infection with two different plasmids SH-DAXX-1 and SH-
443 DAXX-2 in QGP-1. Parental (Par.) cells and cells transduced with scramble vector (Scr.) were
444 used as controls. DAXX expression comes back to normal level after 24 days from the infection.
445 Quantification of the knock-down after 9 and 17 days from infection was based on three different
446 experiments. B) DAPI staining of QGP-1 cells after DAXX knock-down; arrows indicate an
447 anaphase bridge and micronuclei. C) Fold changes of the number of micronuclei (MN) per cells
448 compare to scramble. D) Number of anaphase bridges compare to mitosis. SH-DAXX-1 and SH-
449 DAXX-2 cells showed an increased on micronuclei and anaphase bridges compared to controls.
450 E) Graphic representation of MTT viability assays after 8 days and after 16 days from infection.
451 DAXX knock-down impairs cell viability. After 16 days when DAXX expression is restored to
452 normal levels, the cells proliferate as the controls, suggesting a direct effect of DAXX on cell
453 viability. F) FACS analysis of QGP1 cells after DAXX knock-down. Cells knocked-down for
454 DAXX showed an increased in the percentage of cells in G1/G0 compared controls. The results
455 are based on at least three repetitions.

456

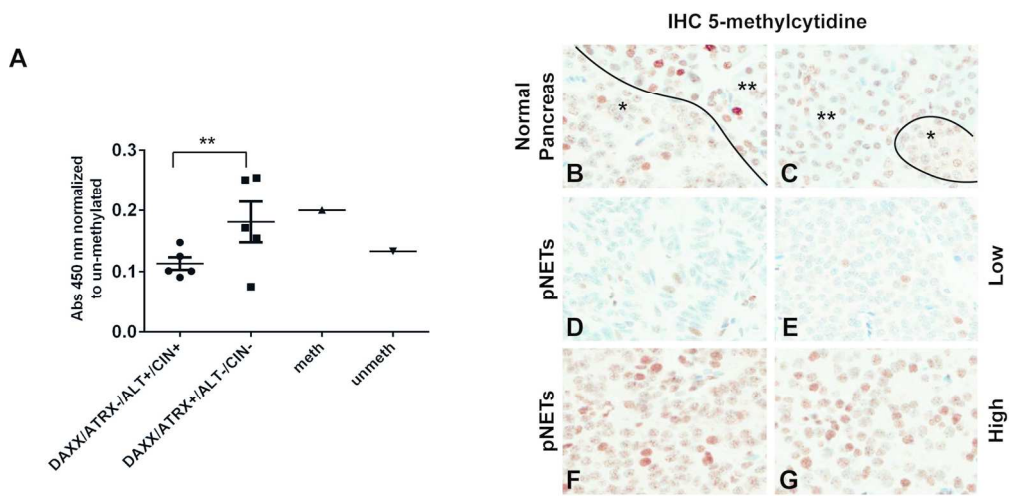


Figure 1

192x97mm (300 x 300 DPI)

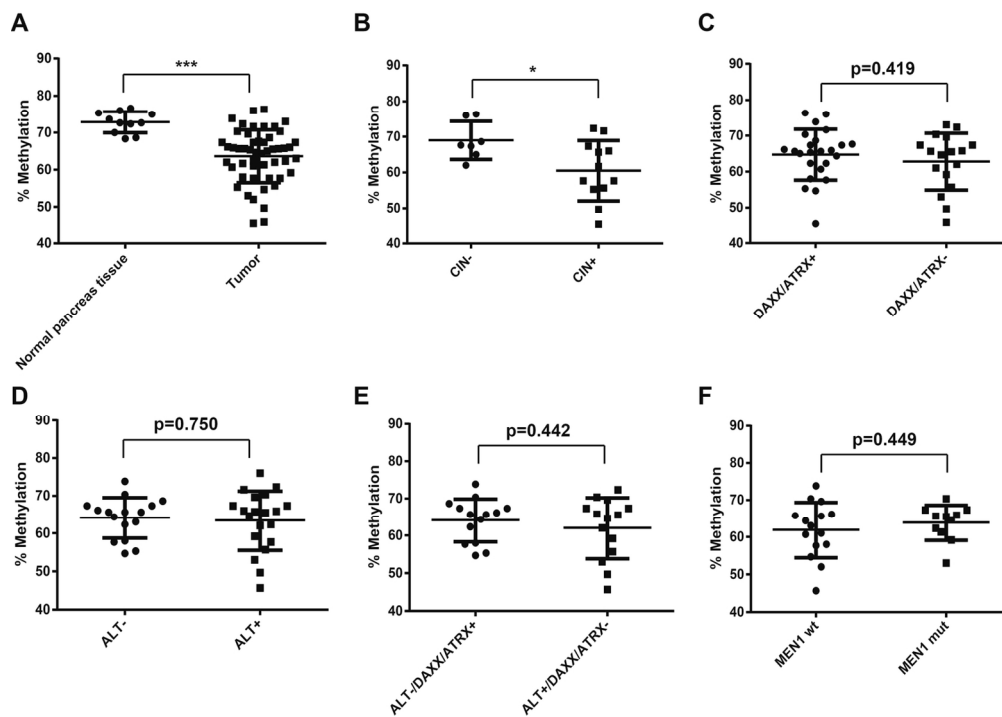


Figure 2

143x101mm (300 x 300 DPI)

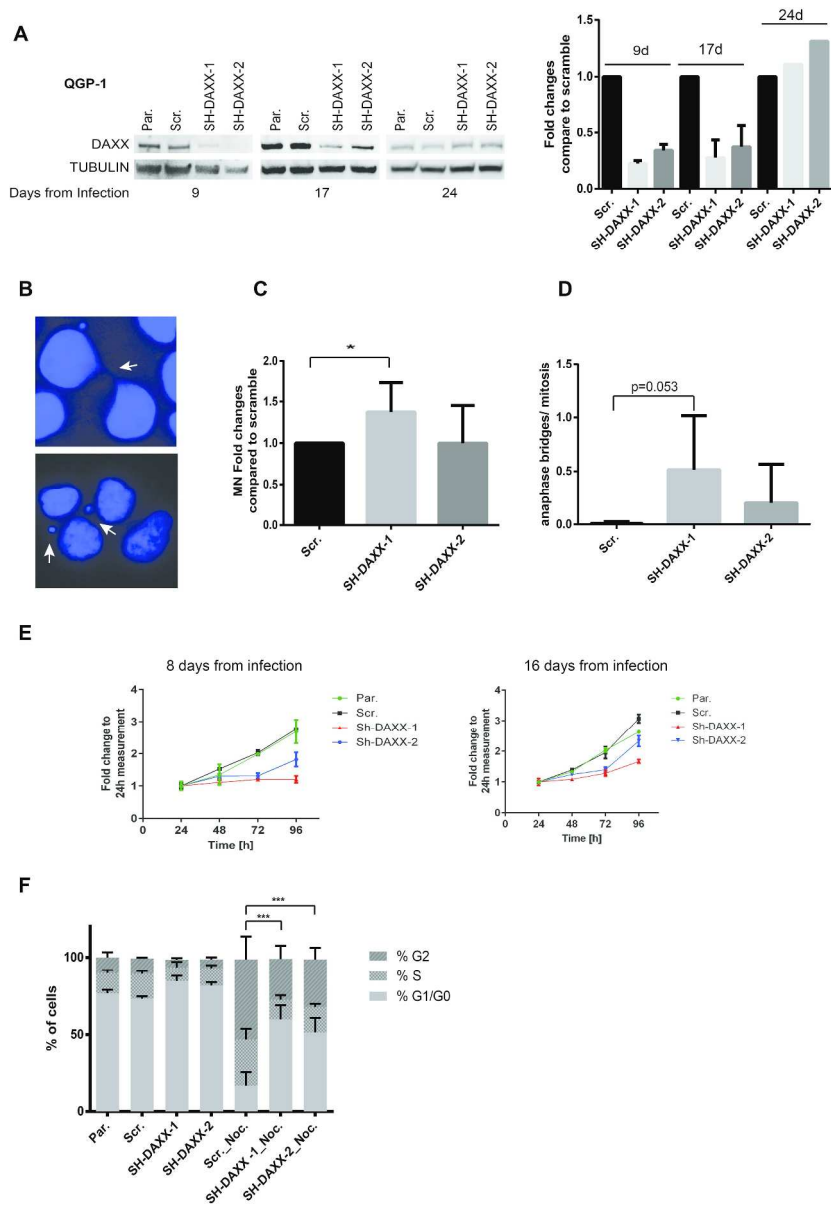


Figure 3

287x417mm (300 x 300 DPI)

Table 1: Characteristics of the human sample collective

Collective	Total patients (IHC plus <i>LINE1</i> and Global methylation)	IHC	<i>LINE1</i> and global methylation
Tot	167	153	55
Female	82	75	26
Male	83	76	28
	2	2	1
Age average	56,66	56,09	55,21
G1	119	107	33
G2	48	46	22
T1	61	53	13
T2	46	44	18
T3-4	45	41	23
n.a.	15	15	1
N0	58	54	15
N1	39	36	24
n.a.	70	63	16
M0	95	85	34
M1	30	30	12
n.a.	42	38	9
DAXX/ATRX +	74	67	13
DAXX/ATRX-	47	44	30
n.a.	46	42	12
DAXX-	23	23	3
DAXX+	106	97	38
n.a.	38	33	14
ATRX-	28	25	13
ATRX+	95	88	33
n.a.	44	40	9
ALT-	59	53	16
ALT+	39	37	20
n.a.	69	63	19
CIN-	22	15	11
CIN+	30	26	11
n.a.	115	112	33
<i>MEN1</i> wt	15	15	15
<i>MEN1</i> mut	11	11	11
n.a.	141	127	29
MGMT hyper			8
MGMT hypo			17
			30
RFS (months)			52 (data based on 44 patients)
TSS (months)			80 (data based on 44 patients)

Table legend: G (Grade), T (Tumor Stage), N (lymph node metastasis), M (Distant Metastasis) ALT (Alternative Lengthening Telomeres), CIN (Chromosomal Instability), RFS (Relapse free Survival), TSS (Tumor Specific Survival).

Table 2: Correlation between DAXX/ATRX expression and 5-methylcytidine staining in human PanNET tissues.

	Low 5-methyl-cytidine	High 5-methyl-cytidine	p value
DAXX/ATRX-	28	15	0.019*
DAXX/ATRX+	28	39	
DAXX-	17	6	0.020*
DAXX+	44	52	
ATRX-	15	13	0.517
ATRX+	40	48	
ALT-	25	28	0.338
ALT+	21	15	